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Common Syndromes in Older Adults Related to Primary and Secondary Prevention

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Structured Abstract

Objectives: To create a systematic synthesis of the published evidence about the prevalence of eight geriatric syndromes and their association with survival and institutionalization, and to provide a review of models that report survival in elderly populations.

Data Sources: Original epidemiologic studies were sought from several databases to identify articles published in English from January 1, 1990 to April 25, 2010.

Review Methods: We identified studies of multiple morbidities, mild cognitive impairment, frailty, disability, sarcopenia, malnutrition, homeostenosis (i.e., impaired homeostasis), and chronic inflammation in the general elderly population and age, race, and sex subgroups. We developed standardized forms using different definitions of these syndromes and abstracted prevalence of the syndromes. Multivariate adjusted risks of mortality and institutionalization for elderly patients with syndromes were abstracted to calculate remaining life expectancy. Pooled analyses were conducted with random effects models. Statistical and decisionmaking models were appraised for content, simplicity, and validation.

Results: Of the 2,377 publications retrieved, 509 publications of 123 studies were eligible for review. Definitions varied within each syndrome and overlapped across all syndromes. Prevalence estimates increased with age. African Americans had higher prevalence of multiple morbidities, frailty, malnutrition, and disability when compared to Caucasians. Evidence on other minority subgroups was sparse. All syndromes were associated with increased risk of death and institutionalization. A negative association between prevalence of a syndrome and its effect on survival was evident across all syndromes. Impaired homeostasis and dementia were associated with the lowest survival among elderly persons when compared to the general population. In the young-old, ages 65–74 years, those with homeostenosis, poor health, or advanced dementia suffered significant decreases in predicted life expectancy. The syndromes affected the likelihood of death more among the young-old. In those older than age 90 years, the added value of factoring in conditions and syndromes to evaluate the link to mortality beyond 1 year was minimal. Complexity was not associated with better mortality models in elderly persons.

Conclusions: Syndromes are not independent; definitions and prevalence estimates overlap substantially. Some minority subpopulations had higher prevalence of the syndromes. Less inclusive definitions had lower prevalence but were better predictors of outcomes. Complex mortality models added less benefit to simpler models that included age, specific diseases, and impact on overall health and functioning. For younger old persons, syndromes most strongly linked to mortality were homeostenosis, poor health, and dementia.

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Executive Summary

Geriatric syndromes can lead to age-related decline in well-being among elderly adults.^{1,2} The signs and symptoms encompassed by geriatric syndromes span multiple physiological systems related to functional dependency.^{3,4} A number of syndromes identified by longitudinal studies are associated with reduced function, quality of life and survival, and an increased risk of institutionalization.⁵⁻⁸ However, variations in syndrome definitions make systematic discussion of their effects difficult.

Routine clinical practice includes assessment of age-related chronic diseases based on accepted diagnostic criteria. In contrast, comprehensive geriatric assessment goes beyond examination for chronic diseases and focuses on functional independence in daily activities and optimal interventions to improve functional status and quality of life.⁹ Indeed, comprehensive geriatric assessment emphasizes functional status as a major quality of life factor for older adults.¹⁰

Quality of life improvements for older adults require addressing geriatric syndromes in addition to managing chronic disease.¹¹ A geriatric syndrome's definition, along with its combination with any chronic disease, affects the syndrome's association with patient-centered outcomes, including quality of life, institutionalization, and survival.¹²⁻¹⁴ Certain factors are long known to affect patient-centered outcomes. For example, the persistently strong association between self-assessed health status and patient-centered outcomes remains a marvel.¹⁵ Similarly, dependency, defined as deficiencies in activities of daily living (ADLs), also associates strongly with patient-centered outcomes.¹⁶ Systematic reviews have yet to examine other syndromes such as cognitive impairment, frailty, poor nutrition status, or chronic inflammation for prevalence or association with institutionalization and survival.

This review examines what is known about common geriatric syndromes and their effect on the clinical course of older patients. Our analysis examines the extent to which varying definitions of each syndrome can affect determination of its prevalence and its association with patient-centered outcomes. In general, we anticipate a reciprocal relationship; the more inclusive the definition, the higher the prevalence. However, inclusivity should make the variable less predictive of adverse outcomes. More encompassing definitions or those with lower thresholds will inevitably raise prevalence estimates and be less precise in their predictive power than more stringent definitions with higher cut scores. For example, Manton applied ADL- and instrumental activities of daily living (IADL)-related measures for disability to describe a pattern of decline in prevalence over two decades.¹⁷ Systematic criteria to define multisystem complex geriatric syndromes are needed.¹

Meanwhile, multiple operational definitions of the syndromes presented a challenge to summarizing the research on their prevalence and predictive power. Frailty, especially, persists as an elusive concept, despite efforts at consensus conferences on the topic.¹⁸⁻²¹ Frailty may be viewed as a specific phenotype or as an index of deficit accumulations.^{22,23} However, despite problems of definition and measurement, frailty demonstrates a potent association with outcomes. Different indices derived from frailty measures have shown associations with adverse events.²⁴ Likewise, increasing frailty is typically associated with adverse events.²⁵ Frailty and related components (such as ADL dependency, delirium, malnutrition risk, and comorbidity) are

linked to increased mortality risk.²⁶ More deficit accumulation is associated with worse outcomes.¹³ Frailty predicts mortality even after consideration of the effects of clinical and subclinical disease.²⁷ Frailty's predictive capacity also seems to hold up among various populations in different countries.²⁸

Syndromes are also not independent; definitions and prevalence estimates overlap considerably. For example, sarcopenia is associated with frailty, but some view the former as a dimension of the latter.²⁹⁻³¹ Frailty is associated with comorbidity and disability, although efforts to distinguish the latter emphasize frailty's multisystem dysfunction and instability.^{32,33} Various geriatric conditions (such as cognitive impairment, falls, and ADL dependency) are associated with disability.³⁴ Polypharmacy may indicate multiple morbidities, but overzealous prescription may also be a factor.³⁵ Research suggests that inflammatory cytokines play a substantial role in age-related disease.³⁶ Thus, separating the syndromes presents another challenge.

This report was commissioned by the U.S. Preventive Services Task Force (USPSTF) as background material to help them understand the impact of geriatric syndromes on well-being. The USPSTF opted not to consider disease as a risk factor for the purposes of this review. Our review does not address the suitability of preventing the examined syndromes or altering their courses.

The Technical Expert Panel selected geriatric syndromes (but not diseases) for this review according to how much each syndrome would affect the enthusiasm of clinicians for recommending prevention strategies. We addressed the eight syndromes that were most highly rated.

We included original epidemiologic studies that examined prevalence of the eligible syndromes in adults older than age 65 years. We defined young-old as ages 65–80 years, elderly as ages 80–90 years, and very old as ages 90 years and older. We defined age categories the same as they were defined in the original studies.

We retrieved 2,377 publications and excluded 1,865 that were not eligible for review. We included 509 publications of 123 studies. The majority of the studies were well designed prospective cohorts or national surveys conducted in the United States, including the National Health and Nutrition Examination Survey (NHANES), the National Health Interview Survey, and the National Survey of Self-Care and Aging (76 studies, 62 percent).

Key Question 1. What is the Definition and Prevalence of Common Syndromes/Conditions in Older Adults?

Definitions of a given syndrome vary, and the concepts underlying various syndromes overlap. For example, frailty measures often include disability and comorbidity.

Multiple Morbidities

The studies used a variety of definitions for multiple morbidities, including number of chronic

diseases or conditions, high comorbidity score, polypharmacy, or self-perceived poor health. Prevalence estimates varied depending on definitions, with more than 20 percent of older adults suffering from multiple chronic conditions. One-third to one-half of older adults take more than five drugs. One-third of older adults reported fair or poor health.

Cognitive Impairment

Definitions of cognitive impairment varied. The most common definition required subjective complaint of memory impairment with objective memory impairment, normal general cognitive function, and intact cognitive ADLs/IADLs.^{37,38} Depending on diagnostic method, prevalence (defined as a score of <24 on the Mini-Mental State Examination [MMSE]) varied from 10.6 to 33.1 percent.^{14,39-45} Studies of the Established Populations for Epidemiologic Studies of the Elderly demonstrated that 7.1 percent of the tested elderly had cognitive impairment detected with the 10-item Short Portable Mental Status Questionnaire.⁴⁶⁻⁴⁸ Prevalence of self-reported cognitive impairment varied from 2.8 to 13.2 percent.⁴⁹

Prevalence estimates varied substantially in the same study, from 42 percent having self-reported memory complaint to less than 1 percent with mild or moderate cognitive impairment or questionable dementia.⁵⁰ The variation in prevalence estimates (concordance from 0 to 24 percent) indicates that each definition identified a unique group, and total prevalence of mild cognitive impairment without dementia may exceed 50 percent of community-dwelling older adults.⁵⁰

Prevalence of dementia did not exceed 8 percent in persons older than age 65 years, but this estimate can be misleading because of substantial variation in prevalence across age subgroups.

Frailty

Wide variances in frailty definitions affects prevalence estimates. Using the framework from the Interventions on Frailty Working Group, we categorized frailty definitions into two groups: phenotype and accumulation of deficits. When the studies accepted the biologic syndrome model of frailty with five major criteria, including weight loss, fatigue and exhaustion, weakness, low physical activity and slowness, and mobility impairment, we categorized the estimates into phenotype definitions.²³ When the studies accepted the burden model of frailty, including symptoms, diseases, conditions, and disability, we categorized the estimates into the accumulation of deficits definition.²² Accumulation deficit indices included up to 75 components.^{12,51,52} Separation of these two definition types and estimation of disability in frail persons were somewhat artificial. Prevalence was higher when using accumulation of deficits (24 percent) than phenotype definitions, such as low physical activity or fatigue (14 percent). The overlap in prevalence estimates using different definitions was small. The Health and Retirement Study examined prevalence of frailty using different definitions, including phenotype and accumulation deficit, and found that 30 percent of elderly people were frail according to at least one definition, but only 3 percent according to all three definitions.

Disability

The most common definitions of disability included having difficulty with or needing assistance with basic activities of daily living (BADLs) or with IADLs. Disability was defined as having "any" (limitations with one or more activities), "moderate" (limitations with one to two activities), or "severe" (three or more activity limitations) disability or having a limitation with an individual activity. Definitions were based on self-reported inability and need for assistance to perform particular tasks. IADL disabilities were more common than BADL disabilities. Reporting of IADL disability ranged in prevalence from 12 to 46.7 percent⁵³⁻⁵⁷ compared to 5⁵⁸ to 25.6 percent for BADL disability.^{41,53,55-64}

In general, disability prevalence decreased as severity increased. For example, the prevalence of severe BADL and severe IADL disability was lower than the prevalence for moderate disability, which was lower than having any disability. The order of individual IADLs from most to least common was having limitations with driving, housekeeping, personal finances, shopping, meal preparation, using the telephone, and medication management. For individual BADL disabilities, the hierarchy of most to least common disability was walking, bathing, dressing, transferring, toileting, and eating.⁶⁵

Sarcopenia

Sarcopenia was defined as a loss of skeletal muscle mass owing to any disease or condition.⁶⁶ Operational definitions were based on lean body mass relative to skeletal size and total body mass. Sarcopenia was defined based on index values within the sex-specific distribution in a healthy, younger population^{67,68} or based on a linear regression modeling the relationship between lean mass with fat mass and height. Recently published recommendations from the European Working Group on Sarcopenia in Older People defined sarcopenia as the presence of both low muscle mass and low muscle function (strength or performance).⁶⁹ Prevalence estimates varied depending on definition from 14 to 60 percent among age, sex, and ethnicity categories. Simple relative skeletal muscle index underestimated sarcopenia in obese older persons. Residual methods adjusting for fat mass may be a better method to identify sarcopenia in overweight and obese older adults.

Malnutrition

Studies defined malnutrition as unintended weight loss⁷⁰⁻⁷² or low body mass index (BMI).^{46,72-76} Among biochemical markers, low blood albumin levels, ^{73,75,77} anemia, ^{77,78} and deficit of micronutrients⁷⁹⁻⁸¹ may identify older persons with poor nutritional status. Several studies used composite nutritional scores based on self-reported dietary intake and habits to identify elderly persons with malnutrition. ^{70,72,82-85} Prevalence estimates varied across definitions and were less than 3 percent when defined with low BMI, 6–10 percent with vitamin and micronutrient deficit, and 1–5 percent with a low composite nutritional score. The prevalence of low BMI and blood albumin level was highest in older American veterans (15 percent).

Homeostenosis (Impaired Homeostasis)

Very few studies examined the prevalence of impaired homeostasis in elderly persons. Several studies that examined impaired homeostenosis used allostatic load defined by elevated markers of chronic inflammation, low albumin levels, impaired creatinine clearance, increased blood pressure, hemoglobin A_{1C}, homocysteine, total cholesterol, and triglycerides.⁸⁶ NHANES defined impaired homeostasis using an allostatic load score and found that 1.4 percent of older adults in the United States had an allostatic load score of more than 4.⁸⁶ The Duke Established Populations for Epidemiologic Studies of the Elderly defined plasma tonicity as a marker of impaired homeostasis⁴⁶ and found that 10 percent of older adults had increased plasma tonicity.

Chronic Inflammation

Few studies provided information on the prevalence of unspecified chronic inflammation in older adults. Elevated C-reactive protein (CRP) was found in 24.4 percent of older adults,⁸⁷ while 5 percent had elevated interleukin 6 (IL6), and 5 percent had elevated tumor necrosis factor-alpha.⁸⁸

Key Question 2. What is the Prevalence of Common Syndromes/Conditions in Older Adults in Sex, Age, Race, Ethnicity, and Other Subgroups?

Multiple Morbidities

Prevalence of multimorbid conditions increased with age from 28 percent in adults ages 65 to 74 years to 37 percent in adults older than age 75 years. Women tended to have a higher prevalence than men of having more than three comorbidities (16 to 18.4 percent), polypharmacy (43 percent), and poor health (7 percent). Prevalence of more than three chronic diseases was higher in African American women (13.4 percent) than in Caucasian women (9.5 percent).⁸⁹ Inconsistent definitions of outcomes across the studies made comparison of the estimates difficult.

Cognitive Impairment

Prevalence of cognitive impairment without dementia increased with age across all definitions and studies, from 18.8 percent in adults older than age 75 years⁹⁰ to 44.1 percent among those older than age 90 years.⁹¹ Prevalence of dementia also increased with age across all definitions. Prevalence of senile dementia increased from 1.6 percent in those age 67.5 years to 36.7 percent in those older than age 95 years. Prevalence of Alzheimer's disease increased from 0.4 percent in those age 67.5 years to 37.4 percent in those older than age 95 years.

Older men and women had comparable prevalence of cognitive impairment. Prevalence of cognitive impairment in older men varied from 16 percent to 36 percent.^{59,94} No age associated increase was evident. Prevalence of cognitive impairment in older women varied from 10 to 12 percent using the Modified Mini-Mental State Examination (3MSE) questionnaire.^{59,95}

Prevalence was higher (24 percent) when the authors defined cognitive impairment using an MMSE score of <24.^{42,90,94,96} Prevalence of dementia in older women was consistent across different countries, with an evident increase with age, from 0.25 percent in those ages 65–69 years to 5 percent in those older than age 80 years.⁹⁷

About 20 to 40 percent of older persons with cognitive impairment developed dementia within 2 to 5 years of followup.⁹⁸⁻¹⁰⁰ Several studies demonstrated that older persons with cognitive impairment were at higher risk of developing dementia.

Frailty

Prevalence of frailty increased with age but differed within age subgroups depending on definitions. Prevalence of frailty in those ages 65 to 70 years ranged from 3 to 6 percent, using a phenotype definition, to 5 to 15 percent using an accumulation deficit definition.^{23,101-104} Prevalence among those ages 70 to 80 years varied from 5 to 12 percent, according to a phenotype definition, to 8 to 17 percent according to accumulated deficits.^{22,23,101,104,105} Prevalence was more than 16 percent in those older than age 80 years according to any definition.^{23,101-104}

Among race groups, African Americans had the highest prevalence of frailty across different definitions. More than half of older African Americans were frail according to two studies.^{23,104,106,107} Two studies examined the prevalence of frailty in older Hispanics and reported that 8 to 20 percent met different frailty criteria.^{104,108} Prevalence of frailty in older Caucasians varied from 6 to 12 percent, using a phenotype definition, to 15 to 40 percent using an accumulation deficit definition.^{23,104,107,109} The large cohort studies of predominantly older Caucasians in the Survey of Health, Aging and Retirement in Europe demonstrated that 17 percent were frail according to phenotype criteria.¹¹⁰

Among sex groups, prevalence of frailty was somewhat higher in women than in men, and increased with age in both sexes. Prevalence of phenotype frailty was 7 percent in older men, and accumulation deficit frailty was 24 percent.^{23,103-105,107,109,111-115}

Prevalence was higher in aging African American and Hispanic men compared to Asian or Caucasian men.^{23,113,116} Prevalence of phenotype frailty in older women was 13 percent, and accumulation deficit frailty was 26 percent.^{23,24,103-105,107,109,111,115-117} African American women had the highest prevalence of frailty, with 60 percent of adults older than age 85 years being frail.^{23,116}

Disability

In general, women had higher rates of BADL disability than men. However, as the severity of disability increased, the prevalence for women and men became similar (i.e., 7.0 percent for severe BADL disability¹¹⁸ and 1.2 percent for eating disability^{119,120}). Few studies reported sex differences in IADL disabilities. The prevalence of any IADL disability was higher in women than men.¹²¹ One study described changes in the prevalence of any IADL disability over 6 years between men and women.¹²¹ More women reported an IADL disability than men at any time

period, but showed less change over time. In terms of individual IADL disabilities, more women had difficulty with housekeeping and meal preparation than men but less difficulty with shopping.^{119,122}

Only two studies reported the prevalence of any BADL disability by ethnic group, and one study enrolled only older Hispanic Americans.^{41,60,62} The prevalence of any BADL disability, in order of highest to lowest, was African Americans (13.6 percent), American Indians (11.6 percent), Hispanic Americans (11 percent),⁴¹ and Caucasians (8.1 percent).⁶² Racial differences persisted after accounting for sex. Older African American women had the highest prevalence of having any BADL disability (10.7 percent), followed by African American men (7.5 percent), Caucasian women (5.2 percent), and Caucasian men (4.7 percent).⁶⁰ There were no racial differences in eating disabilities.¹¹⁹

Two studies reported age differences in the prevalence of BADL disability.^{59,118} Reporting any disability and moderate disability was more prevalent in the oldest age groups and in older women. The prevalence of severe BADL disability ranged from 10 to 11 percent in people ages 80 years and older, was 6 percent in those ages 65 to 74 years, and did not differ significantly by sex.¹¹⁸

Sarcopenia

Prevalence of sarcopenia increased with age.^{68,123} Older African Americans had significantly lower odds of sarcopenia when compared to older Caucasian Americans.¹²³ Odds of sarcopenia did not differ between Hispanic and non-Hispanic whites.⁶⁸

Malnutrition

Age and sex differences in malnutrition were not consistent across the studies. Pooled prevalence of poor nutritional score was 18.3 percent in older men and 24 percent in older women. Women had a lower prevalence of decreased albumin levels⁷⁷ but higher prevalence of low BMI.

Older African Americans had a significantly higher risk of malnutrition, defined as unintentional weight loss, compared to older Caucasian persons.⁷¹ Prevalence of anemia did not differ among Caucasians and non-Caucasians.⁷⁸ Prevalence of unintentional weight loss did not differ in Hispanics and non-Hispanics.⁷² Older Hispanic women had a higher prevalence of poor nutritional scores compared to non-Hispanic women (30 percent vs. 17 percent, respectively).⁷²

The studies did not report prevalence of homeostenosis and chronic inflammation in older subpopulations.

Key Question 3. What is the Association Between These Common Syndromes/Conditions and Mortality, Institutionalization, Hospitalization, and Activities of Daily Living?

We analyzed the association between outcomes and each syndrome and across all syndromes to identify links to mortality. Estimates of association varied depending on definitions of comorbidities, population subgroups, definitions of outcomes, and adjustment for correlated contributing factors. Not all analyses addressed the multifactorial nature of geriatric syndromes and the role of baseline diseases. For example, disability was an outcome but also a part of the definition of frailty. Adjustment for correlated multifactorial syndromes that ignored definitive primary cause of disability or death may give invalid estimation of the association between syndromes and mortality. No studies separately examined age and specific disease contributions.

Multiple Morbidities

We observed a consistent and significant positive association between multiple morbidities and mortality across the studies. Older persons with multiple morbidities had a 32 to 112 percent relative increase in death compared to those without multiple morbidities.^{39,124-129} The magnitude of the association was dose responsive, with an 85 percent relative increase in mortality for those with four to five diseases and 112 percent among those with six or more chronic conditions.^{39,124-129} The magnitude of the association decreased with time of followup, from a 100 percent relative increase at 10 years (odds ratio [OR], 2 [95% confidence interval (CI), 1.4–2.8]) to a 59 percent increase at 15 years of followup (OR, 1.6 [95% CI, 1.1–2.3]).¹²⁵ However, this may be a statistical reflection of a greater denominator as outcomes accrue over time.

Polypharmacy was significantly associated with mortality in two studies, with evidence of a dose response.^{54,130} Those with poor health had an increased risk of death in all studies that examined the association.^{27,103,131}

The Longitudinal Study of Aging demonstrated a positive significant association between multiple morbidities and institutionalization.¹²⁸ Those with poor health had a 10 to 80 percent relative increase in institutionalization.^{8,103,132}

Older adults with multiple morbidities had increased odds of hospitalization (OR, 1.7 [95% CI, 1.1–2.9]).¹³³ The association with hospitalization was dose responsive.¹²⁶ The relative increase in odds of hospitalization was 37 percent in those with morbidity scores of 3 versus ≤ 2 , 46 percent in those with scores of 4–5 versus ≤ 2 , and 94 percent in those with scores of ≥ 6 versus ≤ 2 .¹²⁶

Polypharmacy was significantly associated with hospitalization.^{133,134} The Medicare Risk Demonstration cohort reported a 190 percent increase (OR, 2.9 [95% CI, 2.2–4.1) in odds of hospitalization among those with more than five prescriptions compared to those with fewer than five concurrent drugs.¹³³ The Longitudinal Study of Aging reported a significant increase in risk of hospitalization among older adults with poor health.¹³⁵⁻¹⁴⁰

We concluded that multimorbid conditions and poor perceived health demonstrated a strong association with mortality. Poor perceived health was a strong predictor of institutionalization. The number of chronic conditions, polypharmacy, and poor perceived health was associated with hospitalization.

Cognitive Impairment

Cognitive impairment was associated with a significantly higher risk of mortality in all studies that examined this association. The largest relative increase of 250 percent in women and 280 percent in men was found in the Canadian Study of Health and Aging, which defined cognitive impairment as a score of <78 on the 3MSE scale.^{141,142} There was dose response association, with a 4 percent relative increase in mortality for each decrease by 1 point on the MMSE.^{39,44,54,143-148} The studies that estimated relative risk ratio (RR) or hazard rate ratio (HR) found a 37 percent^{39,54} and a 61 percent^{44,147} relative increase in risk of death, respectively.

Older women (pooled RR, 1.4 [95% CI, 1.11–1.7]) but not men (pooled RR, 1.2 [95% CI, 0.8–1.8]) with MMSE scores of <24 had a significant risk of death.^{39,94} Both men and women with severe cognitive impairment, defined as an MMSE score of <18, were at higher risk of death.

Dementia was associated with a significantly higher risk of mortality in the majority of the studies that examined this association.¹⁴⁹ Overall, dementia was associated with a 163 percent relative increase in odds of death (pooled OR, 2.6 [95% CI, 2.2–3.2]).

Cognitive impairment was associated with a significant risk of institutionalization in the majority of the studies that examined this association. For cognitive function measured with MMSE, the association was dose responsive and significant even within the normal ranges of the scale. Older persons had a higher relative increase in institutionalization of 9 percent per each 1-point decrease on the MMSE.¹⁴⁵ Those with dementia were at a significant risk of institutionalization in several large studies, including the Medicare Current Beneficiary Survey (OR, 34.9),¹⁵⁰ the Canadian Study of Health and Aging (OR, 36.3),¹⁵¹ and the Marshfield Epidemiologic Study Area (HR, 5.1).¹⁵²

We concluded that older persons with cognitive impairment had a higher risk of mortality and institutionalization. Magnitude of the association varied depending on the country, age, and sex of the participants, definitions of the cognitive impairment, and statistical estimates.

Frailty

Frailty was associated with mortality across a number of studies with varying definitions.^{14,23,111,115,148,153-156} The strength of the association was cumulative¹⁵⁷ and dose responsive,^{14,156} with a greater risk among those with increasing numbers of frailty components.^{14,156} The association generally persisted over longer followup periods.^{23,156}

The association was significant in men and women. Frail men had a relatively greater risk of death of 105 to 251 percent according to phenotype definitions and of 65 to 356 percent according to accumulation deficit definitions.^{113,114,158,159} Frail women had increased mortality across different studies and definitions of frailty.¹⁵⁸⁻¹⁶⁰

Frailty was associated with an increased risk of institutionalization^{155,160} and hospitalization.^{14,28,161} The studies demonstrated a 29 percent relative increase in risk¹⁶¹ and a 41 to 345 percent relative risk^{14,161} in odds of hospitalization.

Disability

Disability and hospitalization. The statistically significant association between disability and hospitalization was demonstrated in four studies (adjusted relative measures of association ranged from 1.8 to 16.0).^{136,137,162,163} Risk of hospitalization depended on the definition of disability, and, in general, risk increased along with the severity of disability. Older people who had any BADL (defined as having one or more BADL dependencies) had the lowest risk of hospitalization. Those with severe BADL disability (defined as having three or more BADL dependencies) had the highest risk. The risk for women was also greater than the risk for men. The manner in which BADL disability develops appears to influence the risk for hospitalization. Older people who experienced catastrophic severe disability (defined as the sudden onset of three or more BADL disabilities when no BADL disabilities existed before) were 16 times more likely to be hospitalized than those who had moderate BADL disability.¹⁶³ When ADL disability was measured on a continuous scale, the risk for hospitalization was not statistically significant, nor was it significant when measured as having any BADL or IADL dependencies.

Disability and risk of death. In general, older people with BADL disabilities were at higher risk for death (OR range, 1.9–86.8) than those with IADL disabilities (OR range, 1.5–6.6) when compared to those without disability. Those with more BADL disabilities had a higher risk of death than those with fewer BADL disabilities. Severe BADL disabilities were associated with the highest risk of death,^{128,164} followed by moderate BADL disabilities.^{128,164} The lowest risk of death occurred when any BADL disability was reported.¹⁶² The risk of death associated with individual BADL disabilities was not reported in the studies, with one exception. The risk for death at 48 months doubled for older people with bathing disabilities.⁵³ The risk of death associated with IADL disabilities was highest when any IADL disability was reported.^{128,164} Those with severe IADL disability had slightly higher risks of death (OR range, 1.6-2.2) than those with moderate IADL disabilities (OR range, 1.5–1.7). Those with difficulty managing personal finances were twice as likely to die as those without this disability.⁵³ When disability was measured on a continuous scale, the per one-point increase in the disability score and the risk of death were the same whether the scale measured BADL, IADL, or BADL/IADL disability. Men with BADL disabilities had slightly higher risks of death than women.⁶⁴ Caucasian men and women who were unable to prepare a meal had higher risks of death than African American men and women.¹¹⁹ Women suffer greater discrepancies in years of expected active life remaining than men if they have a BADL/IADL disability.⁴⁰ In summary, older people with the most severe BADL disabilities had the highest risks of death. No studies examined how individual BADL and IADL disabilities increase the risk of death. Women fared worse than men in terms of expected active life remaining, but men with BADL disabilities had slightly higher death rates than women with BADL disabilities. Older Caucasian people were more likely to die than older African American people if they reported difficulty with preparing meals. Few studies reported differences in death rates between men and women or between older people of different ethnicities who have disabilities.

Sarcopenia

Limited evidence indicates that sarcopenia was associated with significantly higher odds of multiple disabilities⁶⁸ but not mortality.¹⁶⁵

Malnutrition

The association between malnutrition and mortality was consistent across the studies and different definitions of malnutrition.^{70,71,83,166-169} Low BMI^{166,167} and malnutrition identified using the Mini Nutritional Assessment⁷⁰ were the strongest predictors for mortality.

Among biological markers that may be related to malnutrition, red cell distribution width (RDW) demonstrated strong and significant association with mortality in all examined age, sex, and race subgroups in a meta-analysis of individual subject data from seven community-based studies of 11,827 older adults.⁷⁹ Red cell distribution was associated with mortality, however, in those with iron, folate, and/or vitamin B12 deficiencies (adjusted HR for 1 percent increment in RDW, 1.2 [95% CI, 1.1–1.2]), as well as in those without these deficiencies (adjusted HR for 1 percent increment in RDW, 1.2 [95% CI, 1.2–1.3]).⁷⁹ Routinely measured as a part of the complete blood count, a red cell distribution width of >15 percent was associated with a 151 percent relative increase in risk of death (HR, 2.5 [95% CI, 2.2–2.9]).⁷⁹ Very low albumin levels and very high pre-albumin levels (transthyretin >316mg/L or <258mg/L) were associated with increased mortality.^{167,170}

Several studies analyzing composite measures of malnutrition and chronic inflammation found a significant positive association with mortality. Those with the highest levels of alpha-1-acid glycoprotein and the lowest levels of transthyretin had the highest risk of death, with a 364 percent relative increase in women and a 586 percent relative increase in men.¹⁷⁰ Elevated composite measure of chronic inflammation and poor nutritional status was associated with an increased risk of death in older men but not women.¹⁷⁰

Homeostenosis (Impaired Homeostasis)

Individual studies demonstrated a significant association between disability, mortality, and indicators of impaired homeostasis. The association of impaired homeostasis with clinical outcomes varied depending on the definitions of the exposure and population studied. Unstable BMI (HR, 1.3 [95% CI, 1.0–1.8]), pulse pressure (HR, 1.3 [95% CI, 1.0–1.7]), and fasting plasma glucose (HR, 1.6 [95% CI, 1.2–2.1]) were associated with a greater risk of mortality in an Italian cohort.¹⁷¹ The MacArthur Studies of Successful Aging demonstrated a significant association between increased allostatic load and mortality.^{124,172,173} The same study found a 27 percent relative increase in the odds of death (OR, 1.3 [95% CI, 1.0–1.5]) in those with an elevated stress hormone index.¹²⁴ Consensus around the operational definition of homeostenosis and its biomarkers is necessary for a better interpretation of the results.

Chronic Inflammation

Studies consistently found positive significant associations between chronic inflammation and mortality. Among common definitions of chronic inflammation, elevated IL6 and CRP were associated with higher mortality. Those with elevated IL6 levels had a 42 percent relative increase in death (pooled RR, 1.4 [95% CI, 1.2–1.7]).^{124,174-177} Those with elevated CRP had a 42 percent relative increase in death (pooled RR, 1.4 [95% CI, 1.2–1.7]).^{87,124,129,166,167,170,174,177-179}

Among other individual markers of chronic inflammation, the strongest association with mortality was demonstrated for a combination of elevated CRP with low albumin (HR, 5.0 [95% CI, 2.3–11.0])¹⁷⁰ or with elevated fibrinogen levels (HR, 9.56 [95% CI, 4.34–21.1]).¹⁷⁸

We found no studies that examined the association between chronic inflammation and institutionalization or hospitalization.

Key Question 4. What Statistical and Decisionmaking Models Report Mortality Based on These Common Geriatric Syndromes/Conditions?

Models reporting mortality vary by complexity, by selection of predictors, and by time course. We found 28 studies that described prognostic indices for mortality in older adults. Previous indices are complex, time consuming, or have a lack of clinical applicability. However, recent studies have been designed to develop and validate easy-to-use indices using information readily available from administrative data, laboratory data, diagnoses, or self-reported health status data. Some indices were created for certain segments of the population (e.g., hospitalized elderly,^{26,180-183} community dwellers,^{4,23,27,148,155,184-188} or older individuals with acquired mental disorders).¹⁸⁹ Others have been developed with the use of nationally representative samples.^{53,186,187,190} While the majority of studies have been conducted in the U.S. population ages 50 years and older, a few have been done in European countries^{14,134,180,189,191,192} and Canada.^{155,193,194}

To examine overall effects of different syndromes on mortality in adults older than age 65 years, we estimated numbers of deaths per 1,000 from individual studies that provided death rates among persons with and without different syndromes (Table 1).¹⁹⁵ We estimated that among frail older persons, 459 per 1,000 died within 1–2 years of followup.^{182,187} Disability in basic ADLs and IADLs were the strongest predictor of mortality.¹⁹⁶

Within 3 years, 500–600 older persons with malnutrition, 351 with cognitive impairment, and 534 with severe dementia died per 1,000 older persons.^{189,197,198}

Within 5 years, 490 elderly persons with malnutrition, 513 with frailty, 530 with elevated CRP, and 827–941 with vascular dementia died per 1,000 older persons.^{70,91,141,186} Frailty and cognitive impairment were associated with 400–800 deaths per 1,000 during more than 5 years of followup.^{23,148,199} Such estimations may not reflect mortality in age, sex, or race subgroups but demonstrate a burden of geriatric syndromes.

We also estimated population risk of mortality attributable to geriatric syndromes (Table 2). When population prevalence and multivariate adjusted relative risks were taken into account, more than 7 percent of deaths were attributable to multiple morbidities and elevated CRP. We estimated that 3 to 5 percent of deaths among older persons could be delayed by preventing frailty; prevention of mild cognitive impairment could delay 5 to 6 percent of deaths. Overall, around 26 percent of deaths in older persons can be attributed to geriatric syndromes. Conversely, having these syndromes affects the likelihood of benefitting from preventive interventions.

The prevalence and risk of mortality and institutionalization were almost inversely related. The prevalence of accumulation deficit frailty (which uses many components) was higher than phenotype frailty (which uses only a few components). The relative risk of mortality and institutionalization was higher for phenotype frailty (Figure 1). The same negative association was seen for more severe forms of the same syndrome. Prevalence of severe cognitive impairment and dementia were lower, but risk of mortality was higher when compared to mild cognitive impairment (Figure 2). A negative association between the prevalence of a syndrome and its effect on mortality was evident across those syndromes in which the more restricted definition defines a more severe state (Figure 3).

We estimated remaining life expectancy in individuals with syndromes using Centers for Disease Control and Prevention United States Life Tables and the relative risk of death from pooled analyses and individual studies. Increased levels of allostatic load (impaired homeostasis) and dementia were associated with the lowest survival among older persons when compared to the general U.S. population. The data shown in Figure 4 represent a merger of several data sets to yield general trends. The influence on survival of some factors is much greater than others. Poor health, malnutrition, and allostatic load (poor homeostasis) exert twice the influence of factors such as comorbidity and frailty. The size of the effect differs by age (and thus expected life expectancy) (Table 3). Relative risk is likely more useful than population attributable risk. In the young-old, ages 65–74 years, only the very few who are very ill (e.g., homeostenosis, poor health, or advanced dementia) or frail suffer significant alterations in predicted life expectancy. From ages 75–90 years, maximal heterogeneity of disease and geriatric syndromic states result in larger mortality deviations from unafflicted individuals than seen in other age groups. In the old-old, particularly past age 90 years, the added value of factoring in conditions and syndromes to predict mortality beyond 1 year is minimal.

Models reporting mortality vary by complexity, by selection of predictors, and by time course. Some models strive for simplicity, with few predictors that are easily measured, much or all of which could be gained by culling administrative data. Other much more complex models rely on data gathered from clinician and/or patient assessments. For purposes of anticipating the benefit of preventive services, a simpler approach, based on some crude classifications around average life expectancy (based on age and sex), serves better than more complex models.

Geriatrics teaches that age is a good general predictor, but great care is needed to look within older people to distinguish other risk factors. In this case, the evidence for added benefit from factoring in syndromic information is mixed; syndromic information is helpful for younger old persons, but adds little insight for the very old. The most potent predictors are the rarest syndromes. Absolute risk differences and remaining life expectancy in comparison groups should be taken into consideration when analyzing predictive value of syndromes in different age subgroups.

Some basic relationships hold regardless of the measure used. They can be summarized as follows:

• Simple disease-based measures, such as number of comorbid illnesses or measures of inflammation, add modestly to the relative risk of mortality provided by age and sex alone but account for a more population-based mortality burden due to their high

prevalence.

- Advanced dementia is one specific condition that confers significantly added mortality risk.
- More complex syndromic measures, such as those assessing frailty or incorporating functional status (e.g., allostatic load and dementia), better capture increased mortality risk (indicated by higher relative risk) than simpler measures, as they more selectively identify the relatively few (indicated by lower population attributable risk) sickest patients most likely to experience deterioration in health and death.
- Simpler measures that reflect the *severity* of individual diseases, such as indicators of advanced dementia, or the overall *impact* of multiple conditions, such as assessments of overall health, also identify the fewer and sicker patients at higher risk of mortality.

In conclusion, complex mortality models add comparatively little understanding to more simply measured and calculated models. Measures of the *impact* of conditions and syndromes on overall health and functioning provide greater discrimination among individual patients for assessing mortality risk. Mortality predictors appear to be relatively consistent across short- and long-range models. The greatest added advantage of mortality models over simple remaining life expectancy was observed among patients ages 75 to 90 years. No models considered psychosocial factors, such as resilience, or the role and quality of health care for elderly patients with syndromes. Decisionmaking models are based on various assumptions and simulation techniques that need careful sensitivity analysis and validation.

Our review does not address the extent to which the presence of a syndrome adds predictive power over and above the presence of specific diseases. It seems likely that the syndromes represent intermediate states between the disease and mortality, but their specific additive explanatory power remains unknown. For clinicians, the syndromes offer summative approaches that can help in some instances to improve the estimate of the risk of mortality.

Ideally, we would consider other outcomes besides mortality, but the measures used present large problems of endogeneity. Measures of frailty and disability contain elements central to quality of life. They also frequently provide the basis for institutionalization.

All syndromes had overlapping definitions or closely related pathophysiology. The multifactorial interactive nature of syndromes should be analyzed with interaction models rather than adjustment. The majority of the studies, however, provided multivariate adjustment for known confounding factors, causes of death, and presence of other syndromes. The models that analyzed the association between syndromes and mortality grouped primary causes of death into larger categories of cancer or cardiovascular diseases to adjust for them. The syndromes associated with decompensated chronic diseases, including inability to maintain homeostasis, poor general health, or low BMI, had the strongest association with mortality and institutionalization.

Evidence suggests that, despite differences in definitions, common geriatric syndromes can be examined and constructed from a variety of different measures. By almost all definitions used, evidence suggests a considerable disease burden as population age increases. Evidence about how geriatric syndromes may modify the efficacy of preventive or other interventions is needed

but was outside of our scope. Future research should examine effectiveness of screening, preventive treatment, and disease management strategies in elderly adults with common geriatric syndromes.

Chapter 1. Introduction

Geriatric syndromes can lead to age-related decline in well-being among elderly adults.^{1,2} The signs and symptoms encompassed by geriatric syndromes span multiple physiological systems related to functional dependency.^{3,4} A number of syndromes identified by longitudinal studies are associated with reduced function and quality of life and increased risk of institutionalization and mortality.⁵⁻⁸ However, variations in syndrome definitions make systematic discussion of their effects difficult.

Routine clinical practice includes assessment of age-related chronic diseases based on accepted diagnostic criteria. In contrast, comprehensive geriatric assessment goes beyond examination for chronic diseases and focuses on functional independence in daily activities and optimal interventions to improve functional status and quality of life.⁹ Indeed, comprehensive geriatric assessment emphasizes functional status as a major quality of life factor for older adults.¹⁰

Quality of life improvements for older adults require addressing geriatric syndromes in addition to managing chronic disease.¹¹ A geriatric syndrome's definition, along with its combination with any chronic disease, affects the syndrome's association with patient-centered outcomes, including quality of life, institutionalization, and mortality.¹²⁻¹⁴ Certain factors are long known to affect patient-centered outcomes. For example, the persistently strong association between self-assessed health status and patient-centered outcomes remains a marvel.¹⁵ Similarly, dependency, defined as deficiencies in activities of daily living (ADLs), also associates strongly with patient-centered outcomes.¹⁶ Systematic reviews have yet to examine other syndromes, such as cognitive impairment, frailty, poor nutrition status, or chronic inflammation for prevalence or association with institutionalization and mortality.

This review examines what is known about common geriatric syndromes and their effect on the clinical course of older patients. Our analysis examines the extent to which varying definitions of each syndrome can affect determination of its prevalence and its association with patient-centered outcomes. In general, we anticipate a reciprocal relationship; the more inclusive the definition, the higher the prevalence. More encompassing definitions or those with lower thresholds will inevitably raise prevalence estimates and be less precise in their predictive power than more stringent definitions with higher cut scores. For example, Manton applied ADL- and instrumental activities of daily living (IADL)-related measures for disability to describe a pattern of decline in prevalence over two decades.¹⁷ Our review synthesizes the evidence for the following research questions.

Key Questions

Key Question 1. What is the definition and prevalence of common syndromes/conditions in older adults?

- Multiple morbidities (using polypharmacy as a proxy)
- Cognitive impairment
- Frailty
- Disability
- Sarcopenia

- Malnutrition
- Homeostenosis
- Chronic inflammation

Key Question 2. What is the prevalence of common syndromes/conditions in older adults in sex, age, race, ethnicity, and other subgroups?

- Sex subgroups (men, women)
- Age subgroups (>65 years, >85 years)
- Race subgroups (European, African, Asian, American Native)
- Ethnicity subgroups (white Hispanic, white non-Hispanic, African American, Asian, Arab, Oceanic, Jewish)
- Comorbidity profile defined as a composite comorbidity measure rather than the presence of the specific disease

Key Question 3. What is the association between these common syndromes/conditions and mortality, institutionalization, hospitalization, and activities of daily living?

Key Question 4. What statistical and decisionmaking models report mortality based on these common geriatric syndromes/conditions?

Focus of the Review

This review examines selected geriatric syndromes for prevalence and potential impact on various outcomes. We do not address the suitability of preventing these syndromes or altering their courses.

Multiple operational definitions of the syndromes presented a challenge to summarizing the research on their prevalence and predictive power. Frailty, especially, persists as an elusive concept, despite efforts at consensus conferences on the topic.¹⁸⁻²¹ Frailty may be viewed as a specific phenotype or as an index of deficit accumulations.^{22,23} However, despite problems of definition and measurement, frailty demonstrates a potent association with outcomes. Different indices derived from frailty measures have shown association with adverse events.²⁴ Likewise, increasing frailty is typically associated with adverse events.²⁵ Frailty and related components (such as ADL dependency, delirium, malnutrition risk, and comorbidity) are linked to increased mortality risk.²⁶ More deficit accumulation is associated with worse outcomes.¹³ Frailty predicts mortality even after consideration of the effects of clinical and subclinical disease.²⁷ Frailty's predictive capacity also seems to hold up among various populations in different countries.²⁸

Syndromes are also not independent; definitions and prevalence estimates overlap considerably. For example, sarcopenia is associated with frailty, but some view the former as a dimension of the latter.²⁹⁻³¹ Frailty is associated with comorbidity and disability, although efforts to distinguish the latter emphasize frailty's multisystem dysfunction and instability.^{32,33} Various geriatric conditions (such as cognitive impairment, falls, and ADL dependency) are associated with disability.³⁴ Polypharmacy may indicate multiple morbidities, but overzealous prescription may also be a factor.³⁵ Research suggests that inflammatory cytokines play a substantial role in age-related disease.³⁶ Thus, separating the syndromes presents another challenge.

This report was commissioned by the U.S. Preventive Services Task Force (USPSTF) as background material to help them understand the impact of geriatric syndromes on well-being. The USPSTF opted not to consider disease as a risk factor. Our review does not address the suitability of preventing the examined syndromes or altering their courses.

The Technical Expert Panel (TEP) selected geriatric syndromes (but not diseases) for this review according to how much each syndrome would affect the enthusiasm of clinicians for recommending prevention strategies. We addressed the eight syndromes that were most highly rated.

We included original epidemiologic studies that examined prevalence of the eligible syndromes in adults older than age 65 years. We defined young-old as ages 65–80 years, elderly as ages 80–90 years, and very old as ages 90 years and older. We defined age categories the same as they were defined in the original studies.

We retrieved 2,377 publications and excluded 1,865 that were not eligible for review. We included 509 publications of 123 studies. The majority of the studies were well designed prospective cohorts or national surveys conducted in the United States, including the National Health and Nutrition Examination Survey (NHANES), the National Health Interview Survey, and the National Survey of Self-Care and Aging (76 studies, 62 percent).

Chapter 2. Methods

Our analytical framework includes target population, syndromes, and patient mortality, morbidity, disability, and institutionalization. Our conceptual model (Figure 5) outlines the pathways from the development of the syndromes to patient outcomes, including health care interventions related to screening and prevention. However, health care interventions were beyond the scope of this project.

Figure 5 also provides information about the following research questions:

Key Question 1. What is the prevalence of syndromes?

Key Question 2. What is the epidemiology of syndromes?

Key Question 3. What is the association between syndromes and patient outcomes?

Key Question 4. What models report mortality and morbidity in association with the syndromes?

Selection of Eligible Syndromes

The TEP selected geriatric syndromes for this review. We sent a list of 21 syndromes to nine TEP members, asking them to indicate the extent to which the presence of each syndrome in an older person would affect their enthusiasm for recommending each of four prevention strategies. Prevention strategies included simple (e.g., immunization) and complex (e.g., weight loss program) primary prevention or simple (e.g., visual screening) and complex (e.g., colonoscopy) secondary prevention. The TEP used 0 to indicate no effect, 1 to indicate a very mild effect, and 9 to indicate a very strong effect. We collected responses and calculated the mean score for each syndrome. For this review we selected syndromes with a mean score >4. The TEP members responded (eight responses) that presence of each syndrome in an older person would not greatly affect their enthusiasm for recommending simple primary or secondary prevention (mean scores were <4). TEP members responded that eight syndromes would affect their decision about complex primary and secondary prevention in an elderly population (Figure 6). We defined these eight syndromes as eligible for this review.

Search Strategy

We sought studies from a wide variety of sources, including MEDLINE via Ovid and PubMed, Cochrane databases, manual searches of reference lists from systematic reviews and other relevant publications, and the Centers for Disease Control and Prevention (CDC) Web site that lists all publications from the Longitudinal Study of Aging. The search strategies for the three research questions are described in Appendix A. Exact search strategies were developed through consultation with qualified librarians. We developed a priori search strategies based on relevant medical subject headings terms, text words, and weighted word frequency algorithms to identify related articles. We documented each recommended, included, and excluded study in the reference library. We limited our search to studies published in English from January 1, 1990 to April 25, 2010.

Excluded references are shown in Appendix B. All work was conducted under the guidance of a

TEP, whose members are identified in Appendix C.

Eligibility

We included studies that were original epidemiologic population-based surveys and cohorts and well designed systematic reviews and meta-analyses published in English from January 1, 1990 to April 25, 2010. The studies had to report the prevalence or incidence of the eligible geriatric syndromes or the association geriatric syndromes had with frailty, disability, or mortality. The study sample had to include community-dwelling adults ages 65 years or older. We defined young-old as ages 65–80 years, elderly as ages 80–90 years, and very old as ages 90 years and older. We also used the same definitions of age categories used in the original studies. We reviewed results from the Survey of Income and Program Participation, the National Long-Term Care Survey, and the Medicare Current Beneficiary Survey.

Exclusion Criteria

Studies were excluded from the review if any of the following conditions were met:

- Study participants are in a hospital or long-term care facility setting.
- Study participants were recruited in hospital settings and followed after discharge.
- Study participants are a disease-specific population (i.e., all participants have congestive heart failure).
- Study does not report prevalence of the syndromes or the relative measures of the association with outcomes.
- Study reports the mean value of a continuous measure of the geriatric syndrome (i.e., muscle strength, blood levels of biomarkers, scores of cognitive function or functional decline) rather than prevalence of the syndromes.
- Study is an intervention to prevent eligible syndromes and/or progression of such.
- Study is a screening intervention to reduce morbidity and mortality.
- Study assesses the cost-effectiveness of screening and prevention strategies.
- Study reports diagnostic values and psychometric evaluations of geriatric assessment tools.

For key question 3, we included statistical and decisionmaking models that report mortality based on geriatric syndromes. We used the Social Security Administration's 2007 Period Life Table (available at http://www.ssa.gov/OACT/STATS/table4c6.html) to estimate life expectancy for participants with eligible syndromes when compared to the general population. We excluded articles that described methods for quantifying frailty, calibration of depression symptoms, and methods differing in handling survival data.

Data Extraction

Evaluations of the studies and data extraction were performed manually and independently by five researchers. The data abstraction forms are shown in Appendix D. We abstracted the information relevant to the PICOTS (population, intervention, comparator, outcomes, time, and settings) framework for each question (Table 4). Errors in data extractions were assessed by comparison with the established ranges for each variable and the data charts from the original

articles. Any discrepancies were detected and discussed without formal double entry or statistical evaluation of inter-rater reliability. We abstracted exact definitions of the outcomes from the studies. We analyzed sampling strategies and inclusion of disabled or institutionalized participants in the primary studies. We abstracted the sample size and prevalence of the syndromes to calculate 95 percent confidence intervals of the prevalence using Meta-Analyst software (Tufts Medical Center, Boston, MA).²⁰⁰ We abstracted adjusted relative measures of the association between syndromes and outcomes with 95 percent confidence intervals, descriptive information about populations, definitions of the syndromes, outcomes, and time to measure outcomes. We abstracted all variables that were included in multivariate adjusted models.

Data Synthesis

For key question 1, results of individual studies (expressed as crude and age-adjusted prevalence estimates) were summarized in evidence tables to analyze prevalence, depending on the definitions of the syndrome. We categorized operational definitions of the syndromes as:

- Abnormal categories of individual biomarkers or diagnostic tests.
- Composite measures of the same syndrome.
- Composite measures of more than one syndrome (e.g., malnutrition and chronic inflammation).

We synthesized the evidence regarding homeostenosis, chronic inflammation, and malnutrition following definitions from the guidelines (Table 5) and from the original studies. We defined homeostenosis as homeostatic dysregulation.²⁰¹ We categorized generally similar cutoffs of anthropometric and diagnostic tests as well as biomarkers into the same groups. For example, we categorized the studies with increased C-reactive protein (CRP) levels of >2.8mg/L,¹⁷⁴ >3 mg/L,^{87,177} or in the highest quartile^{124,202} into one group of chronic inflammation.

We defined comorbidity and multimorbidity according to the National Institute on Aging Task Force on Comorbidity.²⁰³ Comorbidity was defined as co-occurrence of preexisting age-related health conditions or diseases *in reference to an index disease*. Multimorbidity was defined as the co-occurrence of two or more diseases or active health conditions (e.g., *aggregate of coequals*) that may or may not be linked by a causal relationship or with no consistent dominant index disorder. Both definitions ignore severity of the diseases or conditions and quality of health care managing the diseases. We analyzed previously validated composite comorbidity weighted indices that take into account the number and seriousness of comorbid diseases.²⁰⁴ We analyzed the prevalence of polypharmacy because it reflects comorbidity and treatment utilization.^{205,206} We analyzed poor self-perceived health in relation to mortality because it reflects morbidity and well-being.^{15,207} We focused on poor self-reported health because this category has been associated with health problems and physical functioning in older individuals.²⁰⁸

We used the framework proposed by the Interventions on Frailty Working Group to identify criteria of frailty in epidemiologic studies (Table 6).²⁰⁹ We categorized the definitions of frailty into two groups: phenotype and accumulation of deficits. When the studies accepted the biologic syndrome model of frailty, with five major criteria, including weight loss, fatigue and exhaustion, weakness, low physical activity and slowness, and mobility impairment, we categorized the estimates into phenotype definitions of frailty.²³ When the studies accepted the

burden model of frailty, including symptoms, diseases, conditions, and disability, we categorized the estimates into the accumulation of deficits definition of frailty.²²

We synthesized the evidence about disability using two operational definitions: measures of basic activities of daily living (BADLs) and instrumental activities of daily living (IADLs). We defined BADL disability as difficulty with or requiring help with any number of the following activities: dressing/hygiene, bathing, toileting, transferring, ambulating, feeding, and grooming. We defined IADL disability as having difficulty with or needing help with using the telephone, shopping, preparing meals, housekeeping, transportation, medication management, and financial management. Since each study used a different combination of items or scoring system to define BADL or ADL disability, a new set of categorical definitions was created to organize and compare the study findings on disability prevalence and incidence. The new categorical definitions represent a hierarchy of disability; for example, BADL disabilities are more life limiting than IADL disabilities. For categorical operational definitions of IADLs, the indicators "any," "moderate," or "severe" represent severity of a given type of IADL disability. For example, studies that used a cutoff score of one or more BADL present were labeled as "any BADL disability." Moderate IADL disability was designated when the study indicated that one or two items were used to define disability. Severe disability was designated if three or more items were used to define disability. Some studies used a continuous measure of IADL disability, and these study results are reported separately from categorical definitions. A few studies used a measure that combined BADL and IADL disability, which are also reported separately. Table 7 summarizes how ADL disability definitions were recategorized.

Results from studies with the same operational definition of the geriatric syndrome were pooled to estimate prevalence and incidence.²¹⁰ Meta-analysis was used to assess the consistency of the association between syndromes and outcomes with random effects models.²⁰⁰ Chi-square tests were used to assess consistency in study results.^{211,212} Significant heterogeneity means that estimates of prevalence and association were not consistent in the studies (not replicable results). We used Stata 10.1 software (StataCorp, College Station, TX) to calculate pooled prevalence and association estimates with random effects models. All calculations were conducted at a 95 percent confidence level.

We synthesized the evidence in the total samples and then in age, race, and gender categories when possible. We synthesized the evidence answering the research question about prevalence of all syndromes and then the association with morbidity, mortality, and health care utilization.

For key question 3, we used published criteria to appraise cost-effectiveness models²¹³ and criteria from the *British Medical Journal* economic submissions checklist.²¹⁴ We also estimated the number of deaths among 1,000 older persons with each syndrome using calculations based on the simulation algorithm.¹⁹⁵ We calculated population attributable risk of mortality or institutionalization using prevalence and risk estimates from pooled analyses when available or from individual studies.²¹⁵ We estimated remaining life expectancy for those with each syndrome from CDC United States Life Tables (available at

<u>http://www.cdc.gov/nchs/data/nvsr/nvsr56/nvsr56_09.pdf</u>) and relative risks of all cause mortality in older populations with each syndrome. Life expectancy was estimated as the area under the survival curve. In such estimations we could not address the length of having

syndromes, interaction with other syndromes, and health care interventions. We used the mortality rates of the general population, which also contains people at risk of the syndromes. When available in the studies, sex and race specific regression coefficients were applied.

Quality Assessment

We included original epidemiologic studies that employed strategies to reduce bias in observational research. We evaluated quality of individual studies and level of evidence using the following USPSTF criteria.²¹⁶

- 1. Do the studies have the appropriate research design to answer the key question(s)?
- 2. To what extent are the existing studies of high quality (i.e., what is the internal validity)?
- 3. To what extent are the results of the studies generalizable to the general U.S. primary care population and situation (i.e., what is the external validity)?
- 4. How many studies have been conducted that address the key question(s)? How large are the studies (i.e., what is the precision of the evidence)?
- 5. How consistent are the results across the studies?
- 6. Are there additional factors that assist in drawing conclusions (e.g., presence or absence of dose-response effects, fit within a biologic model)?

We defined the nationally representative population based surveys and prospective cohort studies having the highest applicability.

Rating the Body of Evidence

We assessed study quality and strength of evidence using guidelines from the Agency for Healthcare Research and Quality.²¹⁷ The strength of evidence was judged according to the domains of risk of bias, consistency, and precision for each major outcome.²¹⁷ When appropriate, presence of confounders that would diminish an observed effect and strength of association were also included. We graded the quality of evidence as follows:

Grade	Definition
High	High confidence that the evidence reflects the true effect. Further research is very unlikely to change confidence in the estimate of effect.
Moderate	Moderate confidence that the evidence reflects the true effect. Further research may change confidence in the estimate of effect and may change the estimate.
Low	Low confidence that the evidence reflects the true effect. Further research is likely to change confidence in the estimate of effect and is likely to change the estimate.
Insufficient	Evidence either is unavailable or does not permit a conclusion.

Chapter 3. Results

We retrieved 2,377 publications and excluded 1,865 that were ineligible for review (Figure 7). We included 509 publications of 123 studies. Results from the same studies have been published in more than one article. The articles could provide slightly different information about included and excluded subjects. For key questions 2 through 4 we identified four meta-analyses^{79,93,97,233} and 119 original studies (Appendix E Table 1). The majority of the studies were conducted in the United States (76 studies, 62 percent). The studies included 5,420,254 subjects. The majority of the subjects resided in the United States (5,287,278 or 98 percent of the total subjects). The majority of the studies were prospective cohorts, nationally representative surveys, or Medicare analyses. Retrospective analyses of the administrative data were presented in two studies.^{101,127} Randomized controlled clinical trials (RCTs) provided information relevant to our research questions and were included in the review.^{42,153} The majority of eligible studies enrolled adults older than age 65 years. Several cohorts enrolled centenarians, including the Danish Centenarian Study,¹⁷⁶ the Vitality 90+ Study,¹⁷⁹ and the 90+ Study.⁹¹ Two cohort studies, including the Baltimore Epidemiologic Catchment Area Program⁴⁰ and the Framingham Offspring Study,²³⁴ did not enroll exclusively older individuals but reported the outcomes in age categories eligible for our review. Three studies enrolled men exclusively, including the Osteoporotic Fractures in Men Study,¹¹³ the Honolulu-Asia Aging Study of the Honolulu Heart Program,²³⁵ and the University of Connecticut Center on Aging Osteoporosis in Men Study.¹¹² Some studies enrolled women exclusively, including the Nun Study,²³⁶ the Study of Osteoporotic Fractures,²³⁷ and the Women's Health and Aging Studies.^{89,117,160,166,169,238-246}

Almost all studies enrolled some race and ethnic subgroups, but very few reported the outcomes among them. NHANES III,²⁴⁷ the National Health Interview Survey,¹²¹ and the Cardiovascular Health Study (CHS)⁸⁷ reported oversampling of minority populations. The Frailty Study of African Americans in South Central Los Angeles enrolled only African Americans.¹⁰⁶ The National Survey of Self-Care and Aging^{248,249} oversampled the oldest old. The Precipitating Events Project¹⁰⁷ oversampled persons who were physically frail. The majority of the eligible studies enrolled community-residing older persons. Several studies included residents of long-term care facilities.^{44,58,61,93,97,106,154,176,179,219,250-253}

Internal validity of the majority of the studies was good. The studies provided multivariate adjusted estimates of the association between syndromes and outcomes. Adjustment varied across the studies. The majority of the studies adjusted for age, sex, socioeconomic status, comorbidities, and behavioral factors. Some studies adjusted for correlated syndromes, thus underestimating the strength of the association. Adjustment for components of the definition of frailty may also underestimate the association between frailty and mortality. Adjustment for a disability that is an outcome of several syndromes may also underestimate the association between syndromes and mortality. Therefore, we judged crude but not overadjusted estimates as poor quality.

Key Question 1. What is the Definition and Prevalence of Common Syndromes/Conditions in Older Adults?

Multiple Morbidities

The studies used a variety of definitions for multiple morbidities, including number of chronic diseases or conditions, high comorbidity score, polypharmacy, and self-perceived poor health. Prevalence estimates varied depending on definitions (Table 8).

A majority of older people suffered from chronic diseases;²⁵⁴ 28 to 37 percent had more than three chronic conditions (Figure 8). Prevalence did not decrease in association with a greater number of diagnoses. More than 20 percent of older persons suffered from five or more chronic diseases, and the same number suffered from 11 or more (Appendix E Table 2).¹⁵² Population-based studies relied on self-reported medical diagnoses to define older people with multiple morbidities. The simple number of the self-reported diseases, however, may not reflect severity of the conditions.

Studies of community-dwelling older adults in clinical settings defined multimorbid conditions using medical examinations and documentation. Such studies were able to address clinical importance of multiple morbidities. Some analyzed severity and seriousness of multiple morbidities by analyzing major diagnoses among all listed; others calculated the weighted Charlson comorbidity index (Appendix E Table 2). Prevalence estimates differ depending on the population studied. A prospective cohort study of community-dwelling older adults attending a large primary care clinic reported that 9.3 percent had two or more serious diagnoses among six to nine listed.²⁵⁵ A prospective study that aimed to develop the Burden of Illness Score for Elderly Persons reported that 61 to 71 percent of patients had a Charlson comorbidity index score of ≥ 2 .⁴ The Swedish Prescribed Drug Register analysis reported that 25 percent of older adults had a Charlson comorbidity index score of 1–2, 3 percent had a score of 3–4, and 0.4 percent had a score of >5.²⁰⁵

Several studies defined multiple comorbidity as polypharmacy because it reflected prevalence of diseases that required treatments.^{205,206} The prevalence of polypharmacy (defined as concurrent use of five or more drugs) varied from 22 percent in the United States¹⁵² to 29 percent in Europe²⁵⁶ and 57 percent in Sweden²⁰⁵ (Appendix E Table 3). Polypharmacy was also defined as the number of doctor visits in which at least one drug was prescribed on the patient record. Annual drug visits increased from an average of three per older patient in 1995–1996 to five per older patient in 2004–2005 (Figure 9). The number of drugs per patient increased from nine to 12 in 1995–1996 to 19–22 in 2004–2005 (Figure 10). The annual number of drug visits increased by 25–29 percent during the analyzed 10 years from 1995–2005 (Figure 11). During the same time period, the number of drugs per patient increased by 85–89 percent (Figure 11). Almost 18 percent of older people have taken more than 11 drugs.²⁰⁵ Prevalence of inappropriate polypharmacy, defined according to the Physicians' Desk Reference, was as high as 34.6 percent in a study of more than 60,000 older patients.²⁰⁵

Poor self-perceived health reflected a person's morbidity, physical functioning, and well-

being.^{15,207,208} The prevalence of fair health in older people was 28.24 percent (Figure 12).^{14,103,109,250} Poor health was reported by 3 percent of older persons.^{14,103,109,250}

In conclusion, more than 20 percent of older persons suffer from multiple chronic conditions. One-third to one-half take more than five drugs, with inappropriate polypharmacy in 35 percent of cases. One-third of older people report fair or poor health.

Cognitive Impairment

Definitions of cognitive impairment varied in the studies categorizing pathophysiological classification of the condition, prevalence, or association estimates (Table 9).⁵⁰ Some studies defined age-associated cognitive decline²⁵⁷ as part of the normal aging process.^{50,258} Several studies defined it as memory impairment and absence of dementia²⁵⁹ or absence of neurological, psychiatric, or systemic illnesses that could explain the presence of cognitive deficits.⁹⁰ The definitions can also be categorized as self-reported memory complaints⁵⁰ or memory impairment diagnosed with validated tools.^{37,38,90} Operational definitions varied depending on inclusion of impaired ADLs and social functions. Some studies defined cognitive decline as objective memory deficit resulting in decreased performance in employment or social situations.^{38,260} The most common definition of cognitive impairment, normal general cognitive function, and intact cognitive ADLs and IADLs.^{37,38} Prevalence estimates varied substantially depending on the definitions.

The Medical Research Council Cognitive Function and Aging Study used 17 different definitions of cognitive impairment in the same sample of 2,053 older people (Appendix E Table 4).⁵⁰ Prevalence estimates varied from 42 percent having self-reported memory complaints to less than 1 percent having mild or moderate cognitive impairment or questionable dementia.⁵⁰ Interestingly, the authors found little overlap (concordance from 0 to 24 percent) in different definitions of cognitive impairment. First, this means that each definition identified a unique group of older people. Therefore, prevalence estimation of cognitive impairment in the population requires using several definitions to distinguish different types of impairment. Second, overall prevalence of cognitive impairment without dementia may exceed half of older individuals residing in the community.⁵⁰

Prevalence of cognitive impairment in other studies was lower, probably because the studies used fewer definitions (Appendix E Table 5).From the individual studies, the Canadian Study of Health and Aging reported the highest prevalence of positivity on the Modified Mini-Mental State Examination (3MSE) for cognitive functioning (41.0²⁵⁰ to 46.3 percent⁹⁵ had a 3MSE score of <78). The CHS Cognition Study demonstrated a much lower prevalence of amnestic cognitive impairment (Figure 13).⁹⁰ Prevalence of probable mild cognitive impairment-amnestic type was less than 3 percent.⁹⁰ Prevalence of probable mild cognitive impairment-multiple cognitive deficit type was slightly higher but still less than 6 percent.⁹⁰ NHANES III found prevalence of amnestic cognitive impairment (6.6 percent) similar to that found in CHS.²⁴⁷

Prevalence varied depending on the diagnostic methods used to identify cognitive impairment. Prevalence of cognitive impairment (defined as a score of <24 on the Mini-Mental State Examination [MMSE]) varied from 10.6 to 33.1 percent (Figure 14).^{14,39-45} Prevalence of severe cognitive impairment (defined as an MMSE score of <15) was lower and varied between 1.3 and 9.3 percent (Figure 15).^{39,44,261} The second Longitudinal Study of Aging obtained self-reported responses to the Telephone Interview of Cognitive Status (TICS) instrument.⁴⁹ This study found cognitive impairment in 2.8 to 13.2 percent of older individuals (Figure 16).⁴⁹ Studies of the Established Populations for Epidemiologic Studies of the Elderly used the 10-item Short Portable Mental Status Questionnaire and demonstrated that, on average, 7.1 percent of those tested met criteria of cognitive impairment (Figure 17).⁴⁶⁻⁴⁸

Prevalence of dementia was less variable across the studies because of standardized consensus definitions (Figure 18).²⁶² Prevalence of senile dementia diagnosed using *Diagnostic and Statistical Manual of Mental Disorders, Third Edition* criteria varied from 3.6 percent in France to 6.7 percent in Finland.²⁶² Prevalence of senile dementia diagnosed using the Geriatric Mental Status Examination in England was 4.6 to 5.2 percent.²⁶² Prevalence of probable Alzheimer's disease in older people was 14 percent (Figure 19).^{92,93} Prevalence of definitive Alzheimer's disease was much less at 3.1 percent.^{92,93} The Canadian Study of Health and Aging reported the highest prevalence, with 31.6 percent scoring positive for dementia on the 3MSE (Appendix E Table 6).⁹⁵ The same study identified 5.1 percent of older patients with Alzheimer's disease and 8 percent with all types of dementia combined.⁹⁵ Prevalence of severe dementia was 2.6 percent.⁹⁵ or 42 percent of all dementia cases.²⁶³

In conclusion, prevalence of cognitive impairment is estimated at around 30 percent in older people across different studies and definitions. Prevalence of amnestic cognitive impairment was around 7 percent. Prevalence of dementia did not exceed 8 percent in elderly persons older than age 65 years, but this estimate can be misleading because of substantial variation in prevalence across age subgroups.

Frailty

Definitions of frailty vary widely, and the nature of the definition affects the prevalence of reported frailty. Table 10 arrays a number of studies on frailty in order of the reported prevalence and shows the components used to determine frailty. There is no clear relationship between the number or type of components and the prevalence of frailty. Accumulation deficit indices included up to 75 components. Phenotype indices typically included five criteria. Self-reported mobility limitations were included in the phenotype definition. Disability in ADLs was included in the accumulation deficit definition. Separation of the two types of definitions and estimation of disability in frail persons was somewhat artificial.

Table 11 summarizes these arrays slightly differently, by showing the effect of including a specific component on the prevalence of frailty. Lung function, chronic or terminal illness, visual or cognitive impairment, and incontinence are all strong influences.

Another way of synthesizing this complex array is to contrast the measures that use various phenotypic measures, such as low physical activity or fatigue, as compared to specific deficits. Figure 20 shows the odds of having a frailty prevalence higher than 20 percent for these two types of indicators. The studies that used accumulation deficit components reported higher
prevalence estimates more often. Figure 21 graphs the prevalence of frailty from various studies according to the type of measure used; again, the prevalence is higher when using accumulation of deficits.^{23,101,103,104,107,109,111,115,229,264}

The initial manifestations of frailty criteria in association to the development of frailty was examined in the Women's Health and Aging Study II.²⁶⁵ Weakness was the most common first manifestation that could predict development of frailty during 7.5 years of followup.²⁶⁵ Other studies did not analyze temporality in different frailty criteria.

Variability in prevalence estimates was substantial within the same study and across different studies. The Health and Retirement Study examined prevalence of frailty using different definitions, including phenotype and accumulation deficit, and found that 30 percent of older people were frail according to at least one definition, but only 3 percent according to all three definitions (Figure 22).¹⁰⁴ Such a small overlap indicates that each definition distinguished unique groups, and population-based studies should use all definitions to detect frailty.

Appendix E Table 7 summarizes the prevalence rates from various studies, showing the measure used. The same study may generate a different prevalence depending on the measure used or severity of the condition (Appendix E Table 8).

In conclusion, prevalence of frailty varied depending on definitions. Prevalence of frailty, defined as accumulation deficit, was 24 percent. Prevalence of frailty, defined as phenotype, was 14 percent. The overlap in definitions was small. Thus, according to at least one definition, around 30 percent of older people were frail.

Disability

Definition and prevalence of BADL disability in older people.

Any BADL disability. The prevalence of any BADL disability was reported in 12 studies (Table 12).^{41,53,55-64} The percentage of older people with any BADL disability ranged from 5.0 to 25.6 percent (Appendix E Table 9). The prevalence was lowest (5.0 to 9.1 percent) in national surveys that included people of all ages, but reported those aged 65 years and older separately (i.e., the National Health Interview Survey,⁵⁸ the American Community Survey,⁶⁰ the Survey on Income and Program Participation,⁵⁸ and the Census Public Use Microdata Files⁶²), higher (11.0 to 18.3 percent) in studies exclusively targeting older adults (i.e., Established Populations for Epidemiologic Studies of the Elderly,^{41,57,64} Longitudinal Studies of Aging^{56,63}), and highest (13.9 to 25.6 percent) in studies targeting the oldest of the old (i.e., Survey of Assets and Health Dynamics of the Oldest Old,⁵⁵ CHS All Stars⁵⁹) (Figure 23).

Moderate BADL disability. The prevalence of moderate BADL disability (defined as having one to two BADL disabilities) was reported in two studies, and ranged from 16.1 to 20.5 percent (Figure 24).^{5,118}

Severe BADL disability. The lowest rates were reported for severe BADL disability, which ranged from 6.0 to 7.8 percent^{5,118} when it was measured as having three to four BADL

disabilities and 4.8 percent⁵ when it was measured as having five to seven BADL disabilities (Figure 24).

Bathing disability. The percentage of older people with bathing disabilities ranged from 3.9 to 9.0 percent (Figure 25).^{53,55,65,122,248} In the Massachusetts Health Care Panel Study, the percentage of older adults who had a bathing disability increased from 3.9 to 14.7 percent over 5 years.⁶⁵

Dressing/hygiene disability. The percentage of older people with dressing or hygiene disabilities ranged from 0.8 to 11.0 percent (Figure 26).^{53,55,65,120,248} In the Massachusetts Health Care Panel Study, the percentage of older adults who had a dressing disability increased from 0.8 to 9.3 percent over 5 years.⁶⁵

Eating disability. The percentage of older people with eating disabilities ranged from 0.4 to 4.5 percent (Figure 27).^{53,55,58,65,119,120,248} In the Massachusetts Health Care Panel Study, the percentage of older adults who had an eating disability increased from 0.4 to 2.2 percent over 5 years.⁶⁵

Toileting disability. The percentage of older people with toileting disabilities ranged from 2.4 to 6.0 percent (Figure 28).^{53,55,58}

Transferring disability. The percentage of older people with transferring disabilities ranged from 0.4 to 21.1 percent (Figure 28).^{53,55,58,65,120} In the Massachusetts Health Care Panel Study, the percentage of older adults who had a transferring disability increased from 0.4 to 5.8 percent over 5 years.⁶⁵

Walking disability. Between 0.8 and 27.3 percent of older people reported walking disabilities (Figure 28).^{53,55,65,120} In the Massachusetts Health Care Panel Study, the percentage of older adults who had a walking disability increased from 0.8 to 7.7 percent over 5 years.⁶⁵

Summary. The prevalence of any BADL disability was quite variable and depended on the target population of the study. It was lower in national surveys and highest in studies targeting the oldest old. Moderate BADL disability was the most common, and severe BADL disability was the least common. In terms of individual BADL disabilities, the hierarchy of most to least common disability was walking, bathing, dressing, transferring, toileting, and eating (Table 12). Over a period of 5 years, more older people developed individual BADL disabilities (Table 13).

Definition and prevalence of IADL disability in older people.

Any IADL disability. The percentage of older people reporting any IADL disability ranged from 12.0 to 46.7 percent (Figure 29 and Table 14).^{53-57,266}

Moderate IADL disability. The percentage of older people reporting a moderate IADL disability ranged from 7.2 to 31.0 percent (Figure 29).^{5,248,267}

Severe IADL disability. The percentage of older people reporting a severe IADL disability

ranged from 4.5 to 21.2 percent (Figure 29).^{5,54,267} The prevalence was lowest when severe IADL disability was defined as having difficulty with four or more IADLs (4.5 to 5.7 percent)^{5,54} and higher when it was defined as having difficulty with three or more IADLs (6.2 to 21.2 percent) (Appendix E Table 10).^{5,267}

Financial disability. The percentage of older people reporting a financial disability ranged from 8.0 to 19.3 percent (Figure 30).^{53,55,65} The percentage of older people with difficulty managing finances increased from 19.3 to 36.7 percent over a 5-year period in the Massachusetts Health Care Panel Study.⁶⁵

Housekeeping disability. The percentage of older people reporting a housekeeping disability ranged from 7.5 to 35.8 percent (Figure 30).^{65,122} The percentage of older people with difficulty doing housework increased from 35.8 to 48.0 percent over a 5-year period in the Massachusetts Health Care Panel Study.⁶⁵

Meal preparation disability. The percentage of older people reporting difficulty with meal preparation ranged from 7.1 to 32.4 percent (Figure 30).^{53,65,119} The percentage of older people with difficulty preparing meals increased from 32.4 to 34.1 percent over a 5-year period in the Massachusetts Health Care Panel Study.⁶⁵

Medication management disability. The percentage of older people reporting difficulty with medication management ranged from 3.0 to 4.7 percent (Figure 30).^{53,55}

Shopping disability. The percentage of older people reporting difficulty with shopping ranged from 11.0 to 32.9 percent (Figure 30).^{53,65,119} The percentage of older people with difficulty shopping increased from 32.9 to 41.3 percent over a 5-year period in the Massachusetts Health Care Panel Study.⁶⁵

Telephone disability. The percentage of older people reporting difficulty using the telephone ranged from 4 to 6 percent (Figure 31).^{53,55}

Transportation disability. The percentage of older people requiring assistance with transportation increased from 54.3 to 67.6 percent over a 5-year period in the Massachusetts Health Care Panel Study (Figure 31).⁶⁵

Summary. Prevalence was highest when any IADL disability was reported, lower when moderate IADL disability was reported, and lowest for severe IADL disability. The order of individual IADL disabilities from most to least common was needing assistance with or having difficulty with driving, housekeeping, personal finances, shopping, meal preparation, using the telephone, and medication management. Over a 5-year period the percentage of older people with IADL disabilities increased (Table 15).

Sarcopenia

Sarcopenia was defined as a loss of skeletal muscle mass owing to any disease or condition.⁶⁶ Operational definitions were based on lean body mass relative to skeletal size and total body

mass.⁶⁶ Relative indices were calculated using dual energy x-ray absorptiometry. Sarcopenia was defined as index values of less than 2 standard deviations below the sex-specific mean in a healthy, younger population.^{67,68} Using this definition, sarcopenia was identified when relative skeletal muscle index or appendicular skeletal muscle mass index (kg/m²) was less than 7.3 kg/m² for men and 5.5 kg/m² for women.^{67,68} The recently published recommendations from the European Working Group on Sarcopenia in Older People defined sarcopenia as the presence of both low muscle mass and low muscle function (strength or performance).⁶⁹

The Rosseta study,⁶⁷ Aging Process Study,²⁶⁸ and the New Mexico Elder Health Survey⁶⁸ defined sarcopenia using a relative skeletal muscle index. This definition, however, does not take into account individuals with increased fat mass who will not be diagnosed with sarcopenia despite inadequate low muscle mass. The investigators of the Health Aging and Body Composition (Health ABC) Study proposed adjusting relative skeletal muscle indices for body fat mass and height to determine the expected total lean mass.¹²³ Total lean mass was measured relative to height squared and relative to height and total fat mass.¹²³ Sarcopenia was defined as lean mass/height² in the lowest 20 percent of the sex-specific distribution of the index using cut-off points of 7.2 kg/m² (men) and 5.7 kg/m² (women).¹²³ A second definition of sarcopenia was based on linear regression modeling the relationship between lean mass and height (meters) and fat mass (kilograms). Individuals below the 20th percentile of the distribution of residuals were diagnosed with sarcopenia.¹²³

Prevalence estimates varied depending on definitions. The studies provided sex-specific estimates only. The New Mexico Elder Health Survey⁶⁸ reported sarcopenia in 13.5 percent of non-Hispanic white men younger than age 70 years and in 53 percent of Caucasian men older than age 80 years.⁶⁸ Prevalence of sarcopenia was slightly higher in Hispanic men, ranging from 17 percent in men younger than age 70 years to 58 percent in those older than age 80 years.⁶⁸ Prevalence of sarcopenia was greater in older women and varied from 23.1 percent in non-Hispanic white women to 24 percent in Hispanic women younger than age 70 years.⁶⁸ Hispanic women older than age 80 years had the highest prevalence of sarcopenia (60 percent).⁶⁸ The Health ABC Study found sarcopenia in 50.4 percent of men with a body mass index (BMI) of <25 kg/m² using a lean mass index and in 32.8 percent of men using definitions adjusted for fat mass (Figure 32).¹²³ The same study found sarcopenia in 51.9 percent of women with a BMI of <25 kg/m² using a lean mass index and in 23 percent of women using definitions adjusted for fat mass. Prevalence of sarcopenia using the residual method was identified in 11.5 percent of obese older men and in 21 percent of obese older women.¹²³ Using the unadjusted lean mass index, no obese individuals with relatively low lean mass were found to have sarcopenia.¹²³

In conclusion, prevalence of sarcopenia in older individuals varied from 14 to 60 percent, depending on age, sex, and ethnicity. Simple relative skeletal muscle index can underestimate sarcopenia in obese patients. Residual methods adjusting for fat mass may more effectively identify sarcopenia in overweight and obese patients.

Malnutrition

The studies defined malnutrition as abnormal categories of individual biomarkers or composite measures of poor nutritional status. Among individual anthropometric markers, unintended

weight loss⁷⁰⁻⁷² and low BMI^{46,72-76} defined individuals with malnutrition. Among biochemical markers, low blood albumin levels,^{73,75,77} anemia,^{77,78} and deficit of micronutrients⁷⁹⁻⁸¹ identified people with poor nutritional status. Several studies used composite nutritional scores based on self-reported dietary intake and habits to identify people with malnutrition.^{70,72,82-85} Prevalence estimates varied across the definitions.

Anthropometric definitions of malnutrition. Unexpected weight loss of more than 5 percent of baseline body weight was reported by 21 percent of individuals in the Danish part of a large survey of European older adults (Appendix E Table 11).⁷⁰ Low BMI was defined as <18.5 kg/m²,^{46,73,76,121} <19 kg/m²,⁷⁵ or <22 kg/m².⁷² The highest prevalence of malnutrition according to low BMI (15 percent) was found among older American veterans.⁷⁵ A European study found that 5.8 percent of older individuals had low BMI.⁷³ The prevalence of low BMI in older people from the general American population was as low as 2.3 percent.⁴⁶ The Geisinger Rural Aging Study did not find any rural older Americans with a BMI of <18.5 kg/m² (0 percent prevalence) (Appendix E Table 11).⁷⁶

Biochemical definitions of malnutrition. Low albumin levels were found in 3.1 percent of American veterans (Appendix E Table 11).⁷⁵ Prevalence was remarkably higher in studies that recruited older people in clinical settings. The Italian Group of Pharmacoepidemiology in the Elderly study found that 38.1 percent of individuals had albumin levels <35 g/L.⁷³ American studies developing the High-Risk Diagnoses for the Elderly Scale found that 24 to 49 percent of older patients in clinical settings had albumin levels <3.5 mg/dL.⁴

A micronutrient deficit was more common in older Europeans (18.2 percent) than in older Americans (6.4 percent) (Appendix E Table 12).⁷⁹ On average, 5.3 percent of older people had a folate deficit (Figure 33).^{80,81} Older Europeans also had a higher prevalence of vitamin B12 deficiency (11.7 percent) compared to Americans (5.4 percent).⁷⁹ On average, 7.5 percent had a vitamin B12 deficiency (Figure 33). Prevalence of iron deficiency was similar in older Europeans (6.1 percent) and Americans (5.6 percent) (Appendix E Table 12).⁷⁹ On average, 4.7 percent of older adults had an iron deficiency (Figure 33). Prevalence of vitamin D insufficiency was the same (36.7 percent) in older Europeans⁸⁰ and Americans.⁸¹ On average, 9.9 percent of older adults had a vitamin D deficiency (Figure 33).

Composite nutritional score. Prevalence of malnutrition in older adults varied from 1 percent⁸² in eight European countries to 5 percent in Australia (Appendix E Table 13).⁸³ According to the Nutrition Screening Initiative, 21 percent of older adults in the United States had a high nutritional risk.⁸⁴ Half of the American older adults with poor perceived health were at high risk of malnutrition.⁸⁴ Overall, 33 percent of older individuals had a high nutritional risk (Figure 34).^{70,72,82-85}

In conclusion, the prevalence of malnutrition depended on definitions. The chance of having low BMI was less than 3 percent, around 6 to 10 percent for having a vitamin and micronutrient deficit, and 1 to 5 percent for having a low composite nutritional score. The prevalence of low BMI and blood albumin level was highest in older American veterans.⁷⁵

Homeostenosis (Impaired Homeostasis)

Very few studies examined the prevalence of impaired homeostasis (Appendix E Table 14). NHANES I and II defined impaired homeostasis in older persons using an allostatic load score.⁸⁶ The total score was based on elevated CRP level of >0.5 mg/dL, low albumin level of <4.5 g/dL, creatinine clearance of <78.5 mL/min/1.73 m², and increased blood pressure, hemoglobin A_{1C}, homocysteine, total cholesterol, and triglycerides. The surveys found that 1.4 percent of the elderly population in the United States had an allostatic load score of >4.⁸⁶ The Duke Established Populations for Epidemiologic Studies of the Elderly analyzed plasma tonicity as a marker of impaired homeostasis that can predict frailty and disability.⁴⁶ Plasma tonicity was estimated from plasma glucose, sodium, and potassium measures and was used to classify subjects as normotonic (285–294 mOsm/L) or hypertonic (>300 mOsm/L). This study found that 10 percent of individuals had increased plasma tonicity.

In conclusion, existing evidence was insufficient to estimate the prevalence of homeostenosis.

Chronic Inflammation

Few studies provided prevalence of unspecified chronic inflammation (Appendix E Figure 1).^{87,88,146} CHS found that 24.4 percent of older adults had elevated CRP.⁸⁷ The Health ABC Study reported that 5 percent of older adults had elevated IL6 and 5 percent had elevated tumor necrosis factor-alpha.⁸⁸ The Swedish NONA Immune Study demonstrated that 15.3 percent of all older adults and 18.4 percent of frail older persons had an inverted CD4/CD8 ratio.¹⁴⁶

In conclusion, existing evidence was insufficient to estimate a prevalence of chronic inflammation.

Key Question 2. What is the Prevalence of Common Syndromes/Conditions in Older Adults in Sex, Age, Race, Ethnicity, and Other Subgroups?

Multiple Morbidities

Prevalence of three or more chronic diseases increased from 28 percent in adults ages 65–74 years to 37 percent in adults older than age 75 years (Figure 8). Older persons living below the poverty level had a higher prevalence of multiple morbidities. The prevalence of polypharmacy also increased from 50 percent in adults ages 75–79 years to 63 percent in those older than age 85 years (Table 16).²⁰⁵

Prevalence of comorbidities in older men varied from 16 percent having two chronic diseases to 5 percent having four to six chronic diseases (Appendix E Table 2).¹²⁵ More than one-third of older men took more than five drugs,⁹⁴ while 16 percent took more than 11 drugs (Appendix E Table 3).²⁰⁵ On average, an older man took 9.6 to 12 drugs in 1995–1996 but 18 to 21 drugs in 2004–2005 (Figure 10). The increase in annual drug visits (35 percent) and drugs taken (102 percent) in men ages 65–74 years during the decade from 1996 to 2005 was largest when

compared to other sex and age categories (Figure 11). Poor health was reported by 7 percent of older men (Figure 35).^{94,103,280}

The prevalence of more than three comorbidities in older women varied from 16.0 to 18.4 percent (Table 16).^{89,117} The prevalence of five, six, or more diseases was lower among older women who participated in the Women's Health and Aging Studies.^{117,242} Thus, the majority of older women reported three or four comorbidities.

The prevalence of polypharmacy was greater in older women than in men; 43 percent had taken more than five drugs⁹⁴ and 19 percent took more than 11 drugs.²⁰⁵ From 1996–2005, women older than age 75 years experienced a 32 percent increase in annual drug visits and a 94 percent increase in the number of prescribed drugs (Figure 11). Poor health was reported by 7 percent of older women (Figure 36).^{94,103,280}

Prevalence of more than three chronic diseases was higher in African American women (13.4 percent) than in Caucasian women (9.5 percent).⁸⁹ A comparison of the estimates was difficult because there were inconsistent definitions of the outcomes and older subgroups across the studies.

In conclusion, prevalence of multimorbid conditions increased with age. Women tended to have a higher prevalence of comorbidities, polypharmacy, and poor health. African American women had a higher prevalence of comorbidities than Caucasian women.

Cognitive Impairment

Age. Prevalence of cognitive impairment increased with age across all definitions and studies. Prevalence of mild cognitive impairment identified using the 3MSE increased from 18.8 percent in individuals older than age 75 years to 44.1 percent among those older than age 90 years (Figure 37).^{90,91,146,281} Prevalence of cognitive impairment in elderly persons defined as an MMSE score of <24 increased from 16.9 percent among those older than age 65 years to 22.9 percent in centenarians older than age 90 years (Figure 14).^{14,39-45} The prevalence of cognitive impairment identified through the TICS instrument increased from 3.38 percent in persons ages 70–74 years to 19.6 percent among those older than age 85 years (Figure 16).⁴⁹

Prevalence of dementia increased with age in all studies. Prevalence of senile dementia increased from 1.6 percent in individuals age 67.5 years to 36.7 percent in those older than age 95 years (Figure 19).^{92,93} The prevalence of Alzheimer's disease increased from 0.4 percent in individuals age 67.5 years to 37.4 percent in those older than age 95 years.^{92,93} Prevalence of severe dementia increased dramatically from 3.2 percent in individuals ages 75–84 years to 14.6 percent in those older than age 85 years.⁹⁵ A meta-analysis of nine studies concluded that the highest prevalence of dementia was among individuals ages 80–85 years, with a minimal increase after age 90 years.⁹³

Race. Limited evidence from the CHS Cognition Study indicates that older individuals of African American descent had a higher prevalence of mild cognitive impairment (45.5 percent) compared to Caucasians (14 percent) (Figure 37).⁹⁰

Sex. Prevalence of cognitive impairment in men varied from 16 percent in the Canadian Study of Health and Aging²⁵⁰ and PAQUID (Personnes Agées QUID) Research Program⁹⁴ to 36 percent in the CHS All Stars Study (Appendix E Table 15).⁵⁹ No age-associated increase was evident. For instance, the Survey in Europe on Nutrition and the Elderly reported that 14 percent of men ages 80–85 years had cognitive impairment.⁹⁶ The prevalence was 10.3⁴² to 14.9 percent⁵⁹ in men older than age 89 years.

Prevalence of dementia in older men was consistent across different countries, with an evident increase with age (Figure 38).⁹⁷ The EURODEM-Prevalence Research Group reported that 3.25 percent of older men had vascular or mixed dementia.⁹⁷ Prevalence increased from 1.04 percent in men ages 65–69 years to 5.8 percent in those older than age 85 years.⁹⁷ CHS identified dementia in 9 percent of men younger than age 75 years and in 43 percent of those older than age 85 years (Figure 39).²⁸² The Canadian Study of Health and Aging demonstrated an evident increase in severe dementia in aging men, from 0.4 percent in those ages 65–74 years to 8.8 percent in those older than age 85 years.⁹⁵

The prevalence of cognitive impairment in women varied depending on definitions and age. Cognitive impairment detected with the 3MSE questionnaire varied from 10.4 to 11.5 percent in older women (Figure 40).^{59,95} Functional cognitive impairment was identified with the 3MSE questionnaire in 35 to 59 percent of older women.⁵⁹ Cognitive and physical impairment were identified with the same instrument in 6.3 to 24.3 percent of older women.⁵⁹ The prevalence of cognitive impairment defined as an MMSE score of <24 was 24 percent in women older than age 65 years (Figure 41).^{42,90,94,96}

Prevalence did not increase substantially with age. Pooled prevalence of cognitive impairment in women ages 80 to 85 years was 31.8 percent but was reduced to 14.2 percent in those older than age 90 years.^{42,90,94,96} Since prevalence of dementia increases with age, a decrease in prevalence of cognitive impairment may reflect a competing risk issue.

The prevalence of cognitive impairment was lower in the Longitudinal Study of Aging that used the TICS instrument (Figure 42).⁴⁹ The Longitudinal Study of Aging found an increase in prevalence with age, from 8.6 percent in women ages 70–74 years to 33 percent in those older than age 85 years.⁴⁹

The prevalence of dementia in older women was consistent across different countries, with evident increases with age (Figure 43).⁹⁷ The EURODEM-Prevalence Research Group found vascular or mixed dementia in 2.3 percent of older women.⁹⁷ Prevalence increased from 0.3 percent in those ages 65–69 years to 5.4 percent in those older than age 80 years.⁹⁷ Prevalence of any dementia increased from 8.8 percent in women younger than age 75 years to 51 percent in those older than age 85 years, and to 56 percent in centenarians (Figure 44).^{95,176,236,282} Severe dementia increased from 0.6 percent in women ages 65–74 years to 17.2 percent in those older than age 85 years.

Other factors. Several factors have been associated with the development of cognitive impairment and dementia in healthy aging people (Appendix E Table 16).^{283,284} Sedentary lifestyle was associated with dementia in several studies.²⁸⁵⁻²⁹² Several cohort studies

demonstrated an increased risk of dementia among smokers (Appendix E Table 16).^{284,285,293-295} The association with alcohol intake was not linear, with an increased risk of dementia among both nondrinkers and heavy drinkers.^{285,288,296-300} Obesity³⁰¹⁻³⁰⁴ and increased saturated fat intake in older adults was also associated with a higher risk of cognitive impairment.^{285,305}

Several studies showed that cognitive impairment was associated with a greater risk of dementia. More than 20 percent of older people with cognitive impairment developed dementia during 2 to 5 years of followup (Appendix E Table 17).⁹⁸ The Cache County Study on Memory, Health, and Aging demonstrated that 46 percent of older individuals with mild cognitive impairment developed dementia during 3 years of followup.³⁰⁶ The Religious Orders Study⁹⁹ and the German Study on Aging, Cognition, and Dementia in Primary Care Patients Study Group¹⁰⁰ demonstrated a significant risk of any dementia and Alzheimer's disease in older individuals with cognitive impairment (Appendix E Table 18). Several cognitive tests have been shown to predict dementia in older people with cognitive impairment, including the Selective Reminding Test, Benton Visual Retention Test, Wechsler Memory Scale, Paired Associate Learning, Mattis Dementia Rating Scale, Rey Auditory Verbal Learning Test, Cambridge Mental Disorders in the Elderly Examination, Wechsler Adult Intelligence, Fuld Object Memory Test, Boston Naming Test, and California Verbal Learning Test (Appendix E Table 19).^{98,283} The differences in the magnitude of the association between different definitions of cognitive impairment and dementia have not been documented in large population-based studies.

In conclusion, prevalence of cognitive impairment and dementia increased with age, with the highest prevalence among community-dwelling persons ages 80–85 years. Older African Americans had a higher prevalence of cognitive impairment. Older men and women had comparable prevalence of cognitive impairment and dementia. Older persons with cognitive impairment were at a higher risk of developing dementia.

Frailty

Prevalence of frailty increased with age but differed within age subgroups, depending on definitions (Appendix E Table 20). Prevalence in those between the ages of 65 and 70 years ranged from 3–6 percent, using the phenotype definition, to 5–15 percent using the accumulation deficit definition (Figure 45).^{23,101-104} Prevalence of frailty in adults between the ages of 70 and 80 years varied from 5–12 percent, according to the phenotype definition, to 8–17 percent according to the accumulation deficit definition (Figure 46).^{22,23,101,104,105} Prevalence of frailty according to any definition was more than 16 percent in those older than age 80 years (Figure 47).^{23,101-104} Prevalence according to the phenotype definition increased from 16 percent in those ages 80–84 years to 26 percent in those ages 85–89 years, without further increase with age. Prevalence of frailty according to the accumulation deficit definition deficit definition continued increasing with age. More than half (50 to 56 percent) of people older than age 85 years were frail according to the accumulation.

Among race groups, older African Americans had the highest prevalence of frailty across different definitions (Appendix E Table 21). More than half of older African Americans were frail according to two studies (Precipitating Events Project and Frailty Study of African Americans in South Central Los Angeles) (Figure 48).^{23,104,106,107} Two studies examined

prevalence of frailty in older Hispanics and reported that 8–20 percent met different frailty criteria (Figure 49).^{104,108} Prevalence of frailty in older Caucasians varied from 6–12 percent, using the phenotype definition, to 15–40 percent using the accumulation deficit definition (Figure 50).^{23,104,107,109} The large cohort studies of predominantly Caucasian participants in the Survey of Health, Aging and Retirement in Europe demonstrated that, according to phenotype criteria, 17 percent of older people were frail.¹¹⁰

Among sex groups, prevalence of frailty was somewhat higher in women than in men. Estimates varied depending on studies, definitions, and age subgroups. In older men, prevalence of phenotype frailty was 7 percent, and accumulation deficit frailty was 24 percent (Figure 51).^{23,103-105,107,109,111-115} Prevalence of phenotype frailty increased from 2–37 percent in higher age subgroups (Figure 52).^{23,101,111,113,115} Prevalence of accumulation deficit frailty increased from 3 percent in men ages 65–69 years to 55 percent in those older than age 90 years. Prevalence was higher in aging African American and Hispanic men compared to Asian or Caucasian men (Figure 53).^{23,113,116} Prevalence of phenotype frailty was 13 percent in women, and accumulation deficit frailty was 26 percent (Figure 54).^{23,24,103-105,107,109,111,115-117} Prevalence of phenotype frailty increased from 3–13 percent in higher age subgroups (Figure 55).^{23,101,104,111,115} Prevalence of accumulation deficit frailty increased from 6–57 percent in higher age subgroups. African American women had the highest prevalence of frailty, with 60 percent of those older than age 85 years being frail (Figure 56).^{23,116}

In conclusion, prevalence of frailty varied depending on studies, definitions, and age subgroups. Prevalence increased with age and was higher when accumulation deficit definitions were used. Older African Americans and Hispanics had a higher prevalence of frailty.

Disability

Prevalence of BADL disability by sex.

Any BADL disability. Four studies reported prevalence for any BADL disability by sex, and women had a higher prevalence of any BADL disability (8.1–14.0 percent) than men (6.5–10.3 percent) (Figures 57–60).^{61,63,64,121}

Moderate BADL disability. Women (21.7 percent) had a higher prevalence of moderate BADL disability than men (19.1 percent) in the one study that reported this outcome (Figure 61).¹¹⁸

Severe BADL disability. The prevalence of severe BADL disability was the same in older men and women (6 percent) (Figure 62).¹¹⁸

Individual BADL disabilities. Women had a higher prevalence of disabilities in bathing, dressing/hygiene, transferring, and walking than men (Table 17). The prevalence was equal between men and women for eating disabilities, and no study reported on differences in toileting disabilities.

Summary. In general, women had higher rates for all types of BADL disabilities, except for eating disabilities, which had an equal prevalence. The highest prevalence reported for BADL

disability occurred when it was measured as moderate BADL disability, then as any BADL disability, and finally as severe BADL disability.

Prevalence of IADL disability by sex. Few studies reported differences by sex in IADL disabilities (Table 18).

The prevalence of any IADL disability was higher in women than men (Table 18).¹²¹ One study described changes in the prevalence of any IADL disability over 6 years between men and women.¹²¹ More women reported an IADL disability than men at any time period, but showed less change over time (Figure 63). In terms of individual IADL disabilities, more women had more difficulty with housekeeping and meal preparation than men, but less difficulty with shopping (Figures 64 and 65).^{119,122}

Prevalence of BADL disability by ethnicity.

Any BADL disability. Two studies reported prevalence of any BADL disability by ethnic group and one study enrolled only older Hispanic Americans (Table 19).^{41,60,62} Prevalence of any BADL disability, in order of highest to lowest, was African Americans (13.6 percent), American Indians (11.6 percent), Hispanic Americans (11.0 percent),⁴¹ and Caucasian Americans (8.1 percent) (Figure 66).⁶² Racial differences persisted after accounting for sex. Older African American women had the highest prevalence of having any BADL disability (10.7 percent), followed by African American men (7.5 percent), Caucasian women (5.2 percent), and Caucasian men (4.7 percent) (Figure 67).⁶⁰

Eating disability. One study reported the prevalence of eating disabilities by ethnic group and found that African American and Caucasian older adults had the same prevalence (1.2 percent) (Figure 68).¹¹⁹

Summary. The prevalence of BADL disabilities was highest in African American older people, followed by American Indian older people and Hispanic older people, and was lowest in Caucasian older Americans. African American women had the highest prevalence of BADL disability, followed by African American men, Caucasian women, and Caucasian men. There were no racial differences in eating disabilities.

Prevalence of IADL disability by ethnicity. Only one study reported differences in the prevalence of IADL disabilities by race.¹¹⁹ Older African Americans had a higher percentage of individuals having difficulty with meal preparation and shopping than Caucasian older adults. Older African American women had the highest prevalence (Figures 69 and 70).

Summary. Very little is known about racial differences in the prevalence of IADL disability in older people. African American women appear to have more IADL disability.

Prevalence of BADL disability by age groups.

Any BADL disability. One study reported differences in the prevalence of any BADL disability by sex and age group.⁵⁹ In general, the prevalence of any BADL disability increased with age and was higher in women (Table 20).

Moderate BADL disability. One study reported differences in the prevalence of moderate BADL disability by sex and age group.¹¹⁸ In general, prevalence of moderate BADL disability was higher in women and in the oldest age groups. Women older than age 80 years had the highest prevalence of moderate BADL disability.

Severe BADL disability. One study reported differences in the prevalence of severe BADL disability by sex and age group.¹¹⁸ Prevalence of severe BADL disability ranged from 10–11 percent in people ages 80 years and older, was 6 percent in those ages 65–74 years, and did not differ significantly by sex (Table 21).

Summary. BADL disability was highest in the oldest age groups, and in older women.

Sarcopenia

Prevalence of sarcopenia increased with age.^{68,123} However, the association was strong and consistent only in men.^{68,123} The association was significant in women in the New Mexico Elder Health Survey⁶⁸ but random in female participants in the Health Aging and Body Composition Study.¹²³

Older African Americans had significantly lower odds of sarcopenia when compared to Caucasians (OR, 0.2 [95% CI, 0.1–0.3]).¹²³ The odds of sarcopenia did not differ among Hispanic and non-Hispanic whites.⁶⁸

Prevalence of sarcopenia did not demonstrate a consistent association with comorbidity across the studies. Older men with more than three diseases had significantly higher odds of sarcopenia in the Health Aging and Body Composition Study¹²³ but not in the New Mexico Elder Health Survey.⁶⁸ Sarcopenia was not associated with multimorbid conditions in women in both studies.^{68,123}

Malnutrition

Prevalence of poor nutritional scores did not demonstrate a linear association with age in the Nutrition Screening Initiative.⁸⁴ Prevalence was highest in those ages 65–74 years (46 percent) but decreased to 31 percent in those older than age 85 years.⁸⁴ Sex differences in prevalence of malnutrition were evident for some but not all definitions of malnutrition (Appendix E Figure 2).⁹⁶

Prevalence of poor nutritional scores was the same in men and women in the Nutrition Screening Initiative (Figure 71).⁸⁴ The pooled prevalence of poor nutritional scores was 18.27 percent in older men and 24 percent in older women (Figure 34). Prevalence of unintentional weight loss also did not differ by sex (Figure 72).^{71,72} Women, however, had a lower prevalence of decreased albumin levels⁷⁷ but a higher prevalence of low BMI (Appendix E Table 10).⁷²

Older African Americans had a significantly higher risk of malnutrition defined as unintentional weight loss when compared to Caucasians (Figure 71).⁷¹ According to NHANES II, prevalence of anemia did not differ among older Caucasians and non-Caucasians (Appendix E Table 11).⁷⁸

Prevalence of unintentional weight loss did not differ in older Hispanics and non-Hispanics.⁷² Older Hispanic women had a higher prevalence of poor nutritional scores when compared to non-Hispanic women (30 percent vs. 17 percent, respectively).⁷²

In conclusion, age and sex differences in malnutrition were not consistent across the studies. Older African Americans had a higher prevalence of unintentional weight loss. Older Hispanic women had a higher prevalence of poor nutritional scores.

Homeostenosis (Impaired Homeostasis)

We could not identify studies that reported prevalence of homeostenosis in age subgroups.

Chronic Inflammation

We could not identify studies that reported prevalence of chronic inflammation in age, sex, or race subgroups. Older women with higher blood levels of carotene, lycopene, lutein, b-cryptoxanthin, and selenium had a lower prevalence of elevated IL6 (Appendix E Table 22).²⁴⁰

In conclusion, evidence was insufficient about prevalence of unspecified chronic inflammation in older subpopulations.

Key Question 3. What is the Association Between These Common Syndromes/Conditions and Mortality, Institutionalization, Hospitalization, and Activities of Daily Living?

We analyzed the association of outcomes with each syndrome and across all syndromes. The estimates of the association varied depending on definitions of comorbidities, population subgroups, definitions of the outcomes, and adjustment for correlated contributing factors. Some analyses did not address the multifactorial nature of geriatric syndromes and the role of baseline diseases. For example, disability was an outcome but also a part of the definition of frailty. Adjustment for correlated multifactorial syndromes that ignored definitive primary cause of disability or death may give invalid estimation of the association between syndromes and mortality. Age and specific disease contributions were not separately examined in the studies.

Multiple Morbidities

The estimates of the association varied depending on definitions of comorbidities, population subgroups, definitions of the outcomes, and adjustment for correlated contributing factors. The Women's Health and Aging Studies I and II demonstrated increased odds of frailty in women with more than three chronic diseases (OR, 1.5 [95% CI, 1.3–1.7])⁸⁹ or more than eight inflammatory diseases (OR, 1.8 [95% CI, 1.5–2.3]) (Appendix E Table 23).¹¹⁷ The Health ABC Study found an increased risk of sarcopenia in older men (RR, 2.8 [95% CI, 1.7–4.8]) but not in women.¹²³

The positive significant association between multiple morbidities and mortality was consistent across the studies. Older persons with comorbidities had a 32–112 percent relative increase in death (Table 22).^{39,124-129} The magnitude of the association decreased during the time of followup, from a 100 percent relative increase at 10 years (OR, 2 [95% CI, 1.4–2.8)] to a 59 percent increase at 15 years (OR, 1.59 [95% CI, 1.1–2.3]).¹²⁵ The association between comorbidity and mortality attenuated dramatically during the longer time of followup in another cohort, from a 471 percent relative increase at 1 year to a 120 percent increase at 10 years.¹²⁹ Attenuation in association may be a statistical reflection of a greater denominator as outcomes accrue over time. The magnitude of the association was dose responsive, with an 85 percent relative increase in mortality for older persons with four to five diseases and a 112 percent relative increase among those with six or more chronic conditions.¹²⁶

A prospective cohort from a large health maintenance organization demonstrated a significant increase in mortality in older women (OR, 2.5 [95% CI, 1.1–6.0]) and men (OR, 2.3 [95% CI, 1.3–4.1]) who had a Charlson comorbidity index score of 2–4 versus 0.308

Polypharmacy was significantly associated with mortality in two studies with evidence of a dose response.^{54,130} One European cohort, the PAQUID study, did not find a significant association between polypharmacy and death in older men and women.⁹⁴

Older persons with poor health had an increased risk of death in all studies that examined the association (Figure 73).^{27,103,131} Older women with poor health had a 235 percent relative increase in mortality^{94,280} and older men had a 233 percent increase.^{94,280} The magnitude of the association decreased over the time of followup in women (Appendix E Table 24). Women with poor health had a 280 percent increase in risk of death at 5 months of followup in the Longitudinal Study of Aging (HR, 3.8 [95% CI, 2.0–7.1]), a 100 percent increase at 23 months (HR, 2.0 [95% CI, 1.3–3.0]), but no significant association at 32 months.³⁰⁹ Among other population subgroups, older Medicare beneficiaries with functional dependency and poor health had an increased risk of death of 99–124 percent.³¹⁰

The Longitudinal Study of Aging demonstrated a positive significant association between multiple morbidities and institutionalization (Appendix E Table 25).¹²⁸ Older persons with poor health had a 10–80 percent relative increase in institutionalization (Appendix E Table 26).^{8,103,132} The Longitudinal Study of Aging reported a significant risk of first admission ever to a nursing home (HR, 1.2 [95% CI, 1.1–1.2]) and overall nursing home placement (HR, 1.1 [95% CI, 1.1–1.2]) in older Caucasians with poor health.^{132,311} The association was not significant among older African Americans.

Older persons with multiple morbidities had increased odds of hospitalization (OR, 1.7 [95% CI, 1.1–2.9]) (Appendix E Table 27).¹³³ The association with hospitalization was dose responsive.¹²⁶ The relative increase in odds of hospitalization was 37 percent in persons with comorbidity scores of 3 versus ≤ 2 , 46 percent in those with scores of 4–5 versus ≤ 5 , and 94 percent in those with scores of ≥ 6 versus ≤ 2 .¹²⁶

Polypharmacy was significantly associated with hospitalization.^{133,134} The Medicare Risk Demonstration cohort reported a 190 percent increase (OR, 2.9 [95% CI, 2.2–4.1]) in odds of

hospitalization among older persons with more than five prescriptions when compared to those with less than five concurrent drugs.¹³³ The Assistenza Socio-Sanitaria Italian cohort found a 124 percent relative increase in odds of hospitalization among older persons with more than five prescription medications (OR, 2.2 [95% CI, 1.8–2.8]).¹³⁴

The Longitudinal Study of Aging reported a significant increase in the risk of hospitalization among older persons with poor health (Appendix E Table 28).¹³⁵⁻¹⁴⁰ Older persons with poor health had increased odds of any hospital admission (OR, 2.8),¹³⁶ any Medicare-reimbursed hospital episode (OR, 2.1),¹³⁵ hospitalization for ambulatory care-sensitive conditions (OR, 1.5),¹³⁷ and repeated admissions (OR, 2.2).¹⁴⁰

In conclusion, among different definitions of multimorbid conditions, comorbidity scores and poor perceived health demonstrated a strong association with mortality in older people. Poor perceived health was a strong predictor for institutionalization. The number of chronic conditions, comorbidity scores, polypharmacy, and poor perceived health were associated with hospitalization.

Cognitive Impairment

Cognitive impairment was associated with a significantly higher risk of mortality in all studies that examined this association (Appendix E Table 29).¹⁴⁹ The magnitude of the association varied depending on the definition of cognitive impairment, country of study, and adjustment for other contributing factors (Appendix E Table 30). The largest relative increase of 250 percent in women and 280 percent in men was found in the Canadian Study of Health and Aging, which defined cognitive impairment as a score of <78 on the 3MSE scale.^{141,142} The association was dose responsive, with a 4 percent relative increase in mortality for each one-point decrease in MMSE score (Figure 74).^{39,44,54,143-148} The magnitude of the association was highest in studies that estimated the association with odds ratios (pooled OR, 4.2 [95% CI, 2.6–6.8]).^{144,146} The studies that estimated relative risk of hazard rate ratios found a 37 percent^{39,54} and a 61 percent^{44,147} relative increase in risk of death, respectively (Figure 74). Odds ratios may overestimate relative risk when baseline event rates are higher than 30 percent.³¹² Estimation of relative risk from the reported odds ratio was not feasible because of inconsistent reporting of baseline rates.

Fewer studies analyzed the association between different definitions of cognitive impairment. The Canadian Study of Health and Aging examined different case definitions of mild cognitive impairment³¹³ and did not demonstrate a significant increase in risk of mortality (Figure 75).^{39,94,313}

Among sex groups, older women (pooled RR, 1.37 [95% CI, 1.11–1.69]) but not men (pooled RR, 1.2 [95% CI, 0.8–1.8]) with an MMSE score of <24 had a significant risk of mortality (Figure 75).^{39,94} Both men and women with severe cognitive impairment, defined as an MMSE score of <18, were at a higher risk of death.

Dementia was associated with a significantly higher risk of mortality in the majority of the studies that examined this association (Appendix E Table 31).¹⁴⁹ Overall, dementia was

associated with a 163 percent relative increase in the odds of death (pooled OR, 2.6 [95% CI, 2.2–3.2]).¹⁴⁹ The highest risk of death was found in the North Manhattan Aging Project, among three ethnoracial groups (Latinos, African Americans, and non-Latino whites) with dementia. Several European cohorts, including the Helsinki Aging Study,³¹⁴ the Longitudinal Gerontological and Geriatric Population Studies in Gothenburg,³¹⁵ the Swedish Kungsholmen Study,³¹⁶ the Italian Longitudinal Study on Aging,³¹⁷ and the Appignano Study³¹⁸ demonstrated more than a 100 percent relative increase in mortality in older individuals with dementia.

Cognitive impairment was associated with a significant risk of institutionalization in the majority of the studies that examined this association (Appendix E Table 32). For cognitive function measured with MMSE, the association was dose responsive and significant even within the normal ranges of the scale. Older individuals had a higher relative increase in institutionalization of 9 percent per each one-point decrease in MMSE score.¹⁴⁵ The magnitude of the association varied substantially across the studies. Studies that reported odds ratios tended to overestimate the magnitude of the association. The Canadian Study of Health and Aging demonstrated that older persons with age-associated memory impairment (OR, 17.5 [95% CI, 14.0-22.0]) or mild cognitive impairment with an MMSE score of <23 (OR, 29.1 [95% CI, 25.1-33.8]) had a substantial increase in the odds of nursing home placement.¹⁵¹ The Asset and Health Dynamics Among the Oldest Old Study demonstrated a smaller but still highly significant risk of institutionalization in older people with mild/moderate (HR, 2.3 [95% CI, 1.8–2.8]) or severe cognitive impairment.³¹⁹ Older persons with dementia had a significant risk of institutionalization in several large studies, including the Medicare Current Beneficiary Survey (OR, 34.9),¹⁵⁰ the Canadian Study of Health and Aging (OR, 36.3),¹⁵¹ and the Marshfield Epidemiologic Study Area (HR, 5.1) (Appendix E Table 32).¹⁵² The magnitude of the association was higher in the studies that reported odds ratios rather than relative risk.

Cognitive impairment was associated with a significant risk of hospitalization in one study of three that examined this association (Appendix E Table 33). The MacArthur Research Network on Successful Aging Community Study demonstrated 680 percent higher odds of hospitalization in older people with a decline in the Short Portable Mental Status Questionnaire (OR, 7.8 [95% CI, 2.0–30.8]).³²⁰ The Longitudinal Study of Aging^{137,140} and the Medicare Current Beneficiary Survey³²¹ did not find a significant association between cognitive impairment and dementia.

In conclusion, older individuals with cognitive impairment had a higher risk of mortality and institutionalization. The magnitude of the association varied depending on the country, age, and sex of the participants, definitions of the cognitive impairment, and statistical estimates.

Frailty

Frailty was associated with cognitive impairment, comorbidities, and disability. The prevalence of frailty demonstrated a dose response association with a larger number of comorbidities or ADL disability (Appendix E Table 34).^{23,104}

Frailty was associated with mortality (Appendix E Table 35). Figure 76 summarizes the overall association between frailty and mortality across a number of varying studies, organized by the general definitional approach to frailty.^{14,23,111,115,148,153-156} Although there is substantial

heterogeneity across the studies, there is a positive relationship. The relationship is stronger when accumulated deficits are employed as the basis for the definition.

The strength of the association was cumulative. Figure 77 uses data from an analysis of the Medicare Current Beneficiary Survey to show that more deficits were associated with a higher risk of bad events.¹⁵⁷ Figure 78 shows how increasing numbers of phenotypic frailty components were associated with a greater risk of mortality.^{14,156} As shown in Figure 79, this effect generally persisted over longer followup periods.^{23,156} The strongest association between frailty and mortality was demonstrated at 4 years of followup. The relative increase in mortality was less at 11 years but remained significant.

The association was significant in men and women. Older frail men had a relatively greater risk of death of 105 to 251 percent, according to the phenotype definition, and 65 to 356 percent according to the accumulation deficit definition (Appendix E Figure 3).^{113,114,158,159} The dose response association between the increasing value of the frailty accumulation deficit index and mortality was significant in Chinese men ages 80–99 years but random in those ages 65–80 years or older than age 100 years (Appendix E Figure 4).¹⁵⁹ Frail older women had increased mortality across different studies and definitions of frailty (Appendix E Figure 5).¹⁵⁸⁻¹⁶⁰ The dose response association between the increasing value of the frailty accumulation deficit index and mortality was significant in all age categories of Chinese women (Appendix E Figure 6).¹⁵⁹

Frailty was associated with an increased risk of institutionalization (Appendix E Table 36). The magnitude of association was higher in studies of disabled older persons, including the Women's Health and Aging Studies¹⁶⁰ and the Canadian Study of Health and Aging.¹⁵⁵ Different frailty criteria were also associated with increased risk of institutionalization in the Precipitating Events Project.¹⁴⁷ Slow gait speed and cognitive impairment were the strongest predictors. Frailty was also associated with an increased risk of hospitalization (Appendix E Table 37).^{14,28,161} The studies demonstrated a 29 percent relative increase in risk¹⁶¹ and a 41–345 percent relative increase in odds of hospitalization.^{14,161}

Two studies examined the association between frailty and emergency department (ED) visits (Appendix E Table 38). The MOBILIZE Boston Study found that the relative increase in odds of ED visits was 210–254 percent in frail older persons compared to nonfrail older persons.¹⁰⁹ Secondary analysis of data from the Medicare Current Beneficiary Survey that adjusted for previous ED visits and previous hospitalizations did not find a significant association between frailty and ED visits.¹⁵⁷

In conclusion, frail older adults had an increased risk of disability, mortality, and institutionalization. The association was consistent across the studies, persisted with more years of followup, and demonstrated a dose response association with the number of criteria met.

Disability

Disability and hospitalization. The results of studies examining the association between disability and risk of hospitalization are summarized in Table 23. A more detailed accounting of the results appears in Appendix E Table 39. The statistically significant association between

disability and hospitalization was demonstrated in four studies (adjusted relative measures of association ranged from 1.8 to 16.0).^{136,137,162,163} The risk of hospitalization depended on the definition of disability, and in general this risk increased with the severity of disability. Older people who had any BADL disability (defined as having one or more BADL dependencies) had the lowest risk of hospitalization. Older individuals with severe BADL disability (defined as having three or more BADL dependencies) had the highest risk. The risk for women was also greater than the risk for men. The manner in which BADL disability develops appears to influence the risk for hospitalization. Older people who experienced catastrophic severe disability (defined as the sudden onset of three or more BADL disabilities when none existed before) were 16 times more likely to be hospitalized than those who had moderate ADL disability.¹⁶³ When ADL disability was measured on a continuous scale, the risk for hospitalization was not statistically significant, nor was it significant when it was measured as having any ADL or IADL dependencies.

Disability measures not measured as ADLs also predicted hospitalization. The greatest risk was a two-fold increase for older people who were not physically able (difficulty in walking 1/4 of a mile; stooping, crouching, or kneeling; lifting 10 pounds; or walking up 10 steps without resting).¹⁶²

The majority of the studies that examined the association between disability and institutionalization found that disabled elderly adults had a significantly higher risk of placement in nursing homes (Appendix E Table 40).

Disability and mortality.

Risk of death for older people with BADL disabilities. Several studies reported the odds ratio or hazard ratio associated with different definitions of disability and the risk of death during different time periods (Appendix E Table 41).

Table 24 summarizes the studies that reported these relationships. In general, older people with BADL disabilities were at higher risk for death (OR range, 1.9–86.8) than those with IADL disabilities (OR range, 1.5–6.6) compared to elderly adults without disabilities (Figures 80 and 81). Those with more BADL disabilities had a higher risk of death than those with fewer BADL disabilities (Figure 80). Severe BADL disabilities were associated with the highest risk of death at 72 months (OR, 30.0 [95% CI, 18.0–51.0])¹²⁸ and 24 months (OR, 86.8 [95% CI, 39.4–190.8]).¹⁶⁴ Moderate BADL disabilities were associated with greater odds of death at 72 months (OR, 8.6 [95% CI, 6.6–11.0])¹²⁸ and 24 months (OR, 14.1 [95% CI, 9.2–21.6]).¹⁶⁴ The lowest risk of death occurred when any BADL disability was reported (OR, 1.9 [95% CI, 1.2–2.7]).¹⁶² The risk of death associated with individual BADL disabilities was not reported in the studies, with one exception. The risk for death at 48 months doubled for older people with bathing disabilities.⁵³

Risk of death for older people with IADL disabilities. The risk of death associated with IADL disabilities was highest when any IADL disability was reported. Older adults reporting any IADL disability were 6.6 times more likely to die at 72 months¹²⁸ and 4.1 times more likely to die at 24 months.¹⁶⁴ Those with severe IADL disability had slightly higher odds of death (OR

range, 1.6–2.2) than those with moderate IADL disabilities (OR range, 1.5–1.7) (Figure 81). The risk of death associated with individual IADL disabilities was only reported in one study. Those with difficulty managing personal finances were twice as likely to die as those without this disability.⁵³

When disability was measured on a continuous scale, the per one-point increase in disability score and the risk of death were the same whether the scale measured BADL, IADL, or BADL/IADL disability (Figure 82).

Difference in risk of death and disability by sex and race. Men with BADL disabilities had slightly higher risks of death than women.⁶⁴ One study looked at risk disparities in race subgroups of older people with IADL disabilities.¹¹⁹ White men and women who were unable to prepare a meal had higher risks of death than African American men and women with this disability (Figure 83).

Life expectancy. One study reported differences in life expectancy by age and sex for older people with and without BADL/IADL disabilities (Figure 84).⁴⁰ Women suffered greater discrepancies in years of expected active life remaining if they had a BADL/IADL disability. Women age 78 years who have a disability had a 49 percent reduction in expected active life remaining compared to women the same age without a disability; this reduction was 34 percent for women age 65 years, 38 percent for men age 78 years, and 25 percent for men age 65 years.⁴⁰

Summary. In general, older people with the most severe BADL disabilities had the highest risks of death. There is basically no research on how individual BADL and IADL disabilities increase the risk of death. Men with BADL disabilities had slightly higher death rates than women with BADL disabilities. There are few studies reporting race or ethnic differences in death rates among disabled older men and women.

Sarcopenia

Sarcopenia was associated with significantly higher odds of multiple disabilities.⁶⁸ The relative increase in odds of having more than three disabilities was 266 percent in older men with sarcopenia and 308 percent in women with sarcopenia.⁶⁸ The association with impaired lower extremity function depended on the definitions of sarcopenia. A significant increase in the odds of mobility disability was demonstrated among older patients with sarcopenia who were diagnosed using a lean mass index adjusted for fat mass (Appendix E Table 42).¹²³ The unadjusted relative lean mass index was positively associated with mobility disability in men but not in women.¹²³

The association between sarcopenia and mortality was examined in one study (the Invecchiare in Chianti Study).¹⁶⁵ Even though older people with greater muscle density had a lower risk of death, sarcopenia was not associated with mortality.¹⁶⁵

The association between sarcopenia and hospitalization was examined in one study, the Health ABC Study.³²² The study demonstrated a significant positive association between low muscle density (RR, 1.5 [95% CI, 1.2–1.7]) or weak grip strength (RR, 1.5 [95% CI, 1.3–1.8]) and

hospitalization. Lean mass or sarcopenia was not associated with hospitalization.³²² The authors concluded that poor function and low muscle density are better predictors for treatment utilization in older individuals and should be measured in population-based studies.

In conclusion, limited evidence suggests that sarcopenia was associated with disability but not mortality in older people. Mobility disability and low muscle density may predict hospitalization better than simple lean mass index.

Malnutrition

The association between mortality and malnutrition was consistent across the studies and different definitions of malnutrition (Figure 85).^{70,71,83,166-169}

Low BMI^{166,167} and malnutrition identified using the Mini Nutritional Assessment⁷⁰ were the strongest predictors for mortality.

In addition, several biological markers related to malnutrition have been shown to be strong and significant predictors of mortality (Appendix E Table 43). Red cell distribution width is typically elevated in older persons with malnutrition, iron deficiency, or vitamin B12 or folate deficiency.⁷ Meta-analysis of individual subject data from seven community-based studies of 11,827 older adults demonstrated a strong and significant association with mortality in all examined age, sex, and race subgroups.⁷⁹ Red cell distribution width was associated with mortality in older adults with and without iron, folate, and/or vitamin B12 deficiencies (adjusted HR for a 1 percent increment in RDW, 1.2 [95% CI, 1.1-1.2]), as well as in those without these deficiencies (adjusted HR for a 1 percent increment in RDW, 1.2 [95% CI, 1.2–1.3]).⁷⁹ Routinely measured as a part of the complete blood count, red cell distribution width >15 percent was associated with a 151 percent relative increase in risk of death (HR, 2.5 [95% CI, 2.2-2.9]).⁷⁹ Very low albumin levels and very high prealbumin levels (transthyretin >316mg/L or <258mg/L) were associated with increased mortality (Figure 86).^{167,170} Low albumin level predicted a higher risk of early death in older men but not women (Appendix E Table 44).¹⁷⁰ Low vitamin D levels were associated with higher mortality in older women participating in the Women's Health and Aging Studies²⁴¹ but not in the Longitudinal Aging Study Amsterdam when men and women were combined in one model.⁸⁰

Several studies analyzed composite measures of malnutrition and chronic inflammation in older people and found a significant positive association with mortality. For instance, elevated levels of inflammatory globulin, orosomucoid (alpha-1-acid glycoprotein), was associated with increased mortality.¹⁶⁷ The relative increase in mortality was 126 percent in older men and 161 percent in women (Appendix E Table 44).¹⁷⁰ Elevated alpha-1-acid glycoprotein levels predicted followup mortality of 5 years, but not longer (Figure 86).¹⁷⁰ Older people with the highest levels of alpha-1-acid glycoprotein and the lowest levels of transthyretin had the highest risk of death, with a 364 percent relative increase in women and 586 percent relative increase in men (Appendix E Table 44).¹⁷⁰ An elevated composite measure of chronic inflammation and poor nutrition was associated with increased risk of death in older men but not women (Figure 87).¹⁷⁰

Women with nutritional deficit or multiple definitions of malnutrition, however, had a higher prevalence of frailty (Figure 88).²³⁸ The evidence about treatment utilization in older people with malnutrition is limited to one study of domiciliary care services for older people with moderate or severe functional limitations that found an increased risk of ED admissions and hospitalizations in those with undernutrition and malnutrition (Appendix E Table 43).⁸³

In conclusion, low BMI, nutritional risk identified with the Mini Nutritional Assessment, and increased red cell distribution width were strong and consistent predictors of mortality in older individuals. Other biomarkers and their combinations need further examination.

Homeostenosis (Impaired Homeostasis)

The association of impaired homeostasis with clinical outcomes varied depending on the definitions of the exposure and study populations (Appendix E Table 44). Older women with increased allostatic load had significantly greater odds of frailty (OR, 1.2 [95% CI, 1.0–1.3]), with a 16 percent relative increase per each one-point increase in score in the Women's Health and Aging Studies.⁸⁹ Older persons with high plasma tonicity had a significantly higher risk of impaired ADLs (RR, 2.7 [95% CI, 1.3–5.6]), impaired IADLs (RR, 2.3 [95% CI, 1.2–4.3]), and overall disability (RR, 2.1 [95% CI, 1.2–3.6]) in the Duke Established Populations for Epidemiologic Studies of the Elderly.⁴⁶

The association between mortality and homeostenosis was examined in several studies that used different definitions of homeostenosis (Appendix E Table 44). Unstable BMI (HR, 1.3 [95% CI, 1.0–1.8]), pulse pressure (HR, 1.3 [95% CI, 1.0–1.7]), and fasting plasma glucose (HR, 1.6 [95% CI, 1.2–2.1]) were associated with greater risk of mortality in an older Italian cohort.¹⁷¹ The MacArthur Studies of Successful Aging demonstrated a significant association between increased allostatic load and mortality.^{124,172,173} The same study found a 27 percent relative increase in odds of death (OR, 1.3 [95% CI, 1.0–1.5]) in older people with an elevated stress hormone index.¹²⁴ The Invecchiare in Chianti Study demonstrated a relative increase of death of 472 percent in older people with elevated free estrogen levels (OR, 5.7 [95% CI, 1.7–19.4]).³²³

In conclusion, individual studies demonstrated a significant association between disability, mortality, and indicators of impaired homeostasis in elderly people. Consensus operational definition of homeostenosis and its biomarkers is necessary for better interpretation of the results.

Chronic Inflammation

The studies consistently found positive significant association between chronic inflammation and disability and mortality, despite different markers of inflammation and study populations. Older people with three elevated markers of chronic inflammation had increased odds of cognitive decline (OR, 1.5 [95% CI, 1.0–2.3]) (Appendix E Figure 7).³²⁴ The Framingham study demonstrated decreasing brain volume among elderly individuals with elevated markers of chronic inflammation.²³⁴ Older individuals with increased levels of IL6 (OR, 3.7 [95% CI, 1.9–6.9]) or CRP (OR, 1.9 [95% CI, 1.1–3.4]) had significantly higher odds of sarcopenia (Appendix E Figure 8).³²⁵ The highest relative increase in odds of sarcopenia of 627 percent was

demonstrated among those with elevated IL6 and alpha 1-antichymotrypsin.³²⁵ Older women with elevated IL6 and decreased insulin-like growth factor had increased odds of disability in ADLs (OR, 2.5 [95% CI, 1.0–6.3]) and IADLs (OR, 3.7 [95% CI, 1.1–12.2]) (Appendix E Figure 9).²³⁹ Older women with elevated IL6 had increased odds of frailty (OR, 2.0 [95% CI, 1.1–3.6]) (Appendix E Figure 10).^{238,244}

The association between chronic inflammation and mortality differed across the studies depending on the markers and populations. Older women with elevated levels of two markers, IL6 and CRP, did not have a higher risk of death in a pooled analysis among women (Appendix E Figure 11).^{124,174,219,220} However, older men with chronic inflammation had a higher risk of death (RR, 2.8 [95% CI, 1.4–5.5]).¹⁷⁴ A composite inflammatory index was not associated with mortality in the MacArthur Studies of Successful Aging and in the Leiden 85-Plus Study (Appendix E Figure 12).^{124,219} Only older people with low levels of proinflammatory markers (IL-1Ra) and anti-inflammatory markers (IL10) had higher risk of death (RR, 2.2 [95% CI, 1.3–3.8]).²¹⁹

Among common definitions of chronic inflammation, elevated IL6 and CRP were associated with higher mortality in older people. Elderly individuals with elevated IL6 levels had a 42 percent relative increase in death (pooled RR, 1.4 [95% CI, 1.2–1.7]) (Figure 89).^{124,174-177} Older people with elevated CRP had a 42 percent relative increase in death (pooled RR, 1.4 [95% CI, 1.3–1.6]) (Figure 90).^{87,124,129,166,167,170,174,177-179}

The association differed among men and women. Elevated IL6 was associated with increased mortality in women but not men (Appendix E Figure 13).^{166,174,239} In contrast, elevated CRP was associated with increased mortality in men (pooled RR, 1.6 [95% CI, 1.2–2.2]) but not women (pooled RR, 1.1 [95% CI, 0.8–1.7]) (Figure 90).^{87,124,166,167,170,174,176,177,202}

The studies reported different times of followup to analyze the association between mortality and different cut-off points for elevated CRP (Appendix E Table 45). The association attenuated with longer time of followup in CHS.¹⁷⁸ Older men with elevated CRP had a 300 percent relative increase in early 3-year mortality (HR, 4.1 [95% CI, 2.7–6.3]) but only a 42 percent relative increase in 8-year mortality (HR, 1.4 [95% CI, 1.1–1.8]).¹⁷⁸ Older women with elevated CRP had a 134 percent relative increase in 3-year mortality (HR, 2.3 [95% CI, 1.4–3.9]) and no significant association at 8 years of followup (HR, 1.2 [95% CI, 0.9–1.7]). The attenuation during longer followup was not evident when the association was compared at 5 and 9 years of followup in the Pathologies Oculaires Liées à l'Age Study.¹⁷⁰ Older men with elevated CRP had a significantly higher risk of death at 5 and 9 years while women did not.¹⁷⁰ One cohort (the Invecchiare in Chianti Study) demonstrated that an increase in CRP during the time of followup was the best predictor of mortality when compared to baseline or followup absolute CRP levels.¹⁷⁷ In a study in which CRP increased during followup, older subjects had a 210 percent relative increase in mortality (HR, 3.1 [95% CI, 1.3–7.7]).¹⁷⁷ Meta-regression analyses did not find a significant modification in association by the time of followup across all studies.

The studies reported different cut-off points for elevated CRP (Appendix E Table 45). The studies categorized older people by a single cut-off point for CRP, ranging from 3 mg/L^{87,174,177} to 15 mg/L.¹⁶⁷ CHS¹⁷⁸ and the Iowa 65+ Rural Health Study¹⁷⁴ analyzed mortality in quartiles,

while the Vitality 90+ Study¹⁷⁹ and the Women's Health and Aging Study¹⁶⁶ analyzed mortality in tertiles of CRP levels. All studies reported a greater risk of death in larger categories of CRP. Few studies analyzed the dose response association between CRP levels and mortality. The Helsinki Ageing Study found a 20 percent increase in death per 10 mg/L increase in CRP.³²⁶ The Danish Centenarian Study found a significant 26 percent increase in mortality (crude HR, 1.3 [95% CI, 1.0–1.5]) per increase in 1 standard deviation of CRP levels in logarithmic scales.¹⁷⁶ Meta-regression analyses did not find a significant modification in association by cut-off point of CRP across all studies.

Individual studies reported other markers of chronic inflammation. Among them, elevated levels of tumor necrosis factor-alpha,¹⁷⁶ D-dimer,¹⁷⁵ fibrinogen,¹⁷⁸ and IL1 receptor antagonist¹⁷⁹ levels were associated with mortality (Appendix E Table 46). The strongest association with mortality was demonstrated for elevated CRP combined with low albumin (HR, 5.0 [95% CI, 2.3–11.0])¹⁷⁰ or elevated fibrinogen levels (HR, 9.6 [95% CI, 4.3–21.1]).¹⁷⁸ Significant association was found for early mortality and in men.

We could not find studies that examined the association between chronic inflammation and institutionalization or hospitalization.

In conclusion, chronic inflammation was associated with increased risk of frailty, disability, and mortality in older people. The risk was higher for early mortality and attenuated during longer time of followup. The risk was dose responsive for IL6 and CRP. The association was consistent for men but not for women. The strongest predictor of early mortality was elevated CRP combined with low albumin or increased fibrinogen.

Key Question 4. What Statistical and Decisionmaking Models Report Mortality Based on These Common Geriatric Syndromes/Conditions?

Models reporting mortality vary by complexity, by selection of predictors, and by time course. Some models strive for simplicity, with few predictors that are easily measured, much or all of which could be gained by culling administrative data. Others are much more complex, with data gathered from clinician and/or patient assessments. While a simpler approach is more appealing from a cost and operational perspective, the question remains as to the marginal benefit of more complex models. The basic relationship holds regardless of the measure used.

To provide an overview of overall effects of different syndromes on mortality in adults older than age 65 years, we estimated the number of deaths per 1,000 older persons from individual studies that provided death rates among those with and without different syndromes (Table 1). We estimated that among frail older persons, 459 older persons per 1,000 died within 1–2 years of followup.^{182,187}

Within 3 years, 500–600 older persons with malnutrition, 351 with cognitive impairment, and 534 with severe dementia died per 1,000.^{189,197,198} Disability in basic ADLs and IADLs were associated with the highest risk of mortality.¹⁹⁶

Within 5 years, 490 older persons with malnutrition, 513 with frailty, 530 with elevated CRP, and 827–941 with vascular dementia died per 1,000.^{70,91,141,186} Frailty and cognitive impairment were associated with 400–800 deaths per 1,000 during more than 5 years of followup.^{23,148,199} Such estimations may not reflect mortality in age, sex, or race subgroups but demonstrate a burden of geriatric syndromes.

We also estimated population risk of mortality attributable to geriatric syndromes (Table 2). When population prevalence and multivariate adjusted relative risks were taken into account, more than 7 percent of deaths were attributable to multiple morbidities and elevated CRP. We estimated that 3–5 percent of deaths among elderly persons could be delayed if frailty was prevented. Prevention of mild cognitive impairment could result in delaying 5–6 percent of deaths among elderly persons can be attributed to geriatric syndromes. Conversely, having the syndrome may affect the likelihood of benefitting from other interventions, such as prevention.

The prevalence and risk of mortality and institutionalization were almost inversely related. The prevalence of accumulation deficit frailty (which uses many components) was higher than phenotype frailty (which uses only a few components). The relative risk of mortality and institutionalization was higher for phenotype frailty (Figure 1). The same negative association was seen for more severe forms of the same syndrome. Prevalence of severe cognitive impairment and dementia were lower, but risk of mortality was higher when compared to mild cognitive impairment (Figure 2). A negative association between the prevalence of a syndrome and its effect on mortality was evident across those syndromes in which the more restricted definition defines a more severe state (Figure 3).

The remaining life expectancy of individuals with syndromes was estimated using the CDC United States Life Tables and the relative risk of death from pooled analyses and individual studies. Increased levels of allostatic load (impaired homeostasis) and dementia were associated with the lowest survival among older persons when compared to the general population in the United States. The data shown in Figure 4 represent a merger of several data sets to yield general trends. The influence on survival of some factors was much greater than others when we compared them across studies. Poor health, malnutrition, and allostatic load (impaired homeostasis) exerted twice the influence of factors such as comorbidity and frailty. Since not a single study measured and compared all syndromes in association with mortality, indirect comparisons may be erroneous. The size of the effect differed by age (and thus expected life expectancy) (Table 3). For the purposes of informing prevention decisions, relative risk is likely more useful than population attributable risk. In the young-old, ages 65–74 years, only the very few who were very ill or frail (e.g., homeostenosis, poor health, or advanced dementia) suffered significant alterations in predicted life expectancy. From ages 75–90 years there was maximal heterogeneity of disease and geriatric syndromic states, resulting in larger mortality deviations from unafflicted individuals than in other age groups. In the old-old, particularly past age 90 years, the added value of factoring in conditions and syndromes to predict mortality beyond 1 year was minimal.

Statistical Models

We found 28 studies that described prognostic indices that report mortality in elderly people.

Since previous indices are complex, time consuming, or have a lack of clinical applicability, recent studies have been designed to develop and validate easy-to-use indices using information readily available from administrative data, laboratory data, diagnoses, or self-reported health status data (Appendix E Table 47). Some indices were created for certain segments of the population (e.g., hospitalized elderly,^{26,180-183} community dwellers,^{4,23,27,148,155,184-188} or older people with acquired mental disorders).¹⁸⁹ Others have been developed with the use of nationally representative samples.^{53,186,187,190} Most studies have been conducted in the U.S. population ages 50 years and older, but a few have been done in European countries^{14,134,180,189,191,192} and Canada.^{155,193,194}

To assess the predictive accuracy of the final logistic model used to derive the frailty index, calibration (i.e., the degree of similarity between predicted and actual risk) and discrimination (i.e., the ability of a risk score to correctly assign a higher mortality risk value to a person who died than to one who survived) were largely evaluated in many models (Appendix E Table 48).^{4,24,26,27,53,114,134,155,181,182,184,187,193} The point scoring system created in the development (or derivation) cohort was applied to the validation cohort to determine risk scores for all of the population in the validation cohort. Then, the mean predicted mortality from the development cohort and the observed mortality in the validation cohort were compared in each quartile, quintile, or other groups of risk score. To determine the discrimination of the index, the area under the receiver operating characteristic curve (AUC) was calculated in both cohorts and compared. In general, studies chose to report number of deaths versus number at risk and AUC for both cohorts.^{4,26,27,53,134,181,182,184,187} Validation procedures and results were not reported in the articles in a consistent manner. Variability in design, validation, and reporting of the models hampered comparison to conclude which models are better than others. The studies did not validate predictive models against actual life expectancies in the general population of older adults.

We believe that there are at least four areas to consider when evaluating prognostic instruments: simplicity, geriatric syndromes or disease approach, frailty or mortality indices, and short- or long-term estimate of the risk of mortality. We recognize an overlap between different categories of models.

Simplicity of the models. In simple models, all the variables should be either readily available or straightforward to measure (without the aid of any instrument) or found in medical records (Appendix E Table 49). The scoring system should be easy to follow and reproduce in clinical settings. Unless complex indices can be hard-wired into an electronic medical record, clinicians are unlikely to use them.

Some of the models were complex in terms of the number of index components, measurement of each component, weight method, and design of risk scoring systems.^{27,180,186,190,191,193} These indices are time consuming and rely heavily on availability of clinical data or the clinician's experience. Therefore, they are not easy to use and are of limited clinical use, but they can be useful for research purposes (Appendix E Table 50).

Diseases versus geriatric syndromes. In an attempt to evaluate the added benefit of incorporating more functional and multidimensional predictors, we calculated the relative risk

and attributable risk of different syndromes on mortality (Table 2). Some of the indices were oriented toward diseases as predictors, and some incorporated more geriatric principles such as geriatric syndromes and functionality. While disease-oriented methods are more pragmatic and easy to capture with administrative data, more gerocentric indices are more conceptually appealing and do appear to add predictive value.

Frailty or mortality indices. Among geriatric syndromes, frailty was examined to predict mortality with different composite indices. Fried et al²³ considers frailty as a unique clinical syndrome that differs from comorbidity or disability. According to Fried, an individual who is frail presents three of the following criteria: unintentional weight loss (10 pounds in past year), self-reported exhaustion, weakness (grip strength), slow walking speed, and low physical activity. Quite a few studies followed this approach, either adopting or improving their indices based upon Fried's model (Appendix E Table 51).^{14,23,24,109,114,148,183,188}

The other widely accepted approach considers frailty as the accumulation of symptoms, diseases, and disabilities and combines all of them in one frailty index score (Appendix E Table 52).^{4,26,27,53,155,180-182,184,186,187,189-191,193,194,332}

Short- or long-term mortality risk. Some of the indices were validated using a continuous mortality outcome variable, while others used categorical variables. Published models offer a range of 1–10 years for mortality prediction. Selection of how far one wants to assess mortality risk varies by clinical and policy considerations. A 1-year mortality risk would be important for revisiting patient preferences for care at the end of life (Appendix E Table 53). An assessment of 3-year mortality could be useful for health care providers in heightening awareness of the transition from a chronic disease to a frail state, and for patients in estate planning and putting other affairs in order. Five-year mortality risk is an important consideration for decisions regarding cancer screening, given that many cancer screening tests require 5 or more years to realize a benefit (Appendix E Table 54).¹⁸¹ Whereas 6- to 10-year mortality risk might be useful for policy issues, shorter time spans are much more useful for individual patient care.

A few summary statements are possible:

- Simple disease-based measures, such as number of comorbid illnesses or measures of inflammation, add modestly to the relative risk of mortality but account for more population-based mortality burden due to their high prevalence.
- Advanced dementia is one specific condition that confers significantly added mortality risk.
- More complex syndromic measures, such as those assessing frailty or incorporating functional status (e.g., allostatic load), better capture increased mortality risk (indicated by higher relative risk) than simpler measures, as they more selectively identify the relatively few (indicated by lower population attributable risk) sickest patients most likely to experience deterioration in health and death.
- Simpler measures that reflect the *severity* of individual diseases, such as indicators of advanced dementia, or the overall *impact* of multiple conditions, such as assessments of overall health, also identify the fewer and sicker patients at higher risk of mortality.

In conclusion, complex mortality models added comparatively little understanding to more simply measured and calculated models. Measures of the impact of conditions and syndromes on

overall health and functioning provided greater discrimination among individual patients for assessing mortality risk. Mortality predictors appeared to be relatively consistent across shortand long-range models. The greatest added advantage of mortality models over simple remaining life expectancy was observed among patients ages 75–90 years. Decisionmaking models that are based on various assumptions and simulation techniques need careful sensitivity analysis and validation.

Chapter 4. Discussion

This review examines the prevalence of common geriatric syndromes and their association with patient-centered outcomes. Syndrome definitions varied and overlapped. Prevalence estimates increased with age. Women had higher rates of frailty and all types of disabilities; African Americans had higher prevalence of multiple morbidities, frailty, malnutrition, and disability compared to Caucasians; and evidence on other minority subgroups was sparse.

All syndromes were associated with increased risk of death and institutionalization. Age and sex were strongly associated with mortality, and the additional presence of one or more conditions increased the effect. Using relative risk, several conditions strongly influenced mortality, including poor health, severe BADL disability, low BMI, dementia, and impaired homeostenosis. The syndromes increased the likelihood of death more among the young-old. For those older than age 90 years, factoring in conditions and syndromes in relation to survival added minimal value. Complexity was not associated with better mortality models in older persons.

Our review offers several insights. Previous studies have inconsistently defined the conditions we considered. Two factors influence the structure of the measure: composition (i.e., its combination of elements) and the cut-off score used to determine severity levels. The definition's nature, or operationalization, affects both the measure's prevalence and its predictive power— usually in opposite directions. Across all syndromes, we observed a negative association between syndrome prevalence and its effect on mortality, and more severe forms of the same syndrome demonstrated the same negative association. Also across all syndromes, more inclusive definitions led to higher prevalence but lower predictive value. Lower prevalence of severe cognitive impairment and dementia were associated with higher risk of mortality and institutionalization compared to more common mild cognitive impairment. Figure 1 shows how the measure's composition can affect its prevalence and strength of association with outcomes, while Figure 2 shows how different cut-off scores for the same measure affect its prevalence and strength of association.

Estimates of association varied depending on syndrome definitions, population subgroups, outcome definitions, and adjustment for correlated contributing factors. Some analyses addressed neither the multifactorial nature of geriatric syndromes nor the role of baseline diseases. For example, disability was an outcome of frailty but also part of frailty's definition. Adjustment for correlated multifactorial syndromes that ignored the definitive primary cause of disability or death may give invalid estimation of the association between syndromes and mortality. Not all studies separately examined age and specific disease contributions.

Geriatric syndromes had overlapping definitions or interacting pathophysiology.¹ For example, multimorbidity increased risk of frailty,^{89,117} which in turn was associated with cognitive impairment, comorbidities, and disability. The prevalence of frailty demonstrated a dose response association with a larger number of comorbidities or ADL disabilities.^{23,104} Sarcopenia was associated with significantly higher odds of multiple disabilities.^{68,123} Elderly adults with impaired homeostasis had significantly greater odds of frailty⁸⁹ and disabilities.⁴⁶

Interaction models are better suited than adjustment models for analyzing the multifactorial

interactive nature of syndromes. Linear models that separately examine risk factors for chronic disease and patient outcomes are commonly accepted in disease epidemiology but fail to adequately address the multifactorial nature of geriatric syndromes.¹ The majority of studies, however, provided multivariate adjustment for known confounding factors, causes of death, and the presence of other syndromes. Models that analyzed the association between syndromes and mortality grouped primary causes of death into larger categories of cancer or cardiovascular diseases and adjusted for them. Using multivariate adjustment, the studies demonstrated significant association between each syndrome and outcomes, and concluded that syndromes contributed to the outcome independent of specific diseases included in the models. Concentric models include multiple etiological pathways contributing to the same clinical outcomes. Concentric models evaluate various pathways in developing and treating malignant tumors,¹ but like linear models, concentric models fail to capture the interacting nature of syndromes. Some authors have proposed interactive concentric models that address synergisms in how syndromes develop, and their association with outcomes.¹ However, published studies have provided insufficient evidence for better accuracy of interactive concentric models or of new measurement technologies.

Geriatric wisdom holds that age is a good predictor of average change but not of individual change because health status varies increasingly with age. The question then is whether a more complex approach that assigns varying weights to different syndromes/conditions is more useful than a more general approach that assigns older persons to general risk categories (e.g., high, medium, and low) and applies the 25th, 50th, and 75th percentiles of expected institutionalization or survival accordingly. When adjusted for age, the predictive value of the syndromes diminished by the time of followup to death. Few studies addressed syndrome length or used informative censoring when evaluating survival.³³³ Few studies analyzed the order in which components of the syndromes manifested.

Ideally we would consider outcomes other than mortality, but the measures used present large problems of endogeneity. Measures of frailty and disability, already closely linked, contain elements central to quality of life. They are also the basis for institutionalization. Comparative effectiveness of outpatient management strategies for prevention of disability and institutionalization fell beyond our scope.

Increased knowledge of prevalence, epidemiology, and the relationship between syndromes and health status illuminates the environment in which patients and physicians make decisions about preventive services. At the population level, the relevant measure is population attributable risk, which includes prevalence and risk of death. However, clinicians' concerns are with individual prognosis. In that light, we based our estimation of life expectancy on the CDC United States Life Tables that incorporate average survival of older adults with different diseases and syndromes. Life expectancy was calculated assuming the same relative risk across the remaining life span. About 26 percent of the risk of death was attributable to the syndromes. Modifiable syndromes, including elevated CRP and allostatic load, were associated with 8.3 percent of all deaths in older persons. The effectiveness of preventive interventions in older persons would depend on their age and the nature of the syndromes. To be effective, preventive interventions targeting multimorbidity and geriatric syndromes in older persons may need to have multiple distinct components and must improve functional status.³³⁴

Our report has limitations. Several factors may affect interpretation of our results, including the metric chosen and population versus individual risks. We could not explain heterogeneity in prevalence estimates using available information about study participants or methodology. We analyzed the differences between self-reported syndromes and objectively assessed syndromes when possible. However, the studies used different methods to measure several syndromes, including disability. We could not address three-way interaction in prevalence estimates by age, sex, and race because the studies inconsistently analyzed these differences. We could not evaluate nonlinearity in the association between age and syndromes because the primary studies did not test the hypothesis of nonlinearity. We were not able to evaluate predictive value for all possible definitions of syndromes because no studies examined risk of death for different definitions of the same syndrome. We found limited evidence with which to examine race and ethnic differences in mortality and institutionalization in older persons with geriatric syndromes.

Future Research

Future research should address temporal associations between components of syndromes as well as the order in which various diagnostic criteria manifest. Individual patient data analysis from large cohort studies can provide a precise powered estimation of risk of death and institutionalization for age, race, and ethnic subgroups. Future research should also investigate how geriatric syndromes may modify utility and effectiveness of preventive and treatment interventions in older adults with geriatric syndromes.

Future research should address how prevention of modifiable geriatric syndromes may delay mortality and institutionalization. Future research should also examine how optimal outpatient management for older adults may prevent development of disability and institutionalization. An analytic framework for comparative effectiveness of different preventive interventions in aging populations should be developed. Such a framework should recognize the multifactorial nature of the syndromes and the importance of improved functional status.³³⁴

Evidence-based guidelines across disciplines should include assessment of geriatric syndromes for disease management in older adults, emphasizing functional independence as a central patient outcome. Knowledge of common geriatric syndromes should be translated into routine clinical practice.

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(Note: This set of references is different from those in Appendix E.)

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Acronyms/Abbreviations

Modified Mini-Mental State Examination
Activities of daily living
Asset and Health Dynamics Among the Oldest Old
Agency for Healthcare Research and Quality
Area under the receiver operating characteristic curve
Basic activities of daily living
Burden of Illness Score for Elderly Persons
Body mass index
Centers for Disease Control and Prevention
Cardiovascular Health Study
Confidence interval
Colonoscopy
C-reactive protein
Double-contrast barium enema
Diagnostic and Statistical Manual of Mental Disorders
Emergency department
European Working Group on Sarcopenia in Older People
Fecal DNA testing
Fecal occult blood testing
Geriatric Mental Status Examination
Health Aging and Body Composition
Hazard ratio
Instrumental activities of daily living
Interleukin
Longitudinal Study of Aging
Massachusetts Health Care Panel Study
Medical subject headings
Mini-Mental State Examination
Mini Nutritional Assessment
National Health and Nutrition Examination Survey
Odds ratio
Personnes Agées QUID
Population attributable risk
Population, Intervention, Comparator, Outcomes, Time, and Settings
Precipitating Events Project
Randomized controlled trial
Red cell distribution width
Relative risk
Survey of Health, Aging, and Retirement in Europe
Flexible sigmoidoscopy
Short Portable Mental Status Questionnaire
Technical expert panel
Telephone Interview of Cognitive Status

Table 1. Mortality Among Older Persons With Geriatric Syndromes

Reference	Synd	rome	Deaths per 1,000 Elderly Persons With Different Definitions of the Syndrome								
3-Year Mortality											
	Dependent in AI	DLs or IADLs									
Carey, 2008 ¹⁸⁴	Preparin	g meals	Shop	pping	House	ework		Laur	ndry	Managing finances	Taking medications
	42	4	41	16	41	8		411		424	439
	Bath	ning	Toile	eting	Transf	ferring		Eat	ing	Dressing	Walking across a room
	41	8	47	70	47	76			3	434	450
	Cognitive impair	ment	•							•	
Lee, 2006 ¹⁹⁷	No cognitiv	e disorder	Mild cognitive	e impairment	Mild de	mentia		Moderate	dementia	Severe dementia	
	6	3	18	30	26	50		28	0	534	
Pijpers, 2009 ¹⁸⁹	MMSE so	core >24	MMSE s	core ≤24							
	19	2	35	51							
	Frailty		•								
Fried, 2001 ²³	Not	frail	Interm	ediate	Fra	ail					
	3	C	7	0	18	30					
	Frailty phenotyp	е	•								
Ensrud, 2009 ¹¹⁴	Not	Frail	Interm	ediate	Fra	ail					
	2	9	6	2	16	6					
Fried, 2001 ²³	d, 2001 ²³ Not Frail Intermediate 30 70		Interm	ediate	Frail						
			0	18	30						
	Malnutrition		•								
Saletti, 2005 ¹⁹⁸	Well No	urished	At risk of n	nalnutrition	Malnutrition						
	279		400		500						
	BMI 2	20-23	BMI 23-28		BMI <20						
	34	.8	35	53	500						
Pijpers, 2009 ¹⁸⁹	BMI	≥8.5	BMI -	<18.5	1						
	27	'4	61	11							
5-Year Mortality											
	Self-perceived h	ealth									
Gutman, 2001 ¹⁰³	Very	good	Pretty	' good	Not too	o good		Poor/ve	ry poor		
	14	6	22	25	35	59		33	9		
	Cognitive impair	ment									
	Cognitive	ly normal	Alzheimer	's disease	Vascular	dement	ia	Other dem cognitive ir	entia and npairment		
Ostbye, 1999 ¹⁴¹	Women	Men	Women	Men	Women	Ме	en	Women	Men		
65-74 yrs	156	200	579	684	600	73	9	342	422		
75-84 yrs	237	359	643	725	776	76	9	468	577		
85+ yrs	448	500	820	911	827	94	1	613	680		
	Disability										
Schonborg	(Age, s	ex, smoking, BM	I, perceived heal	th, ADL or IADL	Quinti disability, emot	le of Ri tional he	i sk ealth, c	omorbidity, ho	spitalization, e	emergency room and c	linic visits)
2009 ¹⁸⁶		n	4	<u>-</u>	140			270		520	
2000	0	<u>ן</u> ר	10	0	140			210		520	
		7	10	0	170			210		500	
	5/		10 10	0	101			204		513	

Reference	Syndrome	Deaths per 1,000 Elderly Persons With Different Definitions of the Syndrome									
	Disability (cont'd)										
	Mortality Index, Points										
	(Age, sex, smoking, BMI, perceived health, ADL or IADL disability, emotional health, comorbidity, hospitalization, emergency room and clinic visits)										
Schonberg,	0-1	2-3	4-5	6-7	8-9	10-11					
2009 ¹⁸⁶	20	70	80	110	150	250					
	30	50	80	120	190	290					
	24	63	80	114	164	264					
Lee, 2006 ⁵³	Difficulty bathing	Difficulty preparing meals	Difficulty using telephone	Difficulty managing finances	Difficulty walking several blocks	Difficulty pushing heavy objects					
	431	334	454	364	257	224					
	Malnutrition										
Beck, 1999 ⁷⁰	NSI checklist	NSI checklist	MNA	MNA							
	0-5	≥6	≥24	17-23.5							
	230	360	170	490							
	Chronic inflammation										
Kravitz, 2009 ⁹¹	CRP										
	Undetectable (<0.5)	Detectable (0.5-0.7)	Elevated (≥0.8)								
	375	531	530								
5- to 7-Year Morta	ality										
	Cognitive impairment										
Stump, 2001 ¹⁹⁹	None	Mild	Moderate to								
			severe								
	214	215	408								
	Frailty	P			1						
Fried, 2001 ²³	Not frail	Intermediate	Frail								
	120	230	430								
10-Year Mortality											
- 148	Disability		,		1	1					
Graham, 2009 ¹⁴⁰	ADL limitation	IADL limitation									
	805	555									
	Frailty	P				1					
Graham, 2009 ¹⁴⁸	Not Frail	Intermediate	Frail								
	336	487	840								

Table 1. Mortality Among Older Persons With Geriatric Syndromes

Bold indicates the best predictors of mortality.

Table 2. Population Risk of Mortality Attributable to Geriatric Syndromes, Sortedin Descending Order of Population Attributable Risk

Syndrome	Definition	Prevalence (%)	Relative Risk	PAR (%)
Multiple comorbidity*	>3 diseases	31	1.32-2.12	7.52-16.38
BADL disability	Moderate	16.1-20.5	14.1	15.8
Chronic inflammation*	Elevated CRP	24.4	1.42	7.22
BADL disability	Severe	6.0-7.8	86.8	6.9
Frailty*	Phenotype	14.35	1.5	4.78
Cognitive impairment*	MMSE score <24	16.86	1.37-1.61	4.55-6.39
Cognitive impairment	MMSE score <18	7.5	2.2	4.09
Multiple comorbidity	Poor health, men	6.94	2.33	3.96
Multiple comorbidity	Poor health, women	6.71	2.35	3.85
Cognitive impairment	Dementia	6.28	2.2-2.69	3.43-3.95
Frailty	Accumulation deficit	23.57	1.15	3.07
Multiple comorbidity	Poor health	2.93	2.04	1.49
Malnutrition*	Low BMI	2.3	2.03	1.17
Homeostenosis*	Allostatic load score 6 vs. 1	1.4	4.45	1.09

Homeostenosis*Allostatic load score 6 vs. 11.44.45*Combining PAR, 26% of mortality in elderly persons was attributable to geriatric syndromes.

Table 3. Differences in Remaining Life Expectancy Between Older Persons From the General Population andOlder Persons With Geriatric Syndromes

	Remaining Life Expectancy in	Multiple Morbidity	Elevated	Frailty	MMSE	MMSE	Accumulation	Poor	Low	Allostatic	ADL
Age	General Population	(>3 Diseases)	CRP	(Phenotype)	Score <24	Score <18	Deficit	Health	BMI	Load	Disability
65	18.4	-2.2	-2.8	-3.2	-2.5	-6.0	-1.1	-5.6	-5.4	-10.3	-3.85
70	14.9	-2.0	-2.5	-2.8	-2.2	-5.3	-1.0	-5.0	-4.8	-8.9	-3.43
75	11.7	-1.7	-2.1	-2.5	-1.9	-4.5	-0.9	-4.3	-4.1	-7.5	-2.97
80	8.9	-1.4	-1.8	-2.1	-1.6	-3.7	-0.7	-3.6	-3.4	-6.0	-2.48
85	6.5	-1.1	-1.4	-1.6	-1.3	-3.0	-0.6	-2.8	-2.7	-4.7	-1.98
90	4.6	-0.8	-1.1	-1.2	-1.0	-2.2	-0.4	-2.1	-2.0	-3.5	-1.45
95	2.8	-0.5	-0.6	-0.7	-0.6	-1.3	-0.2	-1.3	-1.2	-2.2	-0.86
100	0.4	-0.1	-0.1	-0.1	-0.1	-0.3	-0.1	-0.2	-0.2	-0.4	-0.17

Table 4. Population, Intervention, Comparator, Outcomes, Time, and Settings for Each Research Question (PICOTS Framework)

Question	Population	Intervention (Independent Variable)	Comparator	Outcomes	Time. Setting
1. What is the definition and prevalence of common syndromes/conditions in older adults?	Elderly: >65 years ≥80 years in community	Definitions of the outcomes Measurements of the outcomes Socioeconomic groups Race groups	Definitions of the outcomes Measurements of the outcomes Socioeconomic groups Race groups	Prevalence of cognitive impairment -Frailty -Malnutrition -Multiple morbidities (using polypharmacy as a proxy) -Homeostenosis -Disability -Sarcopenia -Chronic inflammation	1990-2009 General population
2. What is the prevalence of common syndromes/ conditions in older adults in sex, age, race, ethnicity, and other subgroups?	Elderly: >65 years ≥80 years in community	Sex: male Age: age categories Comorbidity	Sex: female Age: age categories No comorbidity	Prevalence of cognitive impairment -Frailty -Malnutrition -Multiple morbidities (using polypharmacy as a proxy) -Homeostenosis -Disability -Sarcopenia -Chronic inflammation	1990-2009 General population
3. What is the association between these common syndromes/conditions and mortality, institutionalization, hospitalization, and activities of daily living?	Elderly : >65 years ≥80 years in community	Prevalence and degree of cognitive impairment -Frailty -Malnutrition -Multiple morbidities (using polypharmacy as a proxy) -Homeostenosis -Disability -Sarcopenia -Chronic inflammation	Absence or low degree of cognitive impairment -Frailty -Malnutrition -Multiple morbidities (using polypharmacy as a proxy) -Homeostenosis -Disability -Sarcopenia -Chronic inflammation	Morbidity Mortality Disability Institutionalization	1990-2009 General population
4. What statistical and decisionmaking models predict mortality based on these common geriatric syndromes/conditions?	Elderly: >65 years ≥80 years in community	Prevalence and degree of cognitive impairment -Frailty -Malnutrition -Multiple morbidities (using polypharmacy as a proxy) -Homeostenosis -Disability -Sarcopenia -Chronic inflammation	Statistical modeling of the association between interacting syndromes and conditions and patient outcomes	Morbidity Mortality	1990-2009 General population

Table 5. Definitions of Homeostatic Dysregulation in Older Persons, Modified From Kuchel²⁰¹

Syndrome	Operational Definition
Impaired Homeost	asis
Homeostenosis	Diminished capacity to respond to varied homeostatic challenges, such as changes in ambient temperature,
	orthostasis, fluid load, or dehydration.
	High plasma tonicity (>300 mOsm/L ⁻⁰)
	Greater intraindividual variability in fasting glucose, pulse pressure, and BMI
Abnormal	Increased biological burden in terms of estimates of cumulative exposure exacted by attempts to adapt to life's
allostatic	demands predicts tuture mortality, as well as declines in cognitive and physical function:
load	High-sensitivity CRP (>5.0 mg/L)
	• Albumin (<3.6 g/dL)
	• IL-6 (>2.76 pg/ml)
	Aldosterone (<4.5 ng/dL)
	Urinary cortisol
	• Males (<25.0 or >/2.0 mg/24 hours)
	• Females (<8.0 or >37.0 mg/24 hours)
	Dehydroepiandrosterone sulfate
	• Males (9.5 mg/dL)</td
	• Females (<15.5 mg/dL)
	• Epinephrine (>24.0 pg/mL)
Evenerive	Norepineprine (>433.0 pg/mL) Compatibility and proceedings of a conspirate second se
EXCessive	Symparieur responses to common challenges are excessively large and prolonged.
stressors	High uniary teneprime
311033013	High urinary noreninenbrine
	Stress hormone index
Malnutrition	
Poor nutritional	Significant weight change: a) 10% of body weight in 6 months or b) involuntary loss of >10 lb in 6 months ⁷⁰⁻⁷²
status	Anthropometric data: body mass index <20 ^{46,1270,100,107}
	Laboratory data: serum prealbumin <15 mg/dl, ^{witho} serum transferrin <200 mg/dl, or serum albumin <3.5
	Anemia with numicinal denciencies
	Micronutrients deficit ^{79-81,218}
Composite	Risk of malnutrition accoriding to the Mini Nutritional Assessment; maximum score is 30, with cut-off values of
nutritional score	24 points (well nourished), 17 points (risk of malnutrition), <17 (malnourished). ^{70,82,83}
	Nutritional risk using the "Determine Your Nutritional Health Checklist": low nutritional risk (0-2), moderate risk
	(3-5), and high risk (6+) ^{70,72,82,84,85}
Chronic Inflammat	
Increased levels	Elevated high-sensitivity CRP
of Individual	Increased levels of interieukin-o
Diomarkers	Ligh fibringgon ¹²⁴
	High D-dimer levels ¹⁷⁵
	Increased levels of tumor necrosis factor-alpha ¹⁷⁶
Inflammatory	Balance between pro-inflammatory and anti-inflammatory markers ^{124,219}
indices	Elevated levels of several biomarkers ^{124,174,219,220}
Prognostic	Prognostic Inflammatory and Nutritional Index (PINI) defined as (CRP * alpha 1-acid glycoprotein)/(albumin *
inflammatory and	transthyretin) ¹⁷⁰
nutritional indices	

Table 6. Reported Components of Frailty Syndrome By the Interventions on Frailty Working Group²⁰⁹

Reference	Mobility	Strength	Balance	Motor Processing	Cognition	Nutrition	Endurance	Physical Activity
Ory 1993 ²²¹	Х	Х	Х		Х		Х	
Brown 2000 ²²²	Х	Х	Х	Х				
Tinetti 1995 ³	Х	Х						
Fried 2001 ²³	Х	Х				Х	Х	Х
Gill 1996 ²²³	Х		Х					
Winograd 1988 ²²⁴	Х				Х	Х		Х
Strawbridge 1998 ²²⁵	Х				Х	Х		
Saliba 2001 ²²⁶	Х							
Pendergast 1993 ²²⁷		Х	Х	Х			Х	
Campbell 1997 ²²⁸		Х	Х	Х	Х	Х	Х	
Dayhoff 1998 ²²⁹		Х	Х					
Vellas 2000 ²³⁰		Х				Х	Х	Х
Rockwood 1994 ²³¹					X			Х
Chin 1999 ²³²						Х		Х

Table 7. Summary of Basic and Instrumental ADL Disability Definitions

Disability Definition	Operational Definition of Disability
Any BADL disability	1 or more BADL items present
Moderate BADL disability	1 to 2 BADL items
Severe BADL disability	3 or more BADL items
BADL disability continuous	BADLs measured on a continuous scale
Individual BADL disability items	Measure only contains 1 BADL item (bathing, dressing/hygiene, eating, toileting,
	transferring, walking)
Any IADL disability	1 or more IADL items present
Moderate IADL disability	1 to 2 IADL items
Severe IADL disability	3 or more IADL items
IADL disability continuous	IADLs measured on a continuous scale
Individual IADL disability items	Measure only contains 1 IADL item (finances, housekeeping, meal preparation,
	shopping, medication management, telephone, transportation)
Any BADL/IADL disability	
BADL/IADL disability continuous	

Table 8. Prevalence of Multiple Morbidities in Older Persons

Number of Chronic Conditions	Polypharmacy	Self-Perceived Health
(high level of evidence)	(low level of evidence)	(high level of evidence)
Suffer from chronic diseases: 77.4% ²⁵⁴	2-3 drugs: 40.7% ²⁶⁹	Fair/poor:
	-	Pooled 28.24% (95% CI, 20.98-38.00) ^{14,103,109,250}
3-4: 18.7-30.7% ^{250,270}	4-5 drugs: 0.09% ²⁶⁹	Poor:
	-	Pooled 2.93% (95% CI, 1.90-4.51) ^{14,103,109,250}
≥3: 27.80-36.60%*	5-9 drugs: 21.8% ¹⁵²	
	_	
≥4: 10.8% ²⁷⁰	>5 drugs: 29.0% ²⁵⁶ to 56.6% ²⁰⁵	
	>11 drugs: 17.7% ²⁰⁵	
5-6: 8.2-18.3% ²⁵⁰		
>5: 23% ¹⁷⁶		
≥7: 1.9-13.9% ²⁵⁰		
8-10: 20.1% ¹⁵²		
≥11: 23.7% ¹⁵²		

*From the National Health Interview Survey.

Table 9. Components of Systems Classifying Cognitive Impairment in Older Persons⁵⁰

System, Reference	Criteria
Age-associated cognitive decline ²⁵⁷	Impairments (below age- and education-matched norms) in memory, learning, attention, thinking, language, or visuospatial functioning. Onset of decline is described as gradual and has been present for at least 6 months, which is confirmed by an informant
Age-associated memory impairment ⁵⁰	Gradual decline in memory (below young healthy norms), with other cognitive functions unimpaired. Adequate intellectual functioning.
Age-consistent memory impairment ⁵⁰	Decline in memory is observed as expected for age. Performance ±1 SD of the mean established for age on 75% or more of memory tests administered.
Age-related cognitive decline	Objective decline from premorbid level (within normal limits for the person's age) in cognitive function on a comprehensive neuropsychological assessment, not otherwise specified.
Benign senescent forgetfulness ²⁵⁸	Inability to recall relatively unimportant data and parts of experiences belonging to remote rather than the recent past; use of compensatory strategies.
Cognitive impairment, no dementia ²⁵⁹	Cognitively impaired but no evidence of dementia, as diagnosed according to the <i>Diagnostic</i> and Statistical Manual of Mental Disorders, Fourth Edition; cognitive impairment can be in one or multiple domains and have a variety of aetiologies. This category is therefore more inclusive than age-associated memory impairment and age-related cognitive decline.
Limited cognitive disturbance ²⁷²	Referred to in the Comprehensive Assessment and Referral Evaluation and contrasts with pervasive cognitive disturbance and dementia.
Mild cognitive decline ²⁶⁰	Stage 3 of the Global Deterioration Scale, the earliest stage of clinical decline. Objective evidence of a memory deficit resulting in decreased performance in demanding employment and social situations.
Moderate cognitive decline ²⁶⁰	Stage 4 of the Global Deterioration Scale, a late confusional phase in which a clear cut deficit is apparent. Patients almost always make three or more errors on the Mental Status Questionnaire.
Mild cognitive disorder ⁵⁰	Decline in cognitive performance, including memory impairment and learning or concentration difficulties. Cognitive tests must corroborate complaint. The disorder may precede, accompany, or follow a wide variety of infections.
Mild cognitive impairment (amnestic) ^{37,38}	Subjective complaint of memory impairment with objective memory impairment adjusted for age. Normal general cognitive function. Intact activities of daily living/instrumental activities of daily living.
Mild cognitive impairment ³⁷ (multiple) ³⁸	Deterioration in at least one nonmemory cognitive domain in addition to memory impairment without sufficiently severe functional impairment or loss of instrumental activities of daily living to constitute dementia. Normal general cognitive function.
Mild cognitive impairment (nonamnestic) ⁵⁰	Objective impairment in one or more nonmemory domains. Memory performance is not impaired. No functional impairment or loss of instrumental activities of daily living. Normal general cognitive function.
Probable mild cognitive impairment ⁹⁰	Meeting the following criteria: 1) participants or their families reported cognitive problems and 2) there were no neurological, psychiatric, or systemic illnesses that could explain the presence of cognitive deficits.
Possible mild cognitive impairment ⁹⁰	Meeting the following criteria: 1) neither participants nor their families reported cognitive problems; or 2) there were neurological, psychiatric, or systemic illnesses that might explain the presence of cognitive deficits; or 3) there was an incomplete evaluation.
Minimal dementia ⁵⁰	Corresponds closely to "questionable dementia" (CDR score of 0.5). ¹⁶ Deficits in memory and minor and variable errors in orientation. No evident impairment in occupational functioning.
Mild neurocognitive disorder ⁵⁰	Impairment arising as a consequence of a general medical condition.
Self-reported memory complaint ⁵⁰	Complaints of memory loss in the absence of formal testing. When formal testing indicates no impairment, an individual would be classified as "worried well."
Questionable dementia ^{273,274}	The worst end of the mild cognitive impairment spectrum; associated with a CDR score of 0.5, indicative of cognitive impairment 2 SDs below the mean in one cognitive domain. Objective evidence of cognitive impairment not satisfying criteria for dementia.

Table 10. Definitions and Prevalence of Frailty

Study	Prevalence	Mobility impairment	Weakness	Weight loss /poor nutritional status	Low Physical Activity	Fatigue	Bowel and Bladder Incontinence	Cognitive impairment	Visual impairment	ADL/IADL impairment	Lung Function	Chronic/ Terminal Illness
Cardiovascular Health Study	6.9	√	✓	✓	~	√						
Fried, 2001 ²³												
Canadian Study of Health and Aging Gutman, 2001 ¹⁰³	21.2	~					~	~		~		
Women's Health and Aging Studies	11.3	~	~	✓	~	~						
Bandeen-Roche, 2006	07											
Winograd, 1991 ²⁵ (hospital based)	27	~		v			~	~		~		•
Cooperative Cardiovascular Project Lichtman, 2009 ²⁷⁵ (hospital based)	27.5	\checkmark					~	~				
Heart Failure Cohort Lupon, 2008 ²⁷⁶ (among diseases)	39.9							~			~	
Beaver Dam Eye Study Cohort	44.7	~	~						~	~		
Precipitating Events Project	42.7	~										
Chinese Longitudinal Healthy Longevity Survey	NR							~	~		~	✓
Gu, 2009 ¹⁵⁹												
Study of Osteoporotic Fractures Ensrud, 2008 ²⁴	17	~		~		~						
Osteoporotic Fractures in Men	4	~	~	~	~	~						
Longitudinal Aging Study Amsterdam	5.8			~	~		~	~	~	~		
Puts, 2005	7	1	1	<u> </u>	1	1						
Avila-Funes. 2008 ²⁸	1			,	•							
MacArthur Study Sarkisian, 2008 ²²⁰	6.7	~	~	~	\checkmark	~		~				
Depression Among Caregivers of Impaired Elders Study	18.9	~			\checkmark			~			✓	
Hispanic Established Populations for Epidemiologic Studies of the Elderly	7.6	√	~	~	√	~						
Chinese University of Hong Kong Aging Study	NR	~						~			√	
Rush Memory and Aging Project	NR	✓	~	~		~						
Boyle, 2010 ²¹⁰ Health and Retirement Study	21.3		✓	✓ ·				~	~			
Cigolle, 2009 ¹⁰⁴												
National Population Health Survey of Canada Song, 2010 ¹¹⁵	22.7	~		~	~	~	✓		~		~	
Health, Aging and Body Composition Study Peterson, 2009 ¹⁰⁵	2.7	~										

NR=prevalence estimate not reported; study defined frailty and examined association between frailty and outcome.

Table 11. Number of Studies and Prevalence Estimates By Each Criterion of the Definition of Frailty

Prevalence Category	Mobility Impairment	Weakness	Weight Loss/Poor Nutritional Status	Low Physical Activity	Fatigue	Bowel and Bladder Incontinence	Cognitive Impairment	Visual Impairment	ADL/IADL Impairment	Lung Function	Chronic/ Terminal Illness
0-5%	2	1	1	1	1	0	0	0	0	0	0
10-20%	3	1	2	2	2	0	1	0	0	1	0
20-30%	4	1	3	1	1	4	4	2	2	1	1
5-10%	4	4	5	5	4	1	2	1	1	0	0
>30%	2	1	0	0	0	0	1	1	1	1	0
Total	15	8	11	9	8	5	8	4	4	3	1
Average preva	Average prevalence of frailty in studies when component is included in the definition of frailty										
Mean	17.9	13.7	12.5	10.1	10.4	20.8	21.0	23.6	24.7	27.2	27.0
Standard deviation	13.3	13.6	8.1	6.4	6.3	8.8	11.2	16.0	16.1	11.2	

Table 12. Prevalence of Basic ADL Disability (Evidence From Good-Quality Studies)

Disability Definition	Range of Older Persons With Disability (%)
Any BADL disability	5.0-18.3 ^{41,53,55-64}
	25.6 (CHS All Stars) ⁵⁹
Moderate BADL disability	16.1-20.5 ^{5,118}
Severe BADL disability	6.0-7.8 (3-4 BADLs) ^{5,118}
	4.8 (5-7 BADLs) ⁵
Bathing disability	3.9-9.0 ^{53,55,65,122,248}
Dressing/hygiene disability	0.8-11.0 ^{53,55,65,120,248}
	9.2 (Alumni Health Study) ¹²⁰
Eating disability	0.4-4.5 ^{53,55,58,65,119,120,248}
	7.3 (Alumni Health Study) ¹²⁰
Toileting disability	2.4-6.0 ^{53,55,58}
Transferring disability	0.4-9.0 ^{53,55,58,65}
	21.1 (Alumni Health Study) ¹²⁰
Walking disability	0.8-13.0 ^{53,55,65,120}
	20.6 (Alumni Health Study) ¹²⁰

Table 13. Increase in Individual Basic ADL Disability Over 5 Years

	Year	
Individual BADL Disability	1980	1985
Bathing disability	3.9	14.7
Dressing/hygiene disability	0.8	11.0
Eating disability	0.4	2.2
Transferring disability	0.4	5.8
Walking disability	0.8	7.7

Table 14. Prevalence of Instrumental ADL Disability (Moderate to High Level of Evidence)

Disability Definition	Range of Older Persons With Disability (%)
Any IADL disability	12.0-46.7 ⁵³⁻⁵⁷
Moderate IADL disability	7.2-31.0 ^{5,248,267}
Severe IADL disability	4.5-21.2 ^{5,54,267}
Finance disability	8.0-19.3 ^{53,55,65}
Housekeeping disability	7.5-35.8 ^{65,122}
Meal preparation disability	7.1-32.4 ^{53,65,119}
Medication management disability	3.0-4.7 ^{53,55}
Shopping disability	11.0-32.9 ^{53,65,119}
Telephone disability	4.0-6.0 ^{53,55}
Transportation disability	54.3 ⁶⁵

Table 15. Increase in Individual Instrumental ADL Disability Over 5 Years (Good-Quality Study)65

	Year	
Individual IADL Disability	1980	1985
Finance disability	19.3	36.7
Housekeeping disability	35.8	48.0
Meal preparation disability	32.4	34.1
Shopping disability	32.9	41.3
Transportation disability	54.3	67.6

Table 16. Prevalence of Multiple Morbidities in Older Subgroups

	Number of Chronic Conditions	Polypharmacy	Self-Perceived Health
Subgroup	(low to moderate level of evidence)	(insufficient level of evidence)	(high level of evidence)
65-74 years	≥3 diseases: 27.80% ³⁰⁷		
>75 years	≥3 diseases: 36.60% ³⁰⁷	75-79 years: 50.3%	
>100 years	>5 diseases: 23% ¹⁷⁶	80-84 years: 57.2%	
		85-89 years: 63.1% ²⁰⁵	
Men	2 diseases: 16% ¹²⁵	≥5 drugs: 35.6% ⁹⁴	Fair
	4-6 diseases: 5% ¹²⁵	>11 drugs: 15.5% ²⁰⁵	Pooled: 27.61% (95% CI,16.77-45.47) ^{94,280}
			Fair/poor
			14.20% (95% CI,13.30-15.10) ⁷⁴
			Poor
			Pooled: 6.94% (95% CI, 3.00-16.10) ^{94,103,280}
Women	2 diseases: 16% ¹²⁵	≥5 drugs: 43.0% ⁹⁴	Fair
	3 diseases: 23.0% ²⁴²	>11 drugs: 19.1% ²⁰⁵	Pooled: 31.64% (95% CI, 14.31-69.93)
	≥3 diseases: 16-18.4% ^{89,117}		Beer
	4 diseases: 16.0% ²⁴²		Pooled: 6.71% (05% CL 3.04.14.81) 94,103,280
	>4 diseases: 8.6%		Fooled. 0.7 1% (95% CI, 5.04-14.01)
	5 diseases: 9.0% ²⁴²		
	>5 diseases: 1.6%		
	6 diseases: 4.5% ²⁴²		
	7 diseases: 2.0% ²⁴²		
	8 diseases: 0.5%		
African Americans	≥3 diseases in women: 13.4%		
Caucasians	≥3 diseases in women: 9.5% ⁸⁹		

Table 17. Prevalence of Basic ADL Disability in Women and Men

	Range of Older Perso	ons With Disability (%)
Disability Definition	Women	Men
Any BADL disability	8.1-14.0 ^{61,63,64,121} (high level of evidence)	6.1-10.3 ^{61,63,64,121} (high level of evidence)
Moderate BADL disability	21.7 ¹¹⁸	19.1 ¹¹⁸
Severe BADL disability	7.0 ¹¹⁸	7.0 ¹¹⁸
Bathing disability	7.7 ¹²²	4.4 ¹²²
Dressing/hygiene disability	17.1 (Alumni Health Study) ¹²⁰	13.2 (Alumni Health Study) ¹²⁰
Eating disability	1.2 ¹¹⁹ to 11.8 (Alumni Health Study) ¹²⁰	1.2 ¹¹⁹ to 6.0 (Alumni Health Study) ¹²⁰
Toileting disability	None	None
Transferring disability	27.6 (Alumni Health Study) ¹²⁰	19.2 (Alumni Health Study) ¹²⁰
Walking disability	27.3 (Alumni Health Study) ¹²⁰	18.6 (Alumni Health Study) ¹²⁰

Table 18. Differences in Instrumental ADL Disability Prevalence By Sex (Good-Quality Individual Studies)

IADL Disability	Men	Women
Any IADL	9.2 ¹²¹	12.7 ¹²¹
Housekeeping	23.6 ¹²⁰	40.1 ¹²⁰
Meal Preparation	9.8 ¹¹⁹	10.2 ¹¹⁹
Shopping	20.5 ¹¹⁹	10.4 ¹¹⁹

Table 19. Prevalence of Basic ADL Disability By Ethnic Group (Good-QualityIndividual Studies)

	African American Older	American Indian Older	White Older Persons
Disability Definition	Persons With Disability	Persons With Disability	With Disability
Any BADL disability	13.6 ⁶²	11.6 ⁶²	8.7 ⁶²
	Men: 7.5 ⁶⁰		Men: 4.7 ⁶⁰
	Women:10.7 ⁶⁰		Women: 5.2 ⁶⁰
Moderate BADL disability			
Severe BADL disability			
Bathing disability			
Dressing/hygiene disability			
Eating disability	1.2 ¹¹⁹		1.2 ¹¹⁹
Toileting disability			
Transferring disability			
Walking disability			

Table 20. Differences in Prevalence of Moderate Basic ADL Disability By Age and Sex Groups (Good-Quality Study)¹¹⁸

	Ages 65-74	Ages 80+
Women	18.0%	33.0%
Men	17.0%	28.0%

Table 21. Differences in Prevalence of Severe Basic ADL Disability By Age and Sex Groups (Good-Quality Study)¹¹⁸

	Ages 65-74	Ages 80+
Women	6.0%	10.0%
Men	6.0%	11.0%

Table 22. Association Between Multiple Morbidities and Clinical Outcomes

Population	Number of Chronic Diseases	Charlson Score	Polypharmacy	Poor Health	
Relative increase in risk of death					
	High level of evidence	Good-quality individual study	Moderate level of evidence	High level of evidence	
All sexes	32-112% ^{39,124,125-129}		13-16% per drug ^{54,130}	Pooled: 104% ^{27,103,131}	
Women	18% ²⁴³	89-154% ³⁰⁸	Not significant ⁹⁴	Pooled: 235% ^{94,280}	
Men		127% ³⁰⁸	Not significant ⁹⁴	Pooled: 233% ^{94,280}	
Relative increase i	n risk of institutionalization				
	Low-quality individual study			Moderate level of evidence	
All sexes	20% ¹²⁸			10-80% ^{8,103,132}	
				Dose response: 20% per score (0-5) ³²⁷	
African Americans				Not significant ^{311,328}	
Caucasians				10-12% ^{311,328}	
Relative increase in risk of hospitalization					
	Low-quality individual study	Low-quality individual study	Moderate level of evidence	Low level of evidence	
All sexes	70% ¹³³	37-94% ¹²⁶	124-190% ^{133,134}	7-179% ¹³⁵⁻¹⁴⁰	
Table 23. Association Between Disability and Risk of Hospitalization (Moderate-Quality Individual Studies)

Disability Definition	Estimate (95% CI)
Any BADL disability	$1.8(1.6-2.0)^{136}_{100}$
	$2.0(1.1-3.4)^{162}$
	3.3 (1.9-5.5) ¹⁶²
Severe BADL disability	Progressive disability*
	Men: 2.8 (1.6-5.2) ¹⁶³
	Women: 3.8 (2.2-4.9) ¹⁶³
	Catastrophic disability**
	Men: 15.8 (9.1-27.5) ¹⁶³
	Women: 16.0 (11.1-23) ¹⁶³
BADL disability, continuous variable	1.31 ¹³⁷ (NS)
Any IADL disability	2.5 (1.4-4.5) ¹⁶²
Any IADL/BADL disability	1.2 (0.8-1.8) ¹⁴⁰
Other disability definitions	Not physically able***
	2.1 (1.2-3.5) ¹⁶²
	Number of restricted-activity bed days
	1.7 (0.86-2.9) ¹³³ (NS)
	Uses cane, walker, wheelchair
	1.16^{253} (p=0.006)

NS=statistically nonsignificant result.

*Progressive disability is defined as the development of an additional ADL dependency after having 1-2 ADL disabilities.

**Catastrophic disability is defined as the onset of 3 or more ADL dependencies when none existed before.

***Physically able is defined as having no difficulty in walking 1/4 of a mile, stooping, crouching or kneeling, lifting 10 pounds, or walking up 10 steps without resting.

Table 24.	Association Betwo	een Disability an	d Risk of Death	(Good-Quality
Individual	Studies)	-		

Disability Definition	Time to Mortality (Months)	Estimated Subpopulation (95% Cl)
BADL disability continuous	48	1.1 (1.04-1.16) ³²⁹
	6	1.4 (NR); p<0.01 ¹²⁷ – Frail
	1.5	1.11 (1.06-1.15) ²⁵¹ – Hospitalized
Any BADL disability	24	1.9 (1.3-2.7) ¹⁶²
Moderate BADL disability	24	14.1 (9.2-21.6) ¹⁶⁴
	48	2.0 (1.2-3.3) ¹⁶⁴
	72	8.6 (6.6-11.0) ¹²⁸
	12	2.1 (1.6-2.8) ¹⁸¹ – Hospitalized
Severe BADL disability	24	86.8 (39.4-190.8) ¹⁶⁴
	48	3.4 (1.6-7.5) ¹⁶⁴
	72	30.0 (18.0-51.0) ¹²⁸
	96	1.13 (1.07-1.19) Hispanic elders ²⁶⁶
Dressing	30 ±19.2	1.6 (1.3-2.1) fully dependent ¹⁸⁴ – Frail
_	30 ±19.2	1.2 (1.0-1.4) partially dependent ¹⁸⁴ – Frail
Toileting	30 ±19.2	1.3 (1.1-1.5) fully dependent ¹⁸⁴ – Frail
Walking	6	1.7 (NS) ¹²⁷ – Frail
Bathing	48	2.0 (1.6-2.4) ⁵³
IADL continuous	48	1.12 (1.08-1.17) ⁵³
	6	0.88 (NS) ¹²⁷ – Frail
Any IADL disability	24	4.14 (3.20-5.36) ¹⁶⁴
	48	1.86 (1.4-2.46) ¹⁶⁴
	72	6.6 (5.0-8.6) ¹²⁸
Moderate IADL disability	36	1.54 (NR); p=0.05 ⁵⁴
	60	1.72 (NR); p=0.001 ⁵⁴
	120	1.62 (NR); p<0.001 ⁵⁴
	60	1.46 (1.20-1.78) ²⁷
Severe IADL disability	36	2.49 (NR); p=0.02 ⁵⁴
	60	1.64 (1.26-2.14) ²⁷
	60	2.09 (NR); p=0.03 ⁵⁴
	120	2.2 (NR); p=0.001 ⁵⁴
Meal preparation	6	2.42 (NS) ¹²⁷ – Frail
Medication management	6	1.15 (NS) ¹²⁷
Financial management	48	1.9 (1.6-2.3) ⁵³
BADL/IADL continuous	96	1.10 (1.08-1.12) ³³⁰
Any BADL/IADL disability	Variable death or institutionalization	1.84 (1.04-3.24) ¹⁵³ – Frail

NS=not significant; NR=not reported.

Figure 1. Negative Association Between Prevalence of Frailty and Relative Risk of Mortality and Institutionalization Among Different Definitions of Frailty: Measurement Effect



Vertical axis=logarithmic value of prevalence or relative risk; poly=polynomial trend; linear=linear trend.

Figure 2. Negative Association Between Prevalence of Cognitive Impairment and Relative Risk of Mortality and Institutionalization Among Different Definitions of Cognitive Impairment: Severity Effect



Vertical axis=logarithmic value of prevalence or relative risk; linear=linear trend.

Figure 3. Negative Association Between Prevalence of Geriatric Syndromes and Relative Risk of Mortality: Tendency of Lower Risk for Higher Prevalence Across All Syndromes



Horizontal axis=logarithmic value of prevalence or relative risk; poly=polynomial trend.



Figure 4. Survival in Older Persons in the General Population and With Geriatric Syndromes: Effect of Relative Risk of Mortality

Vertical axis=probability of survival; horizontal axis=years of age; dots=probability of surviving until the next year for adults older than age 65 years from the general population and with geriatric syndromes.

Figure 5. Conceptual Model



Figure 6. Results of Ranking Exercise



Bars represent mean scores, based on ranking of each syndrome on a scale of 0 to 9 by eight TEP members. Horizontal axis shows the mean score for each syndrome.



Figure 8. Prevalence of Three or More Chronic Conditions Among Older Persons By Percent of Poverty Level: United States, 2005 (Good-Quality National Survey*)

ercent of poverty level	Р	Prevalence, % (95% CI)	
65-74 years			
Total	*	27.80 (26.04, 29.56)	
Below 100%	_ + _	34.20 (28.12, 40.28)	
100%-less than 200%	-+-	32.50 (28.58, 36.42)	
200%-less than 400%	-+-	27.90 (24.57, 31.23)	
400% or more	-	22.40 (19.46, 25.34)	
75 years and over			
Total	*	36.60 (34.64, 38.56)	
Below 100%	_ + -	36.60 (31.11, 42.09)	
100%-less than 200%	-+-	38.10 (33.98, 42.22)	
200%-less than 400%	-+-	38.10 (33.98, 42.22)	
400% or more	-+-	31.40 (26.50, 36.30)	
		100	

* National Health Interview Survey.271

Figure 9. Increase in Drug Visits in Which at Least One Prescription or Nonprescription Drug Was Recorded on the Patient Record Form, per 100 Older Persons (Good-Quality National Surveys*)



Vertical axis=number of drug visits.

*National Ambulatory Medical Care Survey and National Hospital Ambulatory Medical Care Survey.²⁷¹

Figure 10. Increase in the Number of Drugs per 100 Older Persons (Good-Quality National Surveys*)



Vertical axis=total number of drugs.

*National Ambulatory Medical Care Survey and National Hospital Ambulatory Medical Care Survey.²⁷¹





Vertical axis=percent increase in drug visits and the number of drugs.

*National Ambulatory Medical Care Survey and National Hospital Ambulatory Medical Care Survey.²⁷¹

Figure 12. Prevalence of Fair/Poor Health Status in Older Persons (High Level of Evidence)^{14,103,109,250}



Common Syndromes in Older Adults

Figure 13. Prevalence of Amnestic or Multiple-Deficit Mild Cognitive Impairment in Age Categories (Low Level of Evidence)^{90,247}

		Prevalence, % (95% Cl
Amnestic cognitive impairment		
>60 (Third U.S. National Health and Nutrition	on Examination Survey)	6.60 (5.90, 7.40
Probable milder cognitive impairment a	nnesic type	
>65 (CHS Cognition Study)	<•	1.70 (0.60, 2.70
<80 (CHS Cognition Study)	<	1.30 (0.20, 2.40
>80 (CHS Cognition Study)	<	2.50 (0.30, 4.60
Possible multiple cognitive deficit type a	amnesic type	
>65 (CHS Cognition Study)	-	4.30 (2.70, 6.00
<80 (CHS Cognition Study)		4.10 (2.10, 6.00
>80 (CHS Cognition Study)	• • • • • • • • • • • • • • • • • • •	4.90 (2.00, 7.80
Probable milder cognitive impairment m	ultiple cognitive deficit type	
>65 (CHS Cognition Study)		4.70 (3.00, 6.40
<80 (CHS Cognition Study)		4.10 (2.10, 6.00
>80 (CHS Cognition Study)		5.90 (2.70, 9.10
Possible milder cognitive impairment m	ultiple cognitive deficit type	
>65 (CHS Cognition Study)	•	11.00 (3.50, 18
<80 (CHS Cognition Study)	•	9.10 (6.30, 11.9
>80 (CHS Cognition Study)		— 14.70 (9.90, 19
	1	10

Common Syndromes in Older Adults

Minnesota Evidence-based Practice Center

Figure 14. Prevalence of Cognitive Impairment in Older Persons Defined as an MMSE Score of <24 (Moderate Level of Evidence)^{14,39-45}

Study		Prevalence, % (95% CI)
>65		
Community-dwelling elderly people		16.20 (9.10, 27.20)
Norwood -Montefiore Aging Study (NMAS)	•	33.10 (31.00, 35.30)
Hispanic Established Population for the Epidemiological Study of the Elderly	*	20.10 (18.60, 21.60)
Baltimore Epidemiologic Catchment Area Program	-	10.60 (9.60, 11.70)
Three City Study	•	11.80 (11.00, 12.60)
Subtotal (I-squared = 99.3%, p = 0.000)		16.86 (10.41, 27.31)
>70		
Aging, Demographics, and Memory Study, the Health and Retirement Study		28.20 (25.20, 31.30)
Medical Research Council (MRC) Trial		3.30 (2.80, 4.00)
Leipzig Longitudinal Study of the Aged	- • -	19.30 (17.00, 21.80)
Subtotal (I-squared = 99.5%, p = 0.000)		12.18 (4.04, 36.76)
>80		
Medical Research Council (MRC) Trial		3.30 (2.60, 4.30)
Aging, Demographics, and Memory Study, the Health and Retirement Study	-•-	29.20 (26.20, 32.30)
Medical Research Council (MRC) Trial	•	5.80 (4.50, 7.50)
00+		
Medical Research Council (MPC) Trial	•	13 30 (10 70 16 50)
Aging Demographics and Memory Study, the Health and Retirement Study	+	39.00 (35.80, 42.30)
Subtotal (I-squared = 98.8% , p = 0.000)		22.89 (7.97, 65.67)
NOTE: Random effects analysis		

Figure 15. Prevalence of Severe Cognitive Impairment in Older Persons Defined as an MMSE Score of <15 (Low Level of Evidence)^{39,44,261}



Figure 16. Prevalence of Cognitive Impairment in Age Categories of Self-Respondents (High Level of Evidence)⁴⁹

Study	Prevalence, % (95% CI)		
70-74 Cardiovascular Health Study Health and Retirement Survey (HRS) National Long-Term Care Survey (NLTCS) Second Longitudinal Study of Aging (LSOA II) Subtotal (I-squared = 99.0%, p = 0.000)		9.00 (8.30, 9.70) 3.00 (2.30, 4.00) 1.70 (1.60, 2.40) 2.80 (2.00, 4.00) 3.38 (1.29, 8.87)	
75-79 Health and Retirement Survey (HRS) Second Longitudinal Study of Aging (LSOA II) Subtotal (I-squared = 0.0%, p = 0.536)		3.80 (3.00, 4.80) 4.20 (3.40, 5.20) 4.02 (3.43, 4.70)	
75-84 National Long-Term Care Survey (NLTCS) Canadian Study on Health and Aging Chicago Population Study Second Longitudinal Study of Aging (LSOA II) Subtotal (I-squared = 98.1%, p = 0.000)	*	4.40 (3.50, 5.20) 11.10 (10.40, 11.90) 18.70 (13.20, 24.20) 5.70 (5.00, 6.50) 8.42 (5.02, 14.12)	
80-84 Health and Retirement Survey (HRS) Second Longitudinal Study of Aging (LSOA II) Subtotal (I-squared = 73.6%, p = 0.052)	*	6.40 (5.40, 7.50) 8.20 (6.80, 9.90) 7.21 (5.66, 9.19)	
85 Health and Retirement Survey (HRS) Canadian Study on Health and Aging Chicago Population Study Second Longitudinal Study of Aging (LSOA II) 1994 National Long-Term Care Survey (NLTCS) Subtotal (I-squared = 98.9%, p = 0.000)	*	14.20 (12.40, 16.10) 34.50 (33.40, 35.60) 47.20 (37.00, 63.20) 13.20 (10.80, 16.10) 9.40 (7.30, 11.50) 19.56 (10.93, 35.01)	
NOTE: Random effects analysis			
.0158	1 63	3.2	

Figure 17. Prevalence of Cognitive Impairment Using the 10-Item Short Portable Mental Status Questionnaire (High Level of Evidence)⁴⁶⁻⁴⁸



Figure 18. Prevalence of Senile Dementia in Older Persons According to Definition (High Level of Evidence)²⁶²

	Prevalence, % (95% CI)
Canada Mental status questionnaire	* 7.80 (7.50, 8.20)
Denmark Senile dementia	* 8.20 (7.90, 8.60)
England Mental failure Arteriosclerotic senile dementia Chronic brain syndrome Senile dementia GMS GMS GMS	 3.90 (3.70, 4.20) 3.60 (3.40, 3.90) 5.60 (5.30, 5.90) 13.60 (13.20, 14.10 5.20 (4.90, 5.50) 4.60 (4.30, 4.90) 5.00 (4.70, 5.30)
Finland Clinical examination DSM-III	 2.00 (1.80, 2.20) 6.70 (6.40, 7.00)
France DSM-III	 3.60 (3.40, 3.90)
Japan Age related senile dementia DSM-III	 4.50 (4.20, 4.80) 4.80 (4.50, 5.10)
Norwa y Senile dementia, psychosis	* 2.50 (2.30, 2.70)
Sweden Senile dementia, deterioration Senile dementia	 15.80 (15.30, 16.30 1.30 (1.20, 1.50)
USA Senile dementia, psychosis Senile dementia, certifiable DSM-III DSM-III ADRDA-NINCDS	 2.80 (2.60, 3.00) 7.20 (6.90, 7.50) 6.40 (6.10, 6.70) 5.40 (5.10, 5.70) 6.90 (6.60, 7.20)
Germany Clinical examination	 4.20 (3.90, 4.50)
	1 16.3

Figure 19. Prevalence of Dementia in Age Groups: Results From the East Boston Study and Meta-Analysis of 13 Epidemiological Studies of Senile Dementia (High Level of Evidence)^{92,262}

rears		Prevalence, % (95% CI)
Probable Alzheimer's disease		
>65 years	•	10.30 (8.10, 12.50)
65-74	•	3.00 (0.80, 5.20)
75-84	•	18.70 (13.20, 24.20)
85		♣ 47.20 (37.00, 63.20)
Subtotal (I-squared = 96.6% , p = 0.000)	\sim	> 13.97 (5.86, 33.31)
Senile dementia (DSM-III)		
62.5	*	0.90 (0.80, 1.00)
67.5	*	1.60 (1.40, 1.80)
72.5	٠	2.80 (2.60, 3.00)
77.5	٠	4.90 (4.60, 5.20)
82.5	•	8.70 (8.30, 9.10)
87.5	۲	15.50 (15.00, 16.00)
91.5	٠	24.50 (23.90, 25.10)
95		 36.70 (36.10, 37.30)
Subtotal (I-squared = 100.0%, p = 0.000)	\diamond	6.28 (3.33, 11.85)
Alzheimer's disease		
62.5 —		0.20 (0.10, 0.30)
67.5		0.40 (0.30, 0.50)
72.5	*	0.90 (0.80, 1.00)
77.5	*	2.10 (1.90, 2.30)
82.5	٠	4.70 (4.40, 5.00)
87.5	•	10.80 (10.40, 11.20)
91.5	٠	21.00 (20.50, 21.50)
95	~	 37.40 (36.80, 38.00)
Subtotal (I-squared = 100.0%, p = 0.000)	<>	3.06 (1.57, 5.99)
NOTE: random effects analysis		

Figure 20. Odds of Higher Frailty Prevalence Estimates (>20%) in Studies That Included Components in the Definition of Frailty Compared to Those That Did Not

Components of the definition of frailty	Odds Ratio, % (95% Cl
Phenotype	
Low physical activity	0.04 (0.00, 0.48)
Fatigue	0.06 (0.01, 0.74)
Weight loss/poor nutritional status	0.15 (0.02, 1.24)
Weakness	0.22 (0.03, 1.71)
Mobility impairment	0.33 (0.02, 4.55)
Accumulation of the deficits	
Lung function	• 3.00 (0.22, 40.93)
Cognitive impairment	• 3.89 (0.54, 27.87)
Chronic/terminal illness	• 4.20 (0.15, 117.92)
Visual impairment	• 5.40 (0.44, 66.67)
ADL/IADL impairment	• 5.40 (0.44, 66.67)
Bowel and bladder incontinence	• 9.00 (0.75, 108.31)
	I

Figure 21. Prevalence of Frailty in Older Persons (High Level of Evidence)^{23,101,103,104,107,109,111,115,229,264}



Figure 22. Differences in Prevalence of Frailty in the Same Population According to Definition: Results From the Health and Retirement Study (Good-Quality Study)¹⁰⁴



Figure 23. Prevalence of Any ADL Disability (Good-Quality Studies)^{41,53,55-64}

Study		Prevalence, % (95% CI)
≥1 ADL (5 items)		
National Health Interview Survey, Supplement on Aging		5.00 (4.60, 5.40)
EPESE	-	18.30 (17.00, 19.70)
Cardiovascular Health Study All Star		25.60 (23.60, 27.70)
≥1 ADL (4 items)		
Survey on Income and Program Participation		5.80 (5.20, 6.40)
≥1 ADL (3 items)		
American Community Survey (US Census)	•	5.40 (5.30, 5.50)
American Community Survey (US Census)	•	8.80 (8.80, 8.90)
Census Public Use 5% Microdata sample	٠	9.10 (9.10, 9.10)
≥1 ADL (7 items)		
H-EPESE		11.00 (9.90, 12.20)
The Longitudinal Study on Aging	-	12.10 (11.40, 12.80)
≥1 ADL (6 items)		
Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	+	13.90 (13.10, 14.70)
≥1 ADL (6 items)		
Health and Retirement Study - Development Cohort	*	16.00 (15.30, 16.70)
Health and Retirement Study - Validation Cohort	*	18.00 (17.20, 18.90
≥1 ADL (# items not specified)		
Australian Longitudinal study on aging	_ • _	11.90 (10.20, 13.80
EPESE		12.20 (11.20, 13.20)
1		50

Figure 24. Prevalence of Moderate or Severe ADL Disability (Good-Quality Studies)^{5,118}

Definition of disability (Study)

Prevalence of Moderate ADL Disability		
1-2 ADLs (7 items) Longitudinal Study on Aging)	*	16.10 (15.30, 16.90)
1-2 ADLs (6 items) (ELSA - English Longitudinal Stu	udy of Aging)	20.50 (18.60, 22.50)
Prevalence of Severe ADL Disability		
5-7 ADLS (7 items) (Longitudinal Study on Aging)		4.80 (4.30, 5.30)
3-4 ADLS (7 items) (Longitudinal Study on Aging)	-	6.00 (5.50, 6.60)
Moderate BADL disability in Women		
1-2 ADLs (6 items) (ELSA - English Longitudinal St	udy of Aging)	21.70 (20.60, 22.80)
Severe BADL disability in Women		
≥3 ADLs (6 items) (ELSA - English Longitudinal Stu	dy of Aging)	7.00 (6.40, 7.70)
Moderate ADL Disability in Men		
1-2 ADL (6 items) (ELSA - English Longitudinal Stu	dy of Aging)	28.00 (26.80, 29.20)
Severe ADL Disability in Men		
3+ ADL (6 items) (ELSA - English Longitudinal Stud	y of Aging)	17.00 (16.00, 18.00)
1		50

Figure 25. Prevalence of Bathing ADL Disability (High Level of Evidence)^{53,55,58,65,122,248}

Study Bathing ADL Disability Massachusetts Health Care Panel Study (MHCPS) 3.90 (2.60, 5.90) National Health Interview Survey, Supplement on Aging 4.60 (4.20, 5.00) Health and Retirement Study 6.00 (5.60, 6.40) SITE Sources of Independence in the Elderly 6.70 (4.50, 9.80) Health and Retirement Study 8.00 (7.40, 8.60) Survey of Asset and Health Dynamics of the Oldest Old (AHEAD) 8.80 (8.20, 9.50) 9.00 (8.10, 10.00) National Survey on Self-Care and Aging Massachusetts Health Care Panel Study (MHCPS) 14.70 (11.90, 18.00) **Bathing ADL Disability in Women** SITE Sources of Independence in the Elderly 7.70 (5.40, 10.90) Bathing ADL Disability in Men SITE Sources of Independence in the Elderly 4.40 (2.70, 7.10) 1 50

Figure 26. Prevalence of Dressing/Hygiene ADL Disability (High Level of Evidence)^{53,55,58,65,248}



Figure 27. Prevalence of Eating ADL Disability (High Level of Evidence)^{53,55,58,65,119,248}



Figure 28. Prevalence of Individual ADL Disability (High Level of Evidence)^{53,55,58,65,120}

Study

Toileting ADL Disability National Health Interview Survey, Supplement on Aging Asset and Health Dynamics Among the Oldest Old (AHEAD) Health and Retirement Study - Development Cohort Health and Retirement Study - Validation Cohort	2.40 (2.10, 2.70) 2.50 (2.20, 2.90) 5.00 (4.60, 5.40) 6.00 (5.50, 6.50)
Transferring ADL Disability Massachusetts Health Care Panel Study (MHCPS) National Health Interview Survey, Supplement on Aging Survey on Income and Program Participation Asset and Health Dynamics Among the Oldest Old (AHEAD) Massachusetts Health Care Panel Study (MHCPS) Health and Retirement Study - Development Cohort Health and Retirement Study - Validation Cohort Alumni Health Study	0.40 (0.10, 1.50) 2.60 (2.30, 2.90) 2.60 (2.20, 3.00) 3.30 (2.90, 3.70) 5.80 (4.10, 8.10) 7.00 (6.60, 7.50) 9.00 (8.40, 9.60) 21.10 (19.00, 23.40)
Walking ADL Disability Massachusetts Health Care Panel Study (MHCPS) Asset and Health Dynamics Among the Oldest Old (AHEAD) Massachusetts Health Care Panel Study (MHCPS) Health and Retirement Study - Development Cohort Health and Retirement Study - Validation Cohort Alumni Health Study Alumni Health Study	0.80 (0.30, 2.00) 5.90 (5.40, 6.50) 7.70 (5.70, 10.30) 11.00 (10.40, 11.60) 13.00 (12.30, 13.80) 18.60 (16.60, 20.80) 27.30 (25.00, 29.70)
Transferring ADL Disability in women Alumni Health Study	27.60 (25.30, 30.00)
Transferring ADL Disability in men Alumni Health Study	19.20 (17.20, 21.40)
Walking ADL Disability in men Alumni Health Study	18.60 (16.60, 20.80)
1	50

Definition of disability (study)

Prevalence of Any IADL Disability		
≥1 IADL (5 items) (Health and Retirement Study - Developmen	t Cohort)	12.00 (11.40, 12.60)
≥ 1 IADL (5 items) (Health and Retirement Study - Validation C	Cohort) 🔶	16.00 (15.20, 16.80)
1-3 IADL (# items not specified OARS) (Monongahela Valley Ir	ndependent Elders Survey)	23.80 (21.30, 26.50)
≥1 IADL (5 items) (Survey of Asset and Health Dynamics of the	e Oldest Old (AHEAD))	26.50 (25.50, 27.50)
≥1 IADL (6 items) (The Longitudinal Study on Aging)	+	26.90 (25.90, 27.90)
≥1 IADL (3 items) (EPESE)	*	35.50 (33.90, 37.20)
≥1 IADL (# items not specified) (H-EPESE)	•	46.70 (44.90, 48.50)
Prevalence of Moderate IADL Disability		
1 IADL (8 items) (EPIDOS)	★	15.10 (14.30, 15.90)
2 IADL (8 items) (EPIDOS)		7.20 (6.60, 7.80)
1-2 IADL (6 items) (Longitudinal Study on Aging)	*	21.30 (20.40, 22.20)
≥2 IADL (6 items) (National Survey on Self-Care and Aging)	★	31.00 (29.50, 32.60)
Prevalence of Severe IADL Disability		
≥3 IADL (8 items) (EPIDOS)		8.50 (7.90, 9.20)
≥4 IADL (# items not specified OARS) (Monongahela Valley In	dependent Elders Survey)	5.70 (4.50, 7.30)
3-4 IADL (3 items) (MOBILIZE (MBS))	_ + _	21.20 (18.40, 24.20)
3-4 IADL (6 items) (Longitudinal Study on Aging)		6.20 (5.70, 6.80)
5-6 IADL (6 items) (Longitudinal Study on Aging)	_ 	4.50 (4.00, 5.00)
		50
	1	50

Study (year)	Prev	alence, % (95% Cl)
Finances Health and Retirement Study - Development Cohort (2006) Health and Retirement Study - Validation Cohort (2006) AHEAD (2001) MHCPS - 1980 (1990) MHCPS - 1985 (1990)	► ★ ★_ ★_	8.00 (7.50, 8.50) 9.00 (8.40, 9.60) 17.90 (17.00, 18.80 19.30 (16.10, 22.90 36.70 (32.70, 40.90
Housekeeping MHCPS (1990) MHCPS (1990) SITE Sources of Independence in the Elderly (2006)	_ +	35.80 (31.70, 40.10 48.00 (43.60, 52.40 7.50 (5.20, 10.70)
Meal preparation EPESE-Duke Site (2005) Health and Retirement Study (Development cohort) (2006) Health and Retirement Study (Validation cohort) (2006) MHCPS (1980) MHCPS (1985) AHEAD (2001)	+ + + +	10.10 (8.80, 11.50) 10.00 (9.50, 10.60) 12.00 (11.30, 12.70 32.40 (28.50, 36.50 34.10 (30.20, 38.20 7.10 (6.50, 7.70)
Medication management Health and Retirement Study - Development Cohort Health and Retirement Study - Validation AHEAD (2001)		3.00 (2.70, 3.30) 3.00 (2.60, 3.40) 4.70 (4.20, 5.20)
Shopping EPESE-Duke Site (2005) Health and Retirement Study - Development Cohort (2006) Health and Retirement Study - Validation AHEAD (2001) MHCPS (1980) MHCPS (1985)	→ + + -+ →	13.80 (12.30, 15.40 11.00 (10.40, 11.60 14.00 (13.30, 14.80 14.60 (13.80, 15.40 32.90 (29.00, 37.00 41.30 (37.10, 45.60
1		70

Figure 31. Prevalence of Telephone and Transportation Instrumental ADL Disability (Low to Moderate Level of Evidence)^{53,55,65}



Figure 32. Prevalence of Sarcopenia in Participants of the Health, Aging, and Body Composition Study (Good-Quality Study)¹²³



Sarcopenia was defined using relative lean mass index (<7.23 kg/m² for men and <5.67 kg/m² for women) and negative residuals that were derived by adjusting for fat mass in addition to height.

Figure 33. Prevalence of Micronutrient Deficiency in Older Persons (High Level of Evidence)^{80,81}



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Figure 34. Prevalence of Malnutrition Using the Composite Nutritional Score (High Level of Evidence)^{70,72,82-85}

	Preva	alence, % (95% CI)
Both sexes	_ • _	40.00 (44.40.05.00
Janish part of Survey in Europe on Nutrition and the Elderly, a Concerted Action (SENECA) Singapore Longitudinal Aging Study, SLAS	•	19.30 (14.40, 25.30
SENECA: Survey in Europe on Nutrition and the Elderly, a Concerted Action		48.00 (45.60, 50.40
Subtotal (I-squared = 97.9% , p = 0.000)	\diamond	32.27 (22.65, 45.98
Men	-	
San Luis Valley Health and Aging Study		14.00 (12.00, 16.30
Nutrition Screening Initiative		24.00 (19.70, 29.00
Subtotal (I-squared = 94.5%, p = 0.000)		18.27 (10.77, 30.98
Nomen		
San Luis Valley Health and Aging Study	-	17.00 (14.80, 19.40
Nutrition Screening Initiative		34.00 (29.00, 39.30
Subtotal (I-squared = 97.8% , p = 0.000)		24.02 (12.18, 47.38
		04.00 (40.70.00.00
Nutrition Screening Initiative	_	24.00 (19.70, 29.00
African American		
Nutrition Screening Initiative	•	38.00 (32.90, 43.40
Other/Hispanic		
Nutrition Screening Initiative	•	34.00 (29.00, 39.30
Malnutrition		
SENECA: Survey in Europe on Nutrition and the Elderly, a Concerted Action		1.00 (0.60, 1.60)
Domiciliary care services for elderly people with moderate or severe functional limitations		4.80 (2.70, 8.30)
Subtotal (I-squared = 94.1%, p = 0.000)		2.18 (0.47, 10.13)
NOTE: Random effects analysis		
	_	
Figure 35. Prevalence of Fair/Poor Health Status in Older Men (Moderate Level of Evidence)^{74,94,103,280}



Figure 36. Prevalence of Fair/Poor Health Status in Older Women (Moderate Level of Evidence)^{94,103,280}



Figure 37. Prevalence of Mild Cognitive Impairment in Different Race and Age Categories Using the 3MSE Questionnaire for Cognitive Functioning (High Level of Evidence)^{90,91,146,281}

	Prevalence, % (95% CI)
African American Cardiovascular Health Study (CHS) Cognition Study Pittsburgh Cohort	 ◆ 45.50 (40.50, 50.60 ◆ 35.10 (27.00, 38.60)
White Cardiovascular Health Study (CHS) Cognition Study Pittsburgh Cohort	 14.10 (12.70, 15.60 17.90 (14.50, 21.40)
>65 Cardiovascular Health Study (CHS) Cognition Study Pittsburgh Cohort	 18.80 (17.30, 20.40 21.70 (18.40, 25.00)
Three City Study (3C Study)	42.00 (40.80, 43.20)
>75 Cardiovascular Health Study (CHS) Cognition Study Pittsburgh Cohort	18.80 (14.90, 22.70 20.70 (12.20, 29.20)
75-79 Cardiovascular Health Study (CHS) Cognition Study Pittsburgh Cohort	 ◆ 14.70 (12.70, 16.70 ◆ 17.90 (13.60, 22.20)
80-84 Cardiovascular Health Study (CHS) Cognition Study Pittsburgh Cohort	 22.60 (19.20, 26.00) 24.00 (17.20, 30.80)
>85 NONA Immune Study Cardiovascular Health Study (CHS) Cognition Study Pittsburgh Cohort The 90+Study	● 14.50 (9.60, 21.40) ● 28.90 (23.60, 34.20) ● 38.90 (26.00, 51.80) ● 44.10 (37.80, 50.60)
· 1	52

Figure 38. Prevalence of Vascular and Mixed Dementia in Older Men: Results From the EURODEM Prevalence Research Group (High Level of Evidence)⁹⁷

	Prevalence, % (95% Cl)
65-69	1
Finland	1.50 (0.80, 2.90)
Italy	0.01 (0.00, 4.40)
Sweden	1.60 (0.50, 4.80)
Subtotal (I-squared = 63.2%, p = 0.066)	1.04 (0.29, 3.68)
70-79	
Finland –	 ◆ 3.20 (1.70, 5.80)
Italy	4.80 (2.20, 10.20)
Sweden –	• 4.60 (1.70, 11.60)
United Kingdom+	1.80 (0.90, 3.80)
Subtotal (I-squared = 26.4%, p = 0.254)	3.22 (2.09, 4.98)
>80	
Finland —	→ 3.60 (1.20, 10.60)
Italy	16.30 (8.00, 30.40)
Sweden	• 4.80 (0.70, 27.20)
United Kingdom -	 → 3.50 (2.10, 5.80)
Subtotal (I-squared = 78.2%, p = 0.003)	5.83 (2.30, 14.76)
Overall (I-squared = 75.5%, p = 0.000)	3.25 (1.93, 5.46)
NOTE: Random effects analysis	
0.01 1	100

Figure 39. Prevalence of Dementia in Older Men in Age and Severity Categories (Moderate Level of Evidence)^{95,282}



Figure 40. Prevalence of Cognitive Impairment in Older Women in Age Categories Using Definitions Derived From the 3MSE Questionnaire (Moderate Level of Evidence)^{59,95}

Age (Study)		Prevalence, % (95% Cl
Cognitive impairment		
≤82 (CHS All Stars)	+	10.40 (9.00, 12.00)
83-84 (CHS All Stars)	+	7.90 (6.70, 9.30)
85-88 (CHS All Stars)	+	10.20 (8.80, 11.70)
89+ (CHS All Stars)	+	11.50 (10.10, 13.10)
Functional cognitive impairment		
≤82 (CHS All Stars)		 58.60 (56.20, 60.90)
83-84 (CHS All Stars)		 58.90 (56.50, 61.20)
85-88 (CHS All Stars)		 47.00 (44.60, 49.40)
89+ (CHS All Stars)	•	34.90 (32.70, 37.20)
Cognitive impairment, no dementia		
≥65 years (CSA)	+	13.50 (11.90, 15.10)
65-74 (CSA)		6.90 (4.70, 9.10)
75-84 (CSA)	+	20.40 (17.60, 23.20)
>85 (CSA)	+	27.80 (23.90, 31.70)
Cognitive and physical impairment		
≤82 (CHS All Stars)	-	6.30 (5.20, 7.60)
83-84 (CHS All Stars)	-	5.90 (4.90, 7.10)
85-88 (CHS All Stars)	*	8.10 (6.90, 9.50)
89+ (CHS All Stars)	•	24.30 (22.30, 26.40)
	1 6	51.2

CHS=Cardiovascular Health Study All Stars; CSA=Canadian Study of Aging.

Figure 41. Prevalence of Cognitive Impairment in Older Women Defined as an MMSE Score <24 (High Level of Evidence)^{42,90,94,96}



Figure 42. Prevalence of Cognitive Impairment in Older Women in the Cardiovascular Health Study Using the 3MSE Questionnaire and in the Second Longitudinal Study of Aging Using the Telephone Interview of Cognitive Status Instrument (Good-Quality Study)⁴⁹

	Р	revalence, % (95% CI)
≥70 years		
LSOA II Wave 2		6.40 (5.50, 7.30)
Health and Retirement Survey (HRS)	-•-	6.10 (5.50, 6.80)
70-74		
LSOA II Wave 2	•	8.60 (6.60, 10.60)
Cardiovascular Health Study	-	9.00 (8.30, 9.70)
75-79		
LSOA II Wave 2	— •—	10.70 (8.90, 12.40)
Cardiovascular Health Study	*	20.60 (19.70, 21.60)
80-84		
LSOA II Wave 2	+ _	20.20 (14.80, 21.90)
Cardiovascular Health Study	•	32.60 (31.50, 33.70)
>85		
LSOA II Wave 2		32.60 (29.00, 36.20)
Cardiovascular Health Study		• 50.90 (49.70, 52.10)
		20

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Figure 43. Prevalence of Vascular or Mixed Dementia in Older Women From the EURODEM Prevalence Research Group (High Level of Evidence)⁹⁷

Country	
65-69	
Finland —	• 0.50 (0.20, 1.40)
Italy	0.10 (0.05, 4.10)
Sweden *	0.10 (0.05, 4.30)
Subtotal (I-squared = 31.7%, p = 0.231)	0.25 (0.08, 0.80)
70-79	
Finland	• 2.90 (1.80, 4.60)
Italy	• 2.20 (0.80, 5.80)
Sweden	2.60 (0.80, 7.80)
United Kingdom, Cambridge	• 1.50 (0.80, 2.80)
United Kingdom, Cambridgeshire	• 2.20 (0.80, 5.70)
United Kingdom	• 3.30 (1.50, 7.20)
Subtotal (I-squared = 0.0% , p = 0.624)	2.41 (1.80, 3.24)
>80	
Finland	• 6.20 (3.50, 10.90
Italy	• 9.20 (4.20, 19.00
Sweden	◆ 7.00 (2.30, 19.50
United Kingdom	• 2.80 (1.80, 4.30)
Subtotal (I-squared = 69.6%, p = 0.020)	5.41 (2.98, 9.84)
Overall (I-squared = 77.7%, p = 0.000)	2.33 (1.45, 3.74)
NOTE: random effects analysis	
.05	1 20

Figure 44. Prevalence of Dementia in Older Women in Age and Severity Categories (High Level of Evidence)^{95,176,236,282}

Age (Study)	Pr	evalence, % (95% Cl)
Global impairment		5 90 (4 00 9 20)
	_	5.60 (4.00, 6.50)
Dementia		
>75 (Nun Study)	+	0.90 (0.30, 2.30)
<75 (Cardiovascular Health Study)	*	8.80 (7.90, 9.80)
75-79 (Cardiovascular Health Study)	•	20.60 (19.30, 22.00)
80-84 (Cardiovascular Health Study)	•	32.60 (31.10, 34.10)
>85 (Cardiovascular Health Study)		* 50.90 (49.30, 52.50)
>100 (Danish Centenarian Study)		* 56.00 (47.20, 64.40)
Mild Dementia. Canadian Study of Health and Aging		
≥65 vears	_	2.40 (1.70, 3.10)
65-74		1.10 (0.20, 2.00)
75-84	— •—	3.40 (2.10, 4.70)
>85	• _	6.50 (4.40, 8.60)
Moderate Dementia		
≥65 vears		3.60 (2.70, 4.50)
65-74	•	1.00 (0.10, 1.90)
75-84	• _	4.50 (3.00, 6.00)
>85	_ + -	13.60 (10.60, 16.60)
Severe Dementia		
≥65 vears	_ _	3.50 (2.70, 4.40)
65-74	•	0.60 (0.09, 1.30)
75-84	e	4.00 (2.60, 5.40)
>85	-+-	17.20 (13.90, 20.50)
	I	
	1	64.4

Figure 45. Differences in Prevalence of Frailty in Older Persons Ages 65 to 70 Years According to Definition of Frailty (Moderate Level of Evidence)^{23,101-104}

Definition of frailty	Prevalence, % (95% CI)
Kaiser Permanente Inter-regional Committee on Aging \$tudy	
Accumulation deficit, eligibility for NH placement or long-term placement	A.80 (4.30, 5.40)
Cardiovascular Health Study	
Phenotype, >3 criteria met	
MOBILIZE Boston Study	
Phenotype, >3 criteria met	• 5.90 (4.20, 8.20)
Canadian Study of Health and Aging - 1	
Rockwood frailty index - accumulation deficit	-•- 8.90 (8.20, 9.60)
The Health and Retirement Study	
Functional domains model	── • > 15.03 (13.40, 16.80)
Burden model - accumulation deficit	10.06 (8.70, 11.60)
Biologic syndrome model - phenotype	4.20 (3.30, 5.30)
 1	16

Figure 46. Differences in Prevalence of Frailty in Older Persons Ages 70 to 80 Years According to Definition of Frailty (Moderate Level of Evidence)^{22,23,101,104,105}



Figure 47. Differences in Prevalence of Frailty in Persons Older Than Age 80 Years According to Definition of Frailty (Moderate Level of Evidence)^{23,101-104}

Frailty definition (age subgroup)

Kaiser Permanente Inter-regional Committee on Aging 9 80 - 84 85 - 89 >90	Study- accumulation of deficits	•	21.40 (20.40, 22.50) 36.80 (35.60, 38.00) 56.30 (55.00, 57.60)
Cardiovascular Health Study- phenotype, frailty index with 80 - 84 85 - 89 >85 >90	h >3 criteria ♣	* * *	16.30 (15.30, 17.30) 25.70 (24.50, 26.90) 24.99 (23.80, 26.20) 23.10 (22.00, 24.30)
MOBILIZE Boston Study- phenotype, frailty index with >3 >85	criteria		14.30 (11.60, 17.50)
Canadian Study of Health and Aging 1- accumulation of o	deficits, Rockwood frailty index	•	49.20 (47.90, 50.50)
The Health and Retirement Study Functional domains model (>80) Burden model (>80) Biologic syndrome model (>80)		- + ► - +	32.67 (30.40, 35.00) 21.85 (19.90, 23.90) 31.57 (29.40, 33.80)
	1		- 60

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Figure 48. Prevalence of Frailty in Older African Americans According to Definition of Frailty (Moderate Level of Evidence)^{23,104,106,107}



Figure 49. Prevalence of Frailty in Older Hispanics According to Definition of Frailty (Low Level of Evidence)^{104,108}



Figure 50. Prevalence of Frailty in Older Caucasians According to Definition of Frailty (Low Level of Evidence)^{23,104,107,109}



Figure 51. Prevalence of Frailty in Older Men (Moderate Level of Evidence)^{23,103-105,107,109,111-115}



Study

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Figure 52. Prevalence of Frailty in Older Men By Definition and Age Group, Sorted By Increasing Age (High Level of Evidence)^{23,101,111,113,115}

Study (age group)



Figure 53. Prevalence of Frailty in Men Using Phenotype Definition in Race and Age Subgroups, Sorted By Increasing Age (High Level of Evidence)^{23,113,116}



Figure 54. Prevalence of Frailty in Older Women According to Definition (High Level of Evidence)^{23,24,103-105,107,109,111,115-117}



Figure 55. Prevalence of Frailty in Older Women By Definition and Age Group, Sorted By Increasing Age (High Level of Evidence)^{23,101,104,111,115}



Figure 56. Prevalence of Frailty in Older Women Using Phenotype Definition in Race and Age Subgroups, Sorted By Increasing Age (Moderate Level of Evidence)^{23,116}



Figure 57. Prevalence of Any Basic ADL Disability in Women and Men (Moderate Level of Evidence)^{61,63,64}

		Prevalence, % (95% CI)
Prevalence of Any ADL Disability in Women		
≥1 ADL (7 items) (Longitudinal Study of Aging)	_	8.10 (7.20, 9.10)
≥1 ADL (7 items) (Longitudinal Study of Aging)	_ -	9.60 (8.60, 10.70)
≥1 ADL (3 items) (American Community Survey (US Census))	•	10.20 (10.10, 10.20)
≥1 ADL (7 items) (Longitudinal Study of Aging)	_	10.20 (9.10, 11.40)
≥1 ADL (7 items) (Longitudinal Study of Aging)	_ • _	10.60 (9.50, 11.80)
≥1 ADL (# items not specified) (EPESE)		13.20 (12.20, 14.30)
≥1 ADL (# items not specified) (Australian Longitudinal Study on Ageing)	_ +	14.00 (12.20, 16.00)
Prevalence of Any ADL Disability in Men		
≥1 ADL (7 items) (Longitudinal Study of Aging)	_ - •	6.50 (5.70, 7.50)
≥1 ADL (3 items) (American Community Survey (US Census))	•	7.10 (7.00, 7.10)
≥1 ADL (7 items) (Longitudinal Study of Aging)	_ +	7.50 (6.60, 8.50)
≥1 ADL (7 items) (Longitudinal Study of Aging)	_	7.90 (7.00, 9.00)
≥1 ADL (# items not specified) (Australian Longitudinal Study on Ageing)	_ 	10.00 (8.50, 11.80)
≥1 ADL (2 items) (EPESE)	_	10.30 (9.40, 11.30)

50

1

Figure 58. Prevalence of Any Basic ADL Disability in Women Increases With Older Age (Good-Quality Study)⁵⁹



Figure 59. Prevalence of Any ADL Disability in the Cardiovascular Health Study Increases With Older Age (Good-Quality Study)⁵⁹



Figure 60. Differences in Prevalence of Any Basic ADL Disability By Age and Sex (Good-Quality Study)⁵⁹









Figure 62. Prevalence of Severe ADL Disability By Age (Good-Quality Study)¹¹⁸





Figure 64. Difference in Prevalence of Meal Preparation and Shopping Disability By Sex (Good-Quality Study)¹²²





Figure 65. Prevalence of Housekeeping Disability By Sex and Age (Good-Quality Study)¹²²

Figure 66. Differences in Prevalence of Any ADL Disability By Race and Ethnicity in the Census Public Use Microdata Sample (Good-Quality Study)⁶²



Figure 67. Differences in Prevalence of Any Basic ADL Disability By Sex and Race (Good-Quality Study)⁶⁰



Figure 68. Differences in Prevalence of Eating Disability By Race and Sex (Good-Quality Study)¹¹⁹





Figure 69. Prevalence of Shopping Disability By Race and Sex (Good-Quality Study)¹¹⁹



Figure 70. Prevalence of Meal Preparation Disability By Race and Sex (Good-Quality Study)¹¹⁹
Figure 71. Factors Associated With Malnutrition Defined as High Nutritional Score, Low Body Mass Index, or Unintentional Weight Loss (Good-Quality Individual Studies)⁷⁹⁻⁸¹

Associated factors (definition of malnutrition)		Relative measure of association (95% CI)	
Residence Rural vs. urban (high nutritional risk)		2.70 (1.20, 5.90)	
Sex Male vs. female (high nutritional risk)	•	1.15 (0.60, 2.30)	
Race Hispanic/other vs. Caucasian (high nutritional risk) African American vs. Caucasian (high nutritional risk) African American vs. Caucasian (weight loss of 5%)		1.30 (0.67, 2.40) 1.87 (0.86, 4.10) 6.05 (1.66, 22.06)	
Health Poor vs. excellent (high nutritional risk)		4.28 (1.02, 17.90)	
Age >85 vs. 60-64 (high nutritional risk) 75-84 vs. 60-64 (high nutritional risk) 65-74 vs. 60-64 (high nutritional risk)		1.36 (0.34, 5.40) 1.50 (0.40, 5.66) 3.22 (0.76, 13.70)	
Nutritional Assessment Mini Nutritional Assessment score of <31 (weight loss)	- _	2.63 (1.67, 4.15)	
Disability ADL disability (BMI <19 kg/m ²)		- 4.00 (1.40, 11.30)	
0.4	1	22	

Figure 72. Prevalence of Malnutrition Defined as Low Body Mass Index, Low Serum Albumin Level, or Unintentional Weight Loss (Good-Quality Individual Studies)^{46,70-77}

Outcomes (study)	Preval	ence, % (95% CI)
Total albumin level <35 g/L (Italian Group of Pharmacoepidemiology in the Elderly (GIFA))		* 38.13 (37.00, 39.30)
Albumin level <35 g/L Men (SENECA) Women (SENECA)	_	1.80 (1.30, 2.60) 2.50 (1.90, 3.40)
Albumin level <30 g/L Men (SENECA) Women (SENECA)	•	0.20 (0.10, 0.60) 0.70 (0.40, 1.20)
Low BMI <18.5 kg/m ² (Italian Group of Pharmacoepidemiology in the Elderly (GIFA)) <18.5 kg/m ² (Duke Established Populations for Epidemiologic Studies of the Elderly) <19 kg/m ² (VA outpatient clinics) <18.5 kg/m ² (Geisinger Rural Aging Study (GRAS))	←	5.79 (5.30, 6.40) 2.30 (1.40, 3.70) 15.00 (9.80, 22.20) 0.10 (0.09, 4.30)
Men, Iow BMI <22 kg/m ² (San Luis Valley Health and Aging Study) <20 kg/m ² (Osteoporotic Fractures in Men (MrOS))	- - -	5.90 (4.60, 7.50) 1.00 (0.80, 1.30)
Women, Iow BMI <22 kg/m ² (San Luis Valley Health and Aging Study)		• 13.00 (11.10, 15.20)
Unintentional weight loss >5% (Danish part of SENECA)		
Men, unintentional weight loss >5% in 3 years (Cardiovascular Study Research Group) >10% in 6 months (San Luis Valley Health and Aging Study)	-•	* 16.20 (15.20, 17.30) 7.20 (5.80, 9.00)
Women, unintentional weight loss >5% in 3 years (Cardiovascular Study Research Group) >10% in 6 months (San Luis Valley Health and Aging Study)	-+-	* 18.70 (17.60, 19.80) 8.90 (7.30, 10.80)
.03	1	39.3

Figure 73. Association Between Mortality and Poor Self-Perceived Health (High Level of Evidence)^{27,94,103,131,280}



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Figure 74. Association Between Cognitive Impairment and Mortality (High Level of Evidence)^{39,44,54,143-148}



Figure 75. Association Between Cognitive Impairment and Mortality in Older Persons According to Measurement and Severity (Moderate Level of Evidence)^{39,94,313}



Figure 76. Association Between Frailty and Mortality in Older Persons: Effect of the Definition (Moderate Level of Evidence)^{14,23,111,115,148,153-156}

Study

Relative measure of association (95% CI)



Figure 77. Dose Response Association Between Accumulation Deficits and Mortality or Treatment Utilization Among Medicare Beneficiaries (Good-Quality Study)¹⁵⁷

efinition of frailty		Hazard ratio* (95% CI)
Repeat outpatient ED visit, hospital adr	nission, nursing home a	dmission, or death
Deficit accumulation index, 2 vs. 1		1.20 (0.91, 1.60)
Deficit accumulation index, 3 vs. 1	· · · · · · · · · · · · · · · · · · ·	1.33 (1.02, 1.77)
Deficit accumulation index, 4 vs. 1		1.44 (1.06, 1.96)
lospital admission, nursing home adm	ission, or death	
Deficit accumulation index, 2 vs. 1	•	1.45 (0.98, 2.16)
Deficit accumulation index, 3 vs. 1	•	1.55 (1.04, 2.33)
Deficit accumulation index, 4 vs. 1		——— 1.98 (1.29, 3.05)
328	1	3.05

*From the Medicare Current Beneficiary Survey; adjusted for age, sex, race, income, living alone, insurance status, previous emergency department (ED) visits, and previous hospitalizations.

Figure 78. Dose Response Association Between Mortality and Frailty Definitions in Older Persons (Good-Quality Individual Study)^{14,156}

Definition of frailty

Relative risk (95% CI)



Figure 79. Dose Response Association Between Phenotypic Frailty Components and Mortality According to Time of Followup: Results From the Cardiovascular Health Study (Good-Quality Individual Study)^{23,156}

3 years		
1	_ _	1.93 (1.38, 2.69)
2	_ 	2.71 (1.88, 3.89)
3	_ -	5.78 (3.90, 8.56)
4		6.15 (3.31, 11.40)
5		2.24 (1.51, 3.33)
4 years		
1		1.89 (1.45, 2.46)
2		2.52 (1.88, 3.37)
3		4.59 (3.30, 6.39)
4	•	5.63 (3.39, 9.37)
5		•
7 years		
1	-	1.53 (1.29, 1.81)
2	-•-	2.14 (1.77, 2.57)
3	-•-	2.95 (2.34, 3.72)
4		3.92 (2.73, 5.64)
5	•	- 4.63 (1.47, 14.60)
11 years		
1	-	1.52 (1.33, 1.73)
2		1.96 (1.69, 2.28)
3		2.74 (2.27, 3.31)
4	· · · ·	3.47 (2.55, 4.74)
5		2.42 (0.77, 7.57)



Figure 81. Different Definitions of Instrumental ADL Disability and Risk of Death in the General Older Adult Population^{27,53,54,128,162,164,329,330}





Figure 83. Association Between Disability and Mortality in Older Persons in Race and Sex Subgroups (Good-Quality Individual Studies)^{64,119}

Population (Study)		Relative measure of association (95% CI)
ADL continuous, per 1-unit score increase		
Men (LASA)	٠	1.06 (1.03, 1.09)
Women (LASA)	٠	1.05 (1.02, 1.09)
ADL and Rosow-Breslau, mobility		
Men (EPESE)		1.90 (1.50, 2.50)
Women (EPESE)	— •	1.70 (1.30, 2.10)
ADL and Rosow-Breslau		
Men (EPESE)	_ • _	2.60 (2.00, 3.40)
Women (EPESE)	_ 	2.50 (1.90, 3.20)
Not able to fix a meal		
African American men (EPESE DUKE)	•	2.69 (1.16, 6.22)
Caucasian men (EPESE DUKE)	•	6.53 (2.30, 18.59)
Caucasian women (EPESE DUKE)	+	6.10 (2.05, 18.18)
African American women (EPESE DUKE)		
Not able to shop		
White women (EPESE DUKE)		2.49 (1.26, 4.91)
	1	19.6

LASA=Longitudinal Study on Aging – Amsterdam; EPESE=Established Populations for Epidemiologic Studies of the Elderly.

Figure 84. Years of Expected Active Life Remaining in Older Persons With and Without Disability: Results From the Baltimore Epidemiologic Catchment Area (Good-Quality Individual Study)⁴⁰



Vertical axis=years of life.

Figure 85. Association Between Different Definitions of Malnutrition and Mortality (Good-Quality Individual Studies)^{70,71,83,166-169,331}

Definition of malnutrition

Relative measure of association (95% CI)

Albumin level <44.8 g/L vs. 44.8-48.0 g/L (PAQUID Research Program) Mortality, 2 years Mortality, 6 years	• •	5.30 (0.20, 5.70) 2.10 (1.10, 3.90)
Anemia Anemia with nutritional deficiencies (Women's Health and Aging Study I) Anemia (Cardiovascular Health Study)		0.79 (0.29, 2.14) 1.33 (1.15, 1.54)
Low BMI BMI <21.45 kg/m ² vs. 21.45-31.58 kg/m ² (Women's Health and Aging Study) BMI <22.8 kg/m ² vs. 22.8-27.3 kg/m ² (PAQUID Research Program), 2 years BMI <22.8 kg/m ² vs. 22.8-27.3 kg/m ² (PAQUID Research Program), 6 years	·•	2.03 (1.09, 3.77) 0.70 (0.10, 3.00) 2.30 (1.30, 4.40)
Unintentional weight loss Weight loss of 5% (Cardiovascular Study Research Group)	•	1.67 (1.29, 2.15)
Mini Nutritional Assessment Score <23.5 vs. >24 (Danish part of SENECA) <24 (Domiciliary care services for elderly people with moderate or severe functional limitations)	•	2.86 (1.52, 5.56) 1.02 (0.44, 2.38)
Nutritional Health Checklist High nutritional risk (Danish part of SENECA)	▲	1.45 (0.78, 2.71)
.1	1	10

Figure 86. Association Between Mortality and Biomarkers of Malnutrition and Inflammation in Older Participants of the Pathologies Oculaires Liées à l'Age Cohort (Good-Quality Individual Study)¹⁷⁰

Sex (year to assess mortality)		Hazard ratio (95% CI
Albumin <39.44 g/L vs. 39.44-44	l.77 g/L	
Men (5 years)		— 2.72 (1.44, 5.14)
Women (5 years)		1.37 (0.70, 2.70)
Men (5-9 years)		1.13 (0.61, 2.11)
Women (5-9 years)		0.84 (0.48, 1.48)
Alpha 1-acid glycoprotein, high	est quartile and transth	yretin, lowest quartile
Men (5 years)	•	• 6.86 (3.20, 14.71)
Women (5 years)		• 4.64 (1.79, 12.05)
Alpha 1-acid glycoprotein <0.64	g/L vs. 0.64-0.89 g/L	
Men (5 years)		1.03 (0.47, 2.28)
Women (5 years)	•	1.99 (0.95, 4.16)
Men (5-9 years) —	•	0.38 (0.16, 0.92)
Womens (5-9 years)		0.68 (0.36, 1.29)
Alpha 1-acid glycoprotein >0.90) q/L vs. 0.64-0.90 q/L	
Men (5 years)	· · ·	- 2.26 (1.19, 4.31)
Women (5 years)	•	2.61 (1.27, 5.35)
Men (5-9 years)		1.44 (0.82, 2.53)
Women (5-9 years)		1.10 (0.60, 2.02)
Transthyretin <0.24 g/L vs. 0.24	-0.29 g/L	
Men (5 years)	•	2.23 (1.21, 4.13)
Women (5 years)	• • • • • • • • • • • • • • • • • • •	– 2.39 (1.24, 4.58)
Men (5-9 years)		1.17 (0.64, 2.17)
Women (5-9 years)	•	1.36 (0.77, 2.38)
Transthyretin >0.30 g/L vs. 0.24	-0.30 g/L	
Men (5 years)	•	0.39 (0.13, 1.16)
Women (5 years)		0.97 (0.41, 2.33)
Men (5-9 years)		0.89 (0.47, 1.68)
Women (5-9 years)	-	1.04 (0.52, 2.10)
Women (5-9 years)		

Figure 87. Association Between the Prognostic Inflammatory and Nutritional Index* and Mortality in Older Participants of the Pathologies Oculaires Liées à l'Age Cohort (Good-Quality Individual Study)¹⁷⁰



*The Prognostic Inflammatory and Nutritional Index is defined as (C-reactive protein * alpha 1-acid glycoprotein)/(albumin * transthyretin).

Figure 88. Association Between Nutritional and Metabolic Abnormalities and Frailty in Older Women: Results From the Women's Health and Aging Studies I and II (Good-Quality Individual Studies)²³⁸



Figure 89. Association Between Increased Interleukin-6 and Mortality in Older Persons (High Level of Evidence)^{124,174-177}



Figure 90. Association Between Elevated Levels of C-Reactive Protein and Mortality in Older Persons (High Level of Evidence)^{87,124,129,166,167,170,174,177-179}



Common Syndromes in Older Adults

Ovid Technologies, Inc., email service

Search for: limit 9 to (English language and humans and yr="1999-Current") Results: 1-52

Database: Ovid MEDLINE(R) <1950 to July Week 3 2009> Search Strategy: ---------exp geriatric assessment/ (12945) 1 2 exp health services for the aged/ (12535) 3 (common\$ adj3 syndrome\$).mp. (4417) 4 1 or 2 (24542) 4 and 3 (19) 5 geriatric syndrome\$.mp. (239) 6 common\$.mp. (752036) 6 and 7 (58) 7 8 9 1 D S ---

9 10	8 or 5 (65) limit 9 to (English language and humans and yr="1999-Current") (52)
Dat Sea	abase: Ovid MEDLINE(R) <1950 to July Week 3 2009> arch Strategy:
 1 2	exp health services for the aged/ (12535)
3	exp genatic assessment (12343)
4	exp aging/(161093)
5	1 or 2 or 3 or 4 (1931689)
6	syndrome/ or syndrome\$.mp. (769191)
7	exp cognition disorders/ (40357)
8	exp sleep disorders/ (45845)
9	exp frail elderly/ (4572)
10	exp nutrition disorders/ (190734)
11	exp gait disorders, neurologic/ (2115)
12	exp urinary incontinence/ (22048)
13	exp recal incontinence/ (6234)
14	exp vision disorders/ (40713)
16	exp depression/ (52923)
17	exp delirium dementia amnestic cognitive disorders/ (1.32607)
18	exp dizziness/ (2746)
19	exp syncope/ (8746)
20	exp osteoporosis/ (34707)
21	11 or 7 or 9 or 17 or 12 or 20 or 15 or 14 or 8 or 18 or 19 or 16 or 10 or 13 (587526)
22	6 or 21 (1285830)
23	22 and 5 (247880)
24	exp age factors/ (339878)
25	exp sex factors/ (171269)
26	exp Comorbidity/ (40572)
27	25 OF 24 OF 26 (468826)
20	27 dilu 23 (24020)
29	28 and 29 (70/3)
31	(aging or aged or elders or geriatric or gerontols or olders) ti (167258)
32	30 and 31 (1566)
33	limit 32 to (English language and humans and yr="1999-Current") (1104)
Dat Sea	abase: Ovid MEDLINE(R) <1950 to July Week 3 2009> arch Strategy:

² exp geriatric assessment/ (12945)

³ exp aged/ (1824150)

- 4 exp aging/ (161093)
- 5 1 or 2 or 3 or 4 (1931689)
- 6 syndrome/ or syndrome\$.mp. (769191)
- 7 exp cognition disorders/ (40357)
- 8 exp sleep disorders/ (45845)
- 9 exp frail elderly/ (4572)
- 10 exp nutrition disorders/ (190734)
- 11 exp gait disorders, neurologic/ (2115)
- 12 exp urinary incontinence/ (22048)
- 13 exp fecal incontinence/ (6234)
- 14 exp vision disorders/ (48713)15 exp hearing disorders/ (58328)
- 16 exp depression/ (52923)
- 17 exp delirium, dementia, amnestic, cognitive disorders/ (132607)
- 18 exp dizziness/ (2746)
- 19 exp syncope/(8746)
- 20 exp osteoporosis/ (34707)
- 21 11 or 7 or 9 or 17 or 12 or 20 or 15 or 14 or 8 or 18 or 19 or 16 or 10 or 13 (587526)
- 22 6 or 21 (1285830)
- 23 22 and 5 (247880)
- 24 exp "Quality of Life"/ (76778)
- 25 exp "Activities of Daily Living"/ (37977)
- 26 exp Morbidity/ (260042)
- 27 exp mortality/ (209930)
- 28 27 or 25 or 24 or 26 (551893)
- 29 28 and 23 (31277)
- 30 limit 29 to (English language and humans and yr="1999-Current") (20039)
- 31 exp epidemiologic studies/ (1154895)
- 32 30 and 31 (9581)

Database: Ovid MEDLINE(R) <1950 to July Week 3 2009> Search Strategy:

1 exp geriatric assessment/ (12945)

- 2 exp predictive value of tests/ (93910)
- 3 exp Survival Analysis/ (100091)
- 4 exp survival rate/ (90889)
- 5 exp mortality/ (209930)
- 6 exp forecasting/ (59830)
- 7 6 or 4 or 3 or 2 or 5 (436400)
- 8 1 and 7 (1483)
- 9 exp epidemiologic studies/ (1154895)
- 10 8 and 9 (822)
- 11 exp Mass Screening/ (95484)
- 12 screen\$.mp. (347938)
- 13 target\$.mp. (477594)
- 14 predictor\$.mp. (122940)
- 15 11 or 13 or 12 or 14 (918257)
- 16 10 and 15 (332)
- 17 limit 16 to (English language and humans) (323)

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"Co	mprehensive Geriatric Assessment"	318
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Fra	ilty and mortality limits: Humans, English	343
"Inv Lim	/estigative Techniques"[Mesh] AND "Geriatric Assessment"[Mesh] AND #42 its: Humans, Journal Article, English	735
"G€ Lin	riatric Assessment"[Mesh] AND #42 AND Prevalence AND Cohort its: Humans, Journal Article, English	104
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Limits: Humans, English, Aged: 65+ years	
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Definition: Frailty	55
Limits: Humans, Journal Article, English, Aged: 65+ years, 80 and over: 80+ years	
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Search: Allostatic	36
Limits: Humans, Journal Article, English, Aged: 65+ years, 80 and over: 80+ years	

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Abstraction Form for Question 1

(Complete for each study)

Number of the study in the database (PubMed ID, Cochrane accession number, ISBN)
Year of the publication Year when outcomes occurred
Design of the study (check one)
Population variables (target population): Data source for population variables (define)
Sattings
Community (general population)
L acation:
Subjects:
Say
African Continental Ancestry Group %
Asian Continental Ancestry Group %
Furgeean Continental Ancestry Group %
Ethnicity:
Arabs %
Asian Americans %
Historic Americans
Age:
Mean age years Standard deviation
Health status:
Primary health condition, diagnosis
Inclusion criteria:
Syndrome or non syndromic condition (dependent variable): Definition
Adjustment
Independent veriables
Prevalence (%):
95% CI
Bias in the study
······································

Appendix D. Abstraction Forms

Abstraction Form for Question 2

(Complete for each study)

Number of the study in the database (PubMed ID, Cochrane accession number, ISBN)
Year of the publication
Purpose/aim of study
Design of the study (check one)
D prospective cohort
Tretrospective cohort
descriptive study
Year of the study
Population variables (target population):
Settings:
Community (general population)
Health care
Subjects:
Age
Sex
Race
African Continental Ancestry Group, %
Asian Continental Ancestry Group, %
European Continental Ancestry Group, %
Ethnicity:
African Americans, %
Arabs, %
Asian Americans, %
Hispanic Americans, %
Age
Health status
Inclusion criteria
Exclusion criteria
Clinical outcomes (dependent variable):

- 1. Provide the definition: Mortality, Morbidity, Institutionalization, Hospitalization, Disability
- 2. Provide the data source to measure the outcomes

Geriatric syndromes (independent variables):

Provide the definition of each syndrome

Syndrome (exposure)	Comparator	Outcomes Definition	Sample	Adjustment	Estimate	Mean	Lower 95% CI	Upper 95% CI	SE

Appendix D. Abstraction Forms

Abstraction Form for Question 3

(Complete for each study)

Number of the study in the database (PubMed ID, Cochrane accession number, ISBN) First author Year of the publication Purpose/aim of study Perspective: Societal, payer, personal and social services, other
Study Design
Subjects
Setting
Model Validity
Quality of Data Alternative Strategies Measure of Cost/Consequence Differential Timing Adjustment Incremental Analysis Performed Uncertainty Allowance Results
For mortality indexes: Predictors Evaluated Predictor Name Category Index/Measurement Used Purpose
Index Development Prediction Outcome Index Component Weight Weight Method Risk Group Score Accuracy/Validation

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Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
Aging, Demographics, and Memory Study(ADAMS) sample from the Health and Retirement Study ¹	USA good	856	No	Yes	>71	Drawn from the larger HRS(Health and Retirement Study)	Not reported	Yes	No
AHEAD-Survey of Asset and Health Dynamics of the Oldest Old (AHEAD) ²	USA good	7,447	No	Yes	>70	Older Americans who resided in the community at the time of the baseline interview	Under age 70, who did not fall into Black or White racial categories, and who responded that they did not perform an ADL or had missing information for any of the covariates used in this analysis	Yes	No
American Community Survey (US Census) ^{3,4}	USA good	202,956	American Indian/Alaska Native/Native Americans are oversampled	Yes	>55	Community-dwelling Americans	Nursing home residents	Yes	No
Baltimore Epidemiologic Catchment Area Program ⁵	USA good	3,481	No	Yes	>18	Persons aged 18 years and older in Baltimore	Not reported	Yes	No
Beaver Dam Eye Study cohort ⁶	USA good	2,515	No	Not reported	>53	Adults 43-86 years of age living in Beaver Dam, Wisconsin	Deaths within one year of examination	Yes	No
Census Public Use 5% Microdata sample ⁷	USA Census	2,944,755	No	Yes	>55	Representative sample of housing units and their residents as well as individuals living in group quarters. The sample is a stratified subsample drawn from the full Census enumeration; housing	Nursing home residents	Yes	No

units and individuals

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
	-			-	-	were randomly selected to receive the long form questionnaire.			-
Duke Established Populations for Epidemiologic Studies of the Elderly, 1992; Third In-Person Survey Wilson, 2003 #1390} ^{8,9}	USA good	1,752	No	Yes	>65	65 years or older who were selected in a random household sample of a five-county area including and adjacent to Durham, North Carolina, in 1986. Blacks were oversampled to allow for comparison by race	Disability at baseline, did not give blood to study in 1992	Yes	No
EPESE Established Populations for Epidemiologic Studies of the Elderly - East Boston & New Haven Communities ¹⁰⁻	USA good	6,640	No	Not reported	>65	Community-living persons aged 65 years or older living in East Boston, 2 Iowa communities, New Haven, Connecticut	Not reported	Yes	No
Freedom House Study ¹⁸	USA good	546 and 242 in analysis	No	Yes	>70	Initially non- institutionalized elderly CCRC (Continuing-Care Retirement Community) residents over the age of 70. CLOX was introduced in the second FHS wave and collected on 242 residents and these form the basis of the analysis'	No exclusions	Yes	No
Kaiser Permanente Inter-regional Committee on Aging Study ¹⁹	USA good	5,810	No	Not reported	>65	The study population was drawn from the 1990 HSF (Health Status Form) respondents.	Nursing home residents	Yes	No
MacArthur	USA	598	No	Yes	>65	Men and women were	Medicare data were	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
Research	good		-		-	subsampled on the	not available	-	-
Network on						basis of age (70–79)			
Successful						and physical and			
Aging						cognitive functioning at			
Community						the time of their 1988			
Study ²⁰						EPESE interview, a			
						score of 6 or more			
						correct on the 9-point			
						Pfeiffer Short Portable			
						Mental-Status			
						Questionnaire			
						(SPMSQ), the ability to			
						remember three or			
						more of six elements			
						of a delayed recall of a			
						short story, no			
						disability on the Katz			
						activities of daily living			
						scale, no more than			
						one disability on an			
						eight-item measure of			
						gross mobility and			
						physical performance			
						based on items from			
						two studies, the ability			
						to maintain a semi-			
						tandem balance for at			
						the ability to stond			
						from a cost of position			
						five times within 20			
						nve unies within 20			
						seconds, agreed to			
						MacArthur study and			
						provided informed			
						consent			
						MacArthur study and provided informed consent			

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
MacArthur Studies of Successful Aging ²⁰⁻²⁵	USA	657	No	Not reported	>70	High functioning men and women, aged 70- 79 years community- based cohorts in Durham, NC, East Boston, MA, and New Haven, CT that were part of the Established Populations for Epidemiological Studies of the Elderly (EPESE).	Not reported	Yes	No
MOBILIZE ^{26,27}	USA good	765	No	Yes	>70	Aged 70 and older, ability to speak and understand English, ability to walk across a room, visual ability to read written material, and the expectation that the participant would be living in the area for at least 3 years		Yes	No
MROS - Osteoporotic Fractures in Men Study ^{28,29}	USA good		No	Not reported	>65	Men must be able to provide consent; be able to walk without assistance from another person or aid; be age 65 years and older; and not have had bilateral hip replacements.	Not reported	No	No
National Long- Term Care Survey ³⁰	USA good	17,658	No	Yes	>65	People >65 years of age drawn from national Medicare enrollment files. Both elderly in the community (including those not impaired) and those residing in institutions are represented in the samples	Not reported	Yes	Yes

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
National Survey on Self- Care and Aging ³¹	USA good	3,485	Oversampling of the oldest old and males	Yes	>65	Community dwelling Medicare beneficiaries who were 65 years of age or older in 1990.	Not reported	Yes	No
Precipitating Events Project ³²⁻⁴⁴	USA good	754	Persons who were physically frail were oversampled	Yes	>70	Members of an ongoing longitudinal study of 754 community-dwelling persons, aged 70 years or older, who were initially nondisabled in four key ADLs—bathing, dressing, walking inside the house, and transferring from a chair. Potential participants were members of a large health plan in greater New Haven, Connecticut.	Life expectancy of less than 12 months, planned to move out of the New Haven area, or were unable to speak English. Participants with significant cognitive impairment were excluded only if they had no available proxy.	Yes	No
NHANES III (National Health and Nutrition Examination Survey III) ^{45,46}	USA good	4,617	African American/Mexi can American/Pers ons aged 60+,etc.	Yes	>60	Respondents aged ≥60 years who completed both the AHS(Adult Household Survey) and MEC(Mobile Examination Center)	Self-reported history of stroke.	Yes	No
Norwood - Montefiore Aging Study(NMAS) 47	USA good	1,855	No	Yes	>65	At least 65 years of age, randomly selected from a list of Medicare beneficiaries living in a neighborhood in the Bronx.	Not reported	Yes	No
SITE Sources of Independence in the Elderly ⁴⁸	USA good	361	No	Yes	>70	Mild to moderate disability, as defined by self-reported difficulty with at least one but no more than three of four different	Those meeting criteria for depression or dementia, reported impairment in vision as a source of disability, were residing in a skilled	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
						domains of function: upper extremity, lower extremity, instrumental activities of daily living (IADL), or basic activities of daily living (BADL).	nursing facility, were receiving hospice services, required a wheelchair for indoor mobility, or planned to move out of the community within the year.		
Study of osteoporotic fractures ⁴⁹⁻⁵¹	USA good	6,724	No	No	>65	Women at least 65 years old from population-based listings in four areas of the United States	Black women were originally excluded from SOF because of their low incidence of hip fracture. In addition, women were excluded if they were unable to walk without assistance or had a history of bilateral hip replacement.	Yes	No
The 90+Study ⁵²	USA good	227	No	Not reported	>90	People aged 90 years and older. Cognitive diagnosis of no dementia (normal or CIND) from an in-person examination, serum CRP measurement from baseline examination, and cognitive diagnosis obtained at a followup in-person examination.	Subjects with less than one year of follow-up time were excluded	Yes	No
The Bronx Aging Study ⁵³	USA good	488	No	Yes	>75	Healthy, nondemented, community-dwelling individuals aged 75-85 years	Previous diagnoses of idiopathic Parkinson's disease, liver disease, alcoholism, or known terminal illness; severe visual and hearing impairment interfering with completion of neuropsychological tests; and the presence of dementia.	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
The Cardiovascular Health Study ⁵⁴⁻ ⁶⁴	USA good	5,888	Supplemental African- American cohort recruited	Yes	>65	Potential participants were identified from a random sample stratified by age group (65-74, 75-84,>=85 years) from the Health Care Financing Administration (HCFA) Medicare Enrollment lists in 4 U.S. communities (Sacramento County, California; Washington County, Maryland, Forsyth County, North Carolina; and Allegheny County, (Pittsburgh), Pennsylvania); willing to reside in the community for at least 3 years.	Wheel-chair bound in the home, unable to participate in the examination at the field center, or undergoing active treatment for cancer.	Yes	No
The Cache County Study on Memory in Aging ⁶⁵	USA good	4683	No	Yes	>=65 years	All elderly residents aged 65 and older of Cache County, Utah.	Those who did not complete procedures sufficient for definitive classification or because they had developed dementia at a subsequent visit and may thus have had prodromal dementia when enrolled.	Yes	Yes
The Chicago Health and Aging Project ⁶⁶ t	USA good	4,392		Yes	>65	All households in a geographically defined area on the south side of Chicago; persons aged 65 years and older	Not reported		
The Framingham Offspring Study ⁶⁷	USA good	1,926	No	Not reported	>35	Not reported	Neurologic condition that could substantively alter brain MRI measures	Yes	No
Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
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The Geisinger Rural Aging Study (GRAS) ⁶⁸	USA good	179	No	Not reported	>65	Rural Pennsylvanians aged 65 and older enrolled in a managed- risk Medicare program at Geisinger Health System.	Six were excluded because of depression, two as BMI outliers, and one because of poor cognitive function	Yes	No
The General Medicine Practice of the Regenstrief Health Center ⁶⁹	USA fair	3,861	No	Yes	>60	All patients aged 60 and older were screened for cognitive impairment, depression, and problem drinking during their regularly scheduled visits	Prisoners, patients residing in a nursing home, patients unable to speak English, and patients who had hearing impairment	Yes	No
The Geriatric Evaluation and Management(GEM) ⁷⁰	USA poor		No	Yes	78.4	Approximately 20% of subjects were physician- referred to the GEM clinic. An additional 22% were referred by either a social agency, home health service, or day care program. The remaining individuals were referred by family members who had heard about the program via word of mouth, brochures, or newspaper articles. Individuals with one or more of the following concerns were scheduled for evaluation: memory loss, depression, behavioral problems, paranoia, functional decline, weight loss, falls, incontinence, and concerns about overall health and safety.	Not reported	Yes	Νο

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
The Health and Retirement Study ^{71,72}	USA good	11,701	No	Yes	>50	Community dwelling adults aged 50 and older, representative of entire US	Nursing home residents	Yes	No
The Health, Aging and Body Composition(H ealth ABC) Study ⁷³⁻⁷⁹	USA good	3,075(analyti c sample:2984)	No	Yes	>70	3075 well-functioning men and women aged 70 to 79 recruited from a random sample of Medicare enrollees in Pittsburgh, Pennsylvania, and Memphis, Tennessee. Eligible participants had self-reported no difficulty walking one- quarter of a mile, climbing 10 steps, and performing activities of daily living (ADL); did not report a walking aid; and were free of cancer under active treatment. Analysis was conducted on 2984 participants who had complete data on body composition and physical function.	Not reported	Yes	No
The Honolulu- Asia Aging Study of the Honolulu Heart Program ^{80,81}	USA good	1,890(analyti c sample)	No	Yes	>77	Japanese and American men, identified from selective service records, who were born between 1900 and 1919 and were living on the island of Oahu, Hawaii, in 1965.	Not reported	No	No
The Iowa 65+ Rural Health Study ⁸²	USA good	1,293	No	Not reported	>65	Healthy, nondisabled elderly	647 persons who needed help walking a half mile, climbing a flight of steps, moving	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
	-			-			from bed to chair, using a toilet, bathing, or walking across a small room.	-	
The Marshfield Epidemiologic Study Area (MESA) system ⁸³	USA fair	811	No	Not reported	Not reported	New cases of Alzheimer's disease and dementia were defined to first occur between 7/1/92 and 6/30/97by the ICD-9 code, people who did not receive a diagnosis of AD/OD were frequency- matched to cases by age.	Permanent residence in a nursing home at the time of diagnosis, a history of AD/OD prior to 7/1/92, no mention of a memory problem in the medical record, receiving less than 75% of their care through the Marshfield Clinic system, or death on the date of diagnosis	Yes	No
The Medical Expenditure Panel Survey(MEPS) ⁸⁴	USA good	35200	Oversampling of minorities	Yes	NR	US civilian non- institutionalized population	Individuals missing data on one or more responses are excluded from analyses.	Yes	No
The Monongahela Valley Independent Elders Survey ⁸⁵	USA good	1,064	No	Not reported	>67	Registered voters in Washington & Westmoreland Counties in PA, community residence (i.e., not already being in long-term care), 65 years or older, fluency in English, and at least sixth grade education	Not reported	Yes	No
The National Health Interview Survey ⁸⁶	USA good	approx 9,000	African- American and Hispanic	Yes	>70	Civilian non- institutionalized population of the United States	Not reported	Yes	No
The National Long-Term Care Survey (NLTCS) ⁸⁷	USA good	5,934	No	Yes	>65	Community-dwelling elderly	Not reported	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
The National Survey of Self- Care and Aging ⁸⁸	USA good	3,485	Oversampling of the oldest old (85 years and older)	Yes	>65	A stratified random sample of non- institutionalized Medicare beneficiaries 65 years of age and older, drawn from 50 primary sampling units	Not reported	Yes	No
The New England Centenarian Study ⁸⁹	USA poor	36	No	Not Reported	100-107 years	86% of all centenarians living within an eight-town area.	Not Reported	Yes	Yes
The New Mexico Elder Health Survey ⁹⁰	USA good	808	No	No	>65 years	Equal numbers of Hispanic and non- Hispanic white men and women were selected randomly from the Health Care Financing Administration's Medicare listings for Bernalillo County, New Mexico.	73 subjects were deleted because of missing anthropometric data. Two subjects with artificial limbs whose estimates of muscle mass had doubtful validity were also excluded.	Yes	No
The Northern Manhattan Aging Project ⁹¹	USA good	2,130		Yes	>65	A random sample of Medicare beneficiaries, 65 years old or older, living in a bounded target geographic area	Not reported	Yes	No
The Nutrition Screening Initiative ⁹² e	USA good	324	No	Yes	>60	Participants >60 years in six congregate meal sites in a north Florida county	Not reported	Yes	No
The Older Americans Act Nutrition Program (OAANP) in northeast Georgia ⁹³	USA fair	158	Νο	Yes	>65	Low-income older adults receiving home- delivered or congregate meals in the OAANP (Older Americans Act Nutrition Program) in the Northeast Georgia Area Agency on Aging.	Not reported	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
The Religious Orders Study ⁹⁴	USA good	816	No	Not reported	>70	Older Catholic nuns, priests, and brothers. Participants without known dementia.	Not reported	Yes	No
The Rush Memory and Aging Project ⁹⁵	USA good	832	No	Yes	Mean age: 80.4yea rs	Absence of clinical dementia based on the baseline clinical evaluation with a valid baseline and at least one follow-up composite frailty score.	Participants without valid follow-up data including: 24 persons who died before their first follow-up examination, 120 who have not been in the study long enough for their first follow-up examination and 36 with missing follow-up data.	Yes	No
The San Antonio Longitudinal Study of Aging ⁹⁶	USA good	749	No	Yes	65-80 years	Subjects were randomly sampled from low-, middle-, and high- income neighborhoods to provide a cohort with comparable numbers of Mexican-Americans and European-Americans and to maximize socio- cultural variation among Mexican-Americans in the study. Aged 65-80 years. Community- dwelling.	Not Reported	Yes	No
The Survey of Health,Aging and Retirement in Europe(SHAR E) ⁹⁷	Multinatio nal good	18227	No	Not Reported	>=50 years	Randomly selected community-dwelling individuals 50 years of age and older	674 spouses younger than 50 years, 295 individuals living in institutions, 61 with insufficient information on sampling characteristics, and 2 non-evaluable individuals	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
The Women's Health and Aging Study ⁹⁸⁻ 111	USA good	3,481	No	Yes	>65	The sampling frame was obtained from the Health Care Financing Administration Medicare Enrollment files for the eastern half of Baltimore City and County, consisting of 12 zip code areas. A random sample of older women was drawn, stratified by age (65-74, 75-84, and 85 and older).	Not reported	Yes	No
University of Connecticut Center on Aging Osteoporosis in Men Study ¹¹²	USA good	392	No	Yes	>58	Community-dwelling elderly men	Men younger than 58 years of age; those receiving prescription medication for osteoporosis, were on testosterone or dihydroepiandosterone, had a history of elevated prostate specific antigen (PSA) level, had a history of prostate cancer, had sleep apnea, or had elevated hematocrit.	No	No
2-year longitudinal study of independent residents of a continuing care retirement community ¹¹³	USA fair	152	Νο	Not reported	82.3	Alert, oriented, ambulatory, able to socialize appropriately with other members of the community, and have no acute or chronic medical problems which interfere with ability to function or require daily nursing supervision	Not reported	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
411 patients who participated as control patients in the Generalist Physician Initiative at the Carle Clinic site, Urbana, Illinois ¹¹⁴	USA poor	411	No	Yes	>65	65 years of age or older, survived for 12 months after enrollment, had Medicare Part A and B coverage, were not enrolled in a Medicare risk product, were community dwelling, and had 1 or more of the following characteristics: hospitalized in the previous 6 months before entering the study, lived alone, lacked a caregiver, were taking 4 or more prescription medications, had difficulty walking, had limitations in activities of daily living (ADLs), had memory difficulties, were incontinent of urine or stool, or had multiple illnesses or disabilities	Not reported	Yes	No
7076 community- dwelling elderly patients with at least one chronic disease were surveyed ¹¹⁵	USA poor	1,899	No	Not reported	>65	Had seen their primary care provider within 14 months, had a comorbidity score of 1 or higher, were enrolled in Medicare, and were living outside of an institution as of September 2002	Returned with incomplete survey forms	Yes	No
A prospective cohort from a large health maintenance	USA good	1,129	No	Yes	>65	Randomly from Group Health enrollees, aged 65 and over	Physical limitations, limited ability to perform certain activities of daily living	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
organization of (Group Health Cooperative (GHC) in Seattle, Washington) ¹¹⁶							at baseline, and incomplete responses or data		
Ambulatory Care Groups (ACGs) ¹¹⁷	USA fair	3,496	No	Yes	>60	Community-dwelling patients at least 60 years of age with a scheduled primary care appointment between July 15, 1999, and August 31, 2001, were eligible for the study.	Not reported	Yes	No
Applicants to the home- and community- based care (HCBC) programs ¹¹⁸	USA fair	1,690	No	Yes	79.7	Individuals who satisfied the level of care criteria for the program (regardless of whether they met the financial eligibility criteria or "cost cap" of the program) and remained in the community following their HCBC assessment	Not reported	Yes	No
Consecutive patients were screened and recruited from primary care clinics of a Veteran's Affairs network site and a Medicare Health ¹¹⁹ Management Organization serving a	USA poor	316	No	Yes	>65	65 years or older, lived within 20 miles of the provider site, had received care in the system for at least 1 year, were living in the community, and met screening criteria for mental status and mobility. Participants had to score 24 on the Folstein Mini-Mental State Examination (MMSE) to assure that	MMSE scores less than 16, persons who were unable to walk at least 12 feet or who were felt to be extremely fit (gait speed over 1.3 m/s) or extremely fragile	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
common geographic area				-		they could independently maintain a utilization diary; subjects with MMSE scores between 16 and 24 could participate if they had a caregiver who could maintain the diary		-	
Depression Among Caregivers of Impaired Elders Study ¹²⁰	USA good	4,185	No	Not reported	>70	A geographically stratified sample of older people was drawn in 2 stages, using town/cities of eastern Massachusetts as the primary sampling unit, and then randomly selecting individuals within these units from local census lists.	Not reported	Yes	No
Effects of Two Exercise Interventions Among Community- residing Older Adults Study ¹²¹	USA good	84	No	Not reported	>60	60 years of age or older, living independently in the community, able to speak and read English, and sufficient vision to read large print.	Diagnosis of neurological diseases, arthritis with severe pain that prevented activity, or presence of major symptoms suggestive of cardiopulmonary or metabolic disease unless physician approval was obtained.	Yes	No
For the validation study: Pneumonia Pathways project of Qualidigm, Connecticut Peer Review	USA poor	Development cohort:525 and validation cohort:1,246	No	Yes	>70	In the development cohort: subjects aged ≥70 years admitted consecutively to the medicine service at Yale New Haven Hospital that serves a large community and referral population.	In the development cohort: inability to be interviewed as a result of intubation, coma, aphasia, severe dementia, or terminal condition; prior enrollment. In the validation cohort: prior	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
Organization and Connecticut Thoracic Society. For the Development cohort: NR ¹²²						The validation cohort was selected from discharges from 27 acute care hospitals in Connecticut, patients aged>=65 years with a principal discharge diagnosis of pneumonia.	admission within 10 days; transfer from another hospital; immunosuppressive therapy; and discharge or transfer to ICU within 24 h of admission	-	
Frailty Study of African Americans in South Central Los Angeles ¹²³	USA good	507	No	Yes	>60	African -American residents 60 years or older of South Central Los Angeles and adjacent communities.	Not reported	Yes	Yes
Henry Ford Medical Group ¹²⁴	USA fair	195,971	No	Not reported	Not reported	Patients who received prescription and medical care coverage through the Health Alliance Plan and who were treated by physicians in the Henry Ford Medical Group.	Not reported	Yes	No
Individuals enrolled in 2 randomized trials of an intervention to improve functional outcomes of hospitalized older adults ¹²⁵	USA good	1,495	No	Yes	>70	Aged 70 years or older and who were admitted to the general medical service	Patients admitted to intensive care units (ICUs) or subspecialty services or elective admissions and patients with lengths of stay fewer than 2 days	Yes	Yes
Massachusetts Health Care Panel Study (MHCPS) ¹²⁶	USA good	Not specified; approx 540	No	Yes	>70	Age 70+ in 1980, living in community	Anyone lost to follow- up, dead, or in a nursing home at Wave 4	Yes	No
Randomized trial of in-home comprehensive geriatric	USA fair	202	No	Not reported	>75	Randomly selected from a voter- registration list, community-dwelling,	Not reported	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
assessment and preventive care ¹²⁷	-			-		non-institutionalized, living in Santa Monica CA	•		
PACE-Program of All-Inclusive Care for the Elderly ^{128,129}	USA good	5,478	No	Yes	>55	Enrollment in PACE was limited to older persons aged >=55, who lived within the sites' service areas and who met the state's nursing home eligibility requirements, and were eligible for Medicaid	Not reported	Yes	Yes
Pooled analysis ¹³⁰	USA good	5,308	No	Yes	>65	Studies had to contribute data believed to be directly applicable to the US population and the determination of glaucoma was made using both visual field and photographically obtained optic nerve head data.	Not reported	Yes	No
Practices providing services to Medicare beneficiaries in the U.S. ¹³¹	USA good	1,221,615	No	Yes	>65	Medicare beneficiaries, aged 65 years or older, living in the US, with fee-for-service coverage, who had both Medicare part A and part B coverage in fiscal year (FY) 1992	Beneficiaries enrolled in HMOs	Yes	No
The San Luis Valley Health and Aging Study ¹³²	USA good	1,006	No	Yes	>65	A geographically- based sample of rural, community-dwelling residents was identified from a household enumeration of 97.2% of all occupied residential structures in	Subjects who were unable to complete the full protocol by themselves (scores <18 on the Folstein Mini-Mental Status Examination, a measure of cognitive functioning; extreme	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
	-					Alamosa and Conejos counties in southern Colorado.	frailty; or any other reason necessitating proxy responses: n=184) and those without complete diet data (n=8) were excluded.		
The Medicare Current Beneficiary Survey ^{133,134}	USA good	20,227	No	Yes	>65	People living in the United States who were 65 years of age or older and who were solely community- based	Respondents who died during the calendar year, individuals who did not have any type of physician encounter (i.e., in any type of inpatient or outpatient setting) during the calendar year	Yes	No
The Nun Study ¹³⁵	USA good	470	No	Not reported	>75	From 1991 to 1993, all members of the School Sisters of Notre Dame born before 1917 and living in communities in the Midwestern, eastern, and southern United States.	Not reported	Yes	No
Two cohorts, each composed of approximately 3000 randomly selected members of Kaiser Foundation Health Plan of Northern California ¹³⁶	USA fair	5,986	No	Yes	>65	Had been KFHP members for at least 5 years	Not reported	Yes	No
Urban, working-class community in East Boston, Massachusetts	USA good	467	No	Not reported	>65	All non-institutionalized residents over the age of 65 years in East Boston, Mass., a geographically defined,	Participation through proxy respondents or refusal to respond to the memory test items.	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
137	-			-	-	urban, working-class community of approximately 32, 000 persons.		-	
Veterans Administrative (VA) outpatient clinics ¹³⁸	USA fair	130	No	Yes	>65	Community-dwelling older adults (n = 130), age 65 years and older, with a BMI <24 kg/m ² residing in 3 rural counties in the Western United States	The diagnosis of dementia (Folstein Mini-Mental Examination score of 23 or less), congestive heart failure, or cancer; bedridden or unable to stand for measurement of height; currently hospitalized; hospitalized in the past 30 days; or residing in a skilled nursing facility and non-English speaking	Yes	No
American Community Survey (US Census) and National Nursing Home Survey ^{3,4}	USA good	512,768	American Indian/Alaska Native/Native American are oversampled in the ACS Survey	Yes	>65	ACS: community - living men and women 65 years and older. NNHS: nursing home residents	ACS: no nursing home residents. NNHS: community-dwelling residents	Yes	Yes
Australian Longitudinal study on aging ¹³⁹	Australia good	1,272	No	Not reported	>70	Randomly selected from State electoral database age 70+, community-dwelling	Not reported	Yes	No
All consecutive non-elective admissions of patients aged 75 yrs and above to the Rapid assessment medical unit (RAMU) under	Australia poor	110	No	Not reported	>75	All consecutive non- elective admissions of patients aged 75 yrs and above to the Rapid assessment medical unit (RAMU) under the General Internal Medicine unit	Patients from nursing homes, patients who were transferred to other units or hospitals, patients who were considered for palliative care on admission, patients not conversant in English and those who were	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
the General Internal Medicine unit ¹⁴⁰	-	-		-			discharged from hospital within 48 hours		
Domiciliary care services for elderly people with moderate or severe functional limitations ¹⁴¹	Australia poor	250	No	Not reported	>67	Those registered with the Eastern Domiciliary Care Service in Adelaide between July 1999 and February 2000.	Subjects younger than 65 (16.2%), non- English speaking (7.9%), or with the diagnosis of dementia (11.2%) were excluded.	Yes	No
National Population Health Survey ¹⁴²	Canada good	2,740	No	Not reported	>65	Adults 65 - 102 years old at baseline		Yes	No
The Canadian Study of Health and Aging(CSHA) ¹⁴³⁻¹⁵²	Canada good	8,949	Νο	Not reported	>65	CSHA-1 (Canadian Study of Health and Aging-wave 1) included people aged 65 and over on October 31, 1990 from 39 urban and surrounding rural areas in the ten Canadian provinces. The community sampling frame was based on the Canadian provincial universal health insurance plans, with the exception of Ontario where technical limitations with the health insurance plan list at the time prevented its use. In Ontario, the Enumeration Composite Record	Reasons for non- inclusion in analyses are: loss to follow up, refusal to participate, unable to participate, or missing data. Those who were excluded had lower Time 1 MMSE scores.	Yes	Yes

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
	-				-	was used that was based on a composite list of all citizens in Ontario based on electoral lists.			
The MGAT study ¹⁵³	Canada fair	170	No	Not reported	Not reported	Be frail, which was defined as "a vulnerable state of health, arising from the complex interaction of medical and social problems, resulting in a decreased ability to respond to stress, and associated with a decline in functional performance"	Not reported	Yes	No
Prospective cohort study of community- living, medical patients age 75 or over ¹⁵⁴ admitted to acute care for elders units (ACE) at a teaching hospital	Canada poor	150	No	Not reported	>75	ACE patients who consented to participate. They were eligible if they were 75 years or over (our hospital used this age cut-off as a surrogate marker to determine ACE eligibility), lived in the community pre- hospitalization, and could comprehend simple three-step commands in English. We also included ACE patients who transitioned through a separate sub-acute medical (SAM) unit after their initial stay in ACE.	If they were transferred from/to critical care or palliative care because these populations are not normally serviced by ACE; residing at a long term care facility prior to hospitalization; residing outside the catchment of the hospital (greater than 100 km distance); or deemed medically unstable	Yes	No
Study participants were recruited	Canada poor	125	No	Not reported	>70	(i) age 70 years or older; (ii) referred for medical preoperative	(i) day surgical procedures; (ii) active cancer (defined as	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
at a preadmission clinic for preoperative assessment, at a tertiary care teaching hospital ¹⁵⁵		-				assessment for medical clearance; (iii) undergoing a single elective non-cardiac operation	having surgery for a possible malignancy or receiving treatment for cancer); (iii) undecided as to whether they would have surgery; (iv) no working understanding of English; (v) not cleared for surgery for unstable medical reasons; (vi) enrolled in randomized controlled trials of new (i.e. investigational) pharmacologic agents	-	
The Chinese Longitudinal Health Longevity Survey ¹⁵⁶	China good	15,919	No	Not reported	>65	Randomly selected counties/cities in 22 out of 31 provinces in China. Adults aged 65 to 109 years. For every centenarian with a pre- designated random code, interviews were conducted for nearby adults with a pre- designated age and sex who were randomly selected from the following age ranges: 65-79, 80-89, and 90-99.	Not reported	Yes	No
The Danish part of the 'Survey in Europe of Nutrition in the Elderly, a Concerted Action'(SENEC A) ¹⁵⁷	Denmark good	202	No	Not reported	>73	74- to-79 years old elderly European men and women		Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
The Danish Centenarian Study Centenarian Study ¹⁵⁸	Denmark good	126	No	Not reported	>100	276 persons living in Denmark who celebrated their 100th birthday from April 1, 1995, to May 31, 1996	Not reported	Yes	Yes
The Glostrup Aging Study ¹⁵⁹	Denmark good	705	No	Not reported	>70	70 -year old adults of the 1914 birth cohort in Glostrup, Denmark	31 persons who were disabled at baseline.	Yes	No
ELSA - English Longitudinal Study of Aging ¹⁶⁰	England good	5,432	No	Not reported	>50	Individuals were eligible if they were living in a responding HSE (Health Survey for England) household in 1998, 1999, or 2001, were born on or before 29 February 1952, and were, at ELSA interview, still living at a private residential address in England.	Not reported	Yes	No
The Helsinki Aging Study ^{161,162}	Finland good	650	No	Not reported	>75	A random sample of persons born in 1904, 1909, and 1914 were selected from the census register in 1989.	Not reported	Yes	No
The second wave of the Tampere Longitudinal Study on Ageing (TamELSA) ¹⁶³	Finland good	775	No	Not reported	>60	Persons aged 60–99 years were eligible to be interviewed face to face	Subjects already living in institutions and 3 subjects without data on urinary symptoms	Yes	No
The Vitality 90+ Study ¹⁶⁴	Finland good	285	No	Not reported	>90	People aged 90 or older in Tampere, Finland. Included both community-dwelling and institutionalized persons.	Not reported	Yes	Yes

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
EPIDOS Epidémiologie de l'ostéoporose study ¹⁶⁵	France good	7,250	No	Not reported	>75	Community-dwelling French women	Inability to walk independently, institutionalized, previous history of hip fracture or bilateral hip replacement, inability to understand or answer study questionnaire, confined to bed for at least 2 months during past year, motor impairment i.e. stroke sequelae, disease leading to hospitalization during past year (stroke, HTN, DM, CHD, Parkinson's	Yes	No
The PAQUID(Perso nnes Agees QUID) Research Program ^{166,167}	France good	3,660	No	Not reported	>65	Community residents aged 65 years and older living in Southwestern France. Baseline data were collected in 1988-89 in Gironde and 1989- 1990 in Dordogne. Three criteria had to meet for inclusion: participant had to be at least 65 years of age, to live at home at baseline time, and to give written informed consent.	Not reported	Yes	No
The Pathologies Oculaires Lie´es a` l'Age Study ¹⁶⁸	France good	1,441	No	Not reported	>60	Subjects aged 60 and older were recruited from the population of Sète, a harbor on the French Mediterranean.	A history of the following diseases was excluded: diabetes mellitus; coronary heart disease; or self- reported history of	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
							stroke, lower limb arterial disease, cancer, asthma, or respiratory disease. Subjects treated with non-steroidal anti- inflammatory drugs or with oral corticosteroid treatment were also excluded.		
The Three City Study ¹⁶⁹⁻¹⁷¹	France good	6,030	No	Not reported	>65	65 years old or older, initially non- institutionalized, random sample obtained from the electoral rolls of two French cities— Bordeaux (southwest) and Dijon (central east)	Those with conditions that could be a consequence of a single disease and not of generalized frailty as already proposed, or frailty status could not be determined (missing data)	Yes	No
The German Study on Aging, Cognition and Dementia in Primary Care Patients Study Group ¹⁷²	Germany good	2,415	No	Not reported	>75	Age 75 years or older, absence of dementia according to judgment of GP, and at least 1 contact with the GP within the last 12 months.	GP consultation by home visits only, residence in a nursing home, severe illness with an anticipated fatal outcome within 3 months, German- language insufficiency, deafness or blindness, and lack of ability to provide informed consent.	Yes	No
The Leipzig Longitudinal Study of the Aged(LEILA75 +) ¹⁷³	Germany good	1,045	No	Not reported	>75	Community-dwelling individuals aged 75 and over residing in the Leipzig-South district of Germany were identified by systematic random sampling from an age- ordered list provided	Study subjects with Parkinson's disease, mental retardation, known brain cancer, and severe weakness or severe sensory impairment leading to invalid cognitive testing.	Yes	Yes

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
						by the local registry office. 192 institutionalized individuals (60 residential home residents and 132 nursing home residents) were included in the study by proportion.			
A family study sample: Patients with Alzheimer's disease and/or major depression (according to DSM-III-R criteria, APA 1987 39) over 60 years had been recruited from the Inpatient Departments of Psychiatry of the University of Mainz (recruitment from 1992 to 1995) and of the University of Bonn (recruitment from 1996 to 1998). Control subjects who were group- matched to the patient sample	Germany fair	757	No	Not reported	>55	All non-demented subjects(defined by the absence of dementia according to DSM-III-R criteria (American Psychiatric Association 1987) above the age of 55 years, who had been carefully examined for possible initial signs of dementia and for the presence of possible risk factors during a previous comprehensive family study.	Subjects with a MMSE score below 24, a Hachinski Ischemic score above 2, a history of dementia or other major medical disorder possible to cause depression, or depression.18 subjects who developed other dementing disorders according to personal or family history information were excluded	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
and educational background had been recruited with the support of the cities' census agencies. ¹⁷⁴	-					-			
ilSIRENTE Aging and Longevity in the Sirente Geographic Area ¹⁷⁵	Italy good	364	No	Not reported	>80	Community-dwelling Italian older adults	Not reported	Yes	No
InCHIANTI ¹⁷⁶⁻ ¹⁸¹	Italy good	1,020	No	Not reported	>65	Participants aged between 65 and 102 years, randomly selected from residents in two towns of the Chianti geographic area (Greve in Chianti and Bagno a Ripoli, Tuscany, Italy), using a multistage stratified sampling method.	Participants in whom inflammatory markers, physical performance tests, or hand-grip strength were not tested.	Yes	No
The Conselice Study of Brain Aging ¹⁸²	Italy good	804	No	Not reported	>65	In 1999-2000, 1016 of the 1353 individuals aged 65 years and older residing in the Italian municipality of Conselice (Emilia Romagna region) participated in the prevalence study.	Not reported	Yes	No
The European Challenge for Healthy Aging ¹⁸³	Italy good	211	No	Not reported	>65	Born in Calabria and their ancestry in the region had been ascertained up to the grandparents generation	Not reported	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
The Italian Group of Pharmacoepid emiology in the Elderly (GIFA) ¹⁸⁴	Italy good	6,984	No	Not reported	>65	All patients admitted to 81 clinical centers in Italy were enrolled and followed until discharge.	Those younger than 65 years and those with cancer. Subsequently excluded were patients for whom body mass index had not been collected.	Yes	No
The Italian Longitudinal Study on Aging ¹⁸⁵	Italy good	2,097	No	Not reported	>65	5,632 subjects, aged 65-84 years, independent or institutionalized. Randomly selected from the electoral rolls of eight Italian municipalities, after stratification for age and gender.	Not reported	Yes	Yes
The Verona Diabetes Study ¹⁸⁶	ltaly good	754	No	Not reported	>65	Type 2 diabetic outpatients, who regularly attended the Diabetes Clinic of Verona main hospital, dedicated to the cure of diabetes and endocrinologic diseases, and who had at least one determination of BMI, BP and fasting plasma glucose per year during an observation period of 3 years	Not reported	Yes	No
ASSI, a prospective cohort study of persons aged 65 and older randomly abstracted from the	Italy good	5,396	No	Not reported	>65	Persons aged 65 and older randomly abstracted from the rosters of 98 PCPs in Florence, Italy	Not reported	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
rosters of 98 PCPs in Florence, Italy ¹⁸⁷	-		-	-				-	
Community- dwelling elderly people ¹⁸⁸	Italy poor	65	No	Not reported	>65	Community-dwelling elderly people who freely chose to come to a geriatric unit for general clinical evaluation as part of a comprehensive geriatric assessment program. 400 subjects aged 65 years and older were evaluated at the geriatric unit of the Ospedale Maggiore Instituto do Ricovero e Cura a Carattere Scientifico, Milan, Italy, to study memory impairment and other cognitive disorders.	Known or suspected history of alcohol abuse, head injury, depression, or other major medical illness.	Yes	No
Survey in Europe on Nutrition and the Elderly: a Concerted Action(SENEC A) ¹⁸⁹	Multinatio nal good	989	No	Not reported	Not reported	Approximately 2,600 elderly people born between 1913 and 1918 living in 19 "traditional" towns in 12 countries were included.	People living in psychogeriatric nursing homes, who were not fluent in the country's language, or who were not able to answer questions independently.	Yes	No
The Australian Longitudinal Study of Aging, the Canadian Study of Health and Aging screening(CSH A-screen) and community	Multinatio nal good	2,087 in The Australian Longitudinal Study of Aging, 8,547 in the Canadian Study of Health and	No	Yes	>65	Not reported	Not reported	Yes	Yes

Study, Reference	Country Sample Quality Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
Study, Reference clinical examination(C SHA- examination), the Canadian National Population Health Survey, the U.S. National Health and Nutrition Examination Survey, the Sydney Older Persons Studies, CSHA institutional (CSHA- institute), the U.S. National Long-Term Care Survey institutional sample(NLTC- institute), the Improving Cardiac	Country QualitySample SizeAging screening (CSHA- screen) and 1,585 in community clinical examination SHA- examination 16,481 in th Canadian National Population Health Survey, 3,6 in the U.S. National Health and Nutrition Examination Survey, 547 the Sydney Older Person Studies, 72 in CSHA institutional	Oversampling d h(C h), he 39 39 n 7 in 50ns 0	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
Outcomes in Nova Scotia(ICONS) Study in Canada, a breast cancer study in Canada, and the Gothenberg Study in Sweden. ¹⁹⁰	(CSHA- institute),1,1 6 in the U.S National Long-Term Care Surve institutional sample(NL ⁻ -institute), 6 in the Improving Cardiac Outcomes i Nova Scotia	03 5. Y TC 587 n a						

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
		(ICONS) Study in Canada,130 in the breast cancer study in Canada, and 965 in the Gothenberg Study in Sweden.					- -		
Singapore Longitudinal Aging Study, SLAS ¹⁹¹	Singapore good	1,407	No	Yes	>55	Elderly residents in five southeast districts of Singapore, aged 55 years and above identified from a door- to-door census.	Not reported	Yes	No
NONA Immune Study ¹⁹²	Sweden fair	138	No	Not reported	>85	Population-based sample of oldest-old individuals	Not reported	Yes	No
The Leiden 85 plus study ¹⁹³	The Netherlands good	551	No	Not reported	>85	All inhabitants of Leiden born between 1912 and 1914	Not reported	Yes	Yes
The Longitudinal Aging Study Amsterdam ¹⁹⁴⁻ ¹⁹⁷	The Netherlands good	2,257	No	Not reported	72	Population registers of 11 municipalities	Not living independently at baseline, not providing a blood sample or had no measurement of serum 25(OH)D, missing contact information.	Yes	No
Patients 65 years or older acutely admitted from November 1, 2002, through July 1, 2005, to a 1024-bed tertiary university teaching hospital ¹⁹⁸	The Netherlands good	463	No	Not reported	>65	All consecutive patients aged 65 years or older acutely admitted to the Department of Internal Medicine	Inability to speak or understand Dutch, transferring from or to non medical ward, discharge from the hospital within 48 hours after admission	Yes	Yes

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
H-EPESE Hispanic Established Populations for Epidemiologic Studies of the Elderly ¹⁹⁹⁻²⁰⁵	USA	3,050	No	Yes	>65	Community-dwelling Mexican Americans	Refused to be re- interviewed or were lost to followup, or were confirmed dead through the National Death Index and reports from relatives	Yes	No
The Hertfordshire Cohort Study ²⁰⁶	UK good	638	No	Not reported	>64	Community-dwelling young-old men and women	Not reported	Yes	No
The Medical Research Council Cognitive Function and Aging Study ²⁰⁷	UK good	2,640	No	Not reported	>65	Participants aged 65 and older randomly selected from the Family Health Service Authority lists in five areas of England and Wales (two rural: Cambridgeshire and Gwynedd; and three urban: Newcastle, Nottingham, and Oxford).	Not reported	Yes	No
The Medical Research Council (MRC) Trial of the Assessment and Management of Older People in the Community ²⁰⁸	UK good	14,621	No	Not reported	>75	Community-based cluster randomized controlled trial, comparing different methods of multidimensional screening of older people. One hundred and six general practices were selected from the MRC General Practice Framework in England, Scotland and Wales. The study population was all patients aged 75 years and over registered with the practice.	Anyone in long-stay hospitals, nursing homes or with terminal illness.	Yes	No

Study, Reference	Country Quality	Sample Size	Oversampling	Minority Present	Inclusion Age	Inclusion	Exclusion	Women Included	Nursing Home Residents
The Nottingham Longitudinal Study of Activity and Ageing (NLSAA) ²⁰⁹	UK good	1,042	No	Not reported	>65	Community-dwelling people aged 65 years and older in Nottingham, U.K.	Not reported	Yes	No
Systematic review	ews and met	a analyses							
EURODEM- Prevalence Research Group ²¹⁰	Multi- national good	23	No	Not reported	>65	Inclusion criteria were: (1) studies conducted in Europe; (2) studies completed or published after 1979 (1980-1990); (3) minimum sample of 300 subjects 65 years or older; (4) case finding through direct individual examination; (5) inclusion of institutionalized individuals; and (6) clinical diagnosis of dementia based on (DSM-III), or equivalent criteria.	Prevalence estimates for subjects over the age of 89 years were discarded.	Yes	Yes
A meta- analysis of 13 epidemiological studies of senile dementia ²¹¹	Multi- national good	0	No	Not reported	>80	Only studies that used internationally recognized diagnostic methods for senile dementia were included. Data available over age 80 and sampling procedures from both community-dwelling and institutionalized population.	Of the 12 studies, three were rejected because information on the numbers of people in each age- group was incomplete.	Yes	Yes
Seven community- based studies ⁴⁶	Multi- national good	11,827	No	Yes	>65	The InCHIANTI Study, NHANES III, and WHAS I; the Health ABC Study; the East Boston, Iowa, and New Haven sites of the EPESE	Not reported	Yes	No

Reference	Study	Sample	Definition	Prevalence (95% CI)
Number of chronic disea	ises in elderly			-
Schultz-Larsen, 2007 ¹⁵⁹	The Glostrup Aging Study	705	Comorbidity in nondisabled 70-year old - hypertension, diabetes, bronchitis, osteoarthritis in lower limbs, arteriosclerosis in lower limbs, and an ankle/arm index below 90%	8.9 (7.0; 11.3)
Pressley, 1999 ⁸⁷	National Long-Term Care Survey (NLTCS)	5,934	3	18.7 (17.7; 19.7)
2001 ¹⁵²	Canadian Study of Health and Aging (CSHA)	8,949	3 or 4 in the GSS91 survey (General Social Surveys, 1991)	30.5 (29.6; 31.5)
			3 or 4 in the CSHA-1 survey (Canadian Study of Health and Aging)	30.7 (29.8; 31.7)
Pressley, 1999 ⁸⁷	National Long-Term Care Survey (NLTCS)	5,934	≥4	10.8 (10.0; 11.6)
2001 ¹⁵²	Canadian Study of Health and Aging (CSHA)	8,949	5 or 6 in the GSS91 survey (General Social Surveys, 1991)	8.2 (7.6; 8.8)
			5 or 6 in the CSHA-1 survey (Canadian Study of Health and Aging)	18.3 (17.5; 19.1)
			≥7 in the GSS91 survey (General Social Surveys, 1991)	1.9 (1.6; 2.2)
			≥7 or more in the CSHA-1 survey (Canadian Study of Health and Aging)	13.9 (13.2; 14.6)
Bruunsgaard, 2003 ¹⁵⁸	Danish Centenarian Study	126	>5 diagnoses	23 (16.5; 31.1)
Eaker, 2002 ⁸³	Marshfield Epidemiologic Study Area	811	8-10	20.1 (17.5; 23.0)
	(MESA)		11+	23.7 (20.9; 26.7)
de Groot, 2004 ¹⁸⁹	Survey in Europe on Nutrition and the Elderly: a Concerted Action (SENECA)	989	Suffering from chronic diseases	77.4 (74.6; 79.9)
Ambulatory Care Groups	s (ACGs)			
Perkins, 2004 ¹¹⁷	Ambulatory Care Groups(ACGs)	3,496	10 ADG combinations with ≥2 major ACG	0.7 (0.5; 1.0)
			4 -5 ADG combinations with ≥2 major ACG	4.5 (3.9; 5.2)
			6 -9 ADG combinations with ≥2 major ADGs	9.3 (8.4; 10.3)
Charlson Score				
Inouye, 2003 ¹²²	Validation study	525	Charlson score ≥2 in development cohort	71.0 (67.0; 74.7)
			Charlson score ≥2 in validation cohort	60.8 (56.6; 64.9)
Number of chronic disea	ases in elderly women			
Schultz-Larsen, 2007 ¹⁵⁹	Glostrup Aging Study	705	2 diseases	16.0 (13.5; 18.9)
			4-6 diseases	5.0 (3.6; 6.9)
Szanton, 2009 ¹⁰³	Women's Health and Aging Studies	728	3 or more chronic diseases	16 (13.5; 18.8)
Fried, 1999 ¹⁰⁰	Women's Health and Aging Study	3,481	3 chronic diseases and conditions	23.0 (21.6; 24.4)
			4 chronic diseases and conditions	16.0 (14.8; 17.3)
			5 chronic diseases and conditions	9.0 (8.1; 10.0)
			6 chronic diseases and conditions	4.5 (3.9; 5.2)

Appendix E Table 2. Prevalence of Comorbidities in Older Persons According to Definition (continued)

Reference	Study	Sample	Definition	Prevalence (95% CI)
			7 chronic diseases and conditions	2.0 (1.6; 2.5)
			8 chronic diseases and conditions	0.5 (0.3; 0.8)
Chang, 2010 ¹⁰⁴	Women's Health and Aging Studies I	620	≥3 diseases	18.4 (15.5; 21.6)
	and II and complementary cohorts		≥4 diseases	8.6 (6.6; 11.1)
			≥5 diseases	1.6 (0.9; 3.0)
Szanton, 2009 ¹⁰³	Women's Health and Aging Studies	728	≥3 diseases in African Americans	13.4 (11.1; 16.1)
			≥3 diseases in Caucasians	9.5 (7.6; 11.9)
Number of chronic disea	ses in elderly men			
Schultz-Larsen, 2007 ¹⁵⁹	Glostrup Aging Study	705	2 diseases	16.0 (13.5; 18.9)
			4-6 diseases	5.0 (3.6; 6.9)

Reference	Study	Sample	Definition of the Outcome	Prevalence (95% CI)
Zarowitz, 2005 ¹²⁴	Henry Ford Medical Group	195,971	Overall polypharmacy - 5 or more different drugs concurrently for long-term use, rate/1,000 patients	29.0 (28.8; 29.2)
Veehof, 2000 ²¹²	Registration Network of	1,544	2-3 drugs, long-term drug use	40.7 (38.3; 43.2)
	Groningen (RNG)		4-5 drugs, long-term drug use	0.09 (0; 0.5)
			>5 drugs, long-term drug use	0.04 (0; 0.5)
Eaker, 2002 ⁸³	Marshfield Epidemiologic Study	811	5-9 prescription medicines	21.8 (19.1; 24.8)
	Area (MESA)		10+ prescription medicines	23.4 (20.6; 26.4)
Helmer, 1999 ¹⁶⁶	PAQUID (Personnes Agees QUID) Research Program	3660	≥5 Number of medications in women at baseline	43.0 (41.4; 44.6)
	-		≥5 Number of medications in men at baseline	35.6 (34.1; 37.2)

Appendix E Table 4. Prevalence of Cognitive Impairment in Older Persons According to Definition: Medical Research Council Cognitive Function and Aging Study²⁰⁷

Definitions of Cognitive Impairment (Measure with Mini-Mental State Examination)	Prevalence (95% CI)
Moderate cognitive decline (a score of 7 or less of 10 on the 10-Item Mental Status Questionnaire from the Global Deterioration Scale)	0.10 (0.0; 0.2)
Mild cognitive decline (a score of at least 8 of 10 on the 10-Item Mental Status Questionnaire from the Global Deterioration Scale)	0.30 (0.1; 0.7)
Questionable dementia (dementia was defined as an AGECAT organic symptom level of 3 or greater (i.e., 03-05) which corresponds to a diagnosis of dementia as defined according to the DSM-III-R)	0.70 (0.5; 1.0)
Age-associated cognitive decline (self-report of a gradual decline in memory present for at least 6 months)	1.40 (1.0; 1.9)
_Mild neurocognitive disorder	1.40 (1.0; 1.8)
Age-related cognitive decline	1.80 (1.3; 2.6)
Mild cognitive disorder	1.80 (1.4; 2.2)
Age-associated memory impairment	2.00 (1.3; 3.3)
Mild cognitive impairment (amnestic)	2.50 (1.7; 3.6)
Mild cognitive impairment (multiple)	2.60 (1.8; 3.5)
Limited cognitive disturbance	4.90 (3.9; 6.1)
Mild cognitive impairment (nonamnestic)	5.30 (4.2; 6.8)
Benign senescent forgetfulness (long-term memory score ≥16 centile)	8.20 (7.0; 9.5)
Age-consistent memory impairment	12.70 (10.8; 14.9)
Cognitive impairment no dementia	16.20 (14.4; 18.3)
Minimal dementia	16.70 (14.8; 18.7)
Mini-Mental State Examination	35.20 (32.6; 37.9)
Self-reported memory complaint	42.00 (39.3; 44.8)

Appendix E Table 5. Prevalence of Cognitive Impairment in Older Persons By Age Group and Definition

Study	Reference	Definition	Prevalence (95% CI)
	>65		
Canadian Study of Health and	Graham, 1997 ¹⁴⁸	Circumscribed memory impairment (3MSE <78)	9.4 (8.4; 10.5)
Aging		Positive on 3MSE (3MSE <78)	46.3 (43.9; 48.7)
		Cognitive impairment, no dementia (3MSE <78)	16.8 (15.1; 18.7)
		Various categories of impairment (3MSE <78)	16.8 (15.5; 18.1)
	Fisk, 2003 ¹⁵¹	Mild cognitive impairment (3MSE <78), all amnestic MCI criteria met	1.0 (0.66; 1.40)
		Mild cognitive impairment (3MSE <78), IADL impairment allowed	1.5 (1.04; 1.92)
		Mild cognitive impairment (3MSE <78), no subjective memory	2.4 (1.84; 2.96)
		complaints required	
	Fisk, 2003 ¹⁵¹	Mild cognitive impairment (3MSE <78), neither memory complaints	3.0 (2.40; 3.640
		nor intact IADL required	
Cardiovascular Health Study	Newman, 2009 ⁶⁰	African, 3MSE <80	17.0 (15.3; 18.90
All Stars Study			
	65-74		
Canadian Study of Health and	Graham, 1997 ¹⁴⁶	Various categories of impairment (3MSE <78)	11.0 (9.0; 13.0)
Aging	No author listed, 2001 ¹⁵²	Cognitive loss (3MSE <78)	9.0 (8.4; 9.6)
	>70		
Religious Orders Study	Aggarwal, 2006 ⁹⁴	Mild Cl	24.3 (21.4; 27.3)
	>74		
Canadian Study of Health and	Rockwood, 1996 ¹⁴⁷	Age associated memory impairment (3MSE <77)	1.6 (1.4; 1.9)
Aging		Any cognitive impairment (3MSE <77)	10.0 (9.4; 10.6)
		Any cognitive impairment, without dementia (3MSE <77)	5.7 (5.2; 6.2)
		Other types of CIND	4.1 (3.7; 4.5)
	75-84		
	Graham, 1997 ¹⁴⁸	Various categories of impairment (3MSE <78)	24.0 (21.7; 26.3)
	No author listed, 2001 ¹⁵²	Cognitive loss 93MSE <78)	20.0 (19.2; 20.8)
	85+		
	Graham, 1997 ¹⁴⁸	Various categories of impairment (3MSE <78)	30.3 (27.0; 33.6)
	No author listed, 2001 ¹⁵²	Cognitive loss (3MSE <78)	41.0 (40.0; 42.0)

Appendix E Table 6. Prevalence of Dementia in Older Persons By Age Group and According to Definition and Severity

Reference Study		Diagnosis	Prevalence (95% Cl)
>65			
Graham, 1997 ¹⁴⁸	Canadian Study of Health and Aging	Positive for dementia in 3MSE	31.6 (29.4; 33.9)
No author listed, 1994 ¹⁴⁶		Alzheimer's Disease	5.1 (4.7; 5.5)
Graham, 1997 ¹⁴⁸		All types of dementia combined	8.0 (6.8; 9.4)
No author listed, 1994 ¹⁴⁶		Dementia	8.0 (7.5; 8.5)
Fitzpatrick, 2004 ⁵⁷	Cardiovascular Health Study	Dementia at baseline	6.3 (5.6; 7.1)
Graham, 1997 ¹⁴⁸	Canadian Study of Health and Aging	Mild dementia	2.3 (1.8; 2.8)
Wolfson, 2001 ¹⁴⁹	Among all demented	Mild dementia	18.8 (16.3; 21.6)
Graham, 1997 ¹⁴⁸		Moderate dementia	3.1 (2.5; 3.7)
Wolfson, 2001 ¹⁴⁹	Among all demented	Moderate dementia	39.3 (36.0; 42.7)
Graham, 1997 ¹⁴⁸		Severe dementia	2.6 (2.0; 3.2)
Wolfson, 2001 ¹⁴⁹	Among all demented	Severe dementia	41.9 (38.6; 45.3)
No author listed, 1994 ¹⁴⁶	Ť	Vascular dementia	1.5 (1.3; 1.8)
65-74			
Graham, 1997 ¹⁴⁸		Mild dementia	1.0 (0.4; 1.6)
Graham, 1997 ¹⁴⁸		Moderate dementia	0.9 (0.3; 1.5)
Graham, 1997 ¹⁴⁸		Severe dementia	0.5 (0.0; 1.0)
>70			· · ·
Aggarwal, 2006 ⁹⁴	Religious Orders Study	Alzheimer's Disease	7.35 (5.8; 9.4)
>74			· · · · ·
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Alzheimer's Disease	3.0 (2.7; 3.4)
Rockwood, 1995 ¹⁴⁷		Any dementia	4.6 (4.2; 5)
Rockwood, 1996 ¹⁴⁷		Vascular dementia	0.9 (0.7; 1.1)
75-84			
Graham, 1997 ¹⁴⁸	Canadian Study of Health and Aging	Mild dementia	3.4 (2.4; 4.4)
Graham, 1997 ¹⁴⁸	· · · · ·	Moderate dementia	4.6 (3.5; 5.7)
Graham, 1997 ¹⁴⁸		Severe dementia	3.2 (2.2; 4.2)
>85			
Wikby, 2005 ¹⁹²	NONA Immune Study	Dementia	14.5 (9.6; 21.4)
Graham, 1997 ¹⁴⁸	Canadian Study of Health and Aging	Mild dementia	7.2 (5.3; 9.1)
Graham, 1997 ¹⁴⁸		Moderate dementia	12.9 (10.5; 15.3)
Graham, 1997 ¹⁴⁸		Severe dementia	14.6 (12.1; 17.1)
>90			
Kravitz, 2009 ⁵²	The 90+ Study	All cause dementia	36.1 (30.1; 42.6)

Appendix E Table 7. Differences in Prevalence of Frailty in Older Persons According to Definition of Frailty

Reference	Sample	Definition of Frailty	Mean (95% CI)
Alameda County Study	/		
Cigolle, 2009 ⁷²	574	Frail according to functional domain model (>2 domains with deficiencies)	26.0 (22.6; 29.7)
Beaver Dam Eye Study	/ Cohort		
Klein, 2005⁵	2,515	Frailty markers: gait time, handgrip strength, peak respiratory flow rate, ability to stand from a sitting position without using arms, best corrected visual acuity. Mild 1-2 markers, moderate 3 markers, severe 4-5 markers	44.7 (42.8; 46.7)
Canadian Study of Hea	alth and Aging]	
Rockwood, 2007 ¹⁴⁵	2,305	Frailty index based on; wt loss >10lbs or greater than 5% of body wt, subjective exhaustion, impaired walking, Timed Up and Go Test > 19s, abnormal strength on physical examination	16.5 (15.0; 18.1)
Gutman, 2001 ¹⁴³	5,987	Rockwood frailty index: 1 - Healthy, 2 - Bladder incontinence, 3 - Mild/moderate frailty, 4 - severe frailty	21.2 (20.2; 22.3)
	3,925	Frail: mild/moderate, severe	8.9 (8.0; 9.8)
	8,914	Frail: mild/moderate, severe	21.2 (20.4; 22.1)
Cardiovascular Health	Study		
Fried, 2001 ⁵⁵	5,317	3 or more of criteria list	6.9 (6.2; 7.6)
Walston, 2002 ⁵⁶	4,735	3 or more of criteria list	6.3 (5.6; 7.0)
Cigolle, 2009 ⁷²	5,317	Frail according to Biologic Syndrome Model(>3 frailty defining criteria)	7.0 (6.3; 7.7)
Depression Among Ca	regivers of In	npaired Elders Study	
Tennstedt, 1992 ¹²⁰	4,185	HRCA vulnerability index	18.9 (17.7; 20.1)
Effects of Two Exercis	e Interventior	ns Among Community-residing Older Adults Study	
Dayhott, 1998 ¹²¹	84	Frailty measurement based on two measures: WHOAFC and self-reported health status	17.9 (11.1; 27.6)
Kaiser Permanente Inte	er-regional Co	ommittee on Aging Study	
Brody, 1997 ¹⁹	5,810	Eligibility for nursing home placement or long-term placement	14.6 (13.7;15.5)
National Population He	ealth Survey		
Song, 2010 ¹⁴²	2,740	Frailty index based on the number of deficits divided by the number of variables considered (36). People with nine or more deficits were considered frail.	22.7 (21.0; 24.3)
New Haven Older Ame	ricans Indepe	endence Center Study	
Hardy, 2005 ³⁷	754	Frail: a timed score of greater than 10 seconds on the rapid gait test (i.e., walking back and forth over a 10-foot (3.048-m) course as quickly as possible)	42.7 (39.2; 46.3)
The Health and Retirer	nent Study		
Cigolle, 2009'2	11,113	Frail according to at least one model (Functional Domains Model, Burden, or biologic syndrome model)	30.2 (29.4; 31.1)
		Frail according to all three models (Functional Domains Model, Burden, or biologic syndrome model)	3.1 (2.8; 3.4)
		Frail - >2 domains with deficiencies	29.0 (28.2; 29.90
		Frail according to Burden model	15.4 (14.7; 16.1)
		Frail according to functional domain model	20.3 (19.6; 21.1)
		Frail according to biologic syndrome model	10.9 (10.3; 11.5)
		Frail according to an Index of Deficit Accumulation (>0.2)	32.0 (31.1; 32.9)
		Frail according to Biologic Syndrome Model(>3 frailty defining criteria)	11.0 (10.4; 11.6)
	1,657	Frail according to functional domains (weighted for nonresponse percentages)	21.3 (19.4; 23.3)

Appendix E Table 7. Differences in Prevalence of Frailty in Older Persons According to Definition of Frailty (continued)

Reference	Sample	Definition of Frailty	Mean (95% CI)
		Frail according to Burden model (weighted (weighted for nonresponse percentages)	14.8 (13.2; 16.6)
		Frail according to Biologic Syndrome Model (weighted (weighted for nonresponse	13.3 (11.7; 15.0)
		percentages)	
The MOBILIZE (Maint	tenance of Bala	ance, Independent Living, Intellect, And Zest in the Elderly) Boston Study	
Kiely, 2009 ²⁶	765	Frailty index based on; weight loss >10 pounds or greater than 5% of body weight,	10.0 (8.1; 12.3)
		subjective exhaustion, impaired walking, Timed Up and Go Test >19 seconds, abnormal	
		strength on physical examination	
		Cardiovascular Health Study Frailty Index	76.0 (72.8; 78.9)

Appendix E Table 8. Prevalence of Frailty in Older Persons According to Severity

Reference	Study	Definition	Prevalence (95% CI)				
Passarino,	The European research program	Very frail with no ADL disability (Katz' Index of ADL)	12.5 (7.6; 19.8)				
2007 ¹⁸³	European Challenge for Healthy	Very frail with at least one ADL disability (Katz' Index of ADL)	87.5 (80.2; 92.4)				
	Aging (ECHA project http:/biologia.unical.it/echa/)	Very frail with ≥2 diseases	70.8 (61.9; 78.3)				
Gutman, 2001 ¹⁴³	The Canadian Study of Health and Aging	Severe frailty in males (Rockwood scale with >2 totally dependent in transfers or one or more ADLs, incontinent of bowel and bladder, diagnosed with dementia)	4.4 (4; 4.8)				
		Severe frailty in females (Rockwood sale with >2 totally dependent in transfers or one or more ADLs, incontinent of bowel and bladder, diagnosed with dementia)	5.9 (5.4; 6.4)				
		Severe frailty in total sample (Rockwood sale with >2 totally dependent in transfers or one or more ADLs, incontinent of bowel and bladder, diagnosed with dementia)	5.3 (4.9; 5.8)				
		Mild/moderate frailty in 65-74 age group (Rockwood index that includes one of the following: assistance with mobility or one or more ADLs, cognitive impairment without dementia, bowel or bladder incontinence)	7.5 (7; 8.1)				
		Mild/moderate frailty in 75-84 age group	18.4 (17.6; 19.2)				
		Mild/moderate frailty in 85+ age group	34.5 (33.5; 35.5)				
		Mild/moderate frailty in total sample	15.9 (15.2; 16.7)				
		Severe frailty in 65-74 age group	1.4 (1.2; 1.7)				
		Severe frailty in 75-84 age group	6.1 (5.6; 6.6)				
		Severe frailty in 85+ age group	14.7 (14; 15.5)				
Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
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Prevalence of	Any ADL Disa	ability					
Wiener, 1990 ³⁰	USA	National Health Interview Survey, Supplement on Aging	1984	11,425	≥1 ADL (5 items)	5.0 4.6 (5.4)	Age 65+
Fuller- Thompson, 2009 ³	USA	American Community Survey (US Census)	2003	202,956	≥1 ADL (3 items)	5.4 (5.3; 5.5)	
Wiener, 1990 ³⁰	USA	Survey on Income and Program Participation	1984	5,900	≥1 ADL (4 items)	5.8 (5.2; 6.4)	Age 65+
Fuller- Thompson, 2009 ⁴	USA	American Community Survey (US Census)	2000	512,768	≥1 ADL (3 items)	8.8 (8.8; 8.9)	
Goins, 2007 ⁷	USA	Census Public Use 5% Microdata sample	2000	2,944,75 5	≥1 ADL (3 items)	9.1%	
Raji, 2004 ¹⁹⁹	USA	H-EPESE	1993- 2001	2,731	≥1 ADL (7 items)	11.0 (9.9; 12.2)	
Bannerman, 2002 ¹³⁹	Australia	Australian Longitudinal study on aging	1992- 1994	1,272	≥1 ADL (# items not specified)	11.9%	
Chen, 2004 ²¹³	USA	Longitudinal Study on Aging	1984	7,512	≥1 ADL (7 items)	12.1 (11.4; 12.8)	Age 70+
Corti, 1994 ¹²	USA	EPESE Boston, New Haven, Iowa sites Established Populations for Epidemiologic Studies of the Elderly	1987- 1992	4,116	≥1 ADL (2 items)	12.2	
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	≥1 ADL (6 items) "Receive help performing activity"	13.9 (13.1; 14.7)	
Lee, 2006 ⁷¹	USA	Health and Retirement	1998	11,701	≥1 ADL (6 items)	16.0 (15.3; 16.7)	Development cohort
		Study		8,009	≥1 ADL (6 items)	18.0 (17.2; 18.9)	Validation cohort
Hanlon, 2002 ¹¹	USA - North Carolina	EPESE - New Haven Site Established Populations for Epidemiologic Studies of the Elderly	1989/90 & 1992/93	3,234	≥1 ADL (5 items)	18.3 (17; 19.7)	
Newman, 2009 ⁶⁰	USA	Cardiovascular Health Study All Star	1992- 2006	1,677	≥1 ADL (5 items)	25.6%	
Prevalence of	Moderate ADI	Disability					
Gardener, 2006 ¹⁶⁰	England	ELSA - English Longitudinal Study of Aging	2002	5,432	1-2 ADL (6 items)	20.5	
Rakowski, 1993 ²¹⁴	USA	Longitudinal Study on Aging	1984	7,469	1-2 ADLs (7 items)	16.1 (15.3; 16.9)	

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
Prevalence of	Severe ADL D	visability					
Rakowski, 1993 ²¹⁴	USA	Longitudinal Study on Aging	1984	7,469	3-4 ADLS (7 items)	6.0 (5.5; 6.6)	
Gardener, 2006 ¹⁶⁰	England	ELSA - English Longitudinal Study of Aging	2002	5,432	≥3 ADLs (6 items)	7.8%	
Rakowski, 1993 ²¹⁴	USA	Longitudinal Study on Aging	1984	7,469	5-7 ADLS (7 items) 4.8 (4.3; 5.3)		
Prevalence of	Bathing ADL	Disability					
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1980	535	Bathing	athing 3.9 (2.6; 5.9)	
Wiener, 1990 ³⁰	USA	National Health Interview Survey, Supplement on Aging	1984	11,425	Bathing	4.6 (4.2; 5)	Age 65+
Lee, 2006 ⁷¹	USA	Health and Retirement Study	1998	11,701	Bathing	6.0 (5.6; 6.4)	Development cohort
Albert , 2006 ⁴⁸	USA - NYC	SITE Sources of Independence in the Elderly	1999- 2001	361	Bathing	6.7%	Total population
Lee, 2006 ⁷¹	USA	Health and Retirement Study	1998	8,009	Bathing	8.0 (7.4; 8.6)	Validation cohort
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Bathing "Receive help performing activity"	8.8 (8.2; 9.5)	
Johnson, 2000 ³¹	USA	National Survey on Self- Care and Aging	1990- 1991	3,485	Bathing	9.0 (8.1; 10)	
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1985	535	Bathing	14.7 (11.9; 18)	
Prevalence of	Dressing/Hyg	eine ADL Disability					
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1980	535	Dressing	0.8 (0.3; 2)	
Wiener, 1990 ³⁰	USA	National Health Interview Survey, Supplement on Aging	1984	11,425	Dressing	2.9 (2.6; 3.2)	Age 65+
Johnson, 2000 ³¹	USA	National Survey on Self- Care and Aging	1990- 1991	3,485	Dressing	5.0 (4.3; 5.8)	
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Dressing "Receive help performing activity"	7.7 (7.1; 8.3)	

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
Lee, 2006 ⁷¹	USA	Health and Retirement Study	1998	11,701	Dressing	9.0 (8.5; 9.5)	Development cohort
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1,348	Hygiene	9.2	Sample Age 73+ Mean age 79
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1985	535	Dressing	9.3 (7.1; 12.1)	
Lee, 2006 ⁷¹	USA	Health and Retirement Study	1998	8,009	Dressing	11.0 (10.3; 11.7)	Validation cohort
Prevalence of Eating ADL Disability		Disability					
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1980	533	Eating	0.4 (0.1; 1.5)	
Wiener, 1990 ³⁰	USA	National Health Interview Survey, Supplement on Aging	1984	11,425	Eating	0.7 (0.6; 0.9)	Age 65+
Johnson, 2000 ³¹	USA	National Survey on Self- Care and Aging	1990/ 1991	3,485	Eating	1.0 (0.7; 1.4)	
Hays, 2005 ¹³	USA	EPESE-Duke Site-	1992-	1,920	Eating	1.1 (0.7; 1.7)	White women
-		Established Populations	1993			1.3 (0.9; 1.9)	White men
		for Epidemiologic Studies of the Elderly			-	1.5 (1; 2.2)	Black women
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1985	533	Eating	2.2 (1.2; 3.9)	
Lee, 2006 ⁷¹	USA	Health and Retirement	1998	11,701	Eating	3.0 (2.7; 3.3)	Development cohort
		Study		8,009	Eating	4.0 (3.6; 4.5)	Validation cohort
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Eating "Receive help performing activity"	4.5 (4.1; 5)	
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1,348	Eating	7.3	Sample Age 73+ Mean age 79
Prevalence of	Toileting ADL	_ Disability					
Wiener, 1990 ³⁰	USA	National Health Interview Survey, Supplement on Aging	1984	11,425	Toileting	2.4 (2.1; 2.7)	Age 65+
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Toileting "Receive help performing activity"	2.5 (2.2; 2.9)	
Lee, 2006 ^{/1}	USA	Health and Retirement	1998	11,701	Toileting	5.0 (4.6; 5.4)	Development cohort
		Study		8,009	Toileting	6.0 (5.5; 6.5)	Validation cohort

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
Prevalence of	Transferring	ADL Disability					
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1980	536	Transferring	0.4 (0.1; 1.5)	
Wiener,	USA	National Health Interview	1984	11,425	Transferring	2.6 (2.3; 2.9)	Age 65+
1990 ³⁰		Survey, Supplement on Aging		5,900	Transferring	2.6 (2.2; 3)	Age 65+
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Transferring "Receive help performing activity"	3.3 (2.9; 3.7)	
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1985	536	Transferring	5.8 (4.1; 8.1)	
Lee, 2006 ⁷¹	USA	Health and Retirement	1998	11,701	Transferring	7.0 (6.6; 7.5)	Development cohort
		Study		8,009	Transferring	9.0 (8.4; 9.6)	Validation cohort
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1,348	Transferring	21.1	Sample Age 73+ Mean age 79
Prevalence of	Walking ADL	Disability					
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1980	535	Walking	0.8 (0.3; 2)	
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Walking "Receive help performing activity"	5.9 (5.4; 6.5)	
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study (MHCPS)	1985	535	Walking	7.7 (5.7; 10.3)	
Lee, 2006 ⁷¹	USA	Health and Retirement	1998	11,701	Walking	11.0 (10.4; 11.6)	Development cohort
		Study		8,009	Walking	13.0 (12.3; 13.8)	Validation cohort
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1,348	Walking	20.6	Sample Age 73+ Mean age 79
Prevalence of	ADL Disabilit	y in Women					
Crimmins,	USA	Longitudinal Study on	1984	3,081	≥1 ADL (7 items)	8.1 (7.2; 9.1)	Women age 76+
1997 ^{°°}		Aging	1988	2,842	≥1 ADL (7 items)	9.6 (8.6; 10.7)	Women age 76+
Fuller- Thompson, 2009⁴	USA	American Community Survey (US Census)	2000	512,768	≥1 ADL (3 items)	10.2 (10.1; 10.2)	Women
Crimmins,	USA	Longitudinal Study on	1990	2,782	≥1 ADL (7 items)	10.2 (9.1; 11.4)	Women age 76+
1997 ⁸⁶		Aging	1986	2,904	≥1 ADL (7 items)	10.6 (9.5; 11.8)	Women age 76+
Corti, 1994 ¹²	USA	EPESE Boston, New	1987-	4,116	≥1 ADL (# items not	13.2 (12.2; 14.3)	Women

Appendix E Table 9. Prevalence of ADL Disability in Older Persons (continued)

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
		Haven, lowa sites Established Populations for Epidemiologic Studies of the Elderly	1992		specified)		
Bannerman, 2002 ¹³⁹	Australia	Australian Longitudinal study on aging	1992- 1994	1,272	≥1 ADL (# items not specified)	14.0 (12.2; 16)	
Newman,	USA	Cardiovascular Health	1992-	1,677	≥1 ADL (5 items)	24.7 (22.7; 26.8)	Women age 85-88
2009 ⁶⁰		Study All Stars	2006		· · · · ·	24.8 (22.8; 26.9)	Women age ≤82
					-	27.3 (25.2; 29.5)	Women age 83-84
						29.4%	Women age 89+
						27.3	-
						31.6	
Gardener,	England	ELSA - English	2002	5432	1-2 ADLs (6 items)	21.7	All women
2006 ¹⁶⁰		Longitudinal Study of			1-2 ADLs (6 items)	33.0 (31.8; 34.3)	Women age ≥80
		Aging			1-2 ADLs (6 items)	18.0 (17; 19)	Women age 65-79
					≥3 ADLs (6 items)	7.0%	All women
					≥3 ADLs (6 items)	10.0 (9.2; 10.8)	Women age ≥80
					≥3 ADLs (6 items)	6.0 (5.4; 6.7)	Women age 65-79
Albert, 2006 ⁴⁸	USA - NYC	SITE Sources of	1999-	361	Bathing	6.9 (4.7; 10)	Women age <75
		Independence in the	2001			7.7	All women
		Elderly				8.0 (5.6; 11.3)	Women age ≥75
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1,348	Dressing/grooming	17.1 (15.2; 19.2)	Sample Age 73+ Mean age 79 Women
Hays, 2005 ¹³	USA	EPESE-Duke Site-	1992-	1,920	Eating	1.1 (0.7; 1.7)	White women
		Established Populations	1993			1.2	All women
		for Epidemiologic Studies of the Elderly				1.5 (1; 2.2)	Black women
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1,348	Eating	11.8 (10.2; 13.6)	Sample Age 73+ Mean age 79 Women
					Hygiene	12.8 (11.1; 14.7)	Sample Age 73+ Mean age 79 Women
					Transferring	27.6 (25.3; 30)	Sample Age 73+ Mean age 79 Women
					Walking	27.3 (25; 29.7)	Sample Age 73+ Mean age 79 Women
Prevalence of	ADL Disability	/ in Men					

Appendix E Table 9. Prevalence of ADL Disability in Older Persons (continued)

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
Crimmins,	USA	Longitudinal Study on	1984	3,081	≥1 ADL (7 items)	6.1 (5.3; 7)	Men age 76+
1997 ⁸⁶		Aging	1986	2,904	≥1 ADL (7 items)	6.5 (5.7; 7.5)	Men age 76+
Fuller- Thompson, 2009 ⁴	USA	American Community Survey (US Census)	2000	512,768	≥1 ADL (3 items)	7.1 (7; 7.1)	Men
Crimmins,	USA	Longitudinal Study on	1990	2,782	≥1 ADL (7 items)	7.5 (6.6; 8.5)	Men age 76+
1997 ⁸⁶		Aging	1988	2,842	≥1 ADL (7 items)	7.9 (7; 9)	Men age 76+
Bannerman, 2002 ¹³⁹	Australia	Australian Longitudinal study on aging	1992- 1994	1,272	≥1 ADL (# items not specified)	10.0 (8.5; 11.8)	
Corti, 1994 ¹²	USA	EPESE Boston, New Haven, Iowa sites Established Populations for Epidemiologic Studies of the Elderly	1987- 1992	4,116	≥1 ADL (2 items)	10.3 (9.4; 11.3)	Men
Newman,	USA	Cardiovascular Health	1992-	1,677	≥1 ADL (5 items)	12.8%	Men age 83-84
2009 ⁶⁰		Study All Stars	2006			11.3	
					_	14.5	
						16.7 (15; 18.6)	Men age ≤82
						22.8 (20.9; 24.9)	Men age 85-88
						23.7 (21.7; 25.8)	Men age 89+
Gardener,	England	ELSA - English	2002	5,432	1-2 ADL (6 items)	19.1	All men
2006160		Longitudinal Study of			1-2 ADL (6 items)	28.0 (26.8; 29.2)	Men age ≥80
		Aging			1-2 ADL (6 items)	17.0 (16; 18)	Men age 65-79
					3+ ADLs (6 items)	7.0	All men
					3+ ADLs (6 items)	11.0 (10.2; 11.9)	Men age ≥80
					3+ ADLs (6 items)	6.0 (5.4; 6.7)	Men age 65-79
Albert,	USA - NYC	SITE Sources of	1999-	361	Bathing	0.0 (0; 2.2)	Men age <75
200640		Independence in the Elderly	2001			4.4	Sample age 73+ Mean age 79 All men
					-	6.7 (4.5; 9.80)	Men age ≥75
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1,348	Dressing/grooming	13.2 (11.5; 15.1)	Sample age 73+ Mean age 79 men
Havs. 2005 ¹³	USA	EPESE-Duke Site-	1992-	1.920	Eating	.60	Black men
,.,		Established Populations	1993	.,		1.2	All men
		for Epidemiologic Studies of the Elderly			-	1.3 (0.9; 1.9)	White men
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1,348	Eating	6.0 (4.9; 7.4)	Sample age 73+ Mean age 79 Men

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
					Hygiene	8.1 (6.8; 9.7)	Sample age 73+ Mean age 79 Men
					Transferring	19.2 (17.2; 21.4)	Men
					Walking	18.6 (16.6; 20.8)	Sample age 73+ Mean age 79 Men
Prevalence of	ADL Disability	y by Ethnicity	0000	000.050			
Fuller-	USA	American Community	2003	202,956	21 ADL (3 Items)	7.5 (7.4; 7.6)	Black men age 65-74
2000^3		Survey (US Census)			-	4.7 (4.6; 4.8)	White men age 65-74
2009						10.7 (10.6; 10.8)	Black women age 65- 74
						5.2% (5.1; 5.3)	White women age 65- 74
Goins, 2007'	USA	Census Public Use 5%	2000	2,944,75	≥1 ADL (3 items)	13.6 (13.6; 13.6)	African American
		Microdata sample		5	· · · · ·	11.6 (11.6; 11.6)	American Indian
					-	8.7 (8.7; 8.7)	White
Hays, 2005 ¹³	USA	EPESE-Duke Site-	1992-	1,920	Eating	.60	Black men
-		Established Populations	1993			1.1 (0.7; 1.7)	White men
		for Epidemiologic Studies				1.2	Black men & women
		of the Elderly			-	1.2	White men & women
					-	1.3 (0.9; 1.9)	White women
					-	1.5 (1; 2.2)	Black women
Prevalence of	ADL Disability	y by Age					
Newman,	USA	Cardiovascular Health	1992-	1,677	≥1 ADL (5 items)	16.7 (15; 18.6)	Men age ≤82
2009 ⁶⁰		Study All Star	2006			12.8 (11.3; 14.5)	Men age 83-84
						22.8 (20.9; 24.9)	Men age 85-88
						23.7 (21.7; 25.8)	Men age 89+
						24.8 (22.8; 26.9)	Women age ≤82
						27.3 (25.2; 29.5)	Women age 83-84
						24.7 (22.7; 26.8)	Women age 85-88
						29.4 (27.3; 31.6)	Women age 89+
Gardener,	England	ELSA - English	2002	5,432	1-2 ADLs (6 items)	33.0 (31.8; 34.3)	Women age ≥80
2006 ¹⁶⁰		Longitudinal Study of			1-2 ADLs (6 items)	18.0 (17; 19)	Women age 65-79
		Aging			1-2 ADL (6 items)	28.0 (26.8; 29.2)	Men age ≥80
					1-2 ADL (6 items)	17.0 (16; 18)	Men age 65-79
					≥3 ADLs (6 items)	10.0 (9.2; 10.8)	Women age ≥80
					≥3 ADLs (6 items)	6.0 (5.4; 6.7)	Women age 65-79
					≥3 ADLs (6 items)	11.0(10.2; 11.9)	Men age ≥80
					≥3 ADLs (6 items)	6.0 (5.4; 6.7)	Men age 65-79
Albert,	USA - NYC	SITE Sources of	1999-	361	Bathing	0.0 (0; 2.2)	Men age <75

Appendix E Table 9. Prevalence of ADL Disability in Older Persons (continued)

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
2006 ⁴⁸		Independence in the Elderly	2001				
Wiener,	USA	National Health Interview	1984	7,054	Bathing	2.5 (2.2; 2.9)	Age 65-74
1990 ³⁰		Survey, Supplement on Aging		11,425	Bathing	4.6(4.2; 5)	Age 65+
Albert,	USA - NYC	SITE Sources of	1999-	361	Bathing	6.7 (4.5; 9.8)	Men age ≥75
2006 ⁴⁸		Independence in the	2001		_	6.9 (4.7; 10)	Women age < 75
		Elderly				8.0 (5.6; 11.3)	Women age ≥75
Wiener,	USA	National Health Interview	1984	7,054	Dressing	1.8 (1.5; 2.1)	Age 65-74
1990 ³⁰		Survey, Supplement on		11,425	Dressing	2.9 (2.6; 3.2)	Age 65+
		Aging		7,054	Eating	0.4 (0.3; 0.6)	Age 65-74
				11,425	Eating	0.7 (0.6; 0.9)	Age 65+
				7,054	Toileting	1.3 (1.1; 1.6)	Age 65-74
				11,425	Toileting	2.4 (2.1; 2.7)	Age 65+
				7,054	Transferring	1.5 (1.2; 1.8)	Age 65-74
				11,425	Transferring	2.6 (2.3; 2.9)	Age 65+
ADL Disability	in Frail Popul	ation					
Wiener,	USA	National Long-Term Care	1982	17,658	≥1 ADL (5 items)	7.8 (7.4; 8.2)	Age 65+
1990 ³⁰		Survey	1982	17,658	ADL bathing	6.3 (6; 6.7)	Age 65+
			1982	10,439	ADL bathing	4.0 (3.6; 4.4)	Age 65-74
			1982	17,658	Bathing	6.3 (6; 6.7)	Age 65+
			1982	17,658	Dressing	4.2 (3.9; 4.5)	Age 65+
			1982	17,658	Eating	2.5 (2.3; 2.7)	Age 65+
			1982	17,658	Toileting	3.4 (3.1; 3.7)	Age 65+
			1982	17,658	Transferring	4.2 (3.9; 4.5)	Age 65+
			1984	12,687	≥1 ADL (5 items)	4.9 (4.5; 5.3)	Age 65+
			1984	19,720	ADL bathing	6.3 (6; 6.6)	Age 65+
			1984	12,687	ADL bathing	3.8 (3.5; 4.1)	Age 65-74
			1984	19,720	Bathing	6.3 (6; 6.6)	Age 65+
			1984	19,720	Dressing	4.0 (3.7; 4.3)	Age 65+
			1984	19,720	Eating	2.3 (2.1; 2.5)	Age 65+
			1984	19,720	Toileting	3.3 (3.1; 3.6)	Age 65+
			1984	19,720	Transferring	4.0 (3.7; 4.3)	Age 65+
Carey,	USA	PACE Program of All-	1988-	2,232	≥3 ADLs (6 items)	50.0 (47.9; 52.1)	Frail group
2008 ¹²⁹		Inclusive Care for the	1996				development cohort
		Elderly		1,667	≥3 ADLs (6 items)	52.0 (49.6; 54.4)	Frail group validation
							cohort
				1,667	Bathing	86.4 (84.7; 88)	Validation cohort
				2,232	Bathing	81.7 (80; 83.2)	Development cohort
				1,667	Dressing	69.8 (67.6; 72)	Validation cohort
				2,232	Dressing	62.6 (60.6; 64.6)	Development cohort

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Population
				2,232	Eating	21.4 (19.7; 23.2)	Development cohort
				1,667	Eating	28.9 (26.8; 31.1	Validation cohort
				2,232	Finances	58.1 (56.00; 60.10)	Development cohort
				1,667	Finances	68.5 (66.20; 70.70)	Validation cohort
				2,232	Heavy chores	94.6 (93.60; 95.50)	Development cohort
				1,667	Heavy chores	97.0 (96.10; 97.70)	Validation cohort
				2,232	Housework	79.3 (77.60; 80.90)	Development cohort
				1,667	Housework	74.8 (72.70; 76.80)	Validation cohort
				2,232	Laundry	83.0 (81.40; 84.50)	Development cohort
				1,667	Laundry	85.6 (83.80; 87.20)	Validation cohort
				2,232	Meal prep	74.8 (73.00; 76.60)	Development cohort
				1,667	Meal prep	65.7 (63.40; 67.90)	Validation cohort
				2,232	Medication	37.3 (35.30; 39.30)	Development cohort
				4.007	management	00.0 (00.70.44.40)	
				1,667	Medication	39.0 (36.70; 41.40)	Validation conort
				2,232	Shopping	83.2 (81.60; 84.70)	Development cohort
				1,667	Shopping	85.9 (84.10; 87.50)	Validation cohort
				2,232	Toileting	46.3 (44.2; 48.4)	Development cohort
				1,667	Toileting	45.9 (43.5; 48.3)	Validation cohort
				1,667	Transferring	39.8 (37.5; 42.2)	Validation cohort
				2,232	Transferring	45.6 (43.5; 47.7)	Development cohort
				2,232	Transportation	79.6 (77.90; 81.20)	Development cohort
				1,667	Transportation	74.2 (72.00; 76.20)	Validation cohort
				1,667	Walking	42.0 (39.7; 44.4)	Validation cohort
				2,232	Walking	53.6 (51.5; 55.7)	Development cohort
Gill, 2008 ⁴³	USA - New Haven CT	Precipitating Events Project	1998- 2004	491	≥1 ADL (4 items)	31.2 (27.3; 35.4)	

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% Cl)	Sub-Groups
Prevalence of A	ny IADL Disa	bility	-				
Lee, 2006 ^{/1}	USA	Health and Retirement Study	1998	11,701	≥1 IADL (5 items)	12.0 (11.40; 12.60)	Development cohort
				8,009	≥1 IADL (5 items)	16.0 (15.20; 16.80)	Validation cohort
Ganguli, 2002 ⁸⁵	USA	Monongahela Valley Independent Elders Survey	1987- 1999	1,064	1-3 IADL (# items not specified OARS)	23.8 (21.30; 26.50)	
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	≥1 IADL (5 items) "Need help performing activity"	26.5 (25.50; 27.50)	
Chen, 2004 ²¹³	USA	Longitudinal Study on Aging	1984	7,512	≥1 IADL (6 items)	26.90 (25.90; 27.90)	
Hanlon, 2002 ¹¹	USA	EPESE - New Haven Site Established Populations for Epidemiologic Studies of the Elderly	1989/90 & 1992/93	3,234	≥1 IADL (3 items)	35.5 (33.90; 37.20)	
Espino, 2006 ²⁰⁰	USA	H-EPESE Hispanic Established Populations for Epidemiologic Studies of the Elderly	1993- 2001	3,050	≥1 IADL (# items not specified)	46.7 (44.90; 48.50)	
Prevalence of N	Ioderate IADL	Disability					
Rolland,	France	EPIDOS Epidemiologie de l'osteoporose	1992-	7,250	2 IADL (8 items)	7.2 (6.60; 7.80)	
2006		study	1998	7,250	1 IADL (8 items)	15.1 (14.30; 15.90)	
Rakowski, 1993 ²¹⁴	USA	Longitudinal Study on Aging	1984	7,474	1-2 IADL (6 items)	21.3 (20.40; 22.20)	
Johnson, 2000 ³¹	USA	National Survey on Self-Care and Aging	1990/1991	3,485	≥2 IADL (6 items)	31.0 (29.50; 32.60)	
Prevalence of S	evere IADL Di	sability					
Rakowski, 1993 ²¹⁴	USA	Longitudinal Study on Aging	1984	7,474	5-6 IADL (6 items)	4.5 (4.00; 5.00)	
Ganguli, 2002 ⁸⁵	USA - Washington & Westmorel and Counties in PA	Monongahela Valley Independent Elders Survey	1987- 1999	1,064	≥4 IADL (# items not specified OARS)	5.7 (4.50; 7.30)	
Rakowski, 1993 ²¹⁴	USA	Longitudinal Study on Aging	1984	7474	3-4 IADL (6 items)	6.2 (5.70; 6.80)	
Rolland, 2006 ¹⁶⁵	France	EPIDOS Epidemiologie de l'osteoporose study	1992- 1998	7250	≥3 IADL (8 items)	8.5 (7.90; 9.20)	
Kiely, 2009 ²⁶	USA	MOBILIZE (Maintenance of Balance,	Not	765	3-4 IADL (3 items)	21.2 (18.40; 24.20)	

Appendix E Table 10. Prevalence of Instrumental ADL Disability in Older Persons (continued)

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Groups
		Independent Living, Intellect, and Zest in the Elderly) Boston Study (MBS)	reported				
Prevalence of F	inance IADL [Disability					
Lee, 2006 ⁷¹	USA	Health and Retirement Study	1998	11701	Finances	8.0 (7.50; 8.50)	Development cohort
				8009	Finances	9.0 (8.40; 9.60)	Validation cohort
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Finances "Need help performing activity"	17.9 (17.00; 18.80)	
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study	1980	523	Finances	19.3 (16.10; 22.90)	
		(MHCPS)	1985	523	Finances	36.7 (32.70; 40.90)	
Prevalence of H	lousekeeping	IADL Disability					
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study	1980	500	Housekeeping	35.8 (31.70; 40.10)	
		(MHCPS)	1985	500	Housekeeping	48.0 (43.60; 52.40)	
Albert , 2006 ⁴⁸	USA - NYC	SITE Sources of Independence in the Elderly	1999- 2001	361	Housekeeping	7.5	
Prevalence of M	leal Preparation	on IADL Disability					
Hays, 2005 ¹³	USA	EPESE-Duke Site- Established Populations for Epidemiologic Studies of the Elderly	1992- 1993	1920	Meal prep	10.1	
Lee, 2006 ^{/1}	USA	Health and Retirement Study	1998	11701	Meal prep	10.0 (9.50; 10.60)	Development cohort
				8009	Meal prep	12.0 (11.30; 12.70)	Validation cohort
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study	1980	530	Meal prep	32.4 (28.50; 36.50)	
		(MHCPS)	1985	530	Meal prep	34.1 (30.20; 38.20)	
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Meal prep "Need help performing activity"	7.1 (6.50; 7.70)	
Prevalence of M	ledication IAD	DL Disability					
Lee, 2006 ⁷¹	USA	Health and Retirement Study	1998	11701	Medication management	3.0 (2.70; 3.30)	Development cohort
				8009	Medication management	3.0 (2.60; 3.40)	Validation cohort
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Medication management "Need help performing activity"	4.7 (4.20; 5.20)	

Appendix E Table 10. Prevalence of Instrumental ADL Disability in Older Persons (continued)

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% CI)	Sub-Groups
Prevalence of	Shopping IAD	L Disability					
Hays, 2005 ¹³	USA	EPESE-Duke Site- Established Populations for Epidemiologic Studies of the Elderly	1992- 1993	1920	Shopping	13.8	
Lee, 2006 ⁷¹	USA	Health and Retirement Study	1998	11701	Shopping	11.0 (10.40; 11.60)	Development cohort
				8009	Shopping	14.0 (13.30; 14.80)	Validation cohort
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study	1980	522	Shopping	32.9 (29.00; 37.00)	
		(MHCPS)	1985	522	Shopping	41.3 (37.10; 45.60)	
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Shopping "Need help performing activity"	14.6 (13.80; 15.40)	
Prevalence of	Telephone IAD	DL Disability					
Lee, 2006 ⁷¹	USA	Health and Retirement Study	1998	11701	Telephone	4.0 (3.70; 4.40)	Development cohort
				8009	Telephone	6.0 (5.50; 6.50)	Validation cohort
Tabbarah, 2001 ²	USA	Survey of Asset and Health Dynamics of the Oldest Old (AHEAD)	1994	7,447	Telephone "Need help performing activity"	4.5 (4.10; 5.00)	
Prevalence of	Transportatior	n IADL Disability					
Jette, 1990 ¹²⁶	USA	Massachusetts Health Care Panel Study	1980	513	Driving	54.3 (50.00; 58.60)	
		(MHCPS)	1985	513	Driving	67.6 (63.40; 71.50)	
Prevalence of	IADL Disability	/ by Frailty Status					
Cawthon,	USA	MROS - Osteoporotic Fractures in Men	2000-	5993	≥1 IADL (3 items)	7.4 (6.80; 8.10)	Robust
200720		Study	2002			19.6 (18.60; 20.60)	Pre-frail
						55.7 (54.40; 57.00)	Frail
Gender Differe	nce						
Murtogh, 2004 ²¹⁵	USA	Alumni Health Study	1999	1348	Chore	40.1 (37.50; 42.70)	Sample Age 73+ Mean age 79
Crimmins, 1997 ⁸⁶	USA	Longitudinal Study on Aging	1984	3081	≥1 IADL (6 items)	12.7 (11.60; 13.90)	Women age 76+
1007			1986	2904	≥1 IADL (6 items)	12.6 (11.40; 13.90)	Women age 76+
			1988	2842	≥1 IADL (6 items)	12.8 (11.60; 14.10)	Women age 76+
			1990	2782	≥1 IADL (6 items)	11.6 (10.50; 12.80)	Women age 76+

Appendix E Table 10. Prevalence of Instrumental ADL Disability in Older Persons (continued)

Reference	Country	Study	Year of Study	Sample Size	Disability Type 2	Prevalence (95% Cl)	Sub-Groups
Murtogh,	USA	Alumni Health Study	1999	1348	Chore	23.6 (21.40; 25.90)	Sample Age
2004 ²¹⁵							73+
							Mean age 79
Crimmins,	USA	Longitudinal Study on Aging	1984	3081	≥1 IADL (6 items)	9.2 (8.20; 10.30)	Men age 76+
1997**			1986	2904	≥1 IADL (6 items)	12.5 (11.30; 13.80)	Men age 76+
			1988	2842	≥1 IADL (6 items)	11.2 (10.10; 12.40)	Men age 76+
			1990	2782	≥1 IADL (6 items)	10.7 (9.60; 11.90)	Men age 76+
Albert , 200648	USA - NYC	SITE Sources of Independence in the	1999-	361	Housekeeping	2.6 (1.40; 4.90)	Men age <75
		Elderly	2001			5.3 (3.40; 8.10)	Men age ≥75
						8.3 (5.90; 11.60)	Women age <75
Hays, 2005 ¹³	USA	EPESE-Duke Site- Established Populations	1992-	1920	Meal prep	10.2	Women
		for Epidemiologic Studies of the Elderly	1993		Meal prep	9.8	Men
					Meal prep	11.9 (10.50; 13.40)	Black women
					Meal prep	7.5 (6.40; 8.80)	White women
					Meal prep	9.7 (8.50; 11.10)	Black men
					Meal prep	10.9 (9.60; 12.40)	White men
					Shopping	10.4	Women
					Shopping	20.5	Men
					Shopping	24.8 (22.90; 26.80)	Black women
					Shopping	15.6 (14.00; 17.30)	White women
					Shopping	12.1 (10.70; 13.60)	Black men
					Shopping	8.3 (7.10; 9.60)	White men
Albert , 200640	USA - NYC	SITE Sources of Independence in the Elderly	1999-2001	361	Housekeeping	9.1 (6.50; 12.50)	Women age ≥75
Racial Differen	се						
Hays, 2005 ¹³	USA	EPESE-Duke Site- Established Populations	1992-	1920	Meal prep	10.4	Black
		for Epidemiologic Studies of the Elderly	1993		Meal prep	9.7	White
					Meal prep	11.9 (10.50; 13.40)	Black women
					Meal prep	7.5 (6.40; 8.80)	White women
					Meal prep	9.7 (8.50; 11.10)	Black men
					Meal prep	10.9 (9.60; 12.40)	White men
					Shopping	16.2	Black
					Shopping	10.9	White
					Shopping	24.8 (22.90; 26.80)	Black women
					Shopping	15.6 (14.00; 17.30)	White women
					Shopping	12.1 (10.70; 13.60)	Black men
					Shopping	8 3 (7 10 9 60)	White men

Appendix E Table 11. Prevalence of Malnutrition in Older Persons Defined as Low Albumin Level, Low BMI, or Unintentional Weight Loss

Reference	Study	Country	Sample size	Age	Gender	Definition	Subgroup	Prevalence (95% CI)
Albumin								
Onder, 2003 ¹⁸⁴	Italian Group of Pharmacoepidemiology in the Elderly (GIFA)	Italy	6984	>65	Total	Serum albumin level <35 g/L		38.1 (37.0; 39.3)
Martin, 2007 ¹³⁸	Veterans Administrative (VA) outpatient clinics	USA	130	>65	Total	Serum albumin <35 g/L	BMI <24 kg/m2	3.1 (1.2; 7.9)
Lesourd,	SENECA: Survey in Europe	8 European	1701	>73	Male	Albumin <35g/l		1.8 (1.3; 2.6)
1996 ²¹⁶	on Nutrition and the Elderly, a	countries			Female	Albumin <30g/l		0.2 (0.1; 0.6)
	Concerted Action				Male	Albumin <35g/l		2.5 (1.9; 3.4)
					Female	Albumin <30g/l		0.7 (0.4; 1.2)
Anemia								
Lesourd,	SENECA: Survey in Europe	8 European	1701	>73	Male	Anemia, WHO		5.6 (4.6; 6.8)
1996210	on Nutrition and the Elderly, a	countries				criteria <130g/L		
	Concerted Action				Female	Anemia, WHO		5.5 (4.5; 6.7)
						criteria <120g/L		
					Male	Anemia,		4.1 (3.3; 5.2)
						NHANES II		
						Criteria <126g/L		
					Female			4.2 (3.3, 5.3)
						nnaneo II criteria <117a/l		
Dallman	Second National Health and		1013	>65	Male	Δnemia 126α/l		1 1 (3 3: 5 9)
1984 ²¹⁷	Nutrition Examination Survey	004	1013	>65	Male	Anemia, 120g/L Anemia 126g/L	Caucasian	4 5 (3 4 6 0)
1001	(NHANES II, 1976 to 1980)		1682	>65	Female	Anemia 117g/L	Oddedsidii	3 9 (3 1 2 4 9)
			1682	>65	Female	Anemia 117g/L	Caucasian	35(27:45)
Body mass inde	ex. kg/m2		1002	200	1 officio	/ morma, in g/E	Outbablan	0.0 (2.1, 1.0)
Onder, 2003 ¹⁸⁴	Italian Group of Pharmacoepidemiology in the Elderly (GIFA)	Italy	6984	>65	Total	BMI < 18.5		5.8 (5.3; 6.4)
Shannon, 2007 ²⁹	Osteoporotic Fractures in Men (MrOS)	USA	5928	>65	Male	BMI<20		1.0 (0.8; 1.3)
Stookey, 2004 ⁸	Duke Established Populations	USA	705	>70	Total	BMI< 18.5		2.3 (1.4; 3.7)
	for Epidemiologic Studies of							· · · /
	the Elderly							
Marshall,	San Luis Valley Health and	USA	1,006	>65	Male	BMI<22	Hispanic	10.4 (8.7; 12.4)*
1999 ¹³²	Aging Study	USA	1,006	>65	Male	BMI<22	non Hispanic	5.9 (4.6; 7.5)*
		USA	1,006	>65	Female	BMI<22	Hispanic	9.8 (8.1; 11.8)*
		USA	1,006	>65	Female	BMI<22	non Hispanic	13.0 (11.1; 15.2)*
Martin, 2007 ¹³⁸	Veterans Administrative (VA) outpatient clinics	USA	130	>65	Total	BMI <19		15.0 (9.8; 22.2)
Ledikwe,	Geisinger Rural Aging Study	USA	179	>65	Total	BMI <18.5		0.0 (0.0; 4.3)

Appendix E Table 11. Prevalence of Malnutrition in Older Persons Defined as Low Albumin Level, Low BMI, or Unintentional Weight Loss (continued)

Reference	Study	Country	Sample size	Age	Gender	Definition	Subgroup	Prevalence (95% Cl)
2004 ⁶⁸	(GRAS)							
Cesari, 2004 ¹⁷⁶	InCHIANTI	Italy	1020	>65	Total	BMI<20		1.8 (1.1; 2.8)
de Groot,	Survey in Europe on Nutrition	Europe	989	>65	Female	BMI<20		10 (8.3;12)
2004 ¹⁸⁹	and the Elderly: a Concerted Action (SENECA)				Men	BMI<20		0.1 (0;0.8)
Weight loss								
Beck, 1999 ¹³⁷	Danish part of the 'Survey in Europe of Nutrition in the Elderly, a Concerted Action' (SENECA)	Denmark	202	>73	Total	Weight loss >5%	High Nutritional risk, NSI Score >6	21.0 (15.9; 27.2)
						Weight loss >5%	Risk of malnutrition by MNA 17–23.5	58.0 (51.1; 64.6)
Newman, 2001 ⁶⁴	Cardiovascular Study Research Group	USA	4718	>65	Male	Weight loss of 5% in a 3-year		16.2 (15.2; 17.3)
					Male	Weight loss of 10% in a 3-year		4.1 (3.6; 4.7)
					Female	Weight loss of 5% in a 3-year		18.7 (17.6; 19.8)
					Female	Weight loss of 10% in a 3-year		6.3 (5.6; 7.0)
Kulminski, 2008 ⁶²	Cardiovascular Health Study	USA	4721	>65		Weight loss (component of Phenotypic Frailty Index)		4.5 (3.9;5.1)
Marshall, 1999 ¹³²	San Luis Valley Health and Aging Study	USA	1,006	>65	Male	Weight loss >10% in 6 months	Hispanic	6.6 (5.2; 8.3)*
					Male	Weight loss >10% in 6 months	Non Hispanic	7.2 (5.8; 9.0)*
					Female	Weight loss >10% in 6 months	Hispanic	8.3 (6.7; 10.2)*
					Female	Weight loss >10% in 6 months	Non Hispanic	8.9 (7.3; 10.8)*
Ensrud, 2009 ⁵¹	The Osteoporotic Fractures in Men Research Group	USA	3132	>65	Male	Weight loss		19.6 (18.2;21)
Graham, 2009 ²⁰²	Hispanic Established Population for the Epidemiological Study of the	USA	1996	>65	Total	Weight loss	Hispanic	18.8 (17.1;20.6)

Appendix E Table 11. Prevalence of Malnutrition in Older Persons Defined as Low Albumin Level, Low BMI, or Unintentional Weight Loss (continued)

Reference	Study	Country	Sample size	Age	Gender	Definition	Subgroup	Prevalence (95% CI)
	Elderly							
Syddall, 2010 ²⁰⁶	Hertfordshire Cohort Study	UK	638	>65	Male	Weight loss (>10 pounds over the past year)		5.3 (3.8;7.3)
					Female			3.5 (2.3;5.2)

*age adjusted prevalence

Appendix E Table 12. Prevalence of Malnutrition in Older Persons Defined as Micronutrients Deficit

Reference	Study	Country	Sample size	Age	Gender	Subgroup	Definition	Prevalence (95% CI)
Patel, 2010 ⁴⁶	NHANES III	USA	4,198	>65	Total		Folate deficient	6.4 (5.7; 7.2)
	Women's Health and Aging Study I	USA	742	>70	Total		Folate deficient	1.0 (0.5; 2.0)
	InCHIANTI	USA	1,036	>65	Total		Folate deficient	18.2 (16.0; 20.7)
	NHANES III	USA	4,198	>65	Total		Iron deficient	5.6 (4.9; 6.3)
	Women's Health and Aging Study I	USA	742	>70	Total		Iron deficient	2.6 (1.7; 4.0)
	InCHIANTI	USA	1,036	>65	Total		Iron deficient	6.1 (4.8; 7.7)
	NHANES III	USA	4,198	>65	Total		Vitamin B12 deficient	5.4 (4.8; 6.1)
	Women's Health and Aging Study I	USA	742	>70	Total		Vitamin B12 deficient	6.6 (5.0; 8.6)
	InCHIANTI	USA	1,036	>65	Total		Vitamin B12 deficient	11.7 (9.9; 13.8)
Visser, 2006 ¹⁹⁶	Longitudinal Aging Study Amsterdam	The Netherlands	1260	>65	Total		Vitamin D deficiency [25 (OH)D < 25 nmol/L]	10.1 (8.6; 11.9)
	(1995–1996)			>65	Total		Vitamin D insufficiency [25 (OH)D 25–49.9 nmol/L]	36.7 (34.1; 39.4)
Johnson, 2008 ⁹³	Older Americans Act Nutrition Program (OAANP) in northeast	USA	158	>65	Total		Vitamin D insufficiency (25 (OH)D 25- <50nmol/l)	36.7 (29.6; 44.5)
	Georgia				Total		Vitamin D deficiency	8.2 (4.8; 13.6)
					Male		Vitamin D deficiency	10.0 (6.2; 15.7)
					Female		Vitamin D deficiency	8.0 (4.7; 13.4)
					Total	Caucasian	Vitamin D deficiency	8.0 (4.7; 13.4)
					Total	African American	Vitamin D deficiency	8.0 (4.7; 13.4)

Appendix E Table 13. Prevalence of Malnutrition in Older Persons Using Composite Nutritional Score

Reference	Study	Country	Sample size	Age	Gender	Definition	Subgroup	Prevalence (95% CI)
High nutritional risk								
de Groot, 1998 ²¹⁸	SENECA: Survey in Europe on Nutrition and the Elderly, a Concerted Action	8 European countries	1701	>73	Total	High risk by Nutritional Health Checklist		48.0 (45.6; 50.4)
Marshall, 1999 ¹³²	San Luis Valley	USA	1,006	>65	Male	High risk by	Hispanic	19.1 (16.8; 21.6)*
	Health and Aging				Male	Nutritional	Non Hispanic	14.0 (12.0; 16.3)*
	Study				Female	Health	Hispanic	30.0 (27.2; 32.9)*
157					Female	Checklist	Non Hispanic	17.0 (14.8; 19.4)*
Beck, 1999 ¹³⁷	Danish part of 'Survey in Europe of Nutrition in the Elderly, a Concerted Action' (SENECA)	Denmark	202	>73	Total	High risk by Nutritional Health Checklist		19.3 (14.4; 25.3)
Yap, 2007 ¹⁹¹	Singapore Longitudinal	Singapore	1407	65-74 vears	Total	High risk score (>3)		33.1 (30.7; 35.6)
	Aging Study, SLAS			75+ years	Total	_ ()	-	40.9 (38.4; 43.5)
Weatherspoon,	Nutrition	USA	324	>60	Total	High risk score	Rural	29.0 (24.3; 34.2)
2004 ⁹²	Screening				Total		Urban	33.0 (28.1; 38.3)
	Initiative				Male			24.0 (19.7; 29.0)
					Female	_		34.0 (29.0; 39.3)
				60-64	Total	_	60-64	21.0 (16.9; 25.8)
				65-74	Total	_	65-74	46.0 (40.6; 51.5)
				75-84	Total	_	75-84	25.0 (20.6; 30.0)
				>85	Total	_	>85	31.0 (26.2; 36.2)
				>60	Total	_	Caucasian	24.0 (19.7; 29.0)
				>60	Total	_	African American	38.0 (32.9; 43.4)
		-		>60	Total		Others/Hispanics	34.0 (29.0; 39.3)
High risk nutritional	score by health per	ception						
Weatherspoon, 2004 ⁹²		USA	324	>60	Total	_	Health perception: Excellent	16.0 (12.4; 20.4)
				>60	Total		Health perception: Good	22.0 (17.8; 26.8)
				>60	Total		Health perception: Fair	44.0 (38.7; 49.5)
				>60	Total		Health perception: Poor	53.0 (47.6; 58.4)
Moderate risk nutriti	onal score							
de Groot, 1998 ²¹⁸	SENECA: Survey in Europe on	8 European countries	1701	>73	Total	Moderate risk by Nutritional		41.0 (38.7; 43.4)

Appendix E Table 13. Prevalence of Malnutrition in Older Persons Using Composite Nutritional Score (continued)

Reference	Study	Country	Sample size	Age	Gender	Definition	Subgroup	Prevalence (95% CI)
	Nutrition and the Elderly, a Concerted Action					Health Checklist		
Beck, 1999 ¹⁵⁷	Danish part of 'Survey in Europe of Nutrition in the Elderly, a Concerted Action' (SENECA)	Denmark	202	>73	Total			51.0 (44.1; 57.8)
Weatherspoon,	Nutrition	USA	324	>60	Total	Moderate risk I		60.0 (54.6; 65.2)
200 ⁹²	Screening				Total	score		39.0 (33.8; 44.4)
	Initiative				Male			57.0 (51.5; 62.3)
					Female	_		42.0 (36.7; 47.4)
				60-64	Total	_	60-64	50.0 (44.6; 55.4)
				65-74	Total		65-74	43.0 (37.7; 48.5)
				75-84	Total	_	75-84	51.0 (45.6; 56.4)
				>85	Total		>85	39.0 (33.8; 44.4)
				>60	Total		Caucasian	47.0 (41.6; 52.4)
				>60	Total		African American	48.0 (42.6; 53.4)
				>60	Total		Others/Hispanics	42.0 (36.7; 47.4)
Moderate risk nutrit	ional score by health	n perception						
Weatherspoon, 200 ⁹²				>60	Total	_	Health perception: Excellent	49.0 (43.6; 54.4)
				>60	Total		Health perception: Good	50.0 (44.6; 55.4)
				>60	Total	_	Health perception: Fair	40.0 (34.8; 45.4)
				>60	Total	_	Health perception: Poor	38.0 (32.9; 43.4)
Risk of malnutrition								
de Groot, 1998 ²¹⁸	SENECA: Survey in Europe on Nutrition and the Elderly, a Concerted Action	8 European countries	1701	>73	Total	Risk of malnutrition by The Mini Nutritional Assessment		44.0 (41.7; 46.4)
						Malnutrition by The Mini Nutritional Assessment		1.0 (0.6; 1.6)

Appendix E Table 13. Prevalence of Malnutrition in Older Persons Using Composite Nutritional Score (continued)

Reference	Study	Country	Sample size	Age	Gender	Definition	Subgroup	Prevalence (95% CI)
Beck, 1999 ¹⁵⁷	Danish part of 'Survey in Europe of Nutrition in the Elderly, a Concerted Action' (SENECA)	Denmark	202	>73	Total	Risk of malnutrition by The Mini Nutritional Assessment		21.6 (16.5; 27.8)
Visvanathan, 2003 ¹⁴¹	Domiciliary care services for elderly people with moderate or severe functional limitations	Australia	250	>67	Total	Risk of malnutrition	Moderate or severe functional limitations	38.4 (32.6; 44.6)
Visvanathan, 2003 ¹⁴¹	Domiciliary care services for elderly people with moderate or severe functional limitations	Australia	250	>67	Total	Malnutrition		4.8 (2.7; 8.3)

Appendix E Table 14. Prevalence of Impaired Homeostasis in Older Persons

Reference	Study	Measure of Impaired Homeostasis	Sample	Definition	Prevalence (95% Cl)
Nelson, 2007 ²¹⁹	National Health and Nutrition Examination Surveys (NHANES) 1999–2000 and 2001–2002	Allostatic load score (0-10) for high-risk systolic blood pressure (>138 mm Hg), diastolic blood pressure (>81 mm Hg, BMI 31.2 kg/m2), hemoglobin A1C >5.6%, albumin <4.47 g/dL, creatinine clearance <78.5 mL/min/1.73 m2, triglycerides >189.5 mg/dL, C-reactive protein >0.49 mg/dL, homocysteine >10.1 mmol/L, and total cholesterol >233.9 mg/dL.	5,083	Allostatic load score >4	1.4 (1.1; 1.8)
Stookey, 2004 ⁸	Duke Established Populations for Epidemiologic Studies of the Elderly	Plasma tonicity was estimated from plasma glucose, sodium, and potassium measures and used to classify subjects as normo- (285–294 mOsm/L) or hypertonic (>300 mOsm/L)	705	hypertonic plasma, >300 mOsm/L	10 (8; 12.4)

Appendix E Figure 1. Prevalence of Biomarkers of Chronic Inflammation in Older Persons^{58,76,192}

	Prevalence (S	95% CI)
		15.30 (10.20, 22.30)
	-	18.40 (12.80, 25.80)
	+	24.40 (23.30, 25.50)
_ •_		5.00 (4.30, 5.80)
_ •		5.00 (4.30, 5.80)
		30
	- -	Prevalence (

Appendix E Table 15. Prevalence of Cognitive Impairment in Older Men According to Age and Measurement

Reference	Study	Method	Prevalence (95% CI)
>65			-
Newman, 2009 ⁶⁰	Cardiovascular Health Study All Stars Study	3MSE	36.0 (33.7; 38.3)
Helmer, 1999 ¹⁶⁶	PAQUID (Personnes Agees QUID) Research Program	MMSE	16.9 (15.7; 18.1)
No author listed,	Canadian Study of Health and Aging (CSHA)	3MSE	16.0 (15.3; 16.8)
2001 ¹⁵²			
65-74			
Graham, 1997 ¹⁴⁸	Canadian Study of Health and Aging	3MSE	16.1 (12.6; 19.6)
>70			
Pratt, 2008 ²²⁰	Health and Retirement Survey (HRS)	TICS	5.2 (4.4; 6.2)
>75			
Rait, 2005 ²⁰⁸	Medical Research Council (MRC) Trial of the Assessment and Management of Older People in the Community	MMSE	2.4 (1.9; 3.0)
75-79			
Pratt, 2008 ²²⁰	Cardiovascular Health Study	TICS	15.4 (14.6; 16.3)
Rait, 2005 ²⁰⁸	Medical Research Council (MRC) Trial of the Assessment and Management of Older People in the Community	MMSE	1.4 (1.0; 2.0)
75-84			
Graham, 1997 ¹⁴⁸	Canadian Study of Health and Aging	3MSE	29.5 (25.6; 33.4)
80-84			
Pratt, 2008 ²²⁰	Cardiovascular Health Study	TICS	33.3 (32.2; 34.4)
<82			
Newman, 2009 ⁶⁰	Cardiovascular Health Study All Stars Study	3MSE	10.8 (9.4; 12.4)
80-84			
Rait, 2005 ²⁰⁰	Medical Research Council (MRC) Trial of the Assessment and Management of Older People in the Community	MMSE	2.5 (1.8; 3.6)
80-85	SENECA study		
Pearson, 2001 ²²¹	Hamme, Belgium	MMSE	31.0 (27.5; 34.7)
Pearson, 2001 ²²¹	Denmark	MMSE	10.0 (7.9; 12.6)
Pearson, 2001 ²²¹	Haguenau, France	MMSE	4.0 (2.7; 5.8)
Pearson, 2001 ²²¹	Romans, France	MMSE	10.0 (7.9; 12.6)
Pearson, 2001 ²²¹	Italy	MMSE	10.0 (7.9; 12.6)
Pearson, 2001 ²²¹	The Netherlands	MMSE	4.0 (2.7; 5.8)
Pearson, 2001 ²²¹	Portugal	MMSE	27.0 (23.7; 30.6)
Pearson, 2001221	Spain	MMSE	15.0 (12.4; 18.0)
Pearson, 2001221	Switzerland	MMSE	9.0 (7.0; 11.5)
Pearson, 2001221	Poland	MMSE	20.0 (17.1; 23.3)
Pearson, 2001	SENECA study, total sample	MMSE	14.0 (11.5; 16.9)
83-84			
Newman, 2009 [°]	Cardiovascular Health Study All Stars Study	3MSE	9.2 (7.9; 10.7)
>85			
Pratt, 2008-220	Cardiovascular Health Study	TICS	42.9 (41.7; 44.1)
Graham, 1997 ¹⁴⁰	Canadian Study of Health and Aging	3MSE	35.8 (29.5; 42.1)

Appendix E Table 15. Prevalence of Cognitive Impairment in Older Men According to Age and Measurement (continued)

Reference	Study	Method	Prevalence (95% CI)
85-88			
Newman, 2009 ⁶⁰	Cardiovascular Health Study All Stars Study	3MSE	9.1 (7.8; 10.6)
Rait, 2005 ²⁰⁸	Medical Research Council (MRC) Trial of the Assessment and Management of Older People in the Community	MMSE	4.4 (3.0; 6.4)
>89			
Newman, 2009 ⁶⁰	Cardiovascular Health Study All Stars Study	3MSE	14.9 (13.3; 16.7)
Rait, 2005 ²⁰⁸	Medical Research Council (MRC) Trial of the Assessment and Management of Older People in the Community	MMSE	10.3 (6.4; 16.2)

TICS=Telephone Interview of Cognitive Status; MMSE=Mini-Mental State Examination; 3MSE=Modified Mini-Mental Status Examination.

Study	Reference	Comparison Groups	Sample Size	Predictors of Dementia
Demographic and c	ognitive factors			
Bronx Aging Study	Masur, 1994 ²²⁴	Normal vs. dementia	n=317	Selective Reminding Test delayed recall, Fuld Object Memory Test recall, category fluency, Wechsler Adult Intelligence Scale Digit Symbol
Prospective epidemiologic study of dementia	Jacobs, 1995 ²²⁵	Normal vs. Alzheimer's Disease	n=443	Gender (female), Boston Naming Test, Wechsler Adult Intelligence Scale-Revised Similarities, Selective Reminding Test immediate recall.
Framingham Study	Linn, 1995 ²²⁶	Normal vs. Alzheimer's Disease	n=1,045	Wechsler Memory Scale logical memory and Paired Associate Learning (but not Similarities or letter fluency), Digit Span(Alzheimer's Disease scoring higher)
Random sample of nondemented persons aged 75 years and older	Braekhus, 1995 ²²⁷	Normal vs. dementia	n=215	MMSE score of 24 or 25 (predictive at 3 years but not at 6 years)
Amsterdam Study of the Elderly (AMSTEL)	Schmand, 1996 ²²⁸	Normal vs. dementia	n=203	Age and Cambridge Mental Disorders in the Elderly Examination Cognitive subtest memory subscale
Bronx Aging Study	Crystal, 1996 ²²⁹	Normal vs. Alzheimer's Disease (Autopsy)	n=22	Greater rate of decline on neuropsychological tests
Canadian Study of	O'Rourke, 1997 ²³⁰	Nondemented vs. dementia	n=59	Deficits on one or more subsets of the Clock Test
Health and Aging (CSHA)	Small, 1997 ²³¹	Nondemented vs. dementia	n=205	MMSE, word recall (organized list), face recognition test, letter fluency/Clock Test
	Fox, 1998 ²³²	Nondemented with familial history vs. Alzheimer's Disease	n=63	Recognition Memory Test-Words
	Katzman, 1989 ²³³	Normal vs. dementia	n=406	Age, gender (female), and baseline score on the Blessed Information Memory Concentration
	Fuld, 1990 ²³⁴	Normal vs. dementia	n=474	Fuld Object Memory Test immediate recall
	Masur, 1990 ²³⁵		n=422	Selective Reminding Test sum of recall and delayed recall
	Tuokko, 1991 ²³⁶	Nondemented vs. Alzheimer's Disease	n=45	Free recall from Buschke's cued recall paradigm
	Flicker, 1991 ²³⁷	Global Deterioration Scale ≤3 vs. Global Deterioration Scale>4	n=32	Memory (visual, verbal)receptive language
	Flicker, 1993 ²³⁸	Global Deterioration Scale<=2 vs. Global Deterioration Scale>3	n=50	Memory measures, object function recall
Behavioral risk fact	ors			
	Physical activity			
Cardiovascular Risk Factors, Aging	Kivipelto, 2008 ²³⁹	Sedentary (<2 times/ week) vs. Active (≥2)	n=1,449	Sedentary life style increased dementia and Alzheimer's Disease

Study	Reference Comparison Groups Sample Size		Sample Size	Predictors of Dementia
and Dementia Study (CAIDE)				
Honolulu-Asia Aging Study (HAAS)	Taafe, 2008 ²⁴⁰	Moderate (gardening, carpentry) vs. high (lifting, shoveling)	n=2,263 men	Moderate and high usual 24-hour activity levels reduced Alzheimer's Disease but not vascular dementia
Cardiovascular Risk Factors, Aging and Dementia Study (CAIDE)	Rovio, 2007 ²⁴¹	Occupational physical n=1,449 No association activity vs. total daily commuting physical activity		No association
Adult Changes in Thought Study (ACT)	Larson, 2006 ²⁴²	≥3 times/week n=1,619 Regular exercise reduced dementia Disease		Regular exercise reduced dementia but not Alzheimer's Disease
Dubbo Study	Simons, 2006 ²⁴³	Walking, gardening	n=2,805	Walking, gardening in men reduced dementia
Cardiovascular Health Cognition Study (CHCS)	Podewils, 2005 ²⁴⁴		n=3,375	>4 activities in the past two weeks reduced dementia and Alzheimer's Disease but not vascular Alzheimer's Disease
Whitehall II	Singh-Manoux, 2005 ²⁴⁵	High level (≥2.5 hours/ week of moderate or ≥1 hour/week of vigorous activity) vs. low level (<2 hours/week of moderate, <1 hour/ week of vigorous activity)	n=6.236	Low weekly activity increased dementia and Alzheimer's Disease
Honolulu-Asia Aging Study (HAAS)	Abbott, 2004 ²⁴⁶	Distance walked/day	n=1,495	Walking <0.25miles /day increased risk of dementia and Alzheimer's Disease but not vascular Alzheimer's Disease
Nurses' Health Study	Weuve, 2004 ²⁴⁷	Leisure time activity vs. Metabolic Equivalent- hours/week	n=16,466	High energy expenditure associated with better cognitive function
	Smoking			Risk Factor
Cardiovascular Risk Factors, Aging and Dementia Study (CAIDE)	Kivipelto, 2008 ²³⁹	Ever vs. never	n=1,449	Smoking was associated with increased risk of dementia and Alzheimer's Disease in apoE □4 carriers
Rotterdam Study	Reitz, 2007 ²⁴⁸	Current vs. past vs. never vs. pack years	n=6,868	Smoking was associated with increased risk of dementia and Alzheimer's Disease but not vascular dementia
Honolulu Heart Program (HHP), Honolulu-Asia Aging Study (HAAS)	Tyas, 2003 ²⁴⁹	Current vs. past vs. never vs. pack years [Light (≤26.7) vs. medium (>26.7-40.5) vs. heavy (>40.5-55.5) vs. very heavy (>55.5-156)	n=3,734	Smoking was associated with increased risk of dementia but not vascular dementia
Rotterdam Study	Ott, 1998 ²⁵⁰	Current vs. past vs. never	n=6,870	Smoking was associated with increased risk of dementia but not vascular dementia

Study	Reference	Reference Comparison Groups Sample Size		Predictors of Dementia
	Alcohol drinking			Risk Factor
Cardiovascular Risk Factors, Aging and Dementia Study (CAIDE)	Kivipelto, 2008 ²³⁹	Frequent (≥once/month) vs. infrequent (<once month)<br="">vs. never</once>	n=1,449	Frequent alcohol drinking in apoE□4 carriers
Cardiovascular Risk Factors, Aging and Dementia Study (CAIDE)	Ngandu, 2007 ²⁵¹	Frequent (≥once/month) vs. infrequent (<once month)<br="">vs. never</once>	n=1,341	Non drinkers had poorer cognitive performance
Kame Project	Dai, 2006 ²⁵²	Wine (sake)1-2 times/week vs. <once td="" week<=""><td>n=1,589</td><td>No association</td></once>	n=1,589	No association
Dubbo Study	Simons, 2006 ²⁴³	Drinks/week	n=2,805	8-28 drinks/week vs. non reduced risk of dementia
Monongahela Valley Independent Elders Survey (MoVIES)	Ganguli, 2005 ²⁵³	None vs. minimal (≤once/month) vs. moderate (>once/month)	n=1,098	Alcohol drinking
Cardiovascular Risk Factors, Aging and Dementia Study (CAIDE)	Anttila, 2004 ²⁵⁴	Frequent (several times/month) vs. infrequent (<once month)="" never<="" td="" vs.=""><td>n=1,018</td><td>Lesser decline in cognitive function in minimal and moderate drinkers</td></once>	n=1,018	Lesser decline in cognitive function in minimal and moderate drinkers
Washington Heights-Inwood Columbia Aging Project (WHICAP)	Luchsinger, 2004 ²⁵⁵	Beer, liquor, wine none vs. light (1 serving/month - 6 servings/week) vs. moderate (1-3 servings/day vs. heavy (>3 servings/day)	n=980	Light-moderate wine drinking reduced risk of dementia
Framingham Heart Study (FHS)	Elias, 1999 ²⁵⁶	Mean oz/week	n=1,940	Better cognitive functions in light drinkers
	Obesity			
Kaiser Permanente	Whitemer, 2007 ²⁵⁷	Underweight (<18.5) vs. normal (18.5-24.9) vs. overweight (25-29.9) vs. obese (≥30)	n=10,136	Over weight and obesity increased risk of dementia, vascular dementia, and Alzheimer's Disease
Framingham Heart Study (FHS)	Elias, 2005 ²⁵⁸	Non-obese (normal: 18.5- 24.9, overweight: 25-29.9) vs. obese (>30)	n=2,000	Obese men had worse cognitive function
Cardiovascular Risk Factors, Aging and Dementia Study (CAIDE)	Kivipelto, 2005 ²⁵⁹	Normal (≤25) vs. overweight (25-30) vs. obese (>30)	n=1,449	No association
Multifactor Primary Prevention Study (MPPS)	Rosengren, 2005 ²⁶⁰	20-22.4 (reference) vs. 25- 27.4 vs. 27.5-29.9 vs. ≥30	n=7,402	Dose response positive association with dementia

Study	Reference	Comparison Groups	Sample Size	Predictors of Dementia
Göteborg	Gustafson, 2003 ²⁶¹	Continuous	n=382	Dose response positive association with dementia and Alzheimer's Disease in women (36% for additional 1 kg/m2 after 70 years of age)
Adult Health Study (AHS)	Yamada, 2003 ²⁶²	Continuous	n=1,774	No association
	Dietary factors			
Cardiovascular Risk Factors, Aging and Dementia Study (CAIDE)	Eskelinen, 2008 ²⁶³	Fat intake from milk, sour milk, and spreads (saturated fatty acids, polyunsaturated fatty acids, monounsaturated fatty acids), fish (≥2/week vs. <2/ week)	n=1,449	High saturated fatty acids intake was associated with mild cognitive impairment
Cardiovascular Risk Factors Aging and Dementia Study (CAIDE)	Kivipelto, 2008 ²³⁹	Polyunsaturated fatty acids, saturated fatty acids (g/day) from spreads	n=1,449	High saturated fatty acids intake was associated with mild cognitive impairment in apoE □ 4 carriers
Conselice Study of Brain Ageing (CSBA)	Ravaglia, 2008 ²⁶⁴	Mediterranean diet score (0- 10)	n=615	Dose response reduction in dementia by increase tocopherols (plasma)
Kame Project	Dai, 2006 ²⁵²	Fruit & vegetable juices, Vitamin E, Vitamin C, - carotene	n=1,589	Negative association with juice, no association with tea, Vitamin E,C, beta-carotene
Cardiovascular Risk Factors, Aging and Dementia Study (CAIDE)	Laitenen, 2006 ²⁶⁵	Fat intake from milk, sour milk, and spreads (Polyunsaturated fatty acids, Saturated fatty acids, Monounsaturated fatty acids)	n=1,449	High polyunsaturated fatty acid intake reduced risk of dementia, high saturated fatty acid intakes increased risk of Alzheimer's Disease
Chicago Health and Aging Project (CHAP)	Morris, 2006 ²⁶⁶	Vegetables, fruits (servings/day)	n=3,718	Slower cognitive decline after vegetables, no association with fruits
Cardiovascular Health Cognition Study (CHCS)	Huang, 2005 ²⁶⁷	Fish Servings/week	n=2,233	High intake reduced dementia and Alzheimer's Disease
Chicago Health and Aging Project (CHAP)	Morris, 2005 ²⁶⁸	Fish Servings/week	n=3,718	Fish reduced speed of cognitive decline
Conselice Study of Brain Ageing (CSBA)	Ravaglia, 2005 ²⁶⁹	Folate, Vitamin B ₁₂ (serum)	n=816	Low folate increased risk of dementia
Honolulu-Asia	Laurin, 2004 ²⁷⁰	β- carotene (μg/day),	n=2,459	No association

Study	Reference	Comparison Groups	Sample Size	Predictors of Dementia
Aging Study (HAAS)		flavonoids, Vitamin E, Vitamin C (mg/day)		
Chicago Health and Aging Project (CHAP)	Morris, 2004 ²⁷¹	Niacin (mg/day)	n=3,718	Niacin administration slowed cognitive decline
Nurses' Health Study	Grodstein, 2003 ²⁷²	Vitamin E, Vitamin C (mg/day)	n=14,968	Better cognitive function with higher intake
Washington Heights-Inwood Columbia Aging Project (WHICAP)	Luchsinger, 2003 ²⁷³	Carotenes (IU/day), Vitamin C (mg/day), Vitamin E (IU/day)	n=980	No association
Rotterdam Study	Englehart, 2002 ²⁷⁴	β-carotene, flavonoids, Vitamin C, Vitamin E (mg/day)	n=5,395	Vitamin E reduced risk of Alzheimer's Disease
Canadian Study of Health and Aging (CSHA)	Maxwell, 2002 ²⁷⁵	Folate (serum)	n=369	No association

BIMC=Blessed Information Memory Concentration; FOME=Fuld Object Memory Test; CLT=California Verbal Learning Test; MMSE=Mini-Mental State Examination; MDRS=Mattis Dementia Rating Scale; WAIS=Wechsler Adult Intelligence Scale; WMS-R=Wechsler Memory Scale-Revised; LM=logical memory; SRT=Selective Reminding Test; BNT=Boston Naming Test; WAIS-R=Wechsler Adult Intelligence Scale-Revised; WMS=Wechsler Memory Scale; PAL=Paired Associate Learning; CAMCOG=Cambridge Mental Disorders in the Elderly Examination Cognitive subtest; FH=familial history of AD.

Appendix E Table 17. Proportion of Older Persons With Cognitive Impairment Who Developed Dementia²⁷⁶

Reference	Inclusion Criteria	Sample Size	Followup, Years	% Developing Dementia
O'Connor, 1990 ²⁷⁷	Cambridge Mental Disorders in the Elderly Examination rating of minimal dementia; age 75 years; excluded: none	44 community-dwelling volunteers recruited from a general medical practice	1.1 years	20.7% progressed to Cambridge Mental Disorders in the Elderly Examination mild or moderate dementia (6/29)
Petersen, 1995 ²⁷⁸	Clinical Dementia Rating = 0.5; cognitive complaint reported by the individual, informant or physician; normal score on cognitive screening measures; performance >1.5 SDs below age appropriate levels on measures of memory; excluded: persons with dementia using DSM-III-R criteria	66 individuals from the Mayo Clinic Alzheimer's Disease Center and Alzheimer's Disease Patient Registry	1.5 years	24.2% progressed to probable Alzheimer's Disease (16/66)
Tierney, 1996 ²⁷⁹	Global Deterioration Scale = 2 or 3; MMSE 24 or DRS 123; 3 or more months of symptomatic memory problems that interfered with daily functioning; excluded: persons with dementia using DSM-III-R criteria and persons with neurologic, psychiatric, and medical disorders	123 individuals referred by a family physician for memory problems	2.0 years	23.6% progressed to probable Alzheimer's Disease (29/123)
Johansson, 1997 ²⁸⁰	Mild impairment on cognitive measures and age between 84 and 90 years; excluded: persons with dementia using DSM-III-R criteria	70 persons from a population- based sample in Sweden of the oldest-old	2.0 years	50.0% progressed to dementia (25/50)
Johnson, 1998 ²⁸¹	Clinical Dementia Rating = 0.5; excluded: persons with history of significant head trauma, neurologic disorder, psychiatric disorder, major medical disease, or use of medication with psychoactive properties	45 volunteers recruited primarily through the print media	2.0 years	40.0% progressed to probable Alzheimer's Disease (18/45)
Flicker, 1991 ²³⁷	Global Deterioration Scale = 3; excluded: persons with past or current neurologic, psychiatric, or medical disorders	32 memory-clinic patients	2.1 years	71.9% progressed to Global Deterioration Scale 4 (23/32)
Devanand, 1997 ²⁸²	Clinical Dementia Rating = 0 or 0.5; age >40, impairment present between 6 months and 10 years; evidence of cognitive impairment on clinical or neuropsychological evaluation; modified Mini Mental Status score >30, excluded: persons with dementia using DSM-III-R criteria, current thought or affective disorder, recent electroconvulsive therapy, current or recent substance dependence, stroke, and current medications that affect cognition, mental retardation, or neurologic conditions	127 memory-clinic outpatients including persons with prior history of major affective disorder, substance abuse, and small vascular subcortical lesions	2.5 years	41.3% progressed to dementia (31/75)
Jack, 1999 ²⁸³	Clinical Dementia Rating = 0.5; age 60 to 89 years; memory complaint by patient or collateral source; normal general cognitive function; normal ADLs; objective memory impairment with	80 persons from the Mayo Clinic Alzheimer's Disease Center and Alzheimer's Disease Patient Registry	2.7 years	33.8% progressed to probable Alzheimer's Disease (27/80)

Reference	Inclusion Criteria	Sample Size	Followup, Years	% Developing Dementia
	performance >1.5 SDs below age- and education appropriate levels; excluded: persons with dementia using DSM-III-R criteria and National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association criteria for probable Alzheimer's Disease			
Herlitz, 1997 ²⁸⁴	Impaired cognitive test performance and age 75 years; excluded: persons with dementia using DSM-III-R criteria	22 community-dwelling individuals	3.0 years	71.4% progressed to dementia (10/14)
Christensen, 1997 ²⁸⁵	History of cerebral dysfunction or presence of a physical disorder known to cause cerebral dysfunction; cognitive dysfunction reported by self or informant; abnormality on cognitive measures (i.e., >1.5 SDs below average); excluded: persons with drinking problems, DSM-III-R delirium or amnestic syndromes, and International Classification of Diseases- 10 th edition dementia or probable dementia	36 community-dwelling volunteers	3.6 years	12.0% progressed to dementia (3/25)
Clarke, 1996 ²⁸⁶	Clifton Assessment Procedures for the Elderly Information/ Orientation score = 8 or 9; age 65 years	24 elderly individuals recruited from a representative community sample	4.0 years	100% evidenced "cognitive decline" (6/6)
Bowen, 1997 ²⁸⁷	Isolated memory loss presumably based upon neuropsychological test performance (no specific criteria provided); excluded: persons with dementia using DSMIII- R criteria	25 persons with complaints of cognitive impairment from an Alzheimer's Disease registry	4.0 years	47.6% progressed to dementia (10/21)
Johansson, 1997 ²⁸⁰	Mild impairment on cognitive measures and age between 84 and 90 years; excluded: persons with dementia using DSM-III-R criteria	31 individuals with stable "mild dysfunction" in second wave of the study	4.0 years	43.8% progressed to dementia (7/16)
Petersen, 1995 ²⁷⁸	Clinical Dementia Rating = 0.5; cognitive complaint reported by the individual, informant or physician; normal score on cognitive screening measures; performance >1.5 SDs below age appropriate levels on measures of memory; excluded: persons with dementia using DSM-III-R criteria	66 individuals from the Mayo Clinic Alzheimer's Disease Center and Alzheimer's Disease Patient Registry	Every 1.5 years	37.9% progressed to probable Alzheimer's Disease (25/66)
Rubin, 1989 ²⁸⁸	Clinical Dementia Rating = 0.5; age 64 and 81 years; excluded: persons with neurological, psychiatric, and serious medical disorders	16 community-dwelling volunteers recruited through public ads and physician referrals	5.4 years	68.8% progressed to Clinical Dementia Rating = 1 or autopsy confirmed Alzheimer's Disease (7/16)

Appendix E Table 18. Association Between Cognitive Impairment and Dementia in Older Persons

Reference	Study	Adjustment	Exposure	Type of Estimate	Mean (95% CI)
	Alzheimer's Disease				
Heun, 2006 ¹⁷⁴	Case control study: patients with Alzheimer's disease and population based control subjects group-matched to the patient sample for age, gender, and educational background	Adjusted for duration of individual followup and source of information during the followup investigation (i.e., .personal interview vs. family history information only)	Cognitive impairment, MMSE	OR	2.51 (1.08 5.81
Aggarwal, 2005 ²⁸⁹	Religious Orders Study	Adjusted for age, sex, and education	Cognitive impairment, MMSE	RR	2.45 (1.53; 3.92)
Jessen, 2010 ¹⁷²	German Study on Aging, Cognition and Dementia in Primary Care Patients Study	Adjusted for age, sex, education, baseline SISCO score, Geriatric Depression	Subjective memory impairment, no mild cognitive impairment	OR	3.44 (0.97; 12.16)
	Group	Scale score, and ApoE4 genotype	Subjective memory impairment + mild cognitive impairment, MMSE	OR	19.33 (5.29; 70.81)
			Amnestic cognitive impairment (SISCO + MMSE)	OR	60.28 (12.23; 297.1)
			Nonamnestic cognitive impairment, MMSE	OR	13.8 (3.53; 53.99)
	Any dementia				
Jessen, 2010 ¹⁷²	German Study on Aging, Cognition and Dementia in Primary Care Patients Study	Adjusted for age, sex, education, baseline SISCO score, Geriatric Depression	Subjective memory impairment, no mild cognitive impairment	OR	2.22 (0.97; 4.97)
	Group	Scale score, and ApoE4 genotype.	Subjective memory impairment + mild cognitive impairment (MMSE)	OR	8.92 (3.69; 21.6)
			Amnestic cognitive impairment (SISCO + MMSE)	OR	29.24 (8.75; 97.78)
	Vascular dementia				
Jessen, 2010 ¹⁷²	German Study on Aging, Cognition and Dementia in Primary Care Patients Study	Adjusted for age, sex, education, baseline SISCO score, Geriatric Depression	Subjective memory impairment, no mild cognitive impairment	OR	1.64 (0.41; 6.53)
	Group	Scale score, and ApoE4 genotype.	Subjective memory impairment + mild cognitive impairment (MMSE)	OR	1.05 (0.1; 11.08)
			Nonamnestic cognitive impairment	OR	6.26 (2.41; 16.28

Author, year	Comparison Groups	Sample Size	Predictors of Dementia
Rubin, 1989 ²⁸⁸	Clinical dementia rating=0.5 vs. Clinical dementia rating>=1	n=16	None (including Blessed, Short Portable Mental Status Questionnaire, aphasia battery)
Petersen, 1993 ²⁹⁰	MCCI (stable) vs. Alzheimer's Disease	n=73	Selective Reminding Test learning and semantic cues
Haenninen, 1995 ²⁹¹	Age associated memory impairment vs. dementia	n=176	Memory(Selective Reminding Test, BVRT, Wechsler Memory Scale VR+ Paired Associate Learning), and word fluency (letter and category)
Petersen, 1995 ²⁷⁸	Mild cognitive impairment (stable) vs. dementia	n=66	MMSE, Mattis Dementia Rating Scale, Selective Reminding Test learning and semantic cues
Tierney, 1996 ²⁹²	Global Deterioration Scale-2-3 vs. dementia	n=107	Rey Auditory Verbal Learning Test delayed recall (age- and-education-corrected)
Tierney, 1996 ²⁷⁹	Global Deterioration Scale-2-3 vs. dementia	n=123	Rey Auditory Verbal Learning Test delayed recall and Wechsler Memory Scale Mental Control (age-and-education corrected)
Tierney, 1996 ²⁹³	Global Deterioration Scale-2-3 vs. dementia	n=120	Rey Auditory Verbal Learning Test, Wechsler Memory Scale Mental Control and Informant perceptions (Cambridge Mental Disorders in the Elderly Examination)
Devanand, 1997 ²⁸²	Clinical Dementia Rating 0-0.5 vs. dementia	n=62	Wechsler Adult Intelligence Scale-Revised(Digit Symbol, Picture Arrangement, Block Design),Selective Reminding Test LRT, category fluency, memory items from modified MMSE, age
Bowen, 1997 ²⁸⁷	Isolated memory loss vs. dementia	n=21	None (including MMSE, Mattis Dementia Rating Scale, Fuld Object Memory Test, Boston Naming Test, Wechsler Adult Intelligence Scale- Revised, and Wechsler Adult Intelligence Scale Revised Logical Memory I, Logical Memory II, VR I, VR II)
Johansson, 1997 ²⁸⁰	Mild dysfunction vs. dementia	n=70	Lower baseline scores on more demanding tasks
Johnson, 1998 ²⁸¹	Clinical Dementia Rating=0.5 vs. dementia	n=45	Single photon emission computed tomography, California Verbal Learning Test, Wechsler Memory Scale VR II, Trails B
Jack, 1999 ²⁸³	Mild Cognitive Impairment (stable) vs. dementia	n=80	Hippocampal volume (MRI),Mattis Dementia Rating Scale, Selective Reminding Test free recall and age(but not APOE, MMSE, Wechsler Memory Scale-Revised, Rey Auditory Verbal Learning Test, or letter fluency.
Flicker, 1991 ²³⁷	Global Deterioration Scale ≤3 vs. Global Deterioration Scale ≥4	n=32	Shopping list verbal recall, misplaced object recall, object function recognition, and object identification

Appendix E Table 20. Differences in Prevalence of Frailty in Older Persons According to Definition of Frailty

Reference	Sample	Definition of Frailty	Mean (95% CI)
Alameda County Study	/		
Cigolle, 2009 ⁷²	574	Frail according to functional domain model (>2 domains with deficiencies)	26.0 (22.6; 29.7)
Beaver Dam Eye Study	Cohort		
Klein, 2005⁵	2,515	Frailty markers: gait time, handgrip strength, peak respiratory flow rate, ability to stand from a sitting position without using arms, best corrected visual acuity. Mild 1-2 markers, moderate 3 markers, severe 4-5 markers	44.7 (42.8; 46.7)
Canadian Study of Hea	Ith and Aging]	
Rockwood, 2007 ¹⁴⁵	2,305	Frailty index based on; wt loss >10lbs or greater than 5% of body wt, subjective exhaustion, impaired walking, Timed Up and Go Test > 19s, abnormal strength on physical examination	16.5 (15.0; 18.1)
Gutman, 2001 ¹⁴³	5,987	Rockwood frailty index: 1 - Healthy, 2 - Bladder incontinence, 3 - Mild/moderate frailty, 4 - severe frailty	21.2 (20.2; 22.3)
	3,925	Frail: mild/moderate, severe	8.9 (8.0; 9.8)
	8,914	Frail: mild/moderate, severe	21.2 (20.4; 22.1)
Cardiovascular Health	Study		
Fried, 2001 ⁵⁵	5,317	3 or more of criteria list	6.9 (6.2; 7.6)
Walston, 2002 ⁵⁶	4,735	3 or more of criteria list	6.3 (5.6; 7.0)
Cigolle, 2009 ⁷²	5,317	Frail according to Biologic Syndrome Model(>3 frailty defining criteria)	7.0 (6.3; 7.7)
Depression Among Ca	regivers of In	npaired Elders Study	
Tennstedt, 1992 ¹²⁰	4,185	HRCA vulnerability index	18.9 (17.7; 20.1)
Effects of Two Exercis	e Interventior	ns Among Community-residing Older Adults Study	
Dayhott, 1998 ¹²¹	84	Frailty measurement based on two measures: WHOAFC and self-reported health status	17.9 (11.1; 27.6)
Kaiser Permanente Inte	er-regional Co	ommittee on Aging Study	
Brody, 1997 ¹⁹	5,810	Eligibility for nursing home placement or long-term placement	14.6 (13.7;15.5)
National Population He	ealth Survey		
Song, 2010 ¹⁴²	2,740	Frailty index based on the number of deficits divided by the number of variables considered (36). People with nine or more deficits were considered frail.	22.7 (21.0; 24.3)
New Haven Older Ame	ricans Indepe	endence Center Study	
Hardy, 2005 ³⁷	754	Frail: a timed score of greater than 10 seconds on the rapid gait test (i.e., walking back and forth over a 10-foot (3.048-m) course as quickly as possible)	42.7 (39.2; 46.3)
The Health and Retirer	nent Study		
Cigolle, 2009'2	11,113	Frail according to at least one model (Functional Domains Model, Burden, or biologic syndrome model)	30.2 (29.4; 31.1)
		Frail according to all three models (Functional Domains Model, Burden, or biologic syndrome model)	3.1 (2.8; 3.4)
		Frail - >2 domains with deficiencies	29.0 (28.2; 29.90
		Frail according to Burden model	15.4 (14.7; 16.1)
		Frail according to functional domain model	20.3 (19.6; 21.1)
		Frail according to biologic syndrome model	10.9 (10.3; 11.5)
		Frail according to an Index of Deficit Accumulation (>0.2)	32.0 (31.1; 32.9)
		Frail according to Biologic Syndrome Model(>3 frailty defining criteria)	11.0 (10.4; 11.6)
	1,657	Frail according to functional domains (weighted for nonresponse percentages)	21.3 (19.4; 23.3)

Appendix E Table 20. Differences in Prevalence of Frailty in Older Persons According to Definition of Frailty (continued)

Reference	Sample	Definition of Frailty	Mean (95% CI)
		Frail according to Burden model (weighted (weighted for nonresponse percentages)	14.8 (13.2; 16.6)
	13.3 (11.7; 15.0)		
The MOBILIZE (Maint	enance of Bala	nce, Independent Living, Intellect, And Zest in the Elderly) Boston Study	
Kiely, 2009 ²⁶	765	Frailty index based on; weight loss >10 pounds or greater than 5% of body weight,	10.0 (8.1; 12.3)
		subjective exhaustion, impaired walking, Timed Up and Go Test >19 seconds, abnormal	
		strength on physical examination	
		Cardiovascular Health Study Frailty Index	76.0 (72.8; 78.9)

Appendix E Table 21. Differences in Prevalence of Frailty in Older Persons By Race

Reference	Study	Race	Definition	Estimate	Prevalence (95% CI)
Accumulation deficit					
Bowles, 2000 ¹²³	Frailty Study of African Americans in South Central Los Angeles	African American	Any of four: functional impairment, depression, urinary incontinence, falls	crude	66.9 (62.7; 70.9)
Cigolle, 2009 ⁷²	Health and Retirement Study	African American	Frail according to Functional Domains Model	*	34.0 (31.7; 36.3)
		African American	Frail according to Burden Model	*	20.2 (18.3; 22.2)
		Caucasian	Frail according to Functional Domains Model	*	20.2 (18.3; 22.2)
		Caucasian	Frail according to Burden Model	*	14.4 (12.8; 16.2)
		Hispanic	Frail according to Functional Domains Model	*	22.3 (20.3; 24.3)
		Hispanic	Frail according to Burden Model	*	12.8 (11.3; 14.5)
Phenotype		•	× · · · · ·		
Fried, 2001 ⁵⁵	Cardiovascular Health Study	African American	Three or more of criteria list	crude	12.9 (12.0; 13.8)
Fried, 2001 ⁵⁵	Cardiovascular Health Study	Caucasian	Three or more of criteria list	crude	5.9 (5.3; 6.5)
Hardy, 2005 ³⁷	New Haven Older Americans Independence Center Study	African American	Rapid gait test >10 seconds	crude	54.2 (50.6; 57.7)
Hardy, 2005 ³⁷	New Haven Older Americans Independence Center Study	Caucasian	Rapid gait test >10 seconds	crude	41.5 (38.0; 45.1)
Ottenbacher, 2005 ²⁰⁴	Hispanic Established Populations Epidemiologic Studies of the Elderly	Hispanic	Modified Frailty Index: Scale of 0 -4 for weight loss, exhaustion, walking speed, and grip strength	crude	20.0 (17.0; 23.3)
Ottenbacher, 2009 ²⁰³	Hispanic Established Populations Epidemiologic Studies of the Elderly	Hispanic	Frailty index based on weight loss, exhaustion, walking speed, grip strength, and physical activity	crude	7.6 (6.5; 8.8)
Cigolle, 2009 ⁷²	Health and Retirement Study	African American	Frail according to Biologic Syndrome Model	*	30.0 (27.8; 32.2)
Cigolle, 2009 ⁷²	Health and Retirement Study	Caucasian	Frail according to Biologic Syndrome Model	*	11.8 (10.3; 13.4)
Cigolle, 2009 ⁷²	Health and Retirement Study	Hispanic	Frail according to Biologic Syndrome Model	*	15.0 (13.4; 16.9)
Kiely, 2009 ²⁶	MOBILIZE	Caucasian	Frailty index based on; weight loss >10 pounds or greater than 5% of body weight, subjective exhaustion, impaired walking, Timed Up and Go Test >19 seconds, abnormal strength on physical examination	crude	8.6 (6.8; 10.8)

*Weighted percentages were derived using Health and Retirement Study respondent population weights to adjust for differential probability of selection into the sample and differential nonresponse
Appendix E Figure 2. Differences in Prevalence of Nutritional Risk (Defined as a Mini-Nutritional Assessment Score of <24) in European Older Persons: SENECA Study²²¹

	Prevalence, % (95% C
Men	
Belgium	— 15.00 (12.40, 18.0
Denmark	—• 10.00 (7.90, 12.60
Haguenau, France	 → 35.00 (31.40, 38.8
Romans, France	→ 32.00 (28.50, 35.8
taly	-•- 23.00 (19.90, 26.5
The Netherlands	18.00 (15.20, 21.2
Portugal	29.00 (25.60, 32.7
Spain	—• 10.00 (7.90, 12.60
Switzerland	—• 14.00 (11.50, 16.9
Poland	 80.00 (76.70, 82.9
Multinational	→ 23.00 (19.90, 26.5
Women	
Belgium	
Denmark	—• 10.00 (7.90, 12.60
Haguenau, France	— 20.00 (17.10, 23.3
Romans, France	24.00 (20.80, 27.5
taly	— 14.00 (11.50, 16.9
The Netherlands	21.00 (18.00, 24.4
Portugal	+ 48.00 (44.10, 51.9
Spain	— 15.00 (12.40, 18.0
Switzerland	→ 32.00 (28.50, 35.8)
Poland	 80.00 (76.70, 82.9
Multinational	 26.00 (22.70, 29.6
1	90

Appendix E Table 22. Association Between Micronutrients and Chronic Inflammation in Older Disabled Women: Women's Health and Aging Study I¹⁰²

Definition of Micronutrient	Odds Ratio* (95% CI)
Outcome - highest tertile of interleukin-6 level	
a-Carotene (Imol/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.65 (0.53; 0.8)
b-Carotene (Imol/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.72 (0.59; 0.87)
Lycopene (Imol/liter) —one-standard-deviation increase in log-transformed micronutrient level	0.75 (0.63; 0.91)
Lutein/zeaxanthin (Imol/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.72 (0.59; 0.89)
b-Cryptoxanthin (Imol/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.77 (0.63; 0.94)
Retinol (Imol/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.87 (0.72; 1.05)
a-Tocopherol (Imol/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.91 (0.74; 1.11)
a-Tocopherol:cholesterol ratio (mg/g) — one-standard-deviation increase in log-transformed micronutrient level	1.01 (0.82; 1.24)
Total carotenoids (Imol/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.65 (0.53; 0.79)
Selenium (lg/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.65 (0.52; 0.8)
Zinc (lg/liter) — one-standard-deviation increase in log-transformed micronutrient level	0.99 (0.82; 1.2)
Outcome - interleukin-6 level increase by >0.5 standard deviation (3.21 pg/ml)	
a-Carotene (Imol/liter) — <0.039 vs. >0.094	7.99 (2.27; 28.21)
b-Carotene (Imol/liter — <0.23 vs. >0.45	4.09 (1.38; 12.11)
Lutein/zeaxanthin (Imol/liter) — <0.27 vs. >0.41	5.57 (1.74; 17.8)
Total carotenoids (Imol/liter) — < 1.17 vs. >1.80	3.98 (1.51; 10.49)
a-Carotene (Imol/liter) — <0.040 vs. >0.094	1.06 (0.7; 1.59)
a-Carotene (Imol/liter) — >0.040-0.094 vs. >0.094	1.19 (0.81; 1.74)

*After controlling for age, race, years of education, smoking status, body mass index, chronic obstructive pulmonary disease, peripheral arterial disease, angina, diabetes, physical activity, and incident cardiovascular disease.

Bold=significant association at 95% confidence level.

Appendix E Table 23. Association Between Multimorbid Conditions and Patient Outcomes in Older Persons

Reference	Study	Sample	Adjustment	Definition of Exposure	Gender	Estimate	Mean (95% Cl or P Value)
Frailty							
Xue, 2008 ¹⁰⁸	Women's Health and Aging Study I	599	Adjusted for age, education, and race, number of chronic diseases, anxiety, personal mastery, depression, MMSE score, ADL, IADL, and mobility disability at baseline	Number of chronic diseases	Women	HR	1.06 (1; 1.19)
Szanton, 2009 ¹⁰³	Women's Health and Aging Studies	728	Adjusted for age, race, and education	Disease count (>3)	Women	OR	1.47 (1.3; 1.71)
Chang, 2010 ¹⁰⁴	Women's Health and Aging Studies I and II and complementary cohorts	620	Crude	Total inflammatory disease count (8)	Women	OR	1.84 (1.5; 2.26
Sarcopenia (aLN	1/ht2)						
Newman, 2003 ⁷⁵	Health Aging and Body Composition (Health ABC) Study	3,075 (analytic sample: 2,984)	Adjusted for age, race, drinking, smoking, physical activity, and body mass index	≥3 conditions ≥3 conditions	Men Women	OR OR	2.8 (1.7; 4.8) 0.8 (0.4; 1.5)
Sarcopenia (resi	idual)						
Newman, 2003 ⁷⁵	Health Aging and Body Composition (Health ABC) Study	3,075 (analytic sample: 2,984)	Adjusted for age, race, drinking, smoking, physical activity	≥3 conditions ≥3 conditions	Men Women	OR OR	1.5 (1; 2.3) 1.1 (0.7; 1.6)
Mortality							
Xue, 2008 ¹⁰⁸	Women's Health And Aging Study I	599	Adjusted for age, education, and race, number of chronic diseases, anxiety, personal mastery, depression, MMSE score, ADL, IADL, and mobility disability at baseline	Number of chronic diseases	Women	HR	1.18 (1; 1.41)
Seeman, 2004 ²¹	MacArthur Studies of Successful Aging	657	Adjusted for age, gender, ethnicity	Chronic conditions, comorbidity	Total	OR	1.32 (1.1; 1.62)
Kelman, 1994 ⁴⁷	Norwood -Montefiore Aging Study (NMAS)	1,855	Adjusted for age, income, self- assessed health, receiving social support, sex, cognitive impairment, education, marital status, depression, problems in daily activities	Two or more cardiovascular conditions vs. not in persons with mild/ moderate impairment	Total	RR	1.5 (1.1; 2.18)
Schultz-Larsen, Glostrup Aging Study 705 Adjusted b 2007 ¹⁵⁹ individual of maximal pro-		Adjusted by sex, income, education, individual diseases, weight, and the maximal power	Comorbidity 2-6 vs. 0-1 at 5-year followup	Total	OR	1.6 (1; 2.47)	
			·	10-year followup	Total	OR	2 (1.4; 2.82)

Appendix E Table 23. Association Between Multimorbid Conditions and Patient Outcomes in Older Persons (continued)

Reference	Study	Sample	Adjustment	Definition of Exposure	Gender	Estimate	Mean (95% Cl or P Value)
				15-year followup	Total	OR	1.59 (1.1; 2.25)
Dorr, 2006 ¹¹⁵	Community-dwelling	2,166	Adjusted for age, sex, PCS and	3 diseases vs. ≤2	Total	OR	Mean (95% CI or P Value) 1.59 (1.1; 2.25) 1.3 (p= 0.2) 1.85 (p = 0.001) 2.12 (p <0.001) 1.89 (1.05; 3.4) 2.54 (1.08; 5.97) 1.09 (0.63; 1.9) 2.27 (1.27; 4.08) 1.193 (p <0.05)
	elderly patients with		MCS score (from SF-12)	4-5 vs. ≤2	Total	OR	1.85 (p = 0.001)
	at least one chronic disease			≥6 vs. ≤2	Total	OR	2.12 (p <0.001)
Malmgren, 1999 ¹¹⁶	A prospective cohort from a large health maintenance	1,129	Adjusted for age, education, widowhood, CDC intervention participation, co-morbid conditions,	Charlson Comorbidity Index 1 vs. 0	Female	OR	1.89 (1.05; 3.4)
	organization of (Group Health Cooperative (GHC)		and self-reported health	Charlson Comorbidity Index 2-4 vs. 0	Female	OR	2.54 (1.08; 5.97)
	in Seattle, Washington)			Charlson Comorbidity Index 1 vs. 0	Male	OR	1.09 (0.63; 1.9)
				Charlson Comorbidity Index 2-4 vs. 0	Male	OR	2.27 (1.27; 4.08)
Long, 2005 ¹¹⁸	Medicaid program: applicants to the home- and community-based care (HCBC)	1,690	Adjusted for receiving HCBC services, age, race, gender, health status, did not have hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, needs supervision never/sometimes/unknown, does not need assistance with mobility, does not need help with medication or meal preparation, does not have a mental illness, Alzheimer's or dementia, does not have medications with potential side effects in elderly, lives with spouse/child/other/ unknown, primary caregiver is other relative/nonrelative/none/unknown, did not have Medicare home health use in prior 6 months, monthly income greater than \$1,000.	Number of health conditions vs. no comorbidities	Total	OR	1.193 (p <0.05)
Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	7,407	Adjusted for age, gender, self-rated health, number of medical conditions, baseline functional status, and interaction between age and gender	Number of illnesses	Total	OR	1.3 (1.2; 1.3)

Reference	Study	Sample	Adjustment	Definition of Exposure	Gender	Estimate	Mean (95% Cl or P Value)
Tilvis, 2004 ¹⁶¹	Helsinki Aging Study	650	Adjusted for age and gender	Comorbidity	1 year	RR	5.71 (1.72; 18.9)
				present vs. not	5 year	RR	1.92 (1.29; 2.86)
				present	10 year	RR	2.2 (1.51; 3.26)
		As	sociation between mortality and poly	oharmacy			
Ganguli, 2002 ⁸⁵	Population-based dementia registry	1,064	Crude	Number of prescription drugs taken (per drug)	Total	RR	1.16 (p <0.05)
Ahmad, 2005 ²⁰⁹	Nottingham Longitudinal Study of Activity and Ageing (NLSAA)	1,042	Crude	Total number of prescribed drugs including hypnotics	Total	HR	1.13 (1.1; 1.20)
Helmer, 1999 ¹⁶⁶	PAQUID (Personnes	3,660	Adjusted for age, sociodemographic	≥5 medications	Men	RR	0.96 (0.7; 1.42)
	Agees QUID) Research Program		factors, physical and mental health, and disability	versus None	Women	RR	1.15 (0.7; 2.04)

HR=hazard ratio; OR=odds ratio; bold=statistically significant.

Appendix E Table 24. Association Between Poor Perceived Health and Mortality in Older Persons

Reference	Study	Sample Size	Adjustment	Subgroups	Estimate	Mean (95% CI or P Value)
Poor vs. excel	lent/very good					
Grant, 1995 ²⁹⁵	Longitudinal	4,380	Adjusted for self-reported health, age, race,	Female, 5 months	Hazard Ratio	3.8 (2; 7.1)
	Study of Aging		marital status, education, ADL difficulties,	Female, 14 months	Hazard Ratio	2.7 (1.8; 4.1)
			BMI, self-reported disease, prior	Female, 23 months	Hazard Ratio	2 (1.3; 3)
			hospitalization, social contacts, and	Female, 32 months	Hazard Ratio	1.4 (0.7; 2.7)
			interaction self-reported health with time	Male	Hazard Ratio	1.7 (1.1; 2.6)
Wolinsky,	Longitudinal	7,527	Adjusted for baseline predisposing	Total	Odds Ratio	1.32
1995296	Study of Aging		characteristics, enabling characteristics, need			(p = 0.0002)
			characteristics, health services utilization,			
<u></u>		- 4 - 4	and change in functional status measures			0.40.(4.00, 0.40)
Steinbach,	Longitudinal	5,151	Adjusted for age, sex, race, family income,	lotal	Odds Ratio	2.48 (1.93; 3.19)
1992	Study of Aging		bypartancian strake or CVA concer heart			
			disease arthritis DM fall social network			
			social activities and living arrangement			
Poor/fair healt	h vs. good health	1				
Porell,	Medicare	17,299	Adjusted for demographics, insurance	Functional dependence	Odds Ratio	2.24 (p <0.05)
2001 ¹³⁴	Current	7,407	coverage and access, health behaviors, and	Functional limitations	Odds Ratio	1.39 (p >0.05)
	Beneficiary	6,488	chronic conditions	IADL disability	Odds Ratio	1.99 (p <0.01)
	Survey	9,595		1+ ADL disability	Odds Ratio	1.7 (p <0.05)
		3,976	Adjusted for demographics, insurance	3+ ADL disability	Odds Ratio	1.48 (p <0.05)
			coverage and access, health behaviors, and			
			chronic conditions			
Gutman,	Canadian	8,912	Adjusted for age, sex, trouble, health, and	Total	Hazard Ratio	1.8 (1.45; 2.23)
2001	Study of		interactions			
	Health and					
	Aging					

Appendix E Table 25. Association Between Institutionalization and Comorbidity in Older Persons

Reference	Study	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
Multiple comor	bidities				
Long, 2005 ¹¹⁸	ong, 2005 ¹¹⁸ Medicaid program: applicants to the home- and community-based care (HCBC) Adjusted age, race, sex, health star stay or SNF, LTCH, rehab hospital months, 0 to 3 MSQ errors, ADL ar Alzheimer's or dementia, does not medications with potential side effe lives with spouse/child/other/unkno caregiver is other relative/nonrelativ have Medicare home health use in monthly income greater than \$100		Adjusted age, race, sex, health status, hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, ADL and IADL, Alzheimer's or dementia, does not have medications with potential side effects in elderly, lives with spouse/child/other/unknown, primary caregiver is other relative/nonrelative, did not have Medicare home health use in prior 6 months, monthly income greater than \$1000.	Odds Ratio	0.86 (p <0.05)
Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	7,407	Adjusted for age, sex, self-rated health, number of medical conditions, baseline functional status, and the interaction between age and sex	Odds Ratio	1.2 (1.1; 1.3)
Falconer, 1992 ¹¹³	2-year longitudinal study of independent residents of a continuing care retirement community	152	Adjusted for age, sex, GERI-AIMS, disease severity, and Williams test	Relative Risk	0.89 (p >0.05)
Previous hospi	italization (SNF, LTCH, rehab hospital	stay)			
Long, 2005 ¹¹⁸	Applicants to the home- and community-based care (HCBC) programs	1,690	Adjusted age, race, sex, health status, hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, ADL and IADL, Alzheimer's or dementia, does not have medications with potential side effects in elderly, lives with spouse/child/other/unknown, primary caregiver is other relative/nonrelative, did not have Medicare home health use in prior 6 months, monthly income greater than \$1000.	Odds Ratio	3.848 (p <0.01)
Hospital admis	sion, 12 months				
Goodin, 2004 ²⁹⁸	Secondary analysis of data from the Medicare Current Beneficiary Survey	3,232	Adjusted for sociodemographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	2.01 (p = 0.001)
Miller, 1999 ²⁹⁹	Longitudinal Study of Aging	12,007	Adjusted for sex, age, race, hospitalization, marital status, living, family income, home ownership, survey transition year	Odds Ratio	1.65 (0.13; 2.12)
Wolinsky, 1992 ³⁰⁰	Longitudinal Study of Aging	5,151	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio	1.463 (p = 0.0184)
Coward, 1996 ³⁰¹	Longitudinal Study of Aging	7,527	Adjusted for residence, sociodemographic characteristics, health status characteristic, and social support characteristics	Odds Ratio	1.23 (p <0.01)

Appendix E Table 26. Association Between Institutionalization and Self-Perceived Health Status in Older Persons

Reference	Study	Sample Size of the Study	Adjustment	Estimate	Mean (95% CI or P Value)
Excellent vs. poor					
Coward, 1996 ³⁰¹	Longitudinal Study of Aging	7,527	Adjusted for residence, socio-demographic characteristics, health status characteristic, and social support characteristics	Odds Ratio	0.7 (0.5; 0.9)
Very good vs. poor					
Coward, 1996 ³⁰¹	Longitudinal Study of Aging	7,527	Adjusted for residence, socio-demographic characteristics, health status characteristic, and social support characteristics	Odds Ratio	1.0 (0.8; 1.3)
Good vs. excellent/	very good				
Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	7,407	Adjusted for age, gender, self-rated health, number of medical conditions, baseline functional status, and the interaction between age and gender	Odds Ratio	1.8 (1.4; 2.3)
Good vs. poor					
Coward, 1996 ³⁰¹	Longitudinal Study of Aging	7,527	Adjusted for residence, socio-demographic characteristics, health status characteristic, and social support characteristics	Odds Ratio	1.0 (0.8; 1.2)
Good or very good	vs. poor or very poor				
St. John, 2002 ¹⁵⁰	Canadian Study of Health and Aging	8,073	Adjusted for age, gender, education, Time 1 MMSE, and self-rated health	Odds Ratio	1.2 (1.0; 1.5)
Fair vs. poor					
Coward, 1996 ³⁰¹	Longitudinal Study of Aging	7,527	adjusted for residence, socio-demographic characteristics, health status characteristic, and social support characteristics	Odds Ratio	1.1 (0.9; 1.4)
Poor					
Gutman, 2001 ¹⁴³	Canadian Study of Health and Aging	8,912	Adjusted for age, sex, trouble, health, and interactions	Hazard Ratio	1.8 (1.3; 2.4)
Kersting, 2001 ³⁰²	Longitudinal Study of Aging	7,527	Adjusted for social support, poverty, age, gender, race, ADL/IADL score, self-reported health status, and fall	Hazard Ratio	1.1 (1.1; 1.2)
Steinbach, 1992 ²⁹⁷	Longitudinal Study of Aging	4,547	Adjusted for age, sex, race, family income, self- perceived health status, ADLs, hypertension, stroke or CVA, cancer, heart disease, arthritis, DM, fall, social network, social activities, and living arrangement	Odds Ratio	1.7 (1.1; 2.5)
Poor (dose respons	se 1-5)				
Speare, 1991 ³⁰³	Longitudinal Study of Aging	5,151	Adjusted for disability, incontinence, blindness, deafness, limitation in major activities, social support, age, sex, income	Odds ratio	1.2 (1.0; 1.4)
Poor, African Amer	ican				
Kersting, 2001 ³⁰⁴	Longitudinal Study of Aging	555	Adjusted for social support, poverty, age, gender, race, ADL/IADL score, self-reported health status,	Hazard Ratio	1.2 (0.8; 1.7)

Appendix E Table 26. Association Between Institutionalization and Self-Perceived Health Status in Older Persons (continued)

Reference	Study	Sample Size of the Study	Adjustment	Estimate	Mean (95% CI or P Value)
			and fall		
Belgrave, 1994 ³⁰⁵	Longitudinal Study of Aging	560	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid	Odds Ratio	1.1 (0.8; 1.5)
Poor, Caucasians					
Kersting, 2001 ³⁰⁴	Longitudinal Study of Aging	6,986	Adjusted for social support, poverty, age, gender, race, ADL/IADL score, self-reported health status, and fall	Hazard Ratio	1.2 (1.1; 1.2)
Belgrave, 1994 ³⁰⁵	Longitudinal Study of Aging	6,880	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid	Odds Ratio	1.1 (1.1; 1.2)
Poor/fair					
Long, 2005 ¹¹⁸	Medicaid program- applicants to the home- and community- based care (HCBC)	1,690	Adjusted for age, race, gender, health status, did not have hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, ADL, IADL, Alzheimer's or dementia, does not have medications with potential side effects in elderly, lives with spouse/child, primary caregiver is other relative/non-relative/none/unknown, did not have Medicare home health use in prior 6 months, monthly income greater than \$1000.	Odds Ratio	1.4 (p >0.05)
Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	7,407	Adjusted for age, gender, self-rated health, number of medical conditions, baseline functional status, and the interaction between age and gender	Odds Ratio	2.7 (2.1; 3.5)

Bold=statistically significant.

Appendix E Table 27. Association Between Comorbidity and Hospitalization in Older Persons

Reference	Definition of Exposure	Definition of the Outcome	Study	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
Multiple comorb	idities						
Shelton, 2000 ¹¹⁴	2 or more comorbidities vs. none	A hospitalization or ED visit during the first year of the study	Generalist Physician Initiative	411	Adjusted for age, female sex, living arrangement, race, marital status, less than a high school education, taking 5 or more prescription medications daily, comorbid illness category, restricted-activity bed days category (confined to bed for at least 1 day during the past 12 months), 5 health status measures of the HSQ, and the baseline indicator of any hospitalization or ED encounter	Odds Ratio	1.7 (1.1; 2.9)
Comorbidity sco	ore						
Dorr, 2006 ¹¹⁵	3 vs. ≤2	Risk for hospitalization	7,076 community- dwelling elderly patients	1,899	Adjusted for age, sex, PCS, and MCS score (from SF-12), and comorbidity score	Odds Ratio	1.37 (p = 0.01)
	4-5 vs. ≤2					Odds Ratio	1.46 (p = 0.004)
	≥6 vs. ≤2					Odds Ratio	1.94 (p <0.001)
Numbers of med	lications						
Shelton, 2000 ¹¹⁴	5+ prescription medications vs. not	A hospitalization or ED visit during the first year of the study	Generalist Physician Initiative	411	Adjusted for age, female sex, living arrangement, race, marital status, less than a high school education, taking 5 or more prescription medications daily, comorbid illness category, restricted-activity bed days category (confined to bed for at least 1 day during the past 12 months), 5 health status measures of the HSQ, and the baseline indicator of any hospitalization or ED encounter	Odds Ratio	2.9 (2.2; 4.1)
Mazzaglia.	≥5 medications	Risk for	ASSI.	5.396	Not available	Odds Ratio	2.24 (1.77; 2.84)
2007 ¹⁸⁷	vs. not	hospitalization	Florence, Italy	-,			
Previous hospita	alization	ł					
Shelton, 2000 ¹¹⁴	Any hospitalization or ED visit in prior year vs.	A hospitalization or ED visit during the first year of the study	Generalist Physician Initiative	411	Adjusted for age, female sex, living arrangement, race, marital status, less than a high school education, taking 5 or more	Odds Ratio	3.40 (2.70; 4.50)

Reference	Definition of Exposure	Definition of the Outcome	Study	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
	not				prescription medications daily, comorbid illness category, restricted-activity bed days category (confined to bed for at least 1 day during the past 12 months), 5 health status measures of the HSQ, and the baseline indicator of any hospitalization or ED encounter		
Mazzaglia, 2007 ¹⁸⁷	Yes vs. no	Risk for hospitalization	ASSI in Florence, Italy	5,396	Not available	Odds Ratio	3.60 (2.66; 4.87)
Chodosh, 2004 ²⁰	Yes vs. no in previous 2 years	Risk for hospitalization	MacArthur Research Network on Successful Aging Community Study	598	Adjusted for age, sex, race/ethnicity, and prior hospitalization	Odds Ratio	2.40 (1.50; 4.00)
Boult, 1993 ³⁰⁶	Hospital admission in last year	Repeated admission	Longitudinal Study on Aging	5,876	Adjusted for need variables, predisposing variables, and enabling variables	Odds Ratio	1.70 (1.30; 2.30)
Stearns, 1996 ³⁰⁷	Yes vs. no	Probability of nonterminal hospitalization	Longitudinal Study of Aging	870	Adjusted for cancer, heart disease, prior hospitalization, age, age square, insurance, and interaction between age and functional status	Odds Ratio	1.70 (p <0.05)
Stearns, 1996 ³⁰⁷	Yes vs. no	Number of nights of hospitalization	Longitudinal Study of Aging	870	Adjusted for cancer, heart disease, prior hospitalization, age, age square, insurance, and interaction between age and functional status	Odds Ratio	1.33 (p <0.1)
Laditka, 2003 ³⁰⁸	Number of previous admissions	Hospitalization for Ambulatory Care Sensitive Conditions	the Longitudinal Study of Aging	3562	Adjusted for age, education, insurance and marital status, health status, primary care access, self-rated health,	Relative Risk	12.11 (9.74; 14.48)
Laditka, 2003 ³⁰⁸	Previous discharge within 90 days				comorbidities, physical impairments, and previous hospitalizations	Relative Risk	94.15 (93.92; 94.39)

Appendix E Table 27. Association Between Comorbidity and Hospitalization in Older Persons (continued)

Reference	Definition of Exposure	Definition of the Outcome	Study	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
Wolinsky, 1995 ³⁰⁹	Hospital contact	Natural log of the mean annual number of hospital episodes (plus one)	Longitudinal Study of Aging	2,538	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in survivor with at least one hospital episode	1.08 (p <0.001)
Wolinsky, 1995 ³⁰⁹	Hospital contact	Natural log of the maximum absolute deviation (plus one) from the mean annual number of hospital episodes	Longitudinal Study of Aging	2,538	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in survivor with at least one hospital episode	1.04 (p <0.01)
Wolinsky, 1995 ³⁰⁹	Hospital contact	Natural log of the mean annual number of hospital episodes (plus one)	Longitudinal Study of Aging	1,783	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in decedents with at least one hospital episode	1.16 (p <0.001)
Previous physic	ian visits			E 070			
Boult, 1993	>6 doctor visit in past year	admission	Study on Aging	5,876	Adjusted for need variables, predisposing variables, and enabling variables	Odds Ratio	1.40 (1.10; 1.80)
Laditka, 2003 ³⁰⁸	Physician visits ≥ 4 in previous 12 months	Hospitalization for Ambulatory Care Sensitive Conditions	Longitudinal Study of Aging	3,562	Adjusted for age, education, insurance and marital status, health status, primary care access, self-rated health, comorbidities, physical impairments, and previous hospitalizations	Relative Risk	1.12 (1.00; 1.24)
Wolinsky, 1995 ³⁰⁹	Physician visits	Natural log of the mean annual number of hospital episodes (plus one)	Longitudinal Study of Aging	2,538	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in survivor with at least one hospital episode	1.01 (p <0.001)
Wolinsky, 1995 ³⁰⁹	Physician visits	Natural log of the maximum absolute deviation (plus one) from the	Longitudinal Study of Aging	2,538	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in	Odds Ratio in survivor with at least one hospital episode	1.005 (p <0.01)

Appendix E Table 27. Association Between Comorbidity and Hospitalization in Older Persons (continued)

Reference	Definition of Exposure	Definition of the Outcome	Study Sample		Adjustment	Estimate	Mean (95% Cl or P Value)
		mean annual number of hospital episodes			functional status measures		
Wolinsky, 1995 ³⁰⁹	Physician visits	Natural log of the mean annual number of hospital episodes (plus one)	Longitudinal Study of Aging	1,783	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in decedents with at least one hospital episode	1.01 (p <0.001)
Wolinsky, 1995 ³⁰⁹	Physician visits	Natural log of the maximum absolute deviation (plus one) from the mean annual number of hospital episodes	Longitudinal Study of Aging	1,783	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in decedents with at least one hospital episode	1.00 (p>0.05)

Appendix E Table 28. Association Between Self-Perceived Health and Hospitalization in Older Persons

Reference	Study	Sample	Definition of the Outcome	Adjustment	Estimate	Mean (95% CI or P Value)
Health percept	ion score					
Shelton, 2000 ¹¹⁴	Generalist Physician Initiative	411	A hospitalization or ED visit during the first year of the study	Adjusted for age, female sex, living arrangement, race, marital status, less than a high school education, taking 5 or more prescription medications daily, comorbid illness category, restricted- activity bed days category (confined to bed for at least 1 day during the past 12 months), 5 health status measures of the HSQ, and the baseline indicator of any hospitalization or ED encounter	Odds Ratio	1 (0.98; 1)
Physical health	n score					
Shelton, 2000 ¹¹⁴				Adjusted for age, female sex, living arrangement, race, marital status, less than a high school education, taking 5 or more prescription medications daily, comorbid illness category, restricted- activity bed days category (confined to bed for at least 1 day during the past 12 months), 5 health status measures of the HSQ, and the baseline indicator of any hospitalization or ED encounter	Odds Ratio	0.99 (0.98; 1.1)
Mental health s	score					
Shelton, 2000 ¹¹⁴		411	A hospitalization or ED visit during the first year of the study	Adjusted for age, female sex, living arrangement, race, marital status, less than a high school education, taking 5 or more prescription medications daily, comorbid illness category, restricted- activity bed days category (confined to bed for at least 1 day during the past 12 months), 5 health status measures of the HSQ, and the baseline indicator of any hospitalization or ED encounter	Odds Ratio	1 (0.98; 1.1)
Pain score			<u> </u>		<u></u>	
Shelton, 2000 ¹¹⁴		411	A hospitalization or ED visit during the first year of the study	Adjusted for age, female sex, living arrangement, race, marital status, less than a high school education, taking 5 or more prescription medications daily, comorbid illness category, restricted- activity bed days category (confined to bed for at least 1 day during the past 12 months), 5 health status measures of the	Odds Ratio	1 (0.97; 1.1)

Appendix E Table 28. Association Between Self-Perceived Health and Hospitalization in Older Persons (continued)

Reference	Study	Sample	Definition of the Outcome	Adjustment	Estimate	Mean (95% CI or P Value)
				HSQ, and the baseline indicator of any hospitalization or ED encounter		
<14 bed days in	n past year					
Boult, 1993 ³⁰⁶	Longitudinal Study on Aging	5,876	Repeated admission	Adjusted for need variables, predisposing variables, and enabling variables	Odds Ratio	1 (0.7; 1.4)
Fair vs. excelle	nt					
Aliyu, 2003 ³¹⁰	Longitudinal Study of Aging	7,541	Hospital stay/admission	Adjusted for race, education, family relationship family income, health insurance coverage, social network involvement, perceived health status and activities of daily living (ADL)	Odds Ratio	2.99 (2.15; 4.15)
Laditka, 2003 ³⁰⁸		3,562	Hospitalization for Ambulatory Care Sensitive Conditions	Adjusted for age, education, insurance and marital status, health status, primary care access, self-rated health, comorbidities, physical impairments, and previous hospitalizations	Relative Risk	1.14 (0.38; 1.9)
Wolinsky, 1995 ³⁰⁹		2,538	Natural log of the mean annual number of hospital episodes (plus one)	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in survivor with at least one hospital episode	1.03 (p <0.01)
Wolinsky, 1995 ³⁰⁹		1,783	Natural log of the mean annual number of hospital episodes (plus one)	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in decedents with at least one hospital episode	1.06 (p <0.01)
Boult, 1993 ³⁰⁶		5,876	Repeated admission	Adjusted for need variables, predisposing variables, and enabling variables	Odds Ratio	1.7 (1.2; 2.6)
Good vs. excel	lent					
Aliyu, 2003 ³¹⁰	Longitudinal Study of Aging	7,541	Hospital stay/admission	Adjusted for race, education, family relationship family income, health insurance coverage, social network involvement, perceived health status and activities of daily living (ADL)	Odds Ratio	1.59 (1.15; 2.18)
Boult, 1993 ³⁰⁶	Longitudinal Study on Aging	5,876	Repeated admission	Adjusted for need variables, predisposing variables, and enabling variables	Odds Ratio	1.4 (1; 2)
Poor vs. excell	ent					
Wolinsky, 1994 ³¹¹	Longitudinal Study of Aging	7,527	Any Medicare- reimbursed hospital	Adjusted for baseline predisposing characteristics, enabling characteristics,	Odds Ratio	2.14 (p = 0.015)

Appendix E Table 28. Association Between Self-Perceived Health and Hospitalization in Older Persons (continued)

Reference	Study	Sample	Definition of the Outcome	Adjustment	Estimate	Mean (95% CI or P Value)
			episode occurring	need characteristics, health services utilization, and change in functional status measures		
Aliyu, 2003 ³¹⁰	Longitudinal Study of Aging	7,541	Hospital stay/admission	Adjusted for race, education, family relationship family income, health insurance coverage, social network involvement, perceived health status and activities of daily living (ADL)	Odds Ratio	2.79 (1.92; 4.05)
Laditka, 2003 ³⁰⁸	Longitudinal Study of Aging	3,562	Hospitalization for Ambulatory Care Sensitive Conditions	Adjusted for age, education, insurance and marital status, health status, primary care access, self-rated health, comorbidities, physical impairments, and previous hospitalizations	Relative Risk	1.47 (1.26; 1.68)
Wolinsky, 1995 ³⁰⁹	Longitudinal Study of Aging	2,538	Natural log of the maximum absolute deviation (plus one) from the mean annual number of hospital episodes	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in survivor with at least one hospital episode	1.07 (p <0.01)
Wolinsky, 1995 ³⁰⁹	Longitudinal Study of Aging	2,538	Natural log of the mean annual number of hospital episodes (plus one)	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in survivor with at least one hospital episode	1.05 (p <0.01)
Wolinsky, 1995 ³⁰⁹	Longitudinal Study of Aging	1,783	Natural log of the mean annual number of hospital episodes (plus one)	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio in decedents with at least one hospital episode	1.72 (p>0.05)
Stearns, 1996 ³⁰⁷	Longitudinal Study of Aging	870	Number of nights of hospitalization	Adjusted for cancer, heart disease, prior hospitalization, prior health, age square, ADL, ADL square, insurance, and interaction between age and functional status	Odds Ratio	1.76 (p <0.05)
Boult, 1993 ³⁰⁶	Longitudinal Study on Aging	5,876	Repeated admission	Adjusted for need variables, predisposing variables, and enabling variables	Odds Ratio	2.2 (1.3; 3.6)

Bold=statistically significant.

Appendix E Table 29. Association Between Cognitive Impairment and Mortality in Older Persons ³¹²

Ostbye, 1999 ³¹³ Canadian Study of Health and Aging Canada (Health and Aging 10,263 65+ 2,5 3MS Women (Sov: 78- 100 35 (2.1; 6) (-00 Odds Ratio (-00 Age, marilal status, status, status, (-00 Saz, 1999 ³¹⁴ Zaragoza study Spain 1,080 65+ 4.5 Automated Geriatric Examination for Computer Assisted Taxonomy organic syndrome level 4 and 5 vs. 0 4.3 (1.7; 10.6) Odds (Age, sex, education, ADL, vision, hearing Saz, 1999 ³¹⁴ Zaragoza study Spain 1,080 65+ 4.5 Automated Geriatric Syndrome level 4 and 5 vs. 0 2 (1; 3.8) Age, sex, education Saz, 1999 ³¹⁴ Zaragoza study Spain 1,080 65+ 4.5 Automated Geriatric Syndrome level 1.3 (0.8; 2.1) 4.18 (2.7; 6.41) Hazard Ratio Jagger, 1995 ³¹⁵ Community based study, Melton Mowbray, Leicestershire England 1.579 75+ 5 CAPE information sub-scale 8-9 vs. 12 2.36 (1.56; 3.58) Jagger, 1995 ³¹⁵ Community based study, Melton England Mowbray, Leicestershire 1,579 75+ 5 CAPE information sub-scale 8-9 vs. 12 2.36 (1.56; 3.5	Reference	Study	Country	Sample Size	Age	Followup, Years	Measure	Cut Off	Risk (95% CI)	Туре	Adjustment
Saz, 1999 ³¹⁴ Zaragoza study Spain 1,080 65-77 1.7 (1.4; 2.1) Saz, 1999 ³¹⁴ Zaragoza study Spain 1,080 65+ 4.5 Automated Geriatric Examination for Computer Assisted Taxonomy organic 4 and 5 vs. 4.3 (1.7; 10.6) Odds Ratio Age, sex, education Saz, 1999 ³¹⁴ Zaragoza study Spain 1,080 65+ 4.5 Organic syndrome level Level 3 vs. 2 (1; 3.8) 0dds Age, sex, education Saz, 1999 ³¹⁴ Zaragoza study Spain 1,080 65+ 4.5 Organic syndrome level Level 3 vs. 2 (1; 3.8) 2 (1; 3.8) 1.3 (0.8; 2.1) 2 vs. 0 Saz, 1999 ³¹⁴ Zaragoza study Spain 1,080 65+ 4.5 Automated Taxonomy organic syndrome level level 1 and 2 vs. 0 1.3 (0.8; 2.1) Level 3 vs. 0 2 vs. 0 Jagger, 1995 ³¹⁵ Community based study, Melton Mowbray, Leicestershire England 1,579 75+ 5 CAPE information sub-scale 2.36 (1.56; 3.58) Hazard Ratio Age sex Jagger, 1995 ³¹⁵ Community based study, Melton England 1,579	Ostbye, 1999 ³¹³	Canadian Study of Health and Aging	Canada	10,263	65+	2, 5	3MS	Women <50 vs. 78- 100 50-77 Men <50 vs. 78-100	3.5 (2.1; 6) 1.6 (1.3; 1.9) 3.8 (1.8; 7.8)	Odds Ratio -	Age, marital status, education, ADL, vision, hearing
Saz, 1999***Zaragoza study 2Spain1,08065+4.5Automated Geriaric Examination for Computer Assisted Taxonomy organic syndrome level4.3 (1.7; 10.6)Odds RatioAge, sex, educationSaz, 1999***Zaragoza study 1999***Spain1,08065+4.5Automated Geriaric syndrome level0OddsAge, sex, educationSaz, 1999***Zaragoza study 1999***Spain1,08065+4.5Organic syndrome levelLevel 3 vs.2 (1; 3.8)2 (1; 3.8)Jagger, 1995***Community based study, Melton Mowbray, LeicestershireSpain1,08065+4.5Automated syndrome levelI.3 (0.8; 2.1)-Jagger, 1995***Community based study, Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale57 vs. 124.18 (2.73; 6.41) takeHazard RatioAge sex RatioJagger, 1995***Community based study, Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale8-9 vs. 122.36 (1.56; 3.58) takeAge sex RatioJagger, 1995***Community based study, MeltonEngland1,57975+5CAPE information sub-scale1.45 (1.15; 1.84) take1.45 (1.15; 1.84)									47(44.04)	_	
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Saz, 1999 ³¹⁴	Zaragoza study	Spain	1,080	65+	4.5	Automated Geriatric Examination for Computer Assisted Taxonomy organic syndrome level	4 and 5 vs. 0	<u> </u>	Odds Ratio	Age, sex, education
Saz, 1999Zaragoza studySpain1,08065+4.5Automated Geriatric Examination for Computer Assisted Taxonomy organic syndrome levellevel 1 and 2 vs. 01.3 (0.8; 2.1)Jagger, 1995Community based study, Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale57 vs. 124.18 (2.73; 6.41) RatioHazard RatioAge sex RatioJagger, 1995Community based study, Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale8-9 vs. 122.36 (1.56; 3.58)Jagger, 1995Community based study, Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale8-9 vs. 122.36 (1.56; 3.58)Jagger, 1995Community based study, Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale10-11 vs.1.45 (1.15; 1.84)	Saz, 1999 ³¹⁴	Zaragoza study	Spain	1,080	65+	4.5	Organic syndrome level	Level 3 vs. 0	2 (1; 3.8)		
Jagger, 1995315Community based study, Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale≤7 vs. 124.18 (2.73; 6.41) RatioHazard RatioAge sex RatioJagger, 1995 ³¹⁵ Community based study, Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale8-9 vs. 122.36 (1.56; 3.58)Jagger, 1995 ³¹⁵ Community Melton Mowbray, LeicestershireEngland1,57975+5CAPE information sub-scale8-9 vs. 122.36 (1.56; 3.58)Jagger, 1995 ³¹⁵ Community based study, MeltonEngland1,57975+5CAPE information sub-scale10-11 vs.1.45 (1.15; 1.84)Jagger, 1995 ³¹⁵ Community based study, MeltonEngland1,57975+5CAPE information sub-scale10-11 vs.1.45 (1.15; 1.84)	Saz, 1999 ³¹⁴	Zaragoza study	Spain	1,080	65+	4.5	Automated Geriatric Examination for Computer Assisted Taxonomy organic syndrome level	level 1 and 2 vs. 0	1.3 (0.8; 2.1)		
Jagger, 1995 ³¹⁵ Community based study, Melton LeicestershireEngland1,57975+5CAPE information sub-scale8-9 vs. 12 2.36 (1.56; 3.58) Jagger, 1995 ³¹⁵ Community based study, MeltonEngland1,57975+5CAPE information sub-scale10-11 vs. 1.45 (1.15; 1.84) Jagger, 1995 ³¹⁵ Community based study, MeltonEngland1,57975+5CAPE information information12	Jagger, 1995 ³¹⁵	Community based study, Melton Mowbray, Leicestershire	England	1,579	75+	5	CAPE information sub-scale	≤7 vs. 12	4.18 (2.73; 6.41)	Hazard Ratio	Age sex
Jagger, Community England 1,579 75+ 5 CAPE 10-11 vs. 1.45 (1.15; 1.84) 1995 ³¹⁵ based study, information 12 Melton sub-scale	Jagger, 1995 ³¹⁵	Community based study, Melton Mowbray, Leicestershire	England	1,579	75+	5	CAPE information sub-scale	8-9 vs. 12	2.36 (1.56; 3.58)		
Mowbray, Leicestershire	Jagger, 1995 ³¹⁵	Community based study, Melton Mowbray, Leicestershire	England	1,579	75+	5	CAPE information sub-scale	10-11 vs. 12	1.45 (1.15; 1.84)		
Clarke, England 1,042 65+ 4,8 CAPE ≤7 vs. 10- 3.61 (2.31; 4.37) Odds Age sex	Clarke,		England	1,042	65+	4, 8	CAPE	≤7 vs. 10-	3.61 (2.31; 4.37)	Odds	Age sex

Reference	Study	Country	Sample Size	Age	Followup, Years	Measure	Cut Off	Risk (95% CI)	Туре	Adjustment
1996 ²⁸⁶						information sub-scale	12		Ratio	
Clarke, 1996 ²⁸⁶		England	1,042	65+	4, 8	CAPE information sub-scale	8-9 vs. 10- 12	2.28 (1.86; 4.37)		
Liu, 1998 ³¹⁶		Taiwan	2,915	65+	1, 2	Composite score	Lowest decile	1.67 (1.18; 2.35)	Hazard Ratio	Age sex education
Liu, 1998 ³¹⁶		Taiwan	2,915	65+	1, 2	Composite score	26-75 percentile	1 (0.76; 1.32)		
Foley, 1999 ³¹⁷	Honolulu Heart Program cohort study	United States	3,741	71+	3	Composite score	<74	2.26 (1.64; 3.1)	Odds Ratio	Age, BMI, marital status, sleep vars, health
Gale, 1996 ³¹⁸		Great Britain	983	65+	20	Hodkinson	≤7 v 10	2.2 (1.6;2.9)	Hazard Ratio	Age, sex
Ho, 1991 ³¹⁹		Hong Kong	1,054	70+	2	Mental score	<16 v 20	2.2 (1.2; 4.1)	Odds Ratio	Ag, sex
Ho, 1991 ³¹⁹		Hong Kong	1,054	70+	2	Mental score	16-19 v 20+	1.4 (0.7; 2.5)		
Eagles, 1990 ³²⁰		Scotland	1778	65+	3	Mental Status Questionnaire	≤8	3.5 (2.37; 5.17)	Relativ e Risk	Age, sex
Shapiro, 1991 ³²¹		Canada	722	65+	17	Mental Status Questionnaire	0-6	1.95 (1.35; 2.83)	Hazard Ratio	Age, sex
Shapiro, 1991 ³²¹		Canada	722	65+	17	Mental Status Questionnaire	7.0-8.0	1.04 (0.78; 1.36)		
Salive, 1993 ³²²	EPESE	United States	10,269	65+	6	Mental Status Questionnaire	1 error vs. 0 men	1.4 (1.1; 1.8)	Hazard Ratio	Age, health, BMI, smoking, exercise, ADL
Liang, 1996 ³²³		Japan	1,506	65+	3	Mental Status Questionnaire	3 + errors	3.48 (p<0.05)	Relativ e Risk	
Gussekoo, 1997 ³²⁴	Leiden 85-plus study	Netherlands	891	85+	4, 7	Mini Mental State Examination	0-18	2.8 (2.3; 3.4)	Hazard Ratio	Age, sex
Gussekloo, 1997 ³²⁴	Leiden 85-plus study	Netherlands	891	85+	4, 7	Mini Mental State Examination	19-23	2.5 (2; 3.1)		
Gussekloo, 1997 ³²⁴	Leiden 85-plus study	Netherlands	891	85+	4, 7	Mini Mental State Exam	24-27	1.8 (1.1; 3)		
Kelman, 1994 ⁴⁷		United States	1,855	65+	1.5	Mini Mental State	<18	2.2 (1.13; 2.69)	Hazard Ratio	Age, sex, ADL, health,

Reference	Study	Country	Sample Size	Age	Followup, Years	Measure	Cut Off	Risk (95% CI)	Туре	Adjustment
						Examination				soc support, education, marital status, depression
Arve, 1998 ³²⁵		Finland	1,032	70+	5	Mini Mental State Examination	<24	1.43 (0.78; 2.65)	Relativ e Risk	
Arve, 1998 ³²⁵		Finland	1,032	70+	5	Mini Mental State Examination	11-25 percentile	1.3 (0.95; 1.8)		
Korten, 1999 ³²⁶		Australia	897	70+	3.5	Mini Mental State Examination	<24 vs. 30	1.88 (1.05; 3.12)	Hazard Ratio	Age, sex, ADL, health, soc support, education, marstat, depression
Fredman, 1999 ³²⁷		United States	806	65+	6	Mini Mental State Examination	<24 vs. 24 +	2 (1.33; 3)	Hazard Ratio	Age ,health, ADL, depression
Nakanishi, 1998 ³²⁸		Japan	1,083	65+	3.5	Office of Population Censuses (OPCS) score	1.0-2.0	1.19 (0.81; 1.74)	Hazard Ratio	age, sex, health, anxiety, depression
Nakanishi, 1998 ³²⁸		Japan	1,083	65+	3.5	Office of Population Censuses (OPCS) score	3.5-4.5	1.12 (0.65; 1.9)		
Nakanishi, 1998 ³²⁸		Japan	1,083	65+	3.5	Office of Population Censuses (OPCS) score	6 +	1.74 (1.05; 2.88)		
Swan, 1995 ³²⁹	Western Collaborative Group Study	United States	1,118	60+	5	Wechsler Adult Intelligence Scale		1.44 (1.12; 1.86)	Hazard Ratio	Age, education

Appendix E Table 30. Association Between Cognitive Status and Mortality in Older Persons: Studies of Treatment Utilization (Health Care-Based Samples)

Reference	Study	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
Alzheimer's dis	ease				
Eaker, 2002 ⁸³	Marshfield	811	Adjusted for age	HR	2.18 (1.57; 3.03)
	Epidemiologic Study Area (MESA) system		Adjusted for age, insurance, and number of comorbidities	HR	1.9 (1.36; 2.65)
Long, 2005 ¹¹⁸	Medicaid program: applicants to home- and community-based care (HCBC)	1,690	Adjusted age, race, gender, health status, did not have hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, needs supervision never/sometimes/unknown, does not need assistance with mobility, does not need help with medication or meal preparation, does not have a mental illness, Alzheimer's or dementia, does not have medications with potential side effects in elderly, lives with spouse/child/other/unknown, primary caregiver is other relative/non- relative/none/unknown, did not have Medicare home health use in prior 6 months, monthly income greater than \$1000.	OR	0.72 (p >0.05)
Wolinsky, 1995 ²⁹⁶	Longitudinal Study of Aging	7,527	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	OR	1.48 (p = 0.05)
Cognitive impai	rment				
Buuman, 2008 ¹⁹⁸	Patients 65 years or older acutely admitted from November 1, 2002, through July 1, 2005, to a 1,024-bed tertiary university teaching hospital	463	Crude	OR	1.16 (0.73; 1.84)
Jones, 2004 ¹⁵³	MGAT study	170	Adjusted for age, sex, marriage status,	HR	1.75 (1.08; 2.84)
			and status of intervention		
Dementia					
Eaker, 2002	Iviarshtield	811	Adjusted for age insurance, and such as		2.69 (1.94; 3.74)
	Area (MESA) system		Adjusted for age, insurance, and number of comorbidities	нк	2.27 (1.62; 3.18)
Inouye, 2003 ¹²²	Prospective developmental cohort from Yale New Haven	525	Adjusted for age, Medicaid status, nursing home resident, lab, dementia, walking impairment, depression, and UI	HR	1.5 (1.1; 2.2)

Appendix E Table 30. Association Between Cognitive Status and Mortality in Older Persons: Studies of Treatment Utilization (Health Care-Based Samples) (continued)

Reference	Study	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
	hospital				
Inouye, 2003 ¹²²	Prospective developmental cohort from Yale New Haven hospital	525	Adjusted for high risk diagnoses, albumin, creatinine, dementia, and walking impairment	HR	1.9 (1.3; 2.7)
Inouye, 2003 ¹²²	Prospective validation cohort from Yale New Haven hospital	1,246	Adjusted for high risk diagnoses, albumin, creatinine, dementia, and walking impairment	HR	2.2 (1.7; 2.7)
Mild cognitive	impairment				
Stump, 2001 ⁶⁹	General Medicine Practice of the Regenstrief Health Center	3,861	Adjusted for cognitive impairment, age, gender, race, education, and comorbid health conditions	HR	0.93 (0.74; 1.16)
Moderate to sev	vere cognitive impairmen	t			
Stump, 2001 ⁶⁹	General Medicine Practice of the Regenstrief Health Center	3,861	Adjusted for cognitive impairment, age, gender, race, education, and comorbid health conditions	HR	1.7 (1.32; 2.19)

Bold=significant association at 95% confidence level.

Reference	Study	Country	Sample Size	Age	Followup, Years	Diagnosis	Population	Risk (95% CI)	Risk Type	Adjustment
Jorm, 1991 ³³¹		Australia	274	70+	5	DSMIII dementia		2.24	Risk Ratio	
Snowdon, 1995 ³³⁷	Longitudinal study of elderly people in Botany	Australia	211	65+	2, 4, 6, 8	DSMIII dementia		2.71	Risk Ratio	
Hill, 1997 ³³⁸	Canadian Study of Health and Aging	Canada	10,263	65+	2, 5	DSMIII	Men Women	2.84 (p<0.05) 2.67 (p<0.05)	Odds Ratio	Age
Ostbye, 1999 ³¹³	Canadian Study of Health and Aging	Canada	10,263	65+	2, 5	Men	Mild	0.9 (0.3; 3.5)	Odds Ratio	Age, marital status, education, ADL, vision, hearing
							Moderate	2.1 (0.8; 5.6)		
							Severe	2.9(0.7; 11.7)		<u> </u>
Ostbye, 1999	Canadian Study of Health and Aging	Canada	10,263	65+	2, 5	Men	Mild	0.9 (0.3; 3.5)	Odds Ratio	Age, marital status, education, ADL, vision, hearing
Ostbye, 1999 ³¹³	Canadian Study of Health and Aging	Canada	10,263	65+	2, 5	Women	Mild	1 (0.5; 2)		
Ostbye, 1999 ³³⁹	Canadian Study of Health and Aging	Canada	1,0263	65+	2, 5	Women	Mild	1 (0.5; 2)		
Ostbye, 1999 ³¹³	Canadian	Canada	10,263	65+	2, 5		Moderate	1.4 (0.8; 2.6)		
•	Study of Health and Aging						Severe	1.9 (0.9; 4.1)		
Ostbye, 1999 ³³⁹	Canadian Study of Health and Aging	Canada	10,263	65+	2, 5		Moderate	2.1 (0.8; 5.6)		
Ostbye, 1999 ³³⁹	Canadian	Canada	10,263	65+	2, 5		Severe	2.9 (0.7; 11.7)		
	Study of						Moderate	1.4 (0.8; 2.6)		
	Health and Aging						Severe	1.9 (0.9; 4.1)		

Reference	Study	Country	Sample Size	Age	Followup, Years	Diagnosis	Population	Risk (95% CI)	Risk Type	Adjustment
Li, 1991 ³³⁰		China	1,090	60+	3	Dementia (clinical)		2.95	SMR	
Katzman, 1994 ³⁴⁰		China	3,531	65+	2, 5	DSMIIIR dementia	AD	2.65	Risk Ratio	Age, sex
Jagger, 1995 ³¹⁵		England	1,579	75+	5	Cambridge Mental Disorders in the Elderly Examination		1.53 (1.08; 2.16)	Risk Ratio	Age, sex
Juva, 1994 ³⁴¹		Finland	656	75+	1	DSMIII-R dementia		3.2 (1.8; 5.6)	Risk Ratio	Age, sex
Meller, 1999 ³⁴²		Germany	358	85+	4.7	Clinical	Men Women Total	2.47 (1.35; 4.5) 1.98 (1.43; 2.75) 2.08 (1.56; 2.77)	Odds Ratio	Age
Bonaiuto, 1995 ³⁴³	Appignano study	Italy	778	60+	7	DSMIII dementia		3.78	Risk Ratio	
Baldereschi, 1999 ³⁴⁴	Italian Longitudinal Study on Aging	Italy	4,521	65+	2	DSMIIIR		3.56 (2.52; 5.04)	Hazard Ratio	Age, sex, health
Tsuji, 1995 ³⁴⁵	Sendai Longitudinal Study of Aging	Japan	3,549	65+	3	DSMIII-R dementia		2.81 (2.02; 3.9)	Hazard Ratio	Age, sex
Asada, 1996 ³⁴⁶		Japan	38	100+	0.5	DSMIII-R dementia		No death occurred in non demented, risk difference 0.27	Risk Ratio	
Heeren, 1992 ³³⁴	Leiden 85- plus study	Netherlands	891	85+	4, 7	DSMIII dementia		1.9 (1.7; 2.2)	Hazard Ratio	Age, sex
Engedal, 1996 ³⁴⁷	Oslo study	Norway	334	75+	3	DSMIII dementia		2.01	Risk Ratio	
Saz, 1999 ³¹⁴	Zaragoza study	Spain	1,080	65+	4.5	Automated Geriatric Examination for Computer Assisted Taxonomy		3.7 (2; 6.7)	Odds Ratio	Age, sex, education
Aevarsson, 1998 ³³⁶	Longitudinal Gerontological	Sweden	494	85	3, 7	Alzheimer's Disease	Men	2.6 (1.5; 4.7)	Hazard Ratio	Health
	and Geriatric Population						Women	2.9 (1.9; 4.3)		

Reference	Study	Country	Sample Size	Age	Followup, Years	Diagnosis	Population	Risk (95% CI)	Risk Type	Adjustment
	Studies in Gothenburg, Sweden									
Aguero-Torres, 1998 ³⁴⁸	Kungsholmen Project	Sweden	989	75+	5	DSMIII-R		2.7 (2.1; 3.4)	Risk Ratio	Age and sex
Skoog, 1993 ³³⁵	Longitudinal Gerontological and Geriatric Population Studies in Gothenburg, Sweden	Sweden	494	85	3, 7	DSMIII-R dementia		2.39	Risk Ratio	
Johansson, 1995 ³⁴⁹	OCTO study	Sweden	324	84+	2, 4	DSMIII-R dementia		2.86 (1.72; 4.75)	Risk Ratio	Age, sex
Aguero-Torres, 1998 ³⁴⁸	Kungsholmen Project	Sweden	989	75+	5	Men	77-84	3.6 (1.4; 9.1)		
Aevarsson, 1998 ³³⁶	Longitudinal Gerontologica I and Geriatric Population Studies in Gothenburg, Sweden	Sweden	494	85	3, 7	Vascular	Men Women	2.9 (1.4; 6.2) 3.6 (2.3; 5.9)		
Aguero-Torres, 1998 ³⁴⁸	Kungsholmen Project	Sweden	989	75+	5	Women	77-84 85+	4.5 (2.2; 8.9) 1.7 (0.8; 3.5)		
Liu, 1998 ³¹⁶		Taiwan	2,915	65+	1, 2	Consortium to Establish a Registry of Alzheimer's Disease	-00+	<u>2.4 (1.6; 3.2)</u> 2.7 (2.1; 3.4)	Risk Ratio	
Gurland, 1999 ³⁵⁰	North Manhattan	United States	2,162	65+	1.5	African- American	65-74	2.94		
	Aging Project					Algorithmic	Latino 65- 74	4.77	Odds Ratio	
Albert, 1999 ³⁵¹	Washington Heights- Inwood Columbia Aging Project (WHICAP)	United States	2,334	65+	1.8	Alzheimer's		2.57	Risk Ratio	

Appendix E Table 31. Association Between Dementia and Mortality in Older Persons³¹² (continued)

Reference	Study	Country	Sample Size	Age	Followup, Years	Diagnosis	Population	Risk (95% Cl)	Risk Type	Adjustment
Aronson, 1991 ³³²	Bronx Aging Study	United States	488	75+	8	DSMIII dementia		3 (2.1; 3.1)	Risk Ratio	
Evans, 1991 ³³³		United States	3,623	65+	4.9	National Institute of Neurological and Communica- tive Disorders and Stroke and the – Alzheimer's Disease and Related Disorders Association	Alzheimer's	1.4 (1.05; 1.96)	Hazard Ratio	Age, sex
Gurland, 1999 ³⁵⁰	North	United	2,162	65+	1.5	Non-Latino	65-74	6.7		
	Aging Project	States				white	<u>75-84</u> 85+	0.77		
						-	75-84	1.23		
						-	85+	2.83		
						-	75-84	1.44		
							85+	11		

Appendix E Table 32. Institutionalization in Older Persons With Cognitive Impairment

Reference	Study	Country	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
Alzheimer's di	sease					
Long, 2005 ¹¹⁸	Medicaid program: applicants to the home- and community-based care (HCBC)	USA	1,690	Adjusted for receiving HCBC services, age, race, gender, health status, did not have hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, ADL and IADL, lives with spouse/child/other/unknown, primary caregiver is other relative/non-relative/none/ unknown, did not have Medicare home health use in prior 6 months, monthly income greater than \$1,000.	Odds Ratio	1.72 (p <0.05)
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Canada	9,113	Adjusted for age, gender, race, married status, absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia	Odds Ratio	14.60 (12.10; 17.60)
Eaker, 2002 ⁸³	Marshfield	USA	811	Adjusted for age	Hazard Ratio	5.84 (3.96; 8.61)
·	Epidemiologic Study			Adjusted for age, insurance, and stroke	Hazard Ratio	5.44 (3.68; 8.05)
	Area (MESA) system			Adjusted for age, insurance, stroke, and number of comorbidity	Hazard Ratio	3.01 (2.35; 3.87)
Dementia						
Goodlin, 2004 ²⁹⁸	Secondary analysis of data from the Medicare Current Beneficiary Survey	USA	3232	adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	34.87 (p <0.001)
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Canada	9,113	Adjusted for age, gender, race, married status, absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia	Odds Ratio	36.30 (27.10; 48.70)
Eaker, 2002 ⁸³	Marshfield	USA	811	Adjusted for age	Hazard Ratio	5.91 (3.97; 8.80)
	Epidemiologic Study			Adjusted for age, insurance, and stroke	Hazard Ratio	5.08 (3.38; 7.63)

Appendix E Table 32. Institutionalization in Older Persons With Cognitive Impairment (continued)

Reference	Study	Country	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
	Area (MESA) system			Adjusted for age, insurance, stroke, and number of comorbidity	Hazard Ratio	3.10 (2.39; 4.03)
Vascular deme	entia			ł		
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Canada	9,113	Adjusted for age, gender, race, married status, absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia	Odds Ratio	13.80 (10.20; 18.70)
Confusion, so	metimes					
Speare, 1991 ³⁰³	Longitudinal Study of Aging	USA	5,151	Adjusted for disability, incontinence, blindness, deafness, limitation in major activities, social support, age, sex, income	Odds Ratio	1.08 (0.82; 1.43)
Confusion, fre	quently					
Speare, 1991 ³⁰³	Longitudinal Study of Aging	USA	5,151	Adjusted for disability, incontinence, blindness, deafness, limitation in major activities, social support, age, sex, income	Odds Ratio	1.39 (1.03; 1.87)
Confusion , Af	rican Americans					
Belgrave, 1994 ³⁰⁵	Longitudinal Study of Aging	USA	560	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid	Odds Ratio	1.45 (0.71; 2.93)
Confusion, Ca	ucasians					
Belgrave, 1994 ³⁰⁵	Longitudinal Study of Aging	USA	6,880	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid	Odds Ratio	1.21 (0.99; 1.47)
Cognitive imp	airment, Short Portable M	ental Status C	uestionnaire	e (MSQ)		
Long, 2005 ¹¹ *	Applicants to the home- and community-based care (HCBC) programs	USA	1,690	Adjusted for receiving HCBC services, age, race, gender, health status, did not have hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, ADL and IADL, lives with spouse/child/other/unknown, primary caregiver is other relative/non-relative/none/ unknown, did not have Medicare home health use in prior 6 months, monthly income greater than \$1,000.	Odds Ratio	1.32 (>0.05)
Cognitive imp	airment, MMSE		0.442			00 40 /05 40 00 00
Rockwood,	Canadian Study of	Canada	9,113	Adjusted for age, gender, race, married status,	Odds Ratio	29.10 (25.10; 33.80)

Appendix E Table 32. Institutionalization in Older Persons With Cognitive Impairment (continued)

Reference	Study	Country	Sample	Adjustment	Estimate	Mean (95% CI or P Value)
1996 ¹⁴⁷	Health and Aging			absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia		
Cognitive imp	airment, increase in MMS	SE				
St John, 2002 ¹⁵⁰	Canadian Study of Health and Aging	Canada	8,073	Adjusted for age, gender, education, Time 1 MMSE, and self-rated health	Odds Ratio	0.91 (0.90; 0.94)
Cognitive imp	airment, normal MMSE v	s. <23				
St John, 2002 ¹⁵⁰	Canadian Study of Health and Aging	Canada	6,934	Adjusted for age, gender, education, Time 1 MMSE, and self-rated health	Odds Ratio	0.88 (0.83; 0.93)
Age-associate	d memory impairment					
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Canada	9,113	Adjusted for age, gender, race, married status, absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia	Odds Ratio	17.50 (14.00; 22.00)
Mild/moderate	cognitive impairment					
Banaszak- Holl, 2004 ³⁵²	Asset and Health Dynamics Among the Oldest Old (AHEAD) Study	USA	6,676	Adjusted for socio-demographic measures, potential caregiver network, geographic region, medical conditions, and ADL and IADL impairments	Hazard Ratio	2.30 (1.80; 2.80)
Severe cogniti	ive impairment					
Banaszak- Holl, 2004 ³⁵²	Asset and Health Dynamics Among the Oldest Old (AHEAD) Study	USA	6,676	Adjusted for socio-demographic measures, potential caregiver network, geographic region, medical conditions, and ADL and IADL impairments	Hazard Ratio	1.80 (1.50; 2.20)

Bold=statistically significant.

Appendix E Table 33. Association Between Hospitalization and Cognitive Impairment in Older Persons

Reference	Study	Sample	Adjustment	Estimate	Mean (95% CI)
Alzheimer's disea	ase				
Weiner, 1998 ¹³¹	Practices providing services to Medicare beneficiaries in the U.S.	1,221,615	Crude	Ratio of Per Capita Expenditures of Medicare Beneficiaries	2.2
Laditka, 2003 ³⁰⁸	Longitudinal Study of Aging	3,562	Adjusted for age, education, insurance and marital status, health status, primary care access, self-rated health, comorbidities, physical impairments, and previous hospitalizations	Relative Risk	1.19 (0.91; 1.46)
Cognitive impair	ment, frequent or increasin	ng trouble remer	nbering things or getting confused		
Boult, 1993 ³⁰⁶	Longitudinal Study on Aging	5,876	Adjusted for need variables, predisposing variables, and enabling variables	Odds Ratio	0.9 (0.8; 1.2)
Decline in SPMS	Q in 1991 (>2 points) vs. no	o for age >75			
Chodosh, 2004 ²⁰	MacArthur Research Network on Successful Aging Community Study	598	Adjusted for age, sex, race/ethnicity, and prior hospitalization	Odds Ratio	7.8 (2; 30.8)

Bold=statistically significant.

Appendix E Table 34. Prevalence of Frailty in Older Persons With Cognitive Impairment, Comorbidity, and Disability, According to Definition of Frailty

Reference	Study	Sample Size	Prevalence Subgroups	Mean (95% Cl)
		Cognitive Impair	nent	
Accumulation deficit,	functional domains model			
Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	Cognitive impairment, mild	82.8 (81.0; 84.6)
Accumulation deficit,	burden model			
Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	Cognitive impairment, mild	48.5 (46.1; 50.9)
Phenotype model				
Fried, 2001 ⁵⁵	Cardiovascular Health Study	5,317	Cognitive function: MMSE >23 84.9	6.3 (5.7; 7.0)
			Cognitive function: MMSE 18 - 23	16.6 (15.6; 17.60)
Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	Cognitive impairment, mild	30.2 (28.1; 32.5)
		Comorbidity		
Accumulation deficit,	functional domain model			
Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	>3 chronic diseases	33.9 (31.6; 36.20)
Accumulation deficit,	burden model			
Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	>3 chronic diseases	41.7 (39.3; 44.01)
Phenotype model				
Fried, 2001 ⁵⁵	Cardiovascular Health Study	5,317	Number of chronic diseases: 0	2.7 (2.3; 3.2)
			Number of chronic diseases: 1	5.1 (4.6; 5.80)
			Number of chronic diseases: 2	7.3 (6.6; 8.0)
			Two or more comorbidities	9.7 (8.9; 10.5)
			Number of chronic diseases: 3-4	11.5 910.7; 12.4)
			Number of chronic diseases: ≥ 5	19.6 (18.5; 20.7)
Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	>3 chronic diseases	24.3 (22.3; 26.4)
Phenotype model, wo	men			· · · · ·
Ensrud, 2008 ⁵⁰	Study of osteoporotic fractures	6,701	No medical conditions	10.7 (10.0; 11.5)
			≥3 medical conditions	39.0 (37.8; 40.2)
			1-2 medical conditions	17.8 (16.9; 18.7)
		Disability		
Phenotype model, wo	men			
Ensrud, 2008 ⁵⁰	Study of osteoporotic fractures	6,701	≥1 new IADL impairment	6.6 (6.0; 7.2)
Phenotype model, me	n			
Cawthon, 2007 ²⁸	Osteoporotic Fractures in Men (MrOS)	5,993	Unable to complete chair stands	35.2 (34.0; 36.4)
	Study		Unable to complete narrow walk	19.0 (18.0; 20.0)
			At least one IADL limitation	15.2 (14.3; 16.1)
			At least one mobility limitation	16.1 (15.2; 17.1)
Accumulation deficit	nodel, functional domains model, both ge	enders	•	
Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	>1 ADL dependency	67.9 (65.6; 70.1)
	-		>2 ADL dependencies	60.2 (57.8; 62.5)
			>3 ADL dependencies	53.3 (50.9; 55.7)
			>1 IADL dependency	57.6 (55.2; 59.9)
			>2 IADL dependencies	77.5 (75.4; 79.4)
			>3 IADL dependencies	100.0 (99.5; 100.0)

Appendix E Table 34. Prevalence of Frailty in Older Persons With Cognitive Impairment, Comorbidity, and Disability, According to Definition of Frailty (continued)

Reference	Study	Sample Size	Prevalence Subgroups	Mean (95% CI)
Accumulation deficit	t model, burden model, both genders			
. Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	>1 ADL dependency	78.9 (76.8; 80.8)
			>2 ADL dependencies	92.2 (90.8; 93.4)
			>3 ADL dependencies	91.2 (89.7; 92.5)
			>1 IADL dependency	62.7 (60.4; 65.0)
			>2 IADL dependencies	75.3 (73.1; 77.3)
			>3 IADL dependencies	82.8 (80.9; 84.5)
Gutman, 2001 ¹⁴³	Canadian Study of Health and Aging - 1	5,987	Trouble rating: not at all	10.2 (9.5; 11.0)
			Trouble rating: a little	20.6 (19.6; 21.6)
			Trouble rating: a great deal	51.0 (49.7; 52.3)
Phenotype model, be	oth genders			
Fried, 2001 ⁵⁵	Cardiovascular Health Study	5,317	≥ 1 mobility task	17.3 (16.3; 18.3)
			≥ 1 IADL task	17.4 (16.4; 18.4)
			≥ 1 ADL task	27.9 (26.7; 29.1)
			Any disability	14.4 (13.5; 15.3)
Cigolle, 2009 ⁷²	The Health and Retirement Study	1,657	>1 ADL dependency	56.7 (54.3; 59.1)
			>2 ADL dependencies	64.8 (62.5; 67.1)
			>3 ADL dependencies	55.3 (52.9; 57.7)
			>1 IADL dependency	43.4 (41.0; 45.8)
			>2 IADL dependencies	52.5 (50.1; 54.9)
			>3 IADL dependencies	92.9 (91.6; 94.1)

Appendix E Table 35. Association Between Frailty and Mortality in Older Persons According to Definition of Frailty and Study Adjustment

Reference	Study	Age	Sample	Adjustment	Estimate	Mean	Lower 95%Cl	Upper 95%Cl
	Frailty							
Passarino, 2007 ¹⁸³	European Challenge for Healthy Aging	65-85	209	Crude	OR*	3.11		
Dukers, 2001 ³⁵³	Cardiovascular Health Study	>65	5,317	Adjusted for age, gender, race, income, smoking, blood pressure, glucose, albumin, creatinine, carotid stenosis, CHF, cognitive function, major EKG abnormality, use of diuretics, problems of IADL, self-reported health measure, CES-D modified depression measure	HR	2.24	1.51	3.33
Intermediate frailty								
Passarino, 2007 ¹⁸³	European Challenge for Healthy Aging	65-85	209	Crude	OR*	1.5		
Dukers, 2001 ³⁵³	Cardiovascular Health Study	>65	5,317	Adjusted for age, gender, race, income, smoking, blood pressure, glucose, albumin, creatinine, carotid stenosis, CHF, cognitive function, major EKG abnormality, use of diuretics, problems of IADL, self-reported health measure, CES-D modified depression measure	HR	1.49	1.11	1.99
Very frail								
Passarino, 2007 ¹⁸³	European Challenge for Healthy Aging	>90	117	Crude	OR*	1.9375	p value 0.128	
Prefrail without cog	nitive impairment							
Avila-Funes, 2009 ¹⁶⁹	Three-City Study	>65	5,423	Adjusted for sex; education level; income; smoking status; drinker status; number of chronic diseases; self-reported health; Center for Epidemiologic Studies Depression Scale score	OR	1.27	0.95	1.7

Appendix E Table 35. Association Between Frailty and Mortality in Older Persons According to Definition of Frailty and Study Adjustment (continued)

Reference	Study	Age	Sample	Adjustment	Estimate	Mean	Lower 95%Cl	Upper 95%Cl
				(excluding the two				
				questions used for the				
				frailty definition); and				
				mobility, instrumental				
				activity of daily living, and				
				disability at baseline				
Prefrail with cognit	tive impairment			disability at baseline				
0	•	>65	5,423		OR	1.59	0.98	2.57
Frail without cogni	tive impairment							
		>65	5,423		OR	1.26	0.76	2.11
Frail with cognitive	e impairment							
		>65	5,423		OR	1.91	1	3.68
Outcome: mortality	y, utilization, and institutionalization							
FI-GGA								
15507074	The MGAT study	NA	169	Adjusted for age, sex,	HR	1.23	1.01	1.45
				marriage status, and				
	enter tire (ED visit de serital a desiradam			status of intervention				
	butpatient ED visit, nospital admission, i	nursing no	me admissi	on, or death, within 30 days	s of the Index		0.04	1.0
Hastings, 2008	Medicare Current Beneficiary Survey	265	1,581	Adjusted for age, sex,	HR DAI 2	1.2	0.91	1.6
				race, income, living		4 3 2	1.02	4 77
						1.33	1.02	1.77
				department (FD) visits		1 4 4	1.06	1.96
				previous hospitalizations		1.44	1.00	1.50
Outcome: Hospital	admission, nursing home admission, o	r death, wi	thin 30 days	of the index FD visit	V3. 1			
Hastings, 2008 ³⁵⁴	Medicare Current Beneficiary Survey	≥65	1.581	Adjusted for age, sex.	HR DAI 2	1.45	0.98	2.16
		_00	1,001	race, income, living	vs. 1		0100	
				alone, insurance status,	HR DAI 3	1.55	1.04	2.33
				previous emergency	vs. 1			
				department (ED) visits,	HR DAI 4	1.98	1.29	3.05
				previous hospitalizations.	VS. 1			

*OR is calculated from 2x2 table.



Appendix E Figure 4. Dose Response Association Between Accumulation Deficit Frailty Index and Mortality in Older Men: Chinese Longitudinal Health Longevity Survey¹⁵⁶

		ES (95% CI)
5-79		
l second quartile	_ .	1.18 (0.80, 1.75)
I third quartile	••	2.01 (0.99, 4.07)
l fourth quartile		- 4.56 (0.69, 29.93)
)-89		
l second quartile		1.39 (0.96, 2.02)
third quartile	_ 	1.94 (1.17, 3.23)
fourth quartile		3.99 (1.41, 11.28)
-99		
second quartile	—	1.35 (0.88, 2.08)
third quartile	_	1.55 (0.99, 2.43)
fourth quartile	- _	2.41 (1.19, 4.88)
0+		
second quartile		2.12 (0.41, 11.00)
third quartile		2.28 (0.43, 12.06)
fourth quartile		3.86 (0.24, 61.21)
0163	1	61.2


Appendix E Figure 6. Dose Response Association Between Accumulation Deficit Frailty Index and Mortality in Older Women: Chinese Longitudinal Health Longevity Survey¹⁵⁶

	5.	ES (95% CI)
65-79		
FI second quartile		1.34 (0.87, 2.06)
FI third quartile	•	2.34 (0.93, 5.88)
FI fourth quartile	•	> 3.84 (0.53, 27.80)
0-89		
-I second quartile	•	1.38 (0.97, 1.96)
-I third quartile	• <u>•</u>	2.20 (1.25, 3.88)
-I fourth quartile	• • ••••	3.52 (1.37, 9.02)
00-99		
FI second quartile		1.84 (1.04, 3.25)
FI third quartile		2.38 (1.18, 4.82)
I fourth quartile	•	4.44 (1.15, 17.17)
00+		
FI second quartile		1.44 (0.82, 2.54)
FI third quartile	•	2.01 (0.95, 4.23)
FI fourth quartile	•	2.94 (0.98, 8.81)
036	1	27.8

Appendix E Table 36. Association Between Frailty and Institutionalization in Older Persons

Reference	Study	Country	Sample	Adjustment	Estimate	Mean (95% CI)
Intermediate frailty	vs. nonfrail					
Bandeen-Roche, 2006 ⁹⁹	Women's Health and Aging Studies (WHAS)	USA	750	Adjusted for age, race, grades completed, smoking, history of congestive heart failure, Mini-Mental State Examination score, Geriatric Depression Scale score (≥14), number of adjudicated diseases, ankle-arm blood pressure, use of diuretics	Hazard Ratio	5.16 (0.81; 32.79)
Frailty						
Bandeen-Roche, 2006 ⁹⁹	Women's Health and Aging Studies (WHAS)	USA	750	Adjusted for age, race, grades completed, smoking, history of congestive heart failure, Mini-Mental State Examination score, Geriatric Depression Scale score (≥14), number of adjudicated diseases, ankle-arm blood pressure, use of diuretics	Hazard Ratio	23.98 (4.45; 129.2)
Frailty- GGA						
Jones, 2005 ¹⁴⁴	Canadian Study of Health and Aging	Canada	2,305	Adjusted for age, sex, and education	Hazard Ratio	1.2 (1.1; 1.32)
Components of fra	ilty					
Slow gait speed						
Rothman, 2008 ⁴⁴	Precipitating Events Project (PEP)	USA	754	Adjusted for age, sex, race, education, chronic conditions, and the presence of the other six frailty criteria	Hazard Ratio	3.9 (2.2; 6.7)
Low physical activ	ity					
Rothman, 2008 ⁴⁴	Precipitating Events Project (PEP)	USA	754	Adjusted for age, sex, race, education, chronic conditions, and the presence of the other six frailty criteria	Hazard Ratio	2.1 (1.3; 3.3)
Weight loss						
Rothman, 200844	Precipitating Events Project (PEP)	USA	754	Adjusted for age, sex, race, education, chronic conditions, and the presence of the other six frailty criteria	Hazard Ratio	1.7 (1.2; 2.4)
Self-reported exha	ustion					
Rothman, 2008 ⁴⁴	Precipitating Events Project (PEP)	USA	754	Adjusted for age, sex, race, education, chronic conditions, and the presence of the other six frailty criteria	Hazard Ratio	1.1 (0.8; 1.7)

Appendix E Table 36. Association Between Frailty and Institutionalization in Older Persons (continued)

Reference	Study	Country	Sample	Adjustment	Estimate	Mean (95% CI)
Rothman, 2008Rothman, 2008 #2899}	Precipitating Events Project (PEP)	USA	754	Adjusted for age, sex, race, education, chronic conditions, and the presence of the other six frailty criteria	Hazard Ratio	1 (0.6; 1.6)
Cognitive impairme	nt					
Rothman, 2008 ⁴⁴	Precipitating Events Project (PEP)	USA	754	Adjusted for age, sex, race, education, chronic conditions, and the presence of the other six frailty criteria	Hazard Ratio	2.6 (1.7; 4)
Depressive sympton	ms					
Rothman, 2008 ⁴⁴	Precipitating Events Project (PEP)	USA	754	Adjusted for age, sex, race, education, chronic conditions, and the presence of the other six frailty criteria	Hazard Ratio	1.4 (1; 2.1)

Bold=statistically significant.

Appendix E Table 37. Association Between Frailty and Hospitalization in Older Persons

Reference	Study	Sample	Adjustment	Estimate	Mean (95% CI)
Intermediate frailt	y				
Bandeen-Roche, 2006 ⁹⁹	Women's Health and Aging Studies (WHAS)	715	Adjusted for baseline age, race, grades completed, smoking (pack-years), disease- related variables—history of congestive heart failure, Mini-Mental State Examination score, Geriatric Depression Scale score (≥14), number of adjudicated diseases, ankle-arm blood pressure, use of diuretics without history of hypertension or congestive heart failure	Hazard Ratio	0.99 (0.67; 1.47)
Avila-Funes, 2008 ¹⁷¹	Three-City Study	6,078	Adjusted by age, sex, education level, income, smoking status, alcohol use, number of chronic diseases, self-reported health, Center for Epidemiologic Studies-Depression scale score, Mini-Mental State Examination score, and baseline disability	Odds Ratio	1.14 (0.98; 1.31)
Avila-Funes, 2008 ¹⁶⁹	Three-City Study	5,152	Adjusted for age; sex; education level; income; smoking status; drinker status; number of chronic diseases; self-reported health; Center for Epidemiologic Studies Depression Scale score (excluding the two questions used for the frailty definition); and mobility, instrumental activity of daily living, and activity of daily living disability at baseline	Odds Ratio	1.15 (0.99; 1.32)
Dukers, 2001 ³⁵³	Cardiovascular Health	5,317	Adjusted for age, gender, indicator for minority	Hazard Ratio	1.13 (1.03; 1.25)
	Study		cohort, income, smoking, blood pressure, glucose, albumin, creatinine, carotid stenosis, history of CHF, cognitive function, major EKG abnormality, use of diuretics, problems of IADL, self-reported health measure, CES-D modified depression measure	Hazard Ratio, original cohort	1.11 (1.03; 1.19)
Prefrail					
Kiely, 2009 ²⁶	MOBILIZE Boston Study	765	Adjusted for age, gender, race, diabetes, stroke, hypertension, hyperlipidemia, education and income	Odds Ratio for accumulation deficit index	2.64 (1.74; 4.01)
				Odds Ratio for phenotype index	1.97 (1.37; 2.84)
Avila-Funes, 2009 ¹⁶⁹	Three-City Study	5,152	Adjusted for age; sex; education level; income; smoking status; drinker status; number of chronic diseases; self-reported health; Center for Epidemiologic Studies Depression Scale score (excluding the two questions used for the frailty definition); and mobility, instrumental activity of daily living, and activity of daily living disability at	Odds Ratio	1.19 (1.03; 1.39)

Appendix E Table 37. Association Between Frailty and Hospitalization in Older Persons (continued)

Reference	Study	Sample	Adjustment	Estimate	Mean (95% CI)
			baseline		
Prefrail + cognitiv	e impairment				
Avila-Funes, 2009 ¹⁶⁹	Three-City Study	5,152	Adjusted for age; sex; education level; income; smoking status; drinker status; number of chronic diseases; self-reported health; Center for Epidemiologic Studies Depression Scale score (excluding the two questions used for the frailty definition); and mobility, instrumental activity of daily living, and activity of daily living disability at baseline	Odds Ratio	0.95 (0.68; 1.31)
Frailty					
Bandeen-Roche, 2006 ⁹⁹	Women's Health and Aging Studies (WHAS)	715	Adjusted for baseline age, race, grades completed, smoking (pack-years), disease- related variables—history of congestive heart failure, Mini-Mental State Examination score, Geriatric Depression Scale score (≥14), number of adjudicated diseases, ankle-arm blood pressure, use of diuretics without history of hypertension or congestive heart failure	Hazard Ratio	0.67 (0.33; 1.35)
Avila-Funes, 2008 ¹⁷¹	Three-City Study	6,078	Adjusted by age, sex, education level, income, smoking status, alcohol use, number of chronic diseases, self-reported health, Center for Epidemiologic Studies-Depression scale score, Mini-Mental State Examination score, and baseline disability	Odds Ratio	1.36 (1.01; 1.81)
Avila-Funes, 2009 ¹⁶⁹	Three-City Study	5,152	Adjusted for age; sex; education level; income; smoking status; drinker status; number of chronic diseases; self-reported health; Center for Epidemiologic Studies Depression Scale score (excluding the two questions used for the frailty definition); and mobility, instrumental activity of daily living, and activity of daily living disability at baseline	Odds Ratio	1.41 (1.06; 1.87)
Kiely, 2009 ²⁶	MOBILIZE Boston Study	765	Adjusted for age, gender, race, diabetes, stroke, hypertension, hyperlipidemia, education and income	Odds Ratio for accumulation deficit index	3.49 (1.53; 7.98)
				phenotype	4.4J (Z.4Z, 0.10)
Dukers, 2001 ³⁵³	Cardiovascular Health	5,317	Adjusted for age, gender, indicator for minority	Hazard Ratio	1.29 (1.09; 11.54)
	Study		cohort, income, smoking, blood pressure, glucose, albumin, creatinine, carotid stenosis, history of CHF, cognitive function, major EKG abnormality, use of diuretics, problems of IADL,	Hazard Ratio, original cohort	1.27 (1.11; 1.46)

Appendix E Table 37. Association Between Frailty and Hospitalization in Older Persons (continued)

Reference	Study	Sample	Adjustment	Estimate	Mean (95% CI)
			self-reported health measure, CES-D modified depression measure		
Avila-Funes, 2009 ¹⁶⁹	Three-City Study	5,152	Adjusted for age; sex; education level; income; smoking status; drinker status; number of chronic diseases; self-reported health; Center for Epidemiologic Studies Depression Scale score (excluding the two questions used for the frailty definition); and mobility, instrumental activity of daily living, and activity of daily living disability at baseline	Odds Ratio	1.26 (0.91; 1.74)
Frailty +cognitive	e impairment				
Avila-Funes, 2009 ¹⁶⁹	Three-City Study	5,152	Adjusted for age; sex; education level; income; smoking status; drinker status; number of chronic diseases; self-reported health; Center for Epidemiologic Studies Depression Scale score (excluding the two questions used for the frailty definition); and mobility, instrumental activity of daily living, and activity of daily living disability at baseline	Odds Ratio	1.9 (1.09; 3.31)

Appendix E Table 38. Association Between Health Care Utilization and Frailty in Older Persons

Reference	Study	Sample	Definition of the Outcome	Definition of Exposure	Adjustment	Estimate	Mean (95% CI)
Hastings, Secondary 2008 ³⁵⁴ analysis of data from the Medicare Current		1,581	Repeat outpatient ED visit	DAI 2 vs. 1	Adjusted for age, sex, race, income, living alone, insurance status, previous emergency department (ED) visits, and previous hospitalizations.	Hazard Ratio	0.93 (0.62; 1.39)
	Beneficiary Survey		Repeat outpatient ED visit	DAI 3 vs. 1		Hazard Ratio	1.1 (0.74; 1.64)
			Repeat outpatient ED visit	DAI 4 vs. 1		Hazard Ratio	1.06 (0.73; 1.54)
Kiely, 2009 ²⁶	MOBILIZE Boston Study	765	Emergency Room Visits	SOF Frailty Index prefrail vs. robust	Adjusted for age, gender, race, diabetes, stroke, hypertension, hyperlipidemia, education and income	Odds Ratio	2.19 (1.43; 3.33)
				SOF Frailty Index frail vs. robust		Odds Ratio	3.54 (1.43; 8.79)
				CHS Frailty Index prefrail vs. robust		Odds Ratio	1.34 (0.95; 1.89)
				CHS Frailty Index frail vs. robust		Odds Ratio	3.1 (1.64; 5.86)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
ADL ≥1 vs. 0						
Aliyu, 2003 ³¹⁰	The Longitudinal Study of Aging	USA	7,541	Adjusted for race, education, family relationship family income, health insurance coverage, social network involvement, perceived health status, and activities of daily living (ADL)	Odds Ratio	1.78 (1.64; 1.96)
Uses cane, wa	lker, wheelchair vs	. no				
Wieland, 2000 ¹²⁸	Data from Program of All- Inclusive Care for the Elderly (PACE)	USA	5,478	Adjusted for age, Hispanic (vs. White) ethnicity, living at home with others, or other living arrangements (vs. home alone), widowed, and divorced/separated (vs. married), varying Medicare coverages, self-reported health, number of household and nonhousehold caregivers, informal meal preparation, housework, money management and transportation received, SPMSQ score, ADL/IADL status, use of dressing and feeding devices, visual, hearing, communication and behavioral impairments, bowel/bladder rehabilitation, ostomy care, parenteral medications, inhalation treatment, syphilis, tuberculosis, infectious disease other than TB or syphilis, neoplasms, hypo/hyperthyroidism, anemia, other blood disease, dementia, psychosis, depression/anxiety, other mental disorders, CVD, Parkinson's, diseases of eye and ear, other nervous system diseases, hypertension, PVD, arteriosclerosis, bronchitis, COPD, bronchiectasis, bronchitis, other respiratory diseases, diverticulosis, colitis, chronic constipation, biliary tract disease, inguinal hernia, esophageal reflux, hepatic disease, UTI, skin infections, dermatitis, psoriasis, other skin diseases, arthritis, osteomyelitis, osteoporosis, other musculoskeletal disease, fracture, decubitus ulcer, amputation, and "other (unclassified) diseases."	Hazard Ratio	1.16 (p=0.006)
Dentures yes v	/s. no					
Wieland, 2000 ¹²⁸	Data from Program of All- Inclusive Care for the Elderly (PACE)	USA	5478	Adjusted for age, Hispanic (vs. White) ethnicity, living at home with others, or other living arrangements (vs. home alone), widowed, and divorced/separated (vs. married), varying Medicare coverages, self-reported health, number of household and nonhousehold caregivers, informal meal preparation, housework, money management and transportation received, SPMSQ score, ADL/IADL status, use of dressing and feeding devices, visual, hearing, communication and behavioral impairments, bowel/bladder rehabilitation, ostomy care, parenteral medications, inhalation treatment, syphilis, tuberculosis, infectious disease other than TB or syphilis, neoplasms, hypo/hyperthyroidism, anemia, other blood disease, dementia, psychosis, depression/anxiety, other mental disorders, CVD, Parkinson's, diseases of eye and ear, other	Hazard Ratio	1.1 (p=0.02)

Appendix E Table 39. Association Between Hospitalization and Disability in Older Persons (continued)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
				nervous system diseases, hypertension, PVD, arteriosclerosis,		
				bronchitis, COPD, bronchiectasis, bronchitis, other respiratory		
				diseases, diverticulosis, colitis, chronic constipation, biliary tract		
				disease, inguinal hernia, esophageal reflux, hepatic disease,		
				UTI, skin infections, dermatitis, psoriasis, other skin diseases,		
				arthritis, osteomyelitis, osteoporosis, other musculoskeletal		
				disease, fracture, decubitus ulcer, amputation, and "other		
				(unclassified) diseases."		
Number of AD	Ls (continuous var	iable 0-7) a	nd hospita	alization (defined as hospitalization for Ambulatory Care Sens	itive Condition	ons)
Laditka,	Longitudinal	USA	3,562	Adjusted for age, education, insurance and marital status,	Relative	1.31 (-2.59; 5.21)
2003	Study of Aging			health status, primary care access, self-rated health,	Risk	
				comorbidities, physical impairments, and previous		
				hospitalizations		
Number of res	tricted-activity bed	days as co	ontinuous	variables and hospitalization (defined as a hospitalization or E	D visit durin	g the first year)
Shelton,	411 patients who	USA	411	Adjusted for age, female sex, living arrangement, race, marital	Odds	1.7 (0.86; 2.9)
2000114	participated as			status, less than a high school education, taking 5 or more	Ratio	
	control patients			prescription medications daily, comorbid illness category,		
	in the Generalist			restricted-activity bed days category (confined to bed for at		
	Physician			least 1 day during the past 12 months), 5 health status		
	Initiative at the			measures of the HSQ, and the baseline indicator of any		
	Carle Clinic site,			hospitalization or ED encounter		
	Urbana, Illinois					
Male: disability severe disabili	y in 3+ ADLs and di tv onset but final A	isability in \DLs <3	1-2 ADLs i	n the 2 years prior to severe disability onset vs. disability in 1	-2 ADLs in th	e 2 years prior to
Ferrucci	Established	USA	6.070	Adjusted for age and disability	Odds	2.8 (1.6: 5.2)
1997 ¹⁰	Populations for		0,010		Ratio	
1001	Epidemiologic				radio	
	Studies of the					
	Elderly					
Female: disabi	lity in 3+ ADI s and	disability	in 1-2 ADI	s in the 2 years prior to severe disability onset vs. disability in	1-2 ADI s ir	the 2 years prior to
severe disabili	ty onset but final A	DLs <3				, p
Ferrucci,	Established	USA	6,070	Adjusted for age and disability	Odds	3.8 (2.2; 4.9)
1997 ¹⁰	Populations for				Ratio	
	Epidemiologic					
	Studies of the					
	Elderly					
Unable to do o	one or more of five	ADLs and f	our IADLs	without other assistance and special device and hospitalizati	on (defined a	as larger than 0.5
admission per	year)					-
Boult, 1993 ³⁰⁶	Longitudinal	USA	5,876	Adjusted for need variables, predisposing variables, and	Odds	1.2 (0.8; 1.8)
	Study on Aging			enabling variables	Ratio	· ·
Male: ≥3 ADLs	(used 6)new onse	et after hav	ing no dis	abilities vs. additional ADL disability developed after having 1	-2 ADL disat	oilities
Ferrucci,	EPESE Boston,	USA	6,070	Adjusted for socio-demographic characteristics, health status,	Odds	15.9 (9.1; 27.5)

Appendix E Table 39. Association Between Hospitalization and Disability in Older Persons (continued)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
1997 ¹⁰	New Haven, lowa sites Established Populations for Epidemiologic Studies of the Elderly			functional ability, previous use of health services, insurance, income, and family composition	Ratio	
Female: ≥3 AD	L (used 6)new on	iset after ha	iving no di	sabilities vs. additional ADL disability developed after having	1-2 ADL dis	abilities
Ferrucci, 1997 ¹⁰	EPESE Boston, New Haven, Iowa sites Established Populations for Epidemiologic Studies of the Elderly	USA	6,070	Adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	16 (11.1; 23)
Male: ≥3 ADL (ADL disabilitie	used 6)additiona	I ADL disab	ility develo	oped after having 1-2 ADL disabilities vs. additional ADL disal	bility develop	bed after having 1-2
Ferrucci, 1997 ¹⁰	EPESE Boston, New Haven, Iowa sites Established Populations for Epidemiologic Studies of the Elderly	USA	6,070	Adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	2.8 (1.6; 5.2)
Female: ≥3 AD 2 ADL disabilit	L (used 6)additio	nal ADL dis	ability dev	veloped after having 1-2 ADL disabilities vs. additional ADL di	sability deve	loped after having 1-
Ferrucci, 1997 ¹⁰	EPESE Boston, New Haven, Iowa sites Established Populations for Epidemiologic Studies of the Elderly	USA	6,070	Adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	3.8 (2.2; 4.9)
≥1 ADL (used	7) assistance vs. n	o ADL or IA	DL difficu	ity, and physically able; and hospitalization (defined as two or	[.] more hospi	talization in prior
year)						
Harris, 1989 ³⁵⁵	Longitudinal Study on Aging	USA	1,791	Adjusted for age and sex	Odds Ratio	3.3 (1.9; 5.5)
≥1 ADL (used hospitalizatior	7) difficulty, no ass i in prior year)	istance vs.	no ADL oi	r IADL difficulty, and physically able; and hospitalization (defi	ned as two o	r more

Appendix E Table 39. Association Between Hospitalization and Disability in Older Persons (continued)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
Harris,	Longitudinal	USA	1,791	Adjusted for age and sex	Odds	2 (1.1; 3.4)
1989 ³⁵⁵	Study on Aging				Ratio	
≥1 IADL difficu	Ity, no ADL difficu	lty vs. no A	DL or IADL	difficulty, and physically able; and hospitalization (define	ed as two or mor	re hospitalization in
prior year)						
Harris,	Longitudinal	USA	1,791	Adjusted for age and sex	Odds	2.5 (1.4; 4.5)
1989 ³⁵⁵	Study on Aging				Ratio	
No ADL or IADI	_ difficulty, and not p	hysically ab	le vs. no Al	DL or IADL difficulty, and physically able; and hospitalization (defined as two or	more hospitalization in
prior year)						
Harris,	Longitudinal	USA	1,791	Adjusted for age and sex	Odds	2.1 (1.2; 3.5)
1989 ³⁵⁵	Study on Aging				Ratio	

Bold=statistically significant.

Physically able=having no difficulty in walking 1/4 of a mile, stooping, crouching or kneeling, lifting 10 pounds, or walking up 10 steps without resting.

Appendix E Table 40. Association Between Institutionalization and Disability in Older Persons

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
ADLs >1 vs	. 0					
Miller, 1999Miller, 1999 #3016}	Longitudinal Study of Aging	USA	12,007	Adjusted for gender, age, race, hospitalization, marital status, living arrangements (alone/others), family income, home ownership, survey transition year, two- and three way interaction terms if they met either of two criterion: (1) if the overall test of the effect of the interaction across all outcome categories was significant at $p < .05$, or (2) if the overall test was not significant, but the component of the interaction term related to moves versus no transition was significant at $p < .05$, the assistance and functional status variables	Odds Ratio	2.16 (1.41; 3.31)
ADL >1 VS.	u, maie	Einden al	775		Dalativa	4.00 (0.50: 0.00)
NUOTIO, 2003 ¹⁶³	of the Tampere Longitudinal Study on Ageing (TamELSA)	Finiand	775	Adjusted for age, urge incontinence, living alone, neurological diseases, cardiovascular diseases, musculoskeletal diseases, other chronic diseases, ADL disability, depressive symptoms	Risk	1.39 (0.59; 3.28)
ADL >1 vs.	0, female					
Nuotio, 2003 ¹⁶³	The second wave of the Tampere Longitudinal Study on Ageing (TamELSA)	Finland	775	Adjusted for age, urge incontinence, living alone, neurological diseases, cardiovascular diseases, musculoskeletal diseases, other chronic diseases, ADL disability, depressive symptoms	Relative Risk	1.09 (0.63; 1.88)
ADL 1 vs. 0						
Miller, 1999 ²⁹⁹	Longitudinal Study of Aging	USA	12,007	Adjusted for gender, age, race, hospitalization, marital status, living arrangements (alone/others), family income, home ownership, survey transition year, two- and three way interaction terms if they met either of two criterion: (1) if the overall test of the effect of the interaction across all outcome categories was significant at $p < .05$, or (2) if the overall test was not significant, but the component of the interaction term related to moves versus no transition was significant at $p < .05$, the assistance and functional status variables	Odds Ratio	1.61 (1.08; 2.39)
ADL 1-2 vs.	0					
Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	USA	7,407	Adjusted for age, gender, self-rated health, number of medical conditions, baseline functional status, and the interaction between age and gender	Odds Ratio	9.8 (6.8; 14)
Steinbach, 1992 ²⁹⁷	Longitudinal Study of Aging	USA	4,547	Adjusted for age, sex, race, family income, self-perceived health status, ADLs, hypertension, stroke or CVA, cancer, heart disease, arthritis, DM, fall, social network, social activities, and living arrangement	Odds Ratio	2.8 (1.78; 4.4)
ADL 1-3 vs.	0				<u></u>	
Banaszak-	Asset and Health	USA	6,676	Adjusted for other variables: Model 1 included socio-demographic	Hazard	2.1 (1.8; 2.5)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
Holl, 2004Bana szak-Holl, 2004 #2488}	Dynamics Among the Oldest Old (AHEAD) Study			measures, potential caregiver network, geographic region, medical conditions, and ADL and IADL impairments	Ratio	
ADL ≥3 vs.	0					
Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	USA	7,407	Adjusted for age, gender, self-rated health, number of medical conditions, baseline functional status, and the interaction between age and gender	Odds Ratio	17 (9.1; 32)
Steinbach, 1992 ²⁹⁷	Longitudinal Study of Aging	USA	4,547	Adjusted for age, sex, race, family income, self-perceived health status, ADLs, hypertension, stroke or CVA, cancer, heart disease, arthritis, DM, fall, social network, social activities, and living arrangement	Odds Ratio	4.53 (2.97; 6.9)
ADL 4-5 vs.	0					
Banaszak- Holl, 2004 ³⁵²	Asset and Health Dynamics Among the Oldest Old (AHEAD) Study	USA	6,676	Adjusted for other variables: Model 1 included socio-demographic measures, potential caregiver network, geographic region, medical conditions, and ADL and IADL impairments	Hazard Ratio	2.1 (1.7; 2.6)
Number of ADLs (continuous variable 0-7)						
Belgrave,	Longitudinal Study	USA	560	Adjusted for ADL, IADL, self-health, activity limitations, confused,	Odds	1.05 (p >0.1)
1994***	of Aging			age, sex, living alone, education, income, and Medicaid ${ m in}$	Ratio	
	Longitudinal Study	USA	6880	Adjusted for ADL, IADL, self-health, activity limitations, confused,	Odds	1.04 (p >0.1)
	of Aging			age, sex, living alone, education, income, and Medicaid in Whites	Ratio	
	Longitudinal Study of Aging	USA	180	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid in	Odds Ratio	1.29 (p >0.1)
Polarovo	Longitudinal Study	1164	1 720	Adjusted for ADL IADL as the activity limitations confused	Odda	1 17 (n < 0.05)
1994 ³⁰⁵	of Aging	03A	1,720	age, sex, living alone, education, income, and Medicaid	Ratio	1.17 (p <0.05)
Coward, 1996 ³⁰¹	Longitudinal Study of Aging	USA	7,527	Adjusted for residence, socio-demographic characteristics, health status characteristic, and social support characteristics	Odds Ratio	1.15 (p <0.01)
St John, 2002 ¹⁵⁰	Canadian Study of Health and Aging	Canada	8,073	Adjusted for age, gender, education, Time 1 MMSE, and self-	Odds Ratio	1.07 (0.97; 1.67)
2002			6,934	Adjusted for age, gender, education, Time 1 MMSE, and self-	Odds	1.14 (1.01; 1.29)
Dependenc	e in ADLs				italio	
Miller, 1999 ²⁹⁹	Longitudinal Study of Aging	USA	12,007	Adjusted for gender, age, race, hospitalization, marital status, living arrangements (alone/others), family income, home ownership, survey transition year, two- and three way interaction terms if they met either of two criterion: (1) if the overall test of the effect of the interaction across all outcome categories was	Odds Ratio	1.3 (0.92; 1.84)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
				significant at p <.05, or (2) if the overall test was not significant, but the component of the interaction term related to moves vs. no transition was significant at p <.05, the assistance and functional status variables		
Wolinsky, 1991 ³⁵⁶	Longitudinal Study of Aging	USA	5,151	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics	Odds Ratio	1 (p >0.05)
Wolinsky, 1993Wolin sky, 1993 #3083}	Longitudinal Study of Aging	USA	3,646	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, change in functional status measures	Odds Ratio	1.29 (p = 0.02)
Dependenc	e in walking					
Long, 2005 ¹¹⁸	Applicants to the home- and community-based care (HCBC) programs	USA	1,690	Adjusted for receiving HCBC services, age, race, gender, health status, did not have hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, needs supervision never/sometimes/unknown, does not need assistance with mobility, does not need help with medication or meal preparation, does not have a mental illness, Alzheimer's or dementia, does not have medications with potential side effects in elderly, lives with spouse/child/other/unknown, primary caregiver is other relative/non-relative/none/unknown, did not have Medicare home health use in prior 6 months, monthly income greater than \$1000.	Odds Ratio	0.85 (p >0.05)
Goodlin, 2004 ²⁹⁸	Secondary analysis of data from the Medicare Current Beneficiary Survey	USA	3,232	Adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	1.84 (p = 0.006)
Dependenc	e in dressing					
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Canada	9,113	Adjusted for age, gender, race, married status, absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia	Odds Ratio	4.41 (3.6; 5.4)
Dependenc	e in feeding					
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Canada	9,113	Adjusted for age, gender, race, married status, absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual	Odds Ratio	2.76 (2.16; 3.52)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
				impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia		
Using equip	oment for bathing					
Goodlin, 2004 ²⁹⁸	Secondary analysis of data from the Medicare Current Beneficiary Survey	USA	3,232	Adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	1.92 (p = 0.019)
IADL ≥1 vs.	0					
Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	USA	7,407	Adjusted for age, gender, self-rated health, number of medical conditions, baseline functional status, and the interaction between age and gender	Odds Ratio	6.7 (4.6; 9.6)
IADL >1 vs.	0					
Miller, 1999 ²⁹⁹	Longitudinal Study of Aging	USA	12,007	Adjusted for gender, age, race, hospitalization, marital status, living arrangements (alone/others), family income, home ownership, survey transition year, two- and three way interaction terms if they met either of two criterion: (1) if the overall test of the effect of the interaction across all outcome categories was significant at $p < .05$, or (2) if the overall test was not significant, but the component of the interaction term related to moves versus no transition was significant at $p < .05$, the assistance and functional status variables	Odds Ratio	1.36 (0.69; 2.68)
IADL 1 vs. 0						
Miller, 1999 ²⁹⁹	Longitudinal Study of Aging	USA	12,007	Adjusted for gender, age, race, hospitalization, marital status, living arrangements (alone/others), family income, home ownership, survey transition year, two- and three way interaction terms if they met either of two criterion: (1) if the overall test of the effect of the interaction across all outcome categories was significant at $p < .05$, or (2) if the overall test was not significant, but the component of the interaction term related to moves versus no transition was significant at $p < .05$, the assistance and functional status variables	Odds Ratio	1.04 (0.6; 1.8)
IADL 1-3 vs	. 0					
Banaszak- Holl, 2004 ³⁵²	Asset and Health Dynamics Among the Oldest Old (AHEAD) Study	USA	6,676	Adjusted for other variables: Model 1 included socio-demographic measures, potential caregiver network, geographic region, medical conditions, and ADL and IADL impairments	Hazard Ratio	2 (1.7; 2.3)
IADL 4-5 vs	. 0					
Banaszak- Holl, 2004 ³⁵²	Asset and Health Dynamics Among the Oldest Old (AHEAD) Study	USA	6,676	Adjusted for other variables: Model 1 included socio-demographic measures, potential caregiver network, geographic region, medical conditions, and ADL and IADL impairments	Hazard Ratio	2.5 (2; 3.3)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
Number of	IADLs (continuous v	ariable 0-4)				
Wolinsky, 1992 ³⁰⁰	Longitudinal Study of Aging	USA	5,151	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, health services utilization, and change in functional status measures	Odds Ratio	1.14 (p = 0.03)
Number of	ADLs (continuous v	ariable 0-6)				
Belgrave, 1994 ³⁰⁵	Longitudinal Study of Aging	USA	560	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid in African American	Odds Ratio	1.21 (p >0.1)
	Longitudinal Study of Aging	USA	6,880	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid in Whites	Odds Ratio	1.1 (p >0.1)
	Longitudinal Study of Aging	USA	180	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid in African American with IADL limitations	Odds Ratio	1.1 (p >0.1)
Belgrave, 1994 ³⁰⁵	Longitudinal Study of Aging	USA	1,720	Adjusted for ADL, IADL, self-health, activity limitations, confused, age, sex, living alone, education, income, and Medicaid	Odds Ratio	1.03 (p >0.1)
Coward, 1996 ³⁰¹	Longitudinal Study of Aging	USA	,7527	Adjusted for residence, socio-demographic characteristics, health status characteristic, and social support characteristics	Odds Ratio	1.16 (p <0.05)
Number of	ADLs (continuous v	ariable 0-7)				
St John, 2002 ¹⁵⁰	Canadian Study of Health and Aging	Canada	8,073	Adjusted for age, gender, education, Time 1 MMSE, and self- rated health	Odds Ratio	1.21 (1.15; 1.28)
Number of	ADLs (continuous v	ariable 0-7)	in populati	on with normal MMSE score		
St John, 2002 ¹⁵⁰	Canadian Study of Health and Aging	Canada	6,934	Adjusted for age, gender, education, Time 1 MMSE, and self- rated health	Odds Ratio	1.27 (1.19; 1.36)
Dependenc	e in finances					
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Canada	9,113	Adjusted for Age, gender, race, married status, absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia	Odds Ratio	1.67 (1.41; 2)
Dependenc	e in shopping					
Goodlin, 2004 ²⁹⁸	Secondary analysis of data from the Medicare Current Beneficiary Survey	USA	3,232	Adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	2.88 (p <0.001)
Rockwood, 1996 ¹⁴⁷	Canadian Study of Health and Aging	Canada	9,113	Adjusted for Age, gender, race, married status, absence of a caregiver, non-spouse caregiver, IADL-dependence in finances, dependence in shopping, ADL-dependence in dressing, dependence in feeding, bladder incontinence, bowel	Odds Ratio	0.88 (0.74; 1.03)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
				incontinence, cardiovascular disease, hypertension, diabetes mellitus, stroke, Parkinson's disease, hearing impairment, visual impairment, age-associated memory impairment (AAMI), Alzheimer's disease, vascular dementia, and other dementia		
Needs help	with medications vs	s. no				
Long, 2005 ¹¹⁸	Applicants to the home- and community-based care (HCBC) programs	USA	1690	Adjusted for receiving HCBC services, age, race, gender, health status, did not have hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, needs supervision never/sometimes/unknown, does not need assistance with mobility, does not need help with medication or meal preparation, does not have a mental illness, Alzheimer's or dementia, does not have medications with potential side effects in elderly, lives with spouse/child/other/unknown, primary caregiver is other relative/non-relative/none/unknown, did not have Medicare home health use in prior 6 months, monthly income greater than \$1000.	Odds Ratio	1.34 (p >0.05)
Needs help	with meal preparation	on vs. no				
Long, 2005 ¹¹⁸	Applicants to the home- and community-based care (HCBC) programs	USA	1,690	Adjusted for receiving HCBC services, age, race, gender, health status, did not have hospital stay or SNF, LTCH, rehab hospital stay in prior 6 months, 0 to 3 MSQ errors, needs supervision never/sometimes/unknown, does not need assistance with mobility, does not need help with medication or meal preparation, does not have a mental illness, Alzheimer's or dementia, does not have medications with potential side effects in elderly, lives with spouse/child/other/unknown, primary caregiver is other relative/non-relative/none/unknown, did not have Medicare home health use in prior 6 months, monthly income greater than \$1000.	Odds Ratio	2.61 (p >0.05)
Number of	ADLs and IADL (0-13	3)				
Speare, 1991 ³⁰³	Longitudinal Study of Aging	USA	5,151	Adjusted for disability, incontinence, blindness, deafness, limitation in major activities, social support, age, sex, income	Odds Ratio	1.19 (p <0.01)
Kersting, 2001 ³⁰²	Longitudinal Study of Aging	USA	7,527	Adjusted for social support, poverty, age, gender, race, ADL/IADL score, self-reported health status, and fall	Hazard Ratio	1.17 (1.13; 1.2)
	Longitudinal Study of Aging	USA	555	Adjusted for social support, poverty, age, gender, race, ADL/IADL score, self-reported health status, and fall in African Americans	Hazard Ratio	1.24 (1.13; 1.36)
304	Longitudinal Study of Aging	USA	6,986	Adjusted for social support, poverty, age, and ADL/IADL score	Hazard Ratio	1.19 (1.16; 1.21)
GERI-AIMS	scale					
Falconer, 1992 ¹¹³	2-year longitudinal study of independent residents of a continuing care retirement	USA	152	Adjusted for age, sex, GERI-AIMS, disease severity, and Williams test	Relative Risk	1.14 (p ≤0.01)

Appendix E Table 40. Association Between Institutionalization and Disability in Older Persons (continued)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
	community					
Williams te	st for hand function					
Falconer, 1992 ¹¹³	2-year longitudinal study of independent residents of a continuing care retirement community	USA	152	Adjusted for age, sex, GERI-AIMS, disease severity, and Williams test	Relative Risk	2.42 (p >0.05)
LBL						
Miller, 1999 ²⁹⁹	Longitudinal Study of Aging	USA	12,007	Adjusted for gender, age, race, hospitalization, marital status, living arrangements (alone/others), family income, home ownership, survey transition year, two- and three way interaction terms if they met either of two criterion: (1) if the overall test of the effect of the interaction across all outcome categories was significant at $p < .05$, or (2) if the overall test was not significant, but the component of the interaction term related to moves versus no transition was significant at $p < .05$, the assistance and functional status variables	Odds Ratio	1.09 (0.99; 1.2)
Wolinsky,	Longitudinal Study	USA	5,151	Adjusted for baseline predisposing characteristics, enabling	Odds	1.08 (p = 0.0417)
1992 ³⁰⁰	of Aging			characteristics, need characteristics, health services utilization, and change in functional status measures	Ratio	
Change in I	ower body function			×		
Wolinsky, 1993 ³⁵⁷	Longitudinal Study of Aging	USA	3,646	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, change in functional status measures	Odds Ratio	1.179 (p = 0.01)
Change in a	advanced ADLs					
Wolinsky, 1993 ³⁵⁷	Longitudinal Study of Aging	USA	3,646	Adjusted for baseline predisposing characteristics, enabling characteristics, need characteristics, change in functional status measures	Odds Ratio	1.48 (p = 0.001)
≥ 3ADL (use	ed 6)new onset afte	er having no	disabilities	s vs. additional ADL disability developed after having 1-2 ADL di	sabilities	
Ferrucci, 1997 ¹⁰	EPESE Boston, New Haven, Iowa sites Established Populations for Epidemiologic Studies of the Elderly	USA	6,070	Adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	2.8 (1.7; 4.5)
Female: ≥3	ADL (used 6)new o	onset after h	aving no di	sabilities vs. additional ADL disability developed after having 1-	2 ADL disat	oilities
Ferrucci, 1997 ¹⁰	EPESE Established Populations for	USA	6,640	Adjusted for socio-demographic characteristics, health status, functional ability, previous use of health services, insurance, income, and family composition	Odds Ratio	2.3 (1.6; 3.2)

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)				
	Epidemiologic									
	Studies of the									
	Elderly - East									
	Boston & New									
	Haven									
	Communities									
Male: ≥3 AL	maie: 25 ADL (used 6)new onset after naving no disabilities vs. additional ADL disability developed after naving 1-2 ADL disabilities									
Ferrucci,	EPESE	USA	6,640	Adjusted for socio-demographic characteristics, health status,	Odds	1.3 (0.8; 2.1)				
1997	Established			functional ability, previous use of health services, insurance,	Ratio					
	Populations for			income, and family composition						
	Epidemiologic									
	Studies of the									
	Elderly - East									
	Boston & New									
	Haven									
		orior								
Anderson	Longitudinal Study		5.070	Adjusted adds ratio predicting being institutionalized relative to	Odde	2 63 (1 49. 4 66)				
1008 ³⁵⁸	on Aging	034	5,075	being independent (defined as no IADL or ADL limitations)	Patio	2.03 (1.43, 4.00)				
>3 ADI s (11	sed 7) vs 0 4 vear n	rior		being independent (defined as no IADE of ADE initiations)	Natio					
Anderson	Longitudinal Study		5.079	Adjusted odds ratio predicting being institutionalized relative to	Odds	3 43 (1 48. 7 95)				
1998 ³⁵⁸	on Aging	00/1	0,010	being independent (defined as no IADL or ADL limitations)	Ratio	0.40 (1.40, 1.00)				
≥1 IADL (us	ed 6) AND no ADL (used 7) vs. (), 4 year pr	ior						
Anderson.	Longitudinal Study	USA	5.079	Adjusted odds ratio predicting being institutionalized relative to	Odds	2.38 (1.61; 3.51)				
1998 ³⁵⁸	on Aging		-,	being independent (defined as no IADL or ADL limitations)	Ratio					
1-2 ADLs (ι	ised 7) vs. 0, 2 year	prior								
Anderson,	Longitudinal Study	USA	5,079	Adjusted odds ratio predicting being institutionalized relative to	Odds	15.57 (9.12; 26.58)				
1998 ³⁵⁸	on Aging			being independent (defined as no IADL or ADL limitations)	Ratio					
≥3 ADLs (u	sed 7) vs. 0, 2 year p	rior								
Anderson,	Longitudinal Study	USA	5,079	Adjusted odds ratio predicting being institutionalized relative to	Odds	83.22 (33.28; 208.1)				
1998 ³⁵⁸	on Aging			being independent (defined as no IADL or ADL limitations)	Ratio					
≥1 IADL (us	ed 6) AND no ADL (used 7) vs. (), 2 year pr	ior						
Anderson,	Longitudinal Study	USA	5,079	Adjusted odds ratio predicting being institutionalized relative to	Odds	3.53 (2.27; 5.49)				
1998300	on Aging			being independent (defined as no IADL or ADL limitations)	Ratio					
≥1 ADL (us	ed 7) assistance vs.	no ADL or l	ADL difficu	ilty, and physically able						
Harris,	Longitudinal Study	USA	1,791	Adjusted for age and sex	Odds	6.7 (3.8; 12.8)				
1989	on Aging				Ratio					
≥1 ADL (us	ed 7) difficulty, no as	ssistance vs	. no ADL o	r IADL difficulty, and physically able						
Harris,	Longitudinal Study	USA	1,791	Adjusted for age and sex	Odds	3.7 (2; 7.4)				
1989	on Aging				Ratio					
≥1 IADL dif	riculty, no ADL diffic	ulty vs. no /	AUL or IAD	L difficulty, and physically able						

Reference	Study	Country	Sample Size	Adjustment	Estimate	Mean (95% Cl or P Value)
Harris,	Longitudinal Study	USA	1,791	Adjusted for age and sex	Odds	2.8 (1.4; 5.6)
1989 ³⁵⁵	on Aging				Ratio	
No ADL or I	ADL difficulty, and r	not physical	ly able vs.	no ADL or IADL difficulty, and physically able		
Harris,	Longitudinal Study	USA	1,791	Adjusted for age and sex	Odds	2.2 (1.1; 4.1)
1989 ³⁵⁵	on Aging				Ratio	
Restricted a	activity					
Gill,	Precipitating	USA	754	Adjusted for age, sex, race/ethnicity, living alone, years of	Hazard	3.51 (1.72; 7.19)
2004 ³⁶	Events Project			education, chronic conditions, cognitive impairment, depressive	Ratio	
				symptoms, and prior intervening events		
Restricted a	activity in populatior	n with physic	cally frail a	t baseline		
Gill,	Precipitating	USA	754	Adjusted for age, sex, race/ethnicity, living alone, years of	Hazard	4.52 (1.95; 10.5)
2004 ³⁶	Events Project			education, chronic conditions, cognitive impairment, depressive	Ratio	
				symptoms, and prior intervening events		
Restricted a	ctivity in population wi	ithout physic	ally frail at b	baseline		
Gill,	Precipitating	USA	754	Adjusted for age, sex, race/ethnicity, living alone, years of	Hazard	1.71 (0.35; 8.29)
2004 ³⁶	Events Project			education, chronic conditions, cognitive impairment, depressive	Ratio	
				symptoms, and prior intervening events		

Bold=statistically significant.

Lower Body Limitations (LBL)=any difficulty with walking 1/4 of a mile, walking up 10 steps without rest, standing or being on the feet for 2 hours, stooping, crouching or kneeling, or lifting or carrying 25 pounds; Restricted activity=cut down on usual activities or stayed in bed for at least half a day due to an illness; Physically able=having no difficulty in walking 1/4 of a mile, stooping, crouching or kneeling, lifting 10 pounds, or walking up 10 steps without resting.

Disability Definition	Reference	Study	Sample Size	Followup	Adjustment	Estimate (95% CI)
ADL continuous- per increa	ase in 1 score					
Number of ADL limits (used 7)	Naeim, 2007 ³⁵⁹	Second Longitudinal Study on Aging (LSOAII)	8,838	Mortality at 4-yr followup	*	OR 1.1 (1.04; 1.16)
Increase by 1 score in Barthel index (range 0 to 20 [higher score is better], includes 10 ADLs)	Buurman, 2008 ¹⁹⁸	Tertiary university teaching hospital	463	90-day survival after hospital admission	*	OR 0.9 (0.87; 0.94)
Number of "other" ADLs (used 5bathe, dress, transfer, toilet, eat)	Long, 2005 ¹¹⁸	Medicaid applicants to the home- and community-based care (HCBC) programs	1,690	Mortality 6-months following application for services	*	OR 1.44 p < 0.01
ADL/IADL per increase in 1	score					
Number of Functional Limits (unclear in this write- up, but assumed to include 13 total: 7 ADLs and 6 IADLs)	Grabowski, 2001 ³⁶⁰	Longitudinal Study of Aging	7,459	Cox regression to calculate proportional hazards ratios for mortality over 96 months	*	HR 1.10 (1.08; 1.12)
IADL continuous	N. : 0007 ³⁵⁹		0000		*	00 4 40
(used 8)	Naeim, 2007	Second Longitudinal Study on Aging (LSOAII)	,8838	Mortality at 4-eayr followup	n	OR 1.12 (1.08; 1.17)
Number of "other" IADLs (used 6housework, laundry, telephone, <u>finances, travel, shopping)</u>	Long, 2005 ¹¹⁸	Medicaid applicants to the home- and community-based care (HCBC) programs	1,690	Mortality 6-months following application for services	*	OR 0.88 NS
	NA 400.4 ²⁹⁴		7.407	M + P + A	*	00.00
ADL (used 3) AND no	Mor, 1994-54	Longitudinal Study on Aging	7,407	Mortality at 6-year followup	n	OR 6.6 (5.1; 8.6)
≥1 IADL (used 6) AND no ADL (used 7)	Anderson, 1998 ³⁵⁸	Longitudinal Study on Aging	5,079	4-years prior IADL		OR 1.86 (1.4; 2.46)
≥1 IADL (used 6) AND no ADL (used 7)				2-years prior IADL		OR 4.14 (3.2; 5.36)
≥1 IADL difficulty, no ADL difficulty	Harris, 1989 ³⁵⁵	Longitudinal Study on Aging	1,791	Mortality at 2-year followup		OR 2.2 (1.4; 3.3)
1-3 IADLs (used OARS number of items not given)	Ganguli, 2002 ⁸⁵	Monongahela Valley Independent Elders Survey	1,064	3 year mortality	*	RR 1.54 (p=0.05)
1-3 IADLs (used OARS number of items not given)	Ganguli, 2002 ⁸⁵	Monongahela Valley Independent Elders Survey	1,064	5 year mortality	*	RR 1.72 (p=0.001)
1-3 IADLs (used OARS number of items not given)	Ganguli, 2002 ⁸⁵	Monongahela Valley Independent Elders Survey	1,064	10 year mortality	*	RR 1.62 (p<0.001)
2 IADL (used 6)	Fried, 1998 ⁵⁴	Cardiovascular Health Study	5,201	5 year mortality	*	RR 1.46 (1.2: 1.78)
≥ 3 IADL (used 6)	Fried, 1998 ⁵⁴	Cardiovascular Health Study	5,201	5 year mortality	*	RR 1.64 (1.26; 2.14)

Appendix E Table 41. Association Between Disability and Mortality in Older Persons (continued)

Disability Definition	Reference	Study	Sample Size	Followup	Adjustment	Estimate (95% CI)
≥4 IADLs (used OARS	Ganguli, 2002 ⁸⁵	Monongahela Valley Independent	1,064	3 year mortality	*	RR 2.49
number of items not given)		Elders Survey				(p=0.02)
≥4 IADLs (used OARS				5 year mortality	*	RR 2.09
number of items not given)						(p=0.03)
≥4 IADLs (used OARS				10 year mortality	*	RR 2.20
number of items not given)						(p=0.001
	L	Angelia ente te la ence a en el	1.000	Mantality O manufa	*	00.0.404
meal preparation	Long, 2005	Applicants to nome- and	1,690	Monality 6-months		UR 2.421
		programs		for sorvices		NS
Medication management		programs		Mortality 6 months	*	OP 1 1/0
Medication management				following application		NS
				for services		NO
Manage finances	Lee. 2006 ⁷¹	Health and Retirement Study	11.701	4 vear mortality	*	OR 1.9
	,	,	,	,		(1.6; 2.3)
IADL/ADL						<u> </u>
No issues, IADL issues	Jones, 2004 ¹⁵³	The MGAT study	171	Death or	*	HR 1.84
only, ADL issues only (does				institutionalization		(1.04; 3.24)
not specify number of						
IADLs and ADLs used.						
ADL	355					
≥1 ADL (used 7) difficulty,	Harris, 1989 ³³³	Longitudinal Study on Aging	1,791	Mortality at 2-year		OR 1.9
no assistance				followup		(1.3; 2.7)
1-2 ADLs (used 5)	Walter, 2001 ¹²⁵	Individuals enrolled in 2	1,495	1 year after hospital	*	OR 2.1
, , , , , , , , , , , , , , , , , , ,	,	randomized trials of an intervention	,	discharge		(1.6; 2.8)
		to improve functional outcomes of		·		
		hospitalized older adults				
5 ADLs (used 5)				1 year after hospital	*	OR 5.7
				discharge		(4.2; 7.7)
Used 7 ADLs	Espino, 2006 ²⁰⁰	H-EPESE Hispanic Established	3,050	8 year mortality	*	HR 1.13
		Populations for Epidemiologic				(1.07; 1.19)
		Studies of the Elderly				
ADL individual	1 000-118					
"Mobility" disability	Long, 2005 ¹¹⁸	Applicants to the home- and	1,690	Mortality 6-months	*	OR 1.75
[definition of ADL/IADLs		community-based care (HCBC)		following application		(p < 0.05)
used is not very clear; is		programs		tor services		
this referring to the "walking						
across the room" ADL?]	Les 2000 ⁷¹	Legith and Datiroment Study	44 704	A voor mortality	*	
Bathe	Lee, 2006	Health and Retirement Study	11,701	4 year mortality		
Dress: fully dependent	Carey 2008 ¹²⁹	PACE Program of All-Inclusive	3 800	1-year or 3-year		HR 1.6
Diess. Juliy dependent	Caley, 2000	TAGE Program of All-Inclusive	3,099	i-year or o-year		1111 1.0

Appendix E Table 41. Association Between Disability and Mortality in Older Persons (continued)

Disability Definition	Reference	Study	Sample Size	Followup	Adjustment	Estimate (95% CI)
		Care for the Elderly		mortality		(1.3; 2.1)
Dress: partially dependent	Carey, 2008 ¹²⁹	PACE Program of All-Inclusive Care for the Elderly	3,899			HR 1.2 (1; 1.4)
Toilet: fully dependent	Carey, 2008 ¹²⁹	PACE Program of All-Inclusive Care for the Elderly	3,899			HR 1.3 (1.1; 1.5)
ADL moderate		4				* • • *
1-2 ADLs (used 7)	Anderson, 1998 ³⁵⁸	Longitudinal Study on Aging	5,079	4-years prior mod ADL		OR 2 (1.22; 3.27)
1-2 ADLs (used 7)	Anderson, 1998 ³⁵⁸	Longitudinal Study on Aging	5,079	2-years prior mod ADL		OR 14.06 (9.15; 21.61)
1-2 ADLs (used 6)	Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	7,407	Mortality at 6-year follow-up	*	OR 8.6 (6.6; 11)
ADL severe						
≥3 ADLs (used 7)	Anderson, 1998 ³⁵⁸	Longitudinal Study on Aging	5,079	2-years prior severe ADL		OR 3.43 (1.57; 7.51)
≥ 3 ADLs (used 7)	Anderson, 1998 ³⁵⁸	Longitudinal Study on Aging	5,079	2-years prior severe ADL		OR 86.75 (39.44; 190.8)
≥3 ADLs (used 6)	Mor, 1994 ²⁹⁴	Longitudinal Study on Aging	7,407	Mortality at 6-year followup	*	OR 30 (18; 51)
Rosow-Breslau individual				ł		
Walk 1/2 mile	Melzer, 2003 ¹⁴	EPESE East Boston & New Haven Site Established Populations for Epidemiologic Studies of the Elderly	3,040	4 year mortality	*	HR 2.95 (2.48; 3.5)
Walk several blocks	Lee, 2006 ⁷¹	Health and Retirement Study	11,701	4 year mortality	*	OR 2.1 (1.8; 2.4)
SPPB						
0-6 score on SPPB (0 to 12)	Rolland, 2006 ¹⁶⁵	EPIDOS Epidemiologie de l'osteoporose study	7,250	NR	*	HR 1.34 (1.04; 1.73)
7-9 score on SPPB (0 to 12)	Rolland, 2006 ¹⁶⁵	EPIDOS Epidemiologie de l'osteoporose study	7250		*	HR 1.24 (1.01; 1.53)
MODILITY Assessed using CGA, rated at highest level of independence, with aid where used.	Jones, 2004 ¹⁵³	MGAT study	170	Death or institutionalization	*	HR 1.21 (0.73; 2)

Appendix E Table 41. Association Between Disability and Mortality in Older Persons (continued)

Disability Definition	Reference	Study	Sample Size	Followup	Adjustment	Estimate (95% CI)
MOBLI score						
< 0.2021	Melzer, 2003 ¹⁴	EPESE East Boston & New Haven Site Established Populations for Epidemiologic Studies of the Elderly	3,040	4 year mortality	*	HR 2.71 (2.28; 3.21)
Nagi individual						
Push/pull heavy objects	Lee, 2006 ⁷¹	Health and Retirement Study	11,701	4 year mortality	*	OR 1.5 (1.3; 1.8)

*Multivariate adjusted estimates. Bold= significant at 95% confidence limits.

Appendix E Table 42. Association Between Sarcopenia and Clinical Outcomes in Older Persons

Reference	Study	Adjustment	Definition of Exposure	Measure of the Association	Mean (95% CI)					
EPESE score of less than 10(Impaired lower extremity function was defined as a total score less than 10)										
Newman,	Health Aging and	Adjusted for age, race,	Men who are sarcopenic (aLM/ht2)	OR	1.5 (1.1; 2.1)					
2003 ⁷⁵	Body Composition	drinking, smoking, physical	Women who are sarcopenic (aLM/ht2)	OR	0.9 (0.7; 1.2)					
	(Health ABC) Study	activity and comorbidity	Men who are sarcopenic (residual)	OR	1.8 (1.3; 2.5)					
			Women who are sarcopenic (residual)	OR	1.9 (1.4; 2.5)					
Mortality										
Cesari,	Invecchiare in	Adjusted for age, gender,	Sarcopenia in BMI <25	HR	1.25 (0.69; 2.25)					
2009 ¹⁸⁰	Chianti Study	site, education, Mini-Mental	ite, education, Mini-Mental Sarcopenia in BMI 25-29.9		HR	1.24 (0.65; 2.37)				
		State Examination score, Center for Epidemiological Studies-Depression scale score, physical activity, congestive heart failure, coronary artery disease, hypertension, peripheral artery disease, respiratory disease, osteoarthritis, stroke, interleukin-6 (log value), C-reactive protein, and tumor necrosis factor-α (log value)	Sarcopenia in BMI ≥30	HR	1.18 (0.46; 3.02)					
Cesari,	Invecchiare in	Unadjusted	Muscle density (in mg/cm3)	HR	0.78 (0.69; 0.88)					
2009 ¹⁸⁰	Chianti Study		Muscle area (in cm2)	HR	0.75 (0.66; 0.86)					

Bold=statistically significant.

Reference	Study	Age	Sample	Definition of Exposure	Adjustment	Relative Measure of Association with 95% CI		
Frailty								
Abnormalities: Anemia; Inflammation; Endocrine; Micronutrient; Body composition; Fine motor speed								
Fried, 2009 ⁹⁸	Women ' s Health and Aging Studies	>70	1,438	Multiple vs. isolated abnormalities	Adjusted for age, race_education_and	OR 2.59 (1.22; 5.52)		
				Abnormal levels in 3-4	number of chronic	OR 11 (2.5; 47.9)		
				Abnormal levels in >5 physiological measures		OR 26 (3.7; 183.3)		
				Anemia		OR 1.5 (0.7; 3.4)		
				Dehydroepiandrosterone sulfate DHEA-S <0.215 mcg/mL		OR 1.4 (0.7; 2.8)		
				≥2 nutritional deficits		OR 2.6 (1.3: 5)		
				Skinfold thickness <17 mm		OR 2.6 (1.3; 5.2)		
Bartali, 2008 ¹⁷⁷	Invecchiare in Chianti (InCHIANTI)	>65	698	Vitamin E, 1.1 µg/mL, lowest vs. the highest quartile	Adjusted for age, sex, educational achievement, marital	OR 1.62 (1.11; 2.36)		
		(Vitamin B12, 275 pg/mL, lowest vs. the highest quartile	status, household composition, smoking, physical	OR 1.03 (0.71; 1.5)		
				Vitamin B6, 4.35 ng/mL, lowest vs. the highest quartile	activity , number of diseases, BMI, Depression Scale,	OR 1.04 (0.71; 1.53)		
				Folic acid, 1.9 ng/mL, lowest vs. the highest guartile	and Mini-Mental State Examination	OR 0.72 (0.49; 1.03)		
				Vitamin D, 305 ng/mL, lowest vs. the highest guartile		OR 0.92 (0.63; 1.36)		
				Iron, 55 µg/dL, lowest vs. the highest quartile		OR 1.1 (0.77; 1.59)		
Mortality								
Albumin levels (g	/L)							
Raynaud-Simon, 2002 ¹⁶⁷	PAQUID research program	>65	245	<44.8 vs. 44.8-48.0	Adjusted for Transthyretin, CRP, Orosomucoid, and BMI	RR 5.3 (0.2; 5.7), 2 years		
				<44.8 vs. 44.8-48.0	Adjusted for Transthyretin, CRP, Orosomucoid, and BMI	RR 2.1 (1.1; 3.9), 6 years		

Appendix E Table 43. Association Between Frailty, Mortality, and Malnutrition Definition in Older Persons (continued)

Reference	Study	Age	Sample	Definition of Exposure	Adjustment	Relative Measure of Association with 95% CI
Anemia						
Semba, 2007 ¹⁰⁷	Women's Health and Aging Study I	>65	688	Anemia with nutritional deficiencies	Adjusted for age	HR 0.79 (0.29; 2.14)
Zakai, 2005 ⁶¹	Cardiovascular Health Study	>65	5888	Anemia	Adjusted for age, sex, and race, baseline cardiovascular disease, congestive heart failure, diabetes mellitus, pre-baseline cancer, ankle-arm index, self-reported health status (fair or poor), history of cigarette use, and forced vital capacity	RR 1.33 (1.15; 1.54)
BMI						
Volpato, 2001 ¹⁰⁰	Women's Health and Aging Study	≥65	620	<21.45 vs. 21.45–31.58	Adjustment for age, smoking history, BMI, inflammatory markers and albumin	RR 2.03 (1.09; 3.77)
Raynaud-Simon,	PAQUID	>65	245	<22.8 vs. 22.8-27.3	Adjusted for	RR 0.7 (0.1; 3) 2 years
2002 ¹⁶⁷	research program				Transthyretin, CRP, Orosomucoid, and BMI	RR 2.3 (1.3; 4.4) 6 years
Rakowski, 1992 ³⁶¹	Longitudinal Study of Aging	>70	1391	lowest vs. highest quintile	Crude	OR 2.17 (1.03; 4.55)
Not intentional we	ight loss					
Newman, 2001	Cardiovascular Study Research Group	>65	4718	Weight loss of 5%	Adjusted for age in years, gender, race, digital symbol score, number of medications, gastrointestinal disease, log of pack years of smoking, waist circumference, and mobility- impairment	HR 1.67 (1.29; 2.15)
Composite nutritio	onal score					
Beck, 1999 ¹³	Danish part of 'Survey in	>73	202	MNA nutritional score (<23.5 vs. >24)	Adjusted for age and smoking status	RR 2.86 (1.52; 5.56)

Reference	Study	Age	Sample	Definition of Exposure	Adjustment	Relative Measure of Association with 95% CI
	Europe of Nutrition in the Elderly, a Concerted Action' (SENECA)					
Visvanathan, 2003 ¹⁴¹	Domiciliary care services for elderly people with moderate or severe functional limitations	>67	250	MNA <24	Adjusted for age and living status	RR 1.02 (0.44; 2.38) 1 year
Beck, 1999 ¹⁵⁷	Danish part of 'Survey in Europe of Nutrition in the Elderly, a Concerted Action' (SENECA)	>73	202	High nutritional risk by NSI Checklist score	Adjusted for age and smoking status	RR 1.45 (0.78; 2.71)
Transthyretin, mg/	Ĺ					
Raynaud-Simon, 2002 ¹⁶⁷	PAQUID research program	>65	245	<258 vs. 258-316	Adjusted for Transthyretin, CRP, Orosomucoid, and BMI	RR 2.8 (0.7; 10.7) 2 years
				>316 vs. 258 -316	Adjusted for Transthyretin, CRP, Orosomucoid, and BMI	RR 6.6 (1.7; 25.9) 2 years
				<258 vs. 258 -316	Adjusted for Transthyretin, CRP, Orosomucoid, and BMI	RR 1.4 (0.7; 2.6) 6 years
				>316 vs. 258 -316	Adjusted for Transthyretin,CRP, Orosomucoid, and BMI	RR 2.6 (1.4; 5) 6 years
Orosomucoid, g/L						
Raynaud-Simon, 2002 ¹⁶⁷	The PAQUID research	>65	245	<0.68 vs. 0.68- 0.88	Adjusted for Transthyretin,CRP,	RR 0.6 (0.1; 3.9) 2 years; RR 0.5 (0.2; 1.1) 6 years

Appendix E Table 43. Association Between Frailty, Mortality, and Malnutrition Definition in Older Persons (continued)

Reference	Study	Age	Sample	Definition of Exposure	Adjustment	Relative Measure of Association with 95% CI
	program			>0.88 vs. 0.68- 0.88	Orosomucoid, and BMI	RR 7.4 (2.2; 24.6) 2 years; RR 2.9 (1; 3.4) 6 years
					Adjusted for	RR 6.1 (1.7; 22.2) 2 years
					Transthyretin, CRP,	RR 1.7 (0.9; 3.2) 6 years
					Orosomucoid, and	
					BMI, functional status	
					(ADL and IADL),	
					cognitive function	
					(MMSE), and	
				4	depression (CES-D)	
				>1 g/L	Adjusted for	RR 12.3 (4.3; 35) 2 years
					Orecomuced and	RR 4.4 (2.3; 8.5) 6 years
					Drosomucoid, and	
					(ADL and IADL)	
					(ADE and IADE),	
					(MMSE) and	
					depression (CES-D)	
Vitamin D						
Semba, 2009 ¹⁰⁵	Women's	>70	714	25 (OH)D <15.3 vs. >27	Age, race, education,	HR 2.45 (1.12; 5.36)
	Health and				season, BMI,	
	Aging Studies				smoking, supplement	
	(WHAS) I and				use, physical activity,	
	II, 2				total cholesterol, HDL	
					cholesterol, and	
				(0) 10	chronic diseases	
Visser, 2006 ¹⁰⁰	Longitudinal	>65	1260	25 (OH)D: <25 nmol/L vs.	Adjusted for sex, age,	HR 1.28 (0.85; 1.92)
	Aging Study			>75 nmoi/L	_ and education;	
	Amsterdam (1005, 1006)			25 (OH)D: 25–49.9 nmol/L	diagona corum	HR 1 (0.72; 1.4)
	(1995–1996)			vs. >75 nmoi/L	diseases, serum	
					concentration,	
					depressive	
					symptoms BMI	
					smoking status	
					alcohol consumption	
					and physical activity.	
					mobility performance.	
					low serum albumin	
					concentration, and	
					low serum total	

Reference	Study	Age	Sample	Definition of Exposure	Adjustment	Relative Measure of Association with 95% CI
					cholesterol concentration	
Red cell distribut	tion width					
Patel, 201046	InCHIANTI	>65	11,827	Red cell distribution	Adjusted for age, sex,	HR 1.14 (1.11; 1.17)
	Study, NHANES III, and WHAS I;the Health ABC Study; the East Boston, Iowa, and New	No major age- associated diseases	-	width,1% increment	race, education level, smoking history, and body mass index, age-associated medical conditions (cancer, diabetes, heart attack, hypertension, and	HR 1.32 (1.21; 1.44)
		Iron, folate, and/or vitamin B12 deficiencies				HR 1.16 (1.09; 1.24)
	Haven sites of			RDW of 14.0%–14.9%	stroke	HR 1.77 (1.53; 2.04)
	the EPESE			RDW >14.9%		HR 2.51 (2.16; 2.91)
		65-74		Red cell distribution width,		HR 1.25 (1.22; 1.3)
		75-84		1% increment	-	HR 1.16 (1.13; 1.19)
		>85			-	HR 1.16 (1.112; 1.21)
		male			-	HR 1.21 (1.175; 1.24)
		female			=	HR 1.16 (1.13; 1.19)
		African American			-	HR 1.13 (1.1; 1.18)
		Caucasian			-	HR 1.19 (1.16: 1.212)
		BMI <18.5			-	HR 1.15 (1.035: 1.23)
Treatment utiliza	tion among those	with high risk	of undernut	rition and malnutrition MNA	<26	
Visvanathan,	Domiciliary	>67, 250	Needing an	ly form of admission	Adjusted for Age and	RR 1.51 (1.07; 2.14)
2003 ¹⁴¹	care services	elderly	Needing en	nergency admission	Living Status	RR 1.94 (1.24; 3.03)
	for elderly	,	Requirina >	>2 admissions		RR 2.17 (1.05; 4.44)
	people with		Requiring >	2 emergency admissions		RR 2.96 (1.15; 7.59)
	moderate or severe functional		Spending >	4 weeks in the hospital		RR 3.22 (1.29; 8.07)

Bold=significant association at 95% confidence limit.

Appendix E Table 44. Association Between Sex-Specific Early and Late Mortality and Malnutrition and Inflammation Biomarkers in Older Persons: Pathologies Oculaires Liées à l'Age Cohort¹⁶⁸

Reference	Study	Age, Sample, Adjustment	Gender	Mortality	Exposure Definition	Hazard Ratio (95% CI)
Albumin, g/L						
Carriere, 2008 ¹⁶⁸	Pathologies Oculaires Lie´es	>60, 1,441	Males	Early Death (5 years after baseline)	<39.44 vs. 39.44–44.77	2.72 (1.44; 5.14)
	a` l'Age Study	Adjusted for	Females	· · · · · · · · · · · · · · · · · · ·	<39.44 vs. 39.44–44.77	1.37 (0.7; 2.7)
		age,	Males	Late Death	<39.44 vs. 39.44–44.77	1.13 (0.61; 2.11)
		educational level, perceived	Females	(Between 5 and 9 years after baseline)	<39.44 vs. 39.44–44.77	0.84 (0.48; 1.48)
		health, and	Alpha 1-acid g	glycoprotein, g/L		
		smoking	Males	Early Death	highest quartile and Transthyretin,	6.86 (3.2; 14.71)
			Females		lowest quartile	4.64 (1.79; 12.05)
			Males	Early Death	<0.64 vs. 0.64–0.89	1.03 (0.47; 2.28)
			Females		<0.64 vs. 0.64–0.89	1.99 (0.95; 4.16)
			Males	Late Death	<0.64 vs. 0.64–0.89	0.38 (0.16; 0.92)
			Females		<0.64 vs. 0.64–0.89	0.68 (0.36; 1.29)
			Males	Early Death	>0.90 vs. 0.64–0.90	2.26 (1.19; 4.31)
			Females	Early Death	>0.90 vs. 0.64–0.90	2.61 (1.27; 5.35)
			Males	Late Death	>0.90 vs. 0.64–0.90	1.44 (0.82; 2.53)
			Females	Late Death	>0.90 vs. 0.64–0.90	1.1 (0.6; 2.02)
			Transthyretin,	g/L		
			Males	Early Death	<0.24 vs. 0.24–0.29	2.23 (1.21; 4.13)
			Females	Early Death	<0.24 vs. 0.24–0.29	2.39 (1.24; 4.58)
			Males	Late Death	<0.24 vs. 0.24–0.29	1.17 (0.64; 2.17)
			Females	Late Death	<0.24 vs. 0.24–0.29	1.36 (0.77; 2.38)
			Males	Early Death	>0.30 vs. 0.24–0.30	0.39 (0.13; 1.16)
			Females	Early Death	>0.30 vs. 0.24–0.30	0.97 (0.41; 2.33)
			Males	Late Death	>0.30 vs. 0.24–0.30	0.89 (0.47; 1.68)
			Females	Late Death	>0.30 vs. 0.24–0.30	1.04 (0.52; 2.1)
			Prognostic inf	lammatory and nutrition	al index	
			Males	Early Death	<0.052 vs. 0.052–0.265	0.66 (0.27; 1.58)
			Females	Early Death	<0.052 vs. 0.052–0.265	1.28 (0.61; 2.68)
			Males	Late Death	<0.052 vs. 0.052–0.265	0.53 (0.23; 1.23)
			Females	Late Death	<0.052 vs. 0.052–0.265	0.78 (0.39; 1.56)
			Males	Early Death	>0.266 vs. 0.052–0.266	2.13 (1.15; 3.95)
			Females	Early Death	>0.266 vs. 0.052–0.266	1.33 (0.66; 2.68)
			Males	Late Death	>0.266 vs. 0.052–0.266	2.5 (1.44; 4.36)
			Females	Late Death	>0.266 vs. 0.052-0.266	1.25 (0.72; 2.18)

Bold=significant association at 95% confidence limit.



Appendix E Figure 7. Association Between Chronic Inflammation Biomarkers and Cognitive Decline in Older Persons: Health, Aging, and Body Composition Study⁷⁷

*After adjustment for age, education, race, sex, smoking, alcohol use, body mass index, self-reported health, CES-D score (depression), comorbidities (myocardial infarction, diabetes mellitus, hypertension, and stroke), use of NSAIDs, use of estrogen for women, and baseline cognitive test score.



Appendix E Figure 8. Association Between Chronic Inflammation and Sarcopenia (Muscle Strength Loss) in in Older Persons: Longitudinal Aging Study Amsterdam¹⁹⁵

*After controlling for age, sex, education level, smoking status, number of chronic diseases, alcohol use, physical activity, anti-inflammatory drug use, body mass index (or total body fat when available), cognitive impairment, and depressive symptoms.



Appendix E Figure 9. Association Between Chronic Inflammation and Disability in Older Women: Women's Health and Aging Study I¹⁰¹

*After controlling for age, education, race, smoking status, BMI, estrogen use, corticosteroid use, and chronic conditions.

Appendix E Figure 10. Association Between Chronic Inflammation and Frailty in Older Women: Women's Health and Aging Studies I and II^{98,109}



*After controlling for age, history of smoking, BMI >25 kg/m², number of chronic diseases, and markers for socioeconomic status.

Appendix E Figure 11. Association Between Elevated Inflammatory Biomarkers (CRP and IL6) and Mortality in Older Persons^{21,24,82,193}


Appendix E Figure 12. Association Between Inflammatory Indexes and Mortality in Older Persons^{21,193}





Appendix E Table 45. Association Between C-Reactive Protein and Mortality in Older Persons

Reference	Study	Adjustment	Age	Definition of exposure	Estimate	Mean (95% CI)
Women						
Harris, 1999 ⁸²	lowa 65+ Rural Health Study	Adjusted for age, sex, prevalent cardiovascular disease, diabetes, BMI	>65	High C-reactive protein levels only (C-reactive protein >2.78 mg/L and interleukin-6<3.19 pg/mL)	Relative Risk	0.3 (0.1; 1.2)
Volpato, 2001 ¹⁰⁰	Women's Health	Adjusted for age, smoking	≥65	CRP, mg/L 2.1–7.4 vs. <2	Relative Risk	1.16 (0.64; 2.1)
	and Aging Study	history, BMI, inflammatory markers and albumin		CRP, mg/L >7.5 vs. <2	Relative Risk	1.65 (0.93; 2.9)
Carriere, 2008 ¹⁶⁸	Pathologies Oculaires Lie´es	Adjusted for age, educational level,	>60	C-reactive protein, mg/L < 0.86 vs. 0.86–3.30	Hazard Ratio	1.45 (0.7; 3.04)
	a` l'Age Study	perceived health, and smoking		C-reactive protein, mg/L >3.31 vs. 0.86–3.30	Hazard Ratio	1.32 (0.65; 2.69)
				C-reactive protein, mg/L 0.86 vs. 0.86–3.30	Hazard Ratio	0.82 (0.42; 1.59)
				C-reactive protein, mg/L 3.31 vs. 0.86–3.30	Hazard Ratio	1.05 (0.6; 1.85)
Men						
Harris, 1999 ⁸²	lowa 65+ Rural Health Study	Adjusted for age, sex, prevalent cardiovascular disease, diabetes, body mass index	>65	High C-reactive protein levels (C- reactive protein >2.78 mg/L)	Relative Risk	1.5 (0.7; 3.2)
Carriere, 2008 ¹⁶⁸	Pathologies Oculaires Lie ´es	Adjusted for age, educational level,	>60	C-reactive protein, mg/L <0.86 vs. 0.86–3.30	Hazard Ratio	0.7 (0.31; 1.63)
a` l'Age Stud	a` l'Age Study	perceived health, and smoking		C-reactive protein, mg/L >3.31 vs. 0.86–3.30	Hazard Ratio	2.15 (1.14; 4.02)
				C-reactive protein, mg/L <0.86 vs. 0.86–3.30	Hazard Ratio	0.6 (0.27; 1.33)
				C-reactive protein, mg/L >3.31 vs. 0.86–3.30	Hazard Ratio	2.37 (1.36; 4.15)
All elderly						
Raynaud-Simon, 2002 ¹⁶⁷	PAQUID research program	Adjusted for Transthyretin, CRP, Orosomucoid, and BMI	>65	CRP (mg/L) >15 vs. <15	Relative Risk	0.2 (0.1; 9.2)
Bruunsgaard, 2003 ¹⁵⁸	Danish Centenarian Study	Crude	>100	C-reactive protein increase by SD in log scale	Hazard Ratio	1.26 (1.03; 1.53)
Cao, 2007 ⁵⁸	Cardiovascular Health Study	Adjusted for age, sex, race, systolic and diastolic blood pressure, use of antihypertensive medications, BMI, smoking (never, former, current), and amount smoked (in pack-years), high-density lipoprotein and low-density lipoprotein cholesterol, diabetes (none, impaired	>95	CRP >3 mg/L	Hazard Ratio	1.38 (1.25; 1.53)

Adjustment **Definition of exposure** Estimate Mean (95% CI) Reference Study Age fasting glucose, diabetes), plaque risk group, and carotid wall thickness Seeman, 2004²¹ MacArthur Adjusted for age, gender, >70 High C-reactive protein 1.67 (1.1; 2.55) Odds Ratio Studies of ethnicity Successful Aging Harris, 1999⁸² Adjustment for age, sex, C-reactive protein-the highest quartile 1.6 (1; 2.6) Iowa 65+ Rural >65 Relative Risk BMI, and history of ≥2.78 mg/L) vs. the lowest quartile Health Study smoking, diabetes, and cardiovascular disease, as well as known indicators of inflammation including fibrinogen and albumin levels and white blood cell count Alley, 2007¹⁷⁸ Invecchiare in Adjusted for inflammatory >65 baseline CRP >3.0 mg/L Odds Ratio 1.98 (0.8; 4.86) Chianti Study markers, age, sex, Followup CRP >3.0 mg/L Odds Ratio 2.06 (0.81: 5.23) education, and health CRP increase Odds Ratio 3.1 (1.25; 7.68) behaviors (alcohol intake, smoking in pack-years, low physical activity) at baseline, and covariates at follow-up: high waist circumference, high blood pressure, low high-density lipoprotein cholesterol, high low-density lipoprotein cholesterol, high triglycerides, hospital stay in previous year, liver disease, coronary heart disease, diabetes mellitus, depression, cancer Pizzarelli, 2009¹⁷⁹ Invecchiare in Adjusted for age, gender, CRP increase >65 Hazard Ratio 1.01 (1; 1.02) Chianti Study cholesterol, physical activity, stroke, congestive heart failure, and renal function

Appendix E Table 45. Association Between C-Reactive Protein and Mortality in Older Persons (continued)

Appendix E Table 46. Association Between Inflammatory Biomarkers and Mortality in Older Persons

Reference	Study	Adjustment	Age	Outcome	Exposure	Estimate	Mean (95% CI)
Seeman, 2004 ²¹	MacArthur Studies of Successful Aging	Adjusted for age, gender, ethnicity	>70	7.5-year mortality	High fibrinogen	Odds Ratio	1.28 (0.83; 1.99)
Wikby, 2005 ¹⁹²	NONA Immune Study	Crude	>85	Mortality	CD4/CD8 ratio > vs. <1	Odds Ratio	
Cohen, 2003 ⁹	Duke Established Populations for	Adjusted for age; sex; race;	>65	5-year Mortality	High D-dimer levels only	Relative Risk	1.53 (1.18; 1.97)
	Epidemiologic Studies of the Elderly	current and past 5- smoking; BMI; M baseline cancer, stroke, diabetes, or myocardial infarction; and baseline functional status	current and past 5-year smoking; BMI; Mortality baseline cancer, stroke, diabetes, or myocardial infarction; and baseline functional status	Log D-dimer	Relative Risk	1.74 (1.31; 2.31)	
Bruunsgaard, 2003 ¹⁵⁸	Danish Centenarian	Adjusted for dementia,	>100	Mortality	Soluble TNF receptor-II ng/mL per SD	Hazard Ratio	1.36 (1.1; 1.67)
	Study	cardiovascular			Soluble TNF receptor-II	Hazard Ratio	1.41 (1.06; 1.88)
		diseases, and			TNF-alpha pg/mL (per SD)	Hazard Ratio	1.54 (1.04; 2.27)
		an interaction between soluble TNF receptor-II and dementia			TNF-alpha increase by SD in log scale	Hazard Ratio	1.34 (1.12; 1.6)
Cohen, 2003 ⁹	Duke Established Populations for Epidemiologic Studies of the Elderly	Adjusted for age; sex; race; current and past smoking; BMI; baseline cancer, stroke, diabetes, or myocardial infarction; and baseline functional status	>65	5-year Mortality	High interleukin-6 and D-dimer levels	Relative Risk	2 (1.53; 2.62)
Carriere, 2008 ¹⁶⁸	Pathologies Oculaires Lie´es a` l'Age Study	Adjusted for age, educational level, perceived health, and smoking	>60	Early Death (5 years after baseline)	CRP, the highest quartile and albumin, the lowest quartile	Hazard Ratio	4.98 (2.25; 11.01)
Jenny, 2007 ⁶³	Cardiovascular Health Study	Adjusted for age, cholesterol, BMI, systolic blood pressure, smoking,	≥65	Early death	Highest quartile of fibrinogen and CRP in men (4th quartile for CRP: ≥3.42µg/ml and for fibrinogen ≥362mg/dl) compared to those in lowest quartile	Hazard Ratio	9.56 (4.34; 21.1)
		diabetes, race, and clinical and subclinical			Highest quartile of fibrinogen and CRP in men aged 65-73 years at baseline(4th quartile for CRP:		4.82 (1.6; 14.5)

Reference	Study	Adjustment	Age	Outcome	Exposure	Estimate	Mean (95% CI)
		cardiovascular disease			≥3.42µg/ml and for fibrinogen ≥362mg/dl) compared to those in lowest quartile		
					Highest quartile of fibrinogen and CRP in men aged ≥74 years at baseline (4th quartile for CRP: ≥3.42µg/ml and for fibrinogen ≥362mg/dl) compared to those in lowest quartile		14.33 (4.42; 46.52)
					Highest quartile of fibrinogen and CRP in women (4th quartile for CRP: ≥3.42µg/ml and for fibrinogen ≥362mg/dl) compared to those in lowest quartile		1.5 (0.73; 3.1)
					Highest quartile of fibrinogen and CRP in women aged ≤73 years at baseline (4th quartile for CRP: ≥3.42µg/ml and for fibrinogen ≥362mg/dl) compared to those in lowest quartile		1.31 (0.4; 4.34)
					Highest quartile of fibrinogen and CRP in women aged ≥74 years at baseline (4th quartile for CRP: ≥3.42µg/ml and for fibrinogen ≥362mg/dl) compared to those in lowest quartile		1.25 (0.52; 3.02)
					Fibrinogen in men: 1st Quartile (1st Quartile: ≤281mg/dl)		1
					Fibrinogen in men: 2nd Quartile (2nd Quartile: 282-311 mg/dl)		2.05 (1.27; 3.3)
					Fibrinogen in men: 3rd Quartile (3rd quartile: 312-361 mg/dl)		2.76 (1.75; 4.35)
					Fibrinogen in men: 4th Quartile (4th guartile:≥362mg/dl)		4.11 (2.66; 6.35)
					Fibrinogen in women: 1st Quartile		1
					Fibrinogen in women: 2nd Quartile		0.95 (0.56; 1.61)
					Fibrinogen in women: 3rd Quartile		1.19 (0.73; 1.94)
					Fibrinogen in women: 4th Quartile		1.31 (0.79; 2.15)
				Late death	Fibrinogen in men: 1st Quartile (1st Quartile: ≤281mg/dl)		1
					Fibrinogen in men: 2nd Quartile (2nd Quartile: 282-311 mg/dl)		1.01 (0.78; 1.3)

Reference	Study	Adjustment	Age	Outcome	Exposure	Estimate	Mean (95% CI)
					Fibrinogen in men: 3rd Quartile (3rd quartile: 312-361 mg/dl)		1.02 (0.79; 1.31)
					Fibrinogen in men: 4th Quartile (4th quartile:≥362mg/dl)		1.39 (1.09; 1.77)
					Fibrinogen in women: 1st Quartile (1st Quartile: ≤281mg/dl)		1
					Fibrinogen in women: 2nd Quartile (2nd Quartile: 282-311 mg/dl)		1.07 (0.81; 1.41)
					Fibrinogen in women: 3rd Quartile (3rd quartile: 312-361 mg/dl)		0.86 (0.65; 1.15)
					Fibrinogen in women: 4th Quartile (4th quartile:≥362mg/dl)		1.14 (0.86; 1.51)
Jylha, 2007 ¹⁶⁴	Vitality 90+ Study	Adjusted for sex, CVD, diabetes,	≥90 years	4-year mortality	IL-1ra tertiles(pg/mL): <312	Hazard Ratio	1
	cancer, 2 infections, HDL	4-year mortality	IL-1ra tertiles (pg/mL): 312-454	Hazard Ratio	1.58 (0.95; 2.63)		
		Cholesterol, MMSE Score,		4-year mortality	IL-1ra tertiles (pg/mL): >454	Hazard Ratio	2.12 (1.24; 3.62)
		smoking, status, exercise, and education.	-	4-year mortality	IL-6 tertiles (pg/mL): <1.97	Hazard Ratio	1
				4-year mortality	IL-6 tertiles (pg/mL): 1.97-3.8	Hazard Ratio	1.13 (0.7; 1.18)
				4-year mortality	IL-6 tertiles (pg/mL): >3.8	Hazard Ratio	1.2 (0.74; 1.19)

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
Drame, 2008{Drame, 2008 #2310	Drame, 2008{Drame, 2008 #2310	75-84; 85 and over	
1) for baseline descriptive	Age		
analysis of all the patients in	Gender	Female; Male	
both derivation and validation	Living location	Private home; Institution	
cohorts;	Education level	Primary; Secondary; University	
2) enter into bivariable model to	Dependence on the ADL	No; Yes	Katz's index
study the association between each predictor and mortality in the derivation cohort;	Delirium	No; Yes	DSM-IV criteria: Disturbance of consciousness (defined by Folstein's Mini-Mental State Examination score of 24 or less); change in cognition: development over a short period of time.
enter into multivariable	Malnutrition risk	No : Yes	Mini Nutritional Assessment short form score of less than 12.
model if they are significant	Pressure sore risk	No ; Yes	Norton's scale score of 14 or less
(p<0.2) to identify components	Walking difficulties	No ; Yes	Timed Get-up and Go Test
of the mortality risk index	Mood disorders or depression risk	No ; Yes	Schwab and Gilleard's scale of score greater than 14
	Gait and balance difficulties	No ; Yes	One-Leg Standing Balance Test
	Comorbidity level	Low (0 or 1)	Charlson comorbidity index
		Medium (2 to 4)	
		High 5 or more)	
	Recent hospitalization (within 3 months)	No ; Yes	
	Day of admission	Weekday; Weekend	
Ensrud, 2008 ⁵⁰	Age	By year	
1) to compare characteristics of	Health status	Excellent or good;	Self reported
participants at the 4"		Fair	
examination by category of		Poor or very poor	
frailty according to the Study of	Smoking status	Current; former; never	
Osteoporotic Fractures (SOF)	Current estrogen use		
ndex;	Fracture since age 50		
2) to identify components of the Study of Osteoporatic Eractures	Falls in previous year		
index	Intent to lose weight		
	Educational achievement		
	Selected medical conditions	None	Stroke; cancer(except skin cancer); dementia; hypertension;
		<u>1-2</u>	parkinsonism; Diabetes mellitus; coronary heart disease; chronic
		>=3	obstructive lung disease
	Physical activity	Vveighted score of kilocalories	Modified version of Harvard Alumni Questionnaire
		Expended per week	
	Depressive symptoms		15-item Geriatric Depression Scale score 0-15
	Cognitive function		Modified Mini-Mental State Examination score 0-26

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
	Functional disability		Any of 5 instrumental activities of daily living
	Physical function	Grip strength	Handheld dynamometer
	-	Walking speed	Time in seconds to walk 6 m at usual pace
		One's ability to rise from a chair 5	
		Times without using her arms	
	Body weight		Recorded by a balanced beam scale
	Height		By a standard held-expiration technique with a wall-mounted stadiometer
	Bone mineral density of the		By dual-energy x-ray absorptiometry
	femoral neck		
Ravaglia, 2008 ³⁶²	Age	<80	
Develop a frailty score including		≥80	
only self-reported information	Gender	Women	
and easy-to-perform		Men	
standardized measurements	Education	>3 years	
recommended in routine		≤3 years	
geriatric practice	Living alone	No	
		Yes	
	Current or former smoking	No	
		Yes	
	Physical inactivity	No	Defined as lack of adherence to the current exercise
		Yes	recommendation for older
			People (<4 hour/week of moderate intensity activity)
	≥2 medical conditions	No	Hypertension; cardiovascular disease (history of myocardial infarct and congestive
		Yes	Heart failure); cerebrovascular disease (history of stroke or transient ischemic attack); Diabetes; chronic pulmonary disease; cancer and dementia
	Daily use of ≥3 drugs	No	
		Yes	
	Sensory deficits	No	Blindness or deafness
		Yes	
	Calf circumference	<31cm;	
		≥31cm	
	Body mass index	≥25	
		<25	
	Activity of daily living	No difficulty	Any difficulty with bathing, dressing, toileting, transferring, continence and feeding
		Any difficulty	

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
	Instrumental activity of daily living	No difficulty	Any difficulty with using telephone, taking medicine, travelling and managing money
	-	Any difficulty	
	Gait and balance	>24	Tinetti gait and balance test score ≤24
		≤24	
	Abnormal cognition	<24	Mini Mental State Examination score<24
		≥24	
	Depressive symptom	≥10	Geriatric depression scale score >=10
		<10	
	Pessimism about one's health	No;	Subjects were asked if they felt 'their health was worse than others'
		Yes	
Carey, 2008 ¹²⁹	Age	<75;	
Develop and validate a		75-79;	
prognostic index for mortality in		80-84;	
community-living, frail elderly		≥85	
people.	Female		
	Ethnicity	White;	
		Black;	
		Hispanic;	
		Asian;	
	E dura dia a	Other	
	Education	<12 years;	
	Marriad	212 years	
	Married Medical eligible		
	Received earogiving essistance	Formal	
	at home	informal	
	Activities of daily living (ADL)	Independent	ADL includes: bathing: toileting: transferring: eating: dressing:
	Activities of daily living (ADL)		walking across a room;
		Partially independent	Defined as independent if entire activity is performed without supervision all of the
		Fully dependent	Time; defined as partially independent if patients required assistance from another. Person only some of the time or with only part of the task; defined as fully dependent if they required assistance for the entire activity all of the time.
	Instrumental activity of daily living (IADL)	Independent;	IADL includes: meal preparation; shopping; housework; laundry; heavy chores; managing money; taking medications; using transportation.
		Dependent	Defined as dependence if requiring another person to complete

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
			every component of the task for the patient
	Impaired vision		
	Impaired hearing		
	Receptive or expressive		
	Communication impairment		
	Cognitive impairment	Moderate or severe	10-points short portable mental status questionnaire (SPMSQ) of 6
		Other	or more errors is defined as moderate or severe cognitive impairment
	Hospital admission		Admission defined as within 6 months before enrollment
	Comorbidity		Comorbidity includes: anemia; recurrent pneumonia; renal insufficiency or failure; pressure ulcer; malignant neoplasm; diabetes mellitus; dementia; depression; cerebrovascular disease; coronary artery disease; congestive heart failure; chronic obstructive pulmonary disease; bowel or bladder incontinence; defined as comorbidities if they were active medical problems; if they were problems that were currently controlled using diet or medications; if they affected the management of the participant's care
Pilotto 2008 ³⁶³	Functional status	0-2:3-1:5-6	Activities of daily living (ADL) index
1) to develop a	Tunctional status	0-3:4-5:6-8	Instrumental activities of daily living (IADL) scale
multidimensional prognostic	Cognitive status	0-3:4-7:8-10	Short Portable Mental Status Questionnaire (SPMSQ)
index (MPI); 2) to validate the MPI by	Comorbidity	0;1-2;>3	Comorbidity index (CIRS-CI) derived from Cumulative Illness Rating Scale (CIRS)
comparing derivation cohort	Nutritional status	<17:17-23.5:>=24	Mini Nutritional Assessment (MNA)
and validation cohort	Pressure development	5-9;10-15;16-20	5-item Exton Smith Scale (ESS)
	Medication use	0-3;4-6;>7	Number of medications
	Social aspects	Living with family	Social support network includes household composition, home services
		Institutionalized	
		Living alone	
Walter, 2001 ¹²⁵	Age	70-74;75-79;80-84; 85-89;>=90	5-year interval
1) for baseline descriptive	Sex	Women; Men	·
analysis of all the patients in	Race	White; Black	
both derivation and validation	Marital status	Married; not married	
cohorts;	Activities of daily living(ADL)	Independent in all ADLs;	
2) enter into bivariable model to	dependency	Dependent in 1-4 ADLs;	
study the association between	at discharge	Dependent in all ADLs	
each predictor and mortality in	Comorbid conditions	Absent;	Conditions include: history of myocardial infarction; congestive

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
the derivation cohort; 3) enter into multivariable		Present	heart failure; cerebrovascular disease; dementia; chronic obstructive pulmonary disease; diabetes mellitus
model if they are significant	Cancer	Absent	
(p<0.2) to		Solitary	
identify components of the		Metastatic solid	
prognostic index.	Length of hospital stay	1-7 days; >7days	
	Discharge destination	Other	
		Nursing home or skilled nursing	
		facility	
	Creatinine level on admission	<1.5;1.5-3;>3	
	Albumin level on admission	≥4; 3.5-3.9; 3-3.4;<3	
Lee, 2006 ⁷¹	Age	50-59;60-64; 65-69; 70-74; 75-79;	5-year interval
analysis of all the natients in	Sox	Woman: Man	
both development and	Comorbidition and behaviors	Absont: prosont	Includes: diabates mollitus: cancer: lung disease: heart failure:
validation cohorts:	Comorbidities and benaviors	Absent, present	coronary artery
2) enter into bivariable model to		Independent in all ADI s.	disease: dementia
study the association between	Body mass index	≥25: ≤24.9	
each predictor and mortality in	Tobacco	Never and former: other	
the derivation cohort;	Activities of daily living(ADL)-	No difficulty: difficulty or need help	
3) enter into multivariable	bathing		
model to identify components of the prognostic index.	Instrumental ADL	No difficulty	Instrumental ADL includes: preparing meals; using the telephone;
		Difficulty, con't, or don't	managing inances
	Other functional status	Difficulty, can t, or don t	Manauran indudes welking neveral blocks, pushing how webierter
		Difficulty con't or don't	_ limbing stairs
	Vigorous physical activity		
Sang 2004 ³⁶⁴	Dishotomized veriables	Abaanti propont	Include: Living alone: coughing: feeling fired: apporting: high blood
30119, 2004	Dichotomized variables	Absent, present	nciude. Living alone, cougning, reeling lifed, sneezing, nigh blood
between the variables and			disease eve trouble ear trouble dental chest stomach or kidney
mortality:			problems: bladder or bowel incontinence: diabetes mellitus:
2) to compare with a frailty	3-level variables	Low risk: intermediate risk: high risk	Includes: need for assistance with eating dressing grooming
index classification to evaluate		Low not, internetiate not, high not	walking, transferring, bathing/showering, toileting, telephoning,
the the predictive validity of the			getting to places out of walking distance, preparing meals, doing
artificial neural networks			housework, taking medicine, handling money, having troubles that
classification			prevent normal activities.
	5-level variables	Lowest risk; low risk; intermediate	Eyesight, hearing, self-rating of health
		risk; high risk; highest risk	
	age	Not reported	

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
	sex	Not reported	
Fried, 1998 ⁵⁴	Age	65-69; 70-74;75-79;80-84; ≥85	By year
1) for the descriptive analysis of	Sex	Female; Male	
the entire study population at	Education	<high school<="" td=""><td></td></high>	
Baseline;		High school or college; postgraduate	
determine the disease,			
functional and personal	Annual income	<50,000	By dollar
characteristics		≥50,000	
that jointly predict mortality in	Widowhood	No; yes	
women aged 65 years or older	Men weight	≤63.9	By kg (lb)
women aged 65 years of older.		>63.9-70.2	
		>70.2-77.4	
		>77.4-85.5	
		>85.5	
	Women weight	≤51.8;	By kg (lb)
		>51.8-59;	
		>59-65.2;	
		>65.2-75.6;	
		>75.6	
	Physical activity	<u>≤282;</u>	kJ(kcal)/wk in moderate or vigorous exercise
		>282-1789;	
		>1789-4100;	
		>4100-7908;	
		>7908	
	Pack-years smoking	Never; 1-25;26-50;>50	
	Alcohol assumption	None; <=1;>1-3;>3	Drinks/day
	Brachial systolic blood pressure	≤128; >128-140;>140-152	mm Hg; measured by Hawksley random-zero sphygmomanometer
		>152-168;>169	
	Posterior tibial artery blood	<u>≤127;>127-146;>146-158</u>	mm Hg; measured by Hawksley random-zero sphygmomanometer
	pressure Divertie vere	>158-168;>168	
	Diuretic use		
	Low density ipoprotein	<2.48(96);	mmol/L (mg/dL)
	Cholesteroi	>2.40-3.02(90-117)	
		>3.02-3.40(117-134)	
		>3.40-3.90(134-153)	
	Easting blood glupped	<pre>>3.90(103)</pre>	mmol/L (mg/DI)
	rasiiny noou yiucose	<u>>5.2 5 6 (04 100)</u> :	пппол (пg/о)
		>5.2-3.0(94-100),	
		~0.0 ⁻ 0(100 ⁻ 100),	

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
		>6-7.2(108-130);	
		>7.2(130)	
	Albumin	≤37;	g/L
		>37-39;	
		>39-40;	
		>40-42;	
		>42	
	Creatinine	≤80(0.9);	μmol/L(mg/dL)
		>80-97(0.9-1.1);	
		>97-106(1.1-1.2);	
		>106-133(1.2-1.5):	
		>133(1.5)	
	Fibrinogen	≤2.9;	a/L
	5	>2.9-3.1;	
		>3.1-3.5;	
		3.5-4;	
		>4	
	Congestive heart failure	No; yes	
	Coronary heart disease	No; yes	
	Forced vital capacity	<=2.06	mL (spirometry)
		>2.06-2.54;	
		>2.54-3;	
		>3-3.6;	
		>3.6	
	Ejection fraction abnormal	No; yes	echocardiogram
	Aortic stenosis	None; mild ; moderate; severe	echocardiogram
	Major ECG abnormality	No; yes	Ť.
	Maximum stenosis of the	0;1-24;25-49;50-74;75-99;100	%; by carotid ultrasound
	internal carotid artery		
	Difficulty with instrumental	≤1; 2;≥3	Number; by self-reported
	activities of daily living		
	Depressive symptoms	≤18; >18-26;>26-33;>33-40;>40	Digit symbol substitution subset of the Wechsler Adult Intelligence
			Scale-Revised
	Self-assessed health	Excellent ;very good; good; fair;	
		poor	
Inouye, 2003 ¹²²	Age ≥85	Present; absent	
1) identify key variables from	Male gender	Present; absent	
sociodemographic, diagnosis,	Nonwhite race	Present; absent	
Laboratory, and functional axes	Unmarried	Present; absent:	

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
that predict 1-year mortality in	Medicaid status	Present; absent	
an older hospitalized cohort;	Nursing home resident	Present; absent	
develop a predictive model	Emergent admission	Present; absent	
for mortality based on selected	High risk diagnosis group A;	Present; absent	Diagnoses include: lymphoma/leukemia(6 pts);acute renal failure
variables and to validate its	В		(5 pts);metastatic cancer (3 pts); localized cancer (3 pts); stroke (2
performance in an independent	С		pts); congestive heart failure(2 pts); chronic lung disease (2
sample;	D		pts);chronic renal failure (2 pts); diabetes with end-organ damage
3) evaluate the effects of			(1 pts); pneumonia(1 pts). A (score of 0);B(1-2); C(3-5); D(≥6)
adding data from different	Albumin<=3.5	Present; absent	mg/dL
sources of information on index	Creatinine>15l	Present; absent	mg/dL
penormance in the two conorts.	Hematocrit, 30	Present; absent:	ml/dL
	Dementia	Present; absent	Defined as any evidence from the medical record of dementia, Alzheimer disease, Organic brain syndrome or chronic cognitive impairment before admission.
	Walking impairment	Present; absent	Defined as chart documentation of needing help of another person for walking or Being unable to walk.
	Depression	Present; absent	Defined as any evidence of depression before admission, such as a diagnosis of depression, suicide attempts or antidepressant therapy.
	Urinary incontinence	Present; absent	Defined as any evidence that the patient was incontinent or used a urinary catheter before admission.
Melzer, 2003* ¹⁴	Age	70-74;75-79; 80-84; 85 and over	
To show the distribution of	Sex	Female; Male	
study sample by socio-	Site	East Boston; New Heaven	
demographic,	Cumulative death	1 year;2 year;3 year; 4year	
mortality and test measures.	Self-reported walking 1/2 mile	Able; unable	
	Gait speed	<0.57;0.57-0.71;0.72-0.81;	m/second
		0.82-0.94;>0.94;unable to do	
	Time to do five chair stands	<10.4;10.4-12;12.1-13.6;	
		13.7-16.3;>16.3; unable to do	second
	Peak flow	<3902;3902-5021;5022-6068;	ml/second
		6069-7472;>7472	
Ensrud, 2009 ⁵¹	Physical activity		Physical activity scale for the elderly
to identify components of the	Depressive symptoms		15-item Geriatric Depression Scale score 0-15
Study of Osteoporotic Fractures	Cognitive function		Teng Modified Mini-Mental State Examination score 0-26
index	Functional disability		Any of 5 instrumental activities of daily living
	Physical function	Grip strength	Handheld Jamar dynamometer
		Walking speed	Time in seconds to walk 6 m at usual pace
		One's ability to rise from a chair 5	

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
		Times without using her arms	
	Body Mass Index	<u> </u>	Weight and height
	Bone mineral density of the hip		By dual-energy x-ray absorptiometry
Albertsson, 2007 ³⁶⁵	Age	By year	(continuous risk factors)
1 to describe characteristics of	Weight		By kg
participants by risk factors	Height		cm
2 to identify components of	Dairy calcium intake		Mg/d
Fracture and Mortality	age	70-74; 75-79; 80-84; 85-89; 90-100	(predefined risk factors)
(FRAMO) index	Weight	<60kg; >=60kg	
	Fragility fracture after age 40	Yes; no	
	Fells during last 12 months	Yes; no	
	Uses arms when rising 5 times	Yes; no	
	from chair		
	Any type of fracture after age		(other possible risk factors)
	40		
	Use of cortisone medication for		
	>3 month		
	Has never given birth	(
	Lives in residential care	(vs. community)	
	Deiny coloium intoko (500 mg/d		
	Impoired vision colf reported	(va good vision)	
	History of maternal his fracture		
	Subjective bealth poor	(vs. excellent or fair)	
	Current smoking	(vs. excellent of fall)	
	Daily coffee intake >-2 cups	(vs. 0-1 cup)	
	Menopausal age <15 years	(v3. 0-1 cup)	
	No daily medication		
	Any parent of pon-Nordic origin	(vs. Nordic)	
Schonberg 2009 ³⁶⁶	Age	65-69.70-74. 75-79. 80-84. 85+	
1) to describe the demographic	Men		
and health status	Smoking status	Current: former: never (<100	
characteristics of the	erroring status	Cigarettes in lifetime)	
development cohort;	Body mass index >25 kg/(m*m)		
2) to identify components of the	Physical inactivity	Less than 10 minutes per week of	
index to predict 5-year mortality	. ,	activity that causes slight to	
Among community-dwelling		moderate increase in breathing or	
older adults		heart rate	
	Perceived health	Excellent/very good; good; fair/poor	

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
	Dependent in at least 1 activity of daily living (ADL)		ADL includes: bathing; dressing; eating; getting in or out of bed or chairs; using the toilet
	Dependent in at least 1 instrumental activity of daily living (IADL)		IADL includes: handling household chores; doing necessary business; shopping or Getting around for other purposes
	Reported functional difficulty		Difficulty includes: walking 1/4 mile or 3 blocks; walking up ten steps; standing or sitting for 2Hours; stooping; reaching above the head; grasping small objects; lifting/carrying 10 Pounds; pushing/pulling large objects; going out to do things like shopping
	Emotional health	So sad that nothing could cheer you up; nervous; restless; hopeless that everything was a worthless effort	Measured by "during the past 30 days, how much of the time did you feel about each question?"
	Comorbid conditions		Comorbid conditions include: hypertension; coronary heart disease; angina; heart attack; any other heart condition or heart disease; stroke; chronic obstructive pulmonary disease; asthma; stomach, duodenal or peptic ulcer; cancer (excluding nonmelanomatous skin cancer); diabetes (including borderline diabetes); weak or failing kidneys; liver condition; joint pain or stiffness in the past 30 days
	Overnight hospitalization in the past vear	None; one; two or more	
	Emergency room visits	None; one; two or more	
267	Clinic visits	0-1; 2-5; 6+	
Garcia-Gonzalez, 2009 ³⁰⁷ 1) to develop a frailty index to	Health problems before age 10	Yes; No	Health problems include: tuberculosis; rheumatic fever; poliomyelitis; typhoid fever;
predict the mortality risk in	Poor self-assessed health	Poor; fair; good; very good, excellent	
Mexican adults	Medically diagnosed conditions	Yes; No Legally blind; poor; fair; good; very good; excellent Legally deaf; poor; fair; good; very good; excellent	Conditions include: high blood pressure; diabetes mellitus; cancer; chronic obstructive pulmonary disease; heart attack; stroke; arthritis/rheumatism; falls in the past two years; fractures after age- 50; vision problems; hearing problems
	Medical symptoms during past 2 years	Yes; No Frequent and severe; frequent and moderate; frequent and mild; not frequent	Symptoms include: sever fatigue; panting, cough or phlegm; involuntary urine loss; leg pain on walking; stomach pain, indigestion or diarrhea; bodily pain;
		Yes; No	For depressive symptoms: indicate present if the participant felt depressed; unhappy; lonely; tire; sad; didn't enjoy life; had no energy; restless sleep or thought that everything did was an effort
	Difficulty with activities of daily living	Yes; No	Having difficulty with mobility includes having difficulty picking up a 1-peso coin from the table; dressing including putting on shoes

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
			and socks; walking several blocks; walking across a room
		Yes; No	Activities of daily living include: taking a shower; eating; getting in and out of bed; going to the toilet
	Difficulty with instrumental	Yes; No	Instrumental activities of daily living includes: meal preparation;
	activities of daily living		shopping; taking medication; handling own finances
Carey, 2004 ³⁶⁸	Age	<75;	By year
Develop and validate a		76-80;	
functional morbidity index to		81-85;	
predict mortality in community-		>85	
living elders	Gender	Female; male	
	Activities of daily living (ADL)	Independent; dependent	ADL includes: bathing; toileting; transferring; eating; dressing; walking;
	Instrumental activity of daily living (IADL)	Independent; dependent	IADL includes: preparing meals; shopping; using the telephone; managing medications; managing finances.
	Physical functioning measures	No difficulty; difficulty	Measures include: walking several blocks; climbing stairs;
			push/pull heavy object; lifting 10-lb object; picking up a dime
Levine, 2007 ³⁶⁹	Age	70-74;75-79;80-84; 85-89;≥90	5-year interval
	Sex	Women; Men	
	Discharge to nursing home or skilled nursing facility	Yes; No	
	Length of stay ≥5 days	Yes; no	
	Comorbid conditions	Absent; present	Conditions include: myocardial infarction; congestive heart failure; peripheral vascular - disease; cerebrovascular disease; dementia; chronic obstructive pulmonary disease; rheumatologic disease;
			peptic ulcer disease; diabetes; renal disease; liver disease;
			hematologic and solid malignancy; metastatic cancer; acquired
270			immune deficiency syndrome
Pijpers, 2009 ³⁷⁰	Age		By per 10 years
1) for baseline descriptive	Male sex	Male; female	
analysis of all the patients	Living alone	Yes; No	
2) enter into bivariable model to study the association between each predictor and mortality in the cohort	Medical conditions	Present; absent	Conditions include: cardiovascular disease; chronic obstructive pulmonary disease; Cancer; diabetes; autoimmune disease; renal function estimated by glomerular filtration rate; number of medications; Charlson score is also calculated; visual problems;
model if they are significant to	Dody maga index	-10 Et >10 E	nearing problems; incontinence
identify components of the	Body mass index	<18.5, 218.5	Kg/(m²m)
mortality risk index	VVeight IOSS	-24: >24	~//
		<34; <34	g/l Maaaanaal ku Eldarki Mahilitu Qaala (EMO)
	IVIODIIITY	<20; ≥20	ivieasured by Elderly Mobility Scale (EMS)

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
	Disability in activities of daily living (ADL)	Motor deficit in ADL; process deficit In ADL	Measured by the Assessment of Motor and Process Skills (AMPS)
	Cognitive function	<24; ≥24	Measured by the Mini Mental State Examination (MMSE)
Mazzaglia, 2007 ¹⁸⁷	Age	65-74; 75-84; ≥85	· · · · · · · · · · · · · · · · · · ·
1 for baseline descriptive	Female	Male; female	
analysis of all the patients	Living alone	Yes; No	
2 enter into multivariable model	Hospitalization in the previous 6	Yes; No	
if they are significant to identify	months		
components of the mortality risk	Taking ≥5 prescriptions	Yes; No	
index.	Positive responses to the screening instrument	0-1; 2-3; 4-6	Screening questions include: need help performing basic activities of daily living - eating; toileting; bathing; dressing; transferring and walking across the room); need help performing instrumental activities of daily living (grocery shopping; preparing meals; washing clothes; managing medications; showering); poor vision(Inability to read newspapers heading); poor hearing (inability to hold a conversation); absence of home care services (personal assistance; rehabilitation; nursing services); self-perceived inadequacy of income; weight loss of >3kg in previous year.
Jones, 2004 ¹⁴⁴	1) Impairment index includes		
1) simplify the clinical	cognition	No cognitive impairment;	
assessment of frailty while	5	Cognitive impairment, no dementia	
maintaining the precision of the		dementia	
original frailty index by	Emotion	<5; 5-10;>10	Measured by geriatric depression scale
constructing it from a	Communication	No deficits in speech, hearing, vision	
standardized comprehensive		1 deficit in either speech, hearing, or	
genatine assessment		Vision; ≥2 deficits in either speech,	
		Hearing or vision	
	Mobility	>19 or without help; 10-19 or with	Measured by Timed up and go
	<u> </u>	Help; <10 or unable	
	Balance	>33 or no falls;21-33 or less than	Measured by Functional Reach
		yearly falls ;<21 or more than yearly	
	Dladdar	Talls	
	Blaudel	Continent, bladder dencits,	
	Bowel	Continent: howel deficits: incontinent	
	Nutrition	Stable weight: 5% weight change	
	Humon	>5% weight change	
	Activities of daily living	Independent: complex or low level	
		Intermediate dependence; simple or	
		high level intermediate dependence	

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
	Social	Institutionalized; uses formal home Supports; living alone	
	2) Comorbidity index	0;1;2;3;4	Measured by the cumulative illness Rating scale (CIRS); 14 possible co-existing diseased body system were coded as 0,1, or 2 and the range of the index was standardized into 0-4
Fried, 2001 ⁵⁵ 1 develop and operationalize a phenotype of frailty in older	Weight loss	Yes; No	Frail for weight loss if answer is "yes" to "in the last year, have you lost more than 10 Pounds unintentionally (not due to dieting or exercise)?
adults And assess concurrent and predictive validity	Exhaustion	0;1;2;3	Measured by the Center for Epidemiologic Studies Depression Scale (CES-D), the two statements were read: a) I felt that everything I did was an effort; b) I could not get going. The question is asked "How often in the last week did you feel this way?" 0=rarely or none of the time (<1 day);1=some or a little of the time (1-2 days); 2=a moderate amount of the time (3-4days); or 3=most of the time. Subjects answering 2 or 3 are categorized as frail by exhaustion.
	Low physical activity	For men: <383 Kcal/week; ≥383Kcal/week For women: <270 Kcal/week; ≥270 Kcal/week	Based upon the short version of the Minnesota Leisure Time Activity questionnaire, asking about walking, chores (moderately strenuous), mowing the lawn, raking, gardening, hiking, biking, exercise cycling, dancing aerobics, bowling, golf, singles, tennis, doubles tennis, racquetball, calisthenics, swimming.
	Walk time(slowness)	For men: ≥7 seconds for height ≤173cm ≥6 seconds for height >173cm For women: ≥7 seconds for height ≤159cm ≥6 seconds for height >159cm	Time to walk 15 feet is stratified by gender and height; frail for the slowest 20%
	Grip strength (weakness)	For men: ≥29 kg for BMI ≤24; ≥30 kg for BMI 24.1-26 ≥30 kg for BMI 26.1-28 ≥32 kg for BMI >28; For women: ≥17 kg for BMI ≤23; ≥17.3 kg for BMI 23.1-26 ≥18 kg for BMI >29	Stratified by gender and body mass index (BMI); frail for the lowest 20%.
Markides, 2001 ³⁷¹	Age	65-74; 75+	By years
performance-based and self-	Performance Score	0 (unable);1;2;3;4	1) three separate tasks were assessed and a combined score was

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
reported lower body measures on 2-year mortality in Mexican American elderly persons.			 created to represent lower body function. The tasks include: a timed 8-ft walk; timed repeated chair stands and stand balancing. The two timed tasks were divided into quartiles each and scored 1 (slowest) to 4 (fasted). Subjects unable to complete each task were assigned a value of 0. The standing balance tests included tandem, semi-tandem and side-by- side stands. Subjects were scored 1 to 3, with 3 indicating the highest performance; those unable to perform any of the balance tests were assigned a value of 0. 2) the three scores were combined to form a total lower body function score ranging from 0 to 11. The scale was further categorized from 0 to 4 to approximate the timed walk categorization.
	Short walk	0 (unable);1;2;3;4	This task was divided into quartiles each and Scored 1 (slowest) to 4 (fasted). Subjects unable to complete each task were assigned a value of 0.
	Any activities of daily living	Yes; No	
	Medical conditions	Present; not present	Medical conditions include: hypertension; heart attack; cancer; diabetes; hip fracture; Stroke.
Gill, 2006 ³⁷² Determine the transition rates	Weight loss		Frail for weight loss if answer is "yes" to "in the past year, have you lost more than 10 pounds?
between frailty states and evaluate the effect of the preceding frailty state on subsequent frailty transitions.	Exhaustion		Measured by the Center for Epidemiologic Studies Depression Scale (CES-D), the two statements were read: a I felt that everything I did was an effort; b I could not get going. The question is asked "How often in the last week did you feel this way?" Subjects answering "much or most of the time" were defined as frail
	Low Physical activity	For men <64; ≥64	Measured by the Physical Activity Scale for the Elderly:
		For women <52; ≥52	
	Grip strength (muscle weakness)	· · · ·	When grip strength measured as the average of 3 readings by a handheld dynamometer;
	Slow walking speed	>10 seconds; ≤10 seconds	Defined as frail for walking speed if participant scored more than 10 seconds on the rapid gait test.
Graham, 2009 ²⁰² 1) examine the relationship between frailty and 10-year	Weight loss	0;1	Is evaluated as the change in body weight over the preceding year. Subjects with unintentional weight loss of 4.5 or more kilograms received a score of 1
mortality In older community- dwelling Mexican Americans.	Exhaustion	0;1	Measured by the Center for Epidemiologic Studies Depression Scale (CES-D), the two statements were read: a I felt that everything I did was an effort; b I could not get going. The question is asked "How often in the last week did you feel this way?"

(continued) Reference Predictor Name Index/ Measurement Used Category Purpose Participants reporting yes for a moderate amount or most of the time over the previous week on either question received a score of 1 Slowest 20% for men: ≤30 Based upon the Physical Activity Scale for the Elderly Low Physical activity Slowest 20% for women: ≤27.5 Slowest 20% for men: ≥11.2 Was recorded during a 4.9-meter timed walk test. Participants Walking speed were instructed to walk as fast as they felt safe. Those unable to seconds for height ≤168cm complete the walk or who scored the lowest quintile based on ≥9.7 seconds for height >168cm Slowest 20% for women: gender-and height specific thresholds received a Score of 1 ≥12 seconds for height<=154cm ≥11.2 seconds for height >154cm Grip strength (weakness) Weakest 20% for men: Was quantified with a hand dynamometer. Those unable to perform the test or those Scoring in the lowest quintile based on gender and BMI specific ≤21 kg for BMI ≤24.2; criteria received a Score of 1 ≤24.5 kg for BMI 24.3-26.8 ≤25.4 kg for BMI 26.9-29.5; ≤25.5 kg for BMI >29.5; Weakest 20% for women: ≤13.5 kg for BMI ≤24.7; ≤14.2 kg for BMI 24.8-28.3; ≤15 kg for BMI28.4.1-32.1; ≤15 kg for BMI >32.1 Purser 2006³⁷³ Measure set A

1 41001, 2000							
1 determine whether single- item performance measures are good indicators of	Weight loss	Yes; No	Frail for weight loss if answer is "yes" to "in the last year, have you lost more than 10 pounds unintentionally (not due to dieting or exercise)?				
multidimensional frailty and to estimate the association between frailty and 6-month mortality.	Exhaustion		Measured by the Center for Epidemiologic Studies Depression Scale (CES-D), the two statements were read: a I felt that everything I did was an effort; b I could not get going. The question is asked "How often in the last week did you feel this way?" 0=rarely or none of the time(<1 day);1=some or a little of the time (1-2days);2=a Moderate amount of the time (3-4days); or 3=most of the time. Subjects answering 2 or 3 are categorized as frail by the exhaustion;				
	Low Physical activity		Based on the 36-item Medical Outcomes Study Short Form survey physical function subscale scores in the lowest quartile for sex;				
	Mobility		Time to walk 15 feet is stratified by gender and height; frail for the slowest 20%				
	Grip strength		Stratified by gender; frail for the lowest 20%.				
	Measure set B	0;1;2;3	Rates the number of self-reported limitations in mobility, activities				

Reference Purpose	Predictor Name	Category	Index/ Measurement Used			
			of daily living, incontinence and cognitive impairment. Defined as frail if one receives a score -of 1 or more.			
	Single-item performance					
	Gait speed		By 15-foot walk time: usual assistance is allowed when walking			
	Grip strength		Stratified by gender: frail for the lowest 20%			
	Chair stands		30-seconds chair-stand test is used to determine the number of times a patient could rise from a chair without the use of arms in 30-second interval.			
Avila-Funes, 2009 ¹⁶⁹ To determine whether adding	Weight loss		Defined as self-report of recent and unintentional weight loss of 3 kg or more or a Body mass index lower than 21 kg/(m*m);			
cognitive impairment to frailty Improves its predictive validity for adverse health outcomes.	Exhaustion		Measured by the Center for Epidemiologic Studies Depression Scale (CES-D), the two statements were read: a I felt that everything I did was an effort; b I could not get going. The question is asked "How often in the last week did you feel this way?" 0=rarely or none of the time(<1 day);1=some or a little of the time (1-2days);2=a Moderate amount of the time (3-4days); or 3=most of the time. Subjects answering 2 Or 3 are categorized as frail by the exhaustion;			
	Low physical activity		Established in participants who denied doing daily leisure activities such as walking or gardening or participating in athletic activity at least once a week;			
	Slowness		Defined as the lowest quintile on a timed 6-m walking test, at usual pace, adjusted for sex and height;			
	Weakness		Participants answering "yes" to the question "do you have difficulty rising from a chair?" were categorized as frail for weakness.			
	Global cognitive performance		Measured by:1) Mini-Mental State Examination (scores ranging from 0 to 30, higher Indicates better cognitive status); 2) Issacs Set Test, which assess the verbal fluency abilities and speed of verbal production (subjects give a list of words belonging to a specific semantic category in 30 seconds; cities; fruits animals and colors were used; total number of items named is the score, the higher the better cognitive status. divided into 4 levels according to quartiles of score distribution; subjects in the lowest quartile in both tests were considered cognitively impaired.			
Mitnitski, 2002 ³⁷⁴	Vision loss	Present; not present				
1 estimate the frailty and fitness	Hearing loss	Present; not present				
based on the proportion of 20	Impaired mobility	Present; not present				
Deficits observed in a	Vascular problem	Present; not present				
structured clinical examination.	Gait abnormality	Present; not present				

Reference Purpose	Predictor Name	Category	Index/ Measurement Used
	Impaired vibration sense	Present; not present	
	Difficulty bathing	Present; not present	
	Difficulty going out	Present; not present	
	Difficulty cooking	Present; not present	
	Difficulty toileting	Present; not present	
	Difficulty grooming	Present; not present	
	Skin problem	Present; not present	
	Resting tremor	Present; not present	
	Changes in sleep	Present; not present	
	Difficulty dressing	Present; not present	
	Urinary complaints	Present; not present	
	Gastro-intestinal problem	Present; not present	
	Diabetes	Present; not present	
	Hypertension	Present; not present	
	Limb tone abnormality	Present; not present	
Kiely, 2009 ²⁶	 Fried frailty index 	 unintentional weight loss 	Defined using questions "in the last year, have you lost more than
 validate and compare CHS 	Cardiovascular Health Study		10 pounds unintentionally that is, not due to dieting or exercise?"
and SOF indexes using an independent diverse sample of men and women, in their ability to predict recurrent falls, overnight hospitalizations, emergency department visits, and instrumental activities of daily living disability.	(CHS)	2) weakness	Defined according to the sit-stand test time, which is part of the Short Physical Performance Battery (SPPB);Time required to perform five repetitions of sit to stand was measured and used as a proxy for leg strength. The cohort was stratified by gender and body mass index; The highest 20% of sit-to-stand times (including those unable to perform the task) was defined as frail for weakness
		3) low energy level (poor	Determined according to the Center for Epidemiologic Studies Depression Scale, Hopkins
		Endurance or exhaustion)	Version(CESD-R) question, "over the past week or so, did you feel like you could not get going?" Those reporting symptoms occurring on 3 days or more in the previous week were considered as demonstrating low energy level;
		4) slow gait	Defined from the timed 4-m walk. Two trials were performed and the fastest time was used. The time scores were stratified by sex and height. Those in the slowest quintile in each stratum were considered to have slow gait;
		5) low physical activity	Determined using the Physical Activity Scale for the Elderly (PASE). The PASE score was stratified according to sex and those scoring in the bottom quintile considered to exhibit low daily activity.
	 Ensrud frailty index Study of Osteoporotic Fracture 	1) unintentional weight loss	Defined using questions "in the last year, have you lost more than 10 pounds unintentionally that is, not due to dieting or exercise?"
	(SOF)	2) inability to rise from a chair five	

Reference Purpose	Predictor Name	Category	Index/ Measurement Used			
		times without the use of arms				
	3) low energy level		Determined according to the Center for Epidemiologic Studies Depression Scale, Hopkins Version (CESD-R) question, "over the past week or so, did you feel like you could not get Going?" Those reporting symptoms occurring on 3 days or more in the previous week were considered as demonstrating low energy level			

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	A	ccuracy/Validatio	on
Drame, 2008 ³⁷⁵ 2-year mortality	1 age: 85 years or older	1	Equals to hazard ratio in the multivariable model	Low-risk	<=2	No. of death/ No. and overall group	at risk, 95% CI for were calculated	[.] each risk group, and compared
	2 dependent for the ADL: yes	1	that rounded up to the nearest integer	Medium risk	3-5			
	3 delirium: yes	2		High-risk	>=6		Derivation Cohort	Validation Cohort
	4 malnutrition risk: yes	2	_			Receiver Operating	0.72 (0.68- 0.75)	0.71 (0.66- 0.76)
	5 comorbidity level: medium	2	_					
	6 comorbidity level: high	3	_					
Ensrud, 2008 ⁵⁰	1) weight loss of		Frailty is defined by the	robust	0	Number and percentage of participants with recu		
Disability;	5% or more		presence of 2 or more of	immediate	1	_ falls, disability, hip	p fracture and dea	th, 95% CI, odds
Hip fracture; death	and 4 th		the 3 components	frailty	>=2	Study of Osteopo	o for each risk grou protic Fractures and	ip according to d Cardiovascular
	examination					Health Study		
	2) SUDJECTS					Receiver	SOF	CHS
-	from a chair 5					Operating	001	
	times without					Characteristic		
	using her arms					curve area		
	 reduced energy level 					Recurrent falls	0.61 (0.59- 0.64)	0.61 (0.59- 0.63)
						Hip fracture	0.63 (0.60- 0.65)	0.63 (0.60- 0.65)
						Death	0.72 (0.71- 0.73)	0.72 (0.71- 0.74)
						Disability	0.64 (0.62- 0.65)	0.64 (0.63- 0.66)
Ravaglia, 2008 ³⁶²	1 age >=80	1	Each present predictor is	4-year	0-2	Not reported	· · · · · · · · · · · · · · · · · · ·	
4-year mortality;	2 male gender	1	_ assigned one point and	mortality	3	_		
fractures; hospital admission;	3 physical inactivity	1	all the points assigned to each participant is		4			
worsening disability: Incident	4 use of >=3	1	summed to be the total score		5	_		
disability	5 sensory	1			6	_		
	deficits		_					
	6 calf circumference	1			≥7			
	<31cm		_			_		
	7 instrumental	1		4-year risk				
	Living disability	1	_	or nacture	0-2	_		
	8 dait and	1	_	Hospital	3	_		
	- <u>J</u>	•			-			

Appendix E Table 48. Index Development of Models That Predict Mortality in Older Persons Based on Geriatric Syndromes or Nonsyndromic Conditions

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Ac	Accuracy/Validation		
	balance test <=24			admission					
	9 pessimism about one's	1	_	Worsening disability	>=4	_			
	health			Incident disability					
Carey, 2008 ¹²⁹	1) male	2	The coefficient for each	low	0-3	No. at risk, mortalit	y rate for each risl	k group was	
1-year mortality;	2) age		risk factor was divided by	medium	4-5	calculated and com	pared between de	evelopment and	
2-year mortality;	75-79	2	the lowest coefficient (for	high	>5	validation cohorts.			
3-year mortality;	80-84	2	the partial dependence in the ADL dressing) and				Derivation cohort	Validation cohort	
	>=85	3	rounded to the nearest integer; all the points for			Receiver operating	0.66	0.69	
	3) dependence in toileting	1	each present risk factor were summed up and						
	4) dressing		for each patient						
	Partially dependent	1							
	Fully dependent	3	_						
	5) malignant _neoplasm	2							
	6) congestive heart failure	3							
	7) chronic obstructive Pulmonary disease	1	_						
	8) renal failure or insufficiency	3	_						
Pilotto, 2008 ³⁶³ 1-year mortality	 Activities of daily living index 	0;0.5;1	Each domain can be assigned one of three	Low risk	≤0.33	Calibration of the m the predicted morta	nodel was assesse ality with the actua	ed by comparing I mortality in the	
	2) instrumental activities of daily living scale	0;0.5;1	weights (0;0.5;1) depending on the specific scores for each	Moderate risk	0.34- 0.66	development and validation cohorts; discrimination the model was assessed by calculating the receiver operating characteristic curves for the development and validation cohorts.			
	3) Short Portable Mental Status questionnaire	0;0.5;1	domain. The sum of the calculated scores from the eight domains was divided by	Severe risk	>0.66				
	4) comorbidity index	0;0.5;1	8 to obtain a final multidimensional index						
	5) Mini Nutritional Assessment	0;0.5;1	score from 0 to 1.				Development cohort	Validation cohort	
	6) Exton Smith Scale	0;0.5;1	_			Receiver operating	0.751 (0.71- 0.81)	Not reported	

Appendix E Table 48. Index Development of Models That Predict Mortality in Older Persons Based on Geriatric Syndromes or Nonsyndromic Conditions (continued)

Minnesota Evidence-based Practice Center

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Ac	curacy/Validatio	n
	7) number of medications	0;0.5;1						
	8) social support network	0;0.5;1	_					
Walter, 2001 ¹²⁵	1) male sex	1	A risk score was	Low-risk	0-1	No. of death/ No. a	t risk, 95% CI for	each risk group
1-year mortality	2) dependent in 1-4 ADLs	2	calculated for each patient by adding the	Medium risk	2-3	were calculated and compared betwee and validation cohorts.		een derivation
			points of each present risk factor	High-risk	4-6	Receiver Operating	Derivation Cohort	Validation Cohort
	 dependent in all ADLs 	5		Very high- risk	>6	Characteristic curve area		
	4) congestive heart failure	2	_			By risk group	0.75	0.79
	5) solitary cancer	3	_			By quartile of risk	0.75	0.8
	6) metastatic cancer	8	_					
	7) creatinine		_					
	level on	2						
	admission							
	>3mg/dl		_					
	8) albumin level on admission		_					
	3-3.4 g/dl	1	_					
	<3 g/dl	2						
Lee, 2006 ⁷¹ 4-year mortality	1) male sex	2	Points were assigned to each risk factor by	lowest	0-5	No. of death/ No. a calculated and com	t risk for each risk pared	group were
	2) age 60-64	1	dividing each beta	Medium	6-9	between developm	ent and validation	cohorts.
	3) age 65-69	2	coefficient by the lowest beta coefficient (ability to	High	10-13	Receiver Operating	Development Cohort	Validation Cohort
	4) age 70-74	3	push or pull heavy objects) and rounding to	Very high	>=14	Characteristic curve area		
	5) age 75-79	4	the nearest integer. A			By risk group	0.84	0.817
	6) age 80-84	5	risk score was assigned			By quartile of risk	0.842	0.819
	7) age >=85	7	to each participant by					
	diabetes	1	summing the points for					
	mellitus		each present risk factor.					
	9) cancer	2	_					
	10) lung cancer	2	_					
	11) heart failure	2	_					
	12) body mass index<25	1	_					
	13) current smoker	2						

Appendix E Table 48. Index Development of Models That Predict Mortality in Older Persons Based on Geriatric Syndromes or Nonsyndromic Conditions (continued)

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Aco	curacy/Validat	lion
	14) bathing	2						
	15) managing finances	2	-					
	16) walking several blocks	2	-					
	17) pushing/pulling heavy objects	1	-					
Song, 2004 ³⁶⁴ 6-year mortality	1 dichotomized variables		The artificial neural network (ANN) has 3	survivors	<0.5	The area under the curve was calculate	receiver opera d for the artific	ating characteristic cial neural network
	2 3-level variables		neurons); intermediate	died	>=0.5	and unweighted fra	lity index	
	3 5-level		hidden layer of 20				ANN	Frailty index
	variables		neurons; and the output neuron. All input neurons			Receiver Operating	0.86	0.62
			fed into each of the			Characteristic cur	ve area	
Fried 1998 ⁵⁴	Same as above	Not	hidden layer was connected to the single output neuron. The output of a neuron was determined by the summary input of all the neurons to it. The artificial neural network survival classification output is a function of the input variables, the network architecture and the weights.	1	Based	The 5-year overall r	nortality rate a	nd mortality per
5-year mortality	predictors	reported	computed by multiplying, for each individual, the regression coefficient from each variable in the	2 3 5	on quintile	person-year for eac compared between (CHS) original coho cohort.	h risk group w cardiovascula ort and the Afric	r health study can American
			Cox model by the value of the corresponding variable for the				Original cohort	African American cohort
			individual. These			Chi-square trend	659.73	55.97
			products were summed to give a prognosis score for each individual.			P-value	<.001	<.001
Inouye, 2003 ¹²² 1-year mortality	1) high risk diagnoses;	0-3	A burden of illness score was calculated for each	Ι	0-1	No. of death/ No. at each risk group we	risk, hazard ra re calculated a	atio, 95% CI for and compared

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Ac	curacy/Validatio	on
	2) albumin ≤3.5 mg/dL	0/1	person by summing all the points for each	II	2	between developm	ent and validatio	n cohort.
	3 Creatinine >15 mg/dL	0/1	present risk factor.		3		Derivation Cohort	Validation Cohort
	4) dementia;	0/1	_	IV	≥4	Receiver Operating	0.83 (0.78- 0.87)	0.77 (0.74- 0.8)
	5 walking	0/1	_			Characteristic cur	ve area	
	impairment					Chi-square trend	179.33	274.01
·				-1		P-value	0.001	0.001
Melzer, 2003* ¹⁴	1) gait speed	N/A	1) In the logistic	1 st quintile	<0.0416	Crude and age/sex	-adjusted death	rates, hazard ratio
4-year mortality	2) time to 5 chair stands		regression models all physiological measures	2 ^{na} quintile	0.0416- 0.0954	for self-reported an mile based on mob	d predicted inab ility-related limita	ility to walk 1/2 ation index scores
	3) peak expiratory flow		were coded into ranks or categories;	3 rd quintile	0.0955- 0.1984	(MOBLI).		
			the ß values for different coding of three	4 th quintile	0.1985- 0.4395	Unable to walk1/2 mile	MOBLI	Self-reported
			measures used in the	5 th quintile	>0.4395	By death rate	31.7	32.4
			difficulty and inability equations were			By hazard ratio	2.71(2.28- 3.21)	2.95(2.48-3.5)
Ensrud. 2009 ⁵¹	1) weight loss of		 3) difficulty and inability models for calculating MOBLI scores were developed; 4) coefficients (beta values) of different coding in three measures used in the logistic regression models and equations to produce the MOBLI score were calculated and entered Into difficulty and inability models; 5) MOBLI score represents the probability of risk to mobility limitations or impairments, the higher the score the higher the probability of mobility limitation and impairment. 	robust	0	Number and percer	ntage of participa	ants with recurrent

Appendix E Table 48. Index Development of Models That Predict Mortality	in Older Persons Based on Geriatric Syndromes or
Nonsyndromic Conditions (continued)	

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Ac	curacy/Validation	
Recurrent falls; disability; non- spine fracture; death	5% or more between the baseline and 2 nd examination 2) inability to rise		presence of 2 or more of the 3 components	immediate frailty	<u>1</u> ≥2	falls, disability, hip fracture and death, 95% CI, odds ratio, hazard ratio for each risk group according to Study of Osteoporotic Fractures and Cardiovascular Health Study index		
	from a chair 5					Receiver	SOF	CHS
	times without					Operating		
	using the arms					Characteristic		
	3) poor epergy					Recurrent falls	0.63 (0.6-0.66)	0.63 (0.6-
	3) poor energy						0.03 (0.0-0.00)	0.66)
						Non-spine fracture	0.63 (0.58-0.67)	0.63 (0.58- 0.67)
						Death	0.71 (0.67-0.75)	0.72 (0.69- 0.76)
						Disability	0.68 (0.65-0.71)	0.68 (0.65-0.71)
Albertsson, 2007 ³⁶⁵	1) age 80 years or older	unweighted		Low risk	0-1	Number and percentage of participants with hip fracture, fragility fracture and mortality, 95% CI, odc		
2-year Hip fractures:	2) weight less than 60 kg			High risk	2-4	ratio, for each risk	group	, ,
2-year Fragility fractures	3) previous fragility fracture							
2-year mortality	4) need to use							
	arms to rise from a chair 5					Receiver Operating	Development	cohort
	times without					Characteristic		
	using her arms					curve area		
						Hip fractures	0.72 (0.64-0.8	1)
						Fragility fractures		
						Mortality	0.75 (0.71-0.79	9)
Schonberg, 2009 ³⁶⁶	1) age		Derived from the beta coefficients of each		0-1	Number and perce according to quinti	entage of mortality,9 le of risk and	5% CI
5-year mortality	65-69	0	factor in the final model		2-3	Point score were c	alculated.	
	70-74	1			4-5			
	75-79	3			6-7			
	80-84	5			8-9			
	85+	7			10-11	Receiver Operating	0.75 (other info	isn't reported)
	2) Male sex	3			12-13	Characteristic curve area		
	3) Smoking status				14-15			
	never	0			16-17			

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Accuracy/Validation
	Former	1			18+	
	Current	3		Also by		
	body mass	2		quintile of		
	index<25			risk		
	kg/(m*m)		<u>-</u>			
	5) comorbid					
	conditions		_			
	COPD	2	_			
	Diabetes	2				
	mellitus		-			
	Cancer	2	-			
	6) overnight					
	hospitalization in		-			
	Past year		-			
	None	0	-			
		1	-			
	Two or more	3	-			
	7) perceived					
	Excollent/vonv	0	-			
	and	0				
	Good	1	-			
	Eair/good	2	-			
	8) functional	2	-			
	measures					
	Dependent in at	2	-			
	least 1 IADL	_				
	Difficulty walking	3	-			
	several blocks					
Garcia-Gonzalez,	Same as above		1) For variables with	Level 1	.0007	_ Number and hazard ratios of mortality, 95% Cl
2009 2-year mortality	predictors		yes/no categories, yes=1; No=0	Level 2	.0714	calculated. No ROC reported
			for poor self-assessed	Level 3	.1421	_
			health: poor=1; fair=0.75;	Level 4	.2135	_
			good=0.5; very	Level 5	.3565	
			good=0.25; excellent=0	-		
			3) For vision problems:			
			foir 0.6 good 0.4 yers			
			a_{0}			
			4) For bearing problems:	_		
			+ $ +$ $ +$ $ -$			
			fair=0.6: good=0.4: verv			
			good=0.2: excellent=0			
			5) for bodily pain:	_		

Appendix E Table 48. Index Development of Models That Predict Mortality in Older Persons Based on Geriatric Syndromes or Nonsyndromic Conditions (continued)

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Accuracy/Validation	
			frequent and severe=1; Frequent and moderate=2/3; frequent and Mild=1/3; not frequent=0 6) for depression symptoms: 1/9 for each positive answer if the participants felt: depressed; unhappy; lonely; tired; sad; did not enjoy life; had no energy; restless sleep or thought that everything was an effort; 0= none of the above Frailty index is defined as a proportion of the total number of deficits an individual has with respect to the 34 deficits included.	-			
Carey, 2004 ³⁶⁸	1) male gender	2	The beta-coefficient for	low	0-2	No. at risk, mortality rate for each risk gr	roup was
2-year mortality;	2) age	<u> </u>	each risk factor was	medium	3-6	calculated and compared between deve	elopment and
	76-80	1	divided by the lowest	high	7-10	validation conorts.	
	81-85;	2	beta-coefficient (for bathing) and rounded to			Derivation Va cohort co	hidation
	>85	2	the nearest integer; all the points for each			Receiver 0.76 0.7 operating	74
)3 dependence in bathing	1	present risk factor were summed up and is the				
	4) dependence in shopping	2	risk score for each patient.				
	5) difficulty walking several blocks	2	_				
	6) difficulty pulling/pushing heavy objects	1	-				
Levine, 2007 ³⁶⁹ 1-year mortality	1) age		The beta-coefficient for each risk factor was	Low-risk	0-1	No. of death/ No. at risk, 95% CI for eac were calculated and	ch risk group
, - ··· · ,	70-74	1	divided by the lowest	Medium risk	2	Compared between derivation and valid	lation cohorts.
	75-79	2	beta-coefficient (for congestive heart failure)	High-risk	3	ReceiverDerivationValOperatingCohortCo	lidation hort
	80-84	2	and rounded to the	Very high-	>=4	Characteristic	

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Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Ac	curacy/Validatio	n
			nearest integer; all the	risk		curve area		
	85-89	2	points for each present			By risk group	0.67	0.65
	≥90	2	_ risk factor were added up			By quartile of risk	0.70	0.68
	Discharge to		and is the risk score for					
	nursing home or skilled nursing	1	each patient.					
	facility		_					
	3) length of stay ≥5 days	1						
	4) congestive heart failure	1	_					
	5) peripheral vascular disease	1	_					
	6) dementia	1	_					
	7) renal disease	1	_					
	8) hematologic and solid Malignancy	1						
	9) metastatic	2	_					
	cancer	-						
Piipers, 2009 ³⁷⁰	1) age: per 10	4	1) Weight was obtained	Verv good	<45	ROC curves were	computed: mean	predicted 3-vear
3-year mortality	years		by multiplying the	- , 5	-	mortality risk and o	bserved 3-year m	ortality were
	2) male sex	10	Regression coefficient by 10 rounded up to the	Good	45-51	calculated and com score	npared in each qu	intile of risk
	3) living alone	5	nearest integer;	Moderate	52-57			
	4) cardiovascular disease	4	 the weight will be multiplied by the patient's characteristics value and 	Poor	58-63	Receiver Operating	0.78 (0.71-0.84 reported)c) (other info not
	5) diabetes	4	the sum of these values	Very poor	>63			
	6) medication number ≥2	5	 is the risk score for each patient. 					
	7) Body mass index<18.5	12	_					
	8) Elderly Mobility Scale score <20	5	_					
	9) motor deficit in ADL	4	_					
	10) process deficit in ADL	7	_					
Mazzaglia,	1) age:		Each risk factor was		0	Number of death/w	ho at risk, percen	tage, 95%Cl,
2007 ¹⁸⁷ 15-month	65-74	0	assigned a score based on the ratio between the		1	sensitivity and spec	cificity were calcu cohorts according	lated and to risk scores
mortality 15-month	75-84	1	regression b-coefficient for that variable and the		2	Receiver Operating	Development cohort	Validation cohort

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Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Ac	curacy/Validatior	1	
hospitalization	>=85	1	lowest significant b- coefficient in the		≥3	Characteristic curve area			
	2) sex		corresponding logistic regression (which is			Mortality	0.75 (0.72-0.78)	0.75(0.73- 0.78)	
	female	0	being 75-84 years old).			Hospitalization	0.68(0.66-0.71)	0.67(0.65-0.7)	
	Male	1	The ratio is rounded up						
	3) positive		to the nearest integer. A						
	responses to the		- was calculated for each						
	Screening test	0	- participant by adding the						
	0-1	0	points for each risk factor						
	2-3		 present 						
	4-0 (1) baying	1	_ ·						
	hospitalization in the previous 6	4							
	months	0	_						
144	5) naving 25 prescriptions	3							
Jones, 2004 ¹⁴⁴ 5-year mortality	1) impairment index		1) Each of 10 domains in impairment index was	Level 1	≤0.23	Mean and standard deviation for sociodemographic . clinical variables and uses of clinical services were			
5-year institutionalization	2) comorbidity index		scored such that 0=no problem; 0.5=minor	Level 2	0.24- 0.31	calculated and com	calculated and compared by index levels.		
			problem; 1=major problem	Level 3	0.32-0.4	Receiver Operating	FI-GCA	FI	
			2) the CIRS codes the severity of 14 co-existing	Level 4	0.41- 0.48	Characteristic curve area			
			diseased body system as	Level 5	0.49-0.6	Mortality	0.67	0.7	
			0,1, or 2 and standardized into a range	Level 6	0.61- 0.74	hospitalization	0.66	0.75	
			of 0-4 (CIRS/6) 3) the current index is calculated as # of total deficits/total # deficits (impairment Index + comorbidity index)/14	Level 7	≥0.75				
Fried, 2001 ⁵⁵ 3-year mortality	1) weight loss (shrinking);		Defined as frail if ≥3 components present;	Not frail		Cox proportional has assess the indeper	azards models we indent Predictive va	e used to lidity of frailty	
7-year mortality 3-year incident	2) grip strength (weakness)		Intermediate frail if 1 or 2 components present;	Intermediate frail		phenotype.			
falls 7-year incident	3) exhaustion (poor		Not frail if none present	Frail	-	3-year outcomes/HR(CI)	Intermediate	Ffrail	
falls	endurance)					Incident fall	1.16(1-1.34)	1.29(1-1.68)	
3-year disability 7-year disability	4) walking time (slowness)					Mobility	1.58(1.41- 1.76)*	1.5(1.23-1.82)	
3-year mobility	5) low physical					Disability	1.67(1.41-	1.98(1.54-	

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Ace	curacy/Validatio	n
7-year mobility	activity						1.99)	2.55)
						First	1.13(1.03-	1.29(1.09-
						hospitalization	1.25)	1.54)
						Mortality	1.49(1.11-	2.24(1.51-
						-	1.99)	3.33)
						7-year	Intermediate	Ffrail
						Juicomes/HR(CI)	1 12/1 1 26)	1 22/0 00
							1.12(1-1.20)	1.23(0.99-
						Mobility	1 41/1 29-	1.36(1.15-
						Woomty	1.54)	1.62)
						Disability	1.55(1.38-	1.79(1.47-
							1.75)	2.17)
						First	1.11(1.03-	1.27(1.11-
						hospitalization	1.19)	1.46)
						Mortality	1.32(1.13-	1.63(1.27-
							1.55)	2.08)
	•					* highlighted area in the second s	ndicated significa	nce ≤0.05
Markides, 2001 ³⁷¹	Set one includes:	N/A	N/A	N/A		Logistic regression predictivity of summ	models were use nary	d to assess the
2-year mortality	1) age (75+vs.65-74)					Performance score year mortality.	and short walk m	easures on 2-
	2) gender (male)					Outcome measures/OR(CI)	Set one	Set two
	3) summarv					Age (75 vs.65-74)	1.42(1.02-	1.46(1.06-
	performance					5-(,	1.97)	2.03)
	Score (vs. 9-11)					Gender (male)	1.84(1.34-	1.76(1.29-
	7-8					Summary)
	1-6					Score (vs. 9-11)		
	1-3					7-8	2 02(1 12-	
	10					10	3.64)*	
	0					4-6	3.25(1.86-	
	-						5.67)	
	4) lower body					1-3	2.87(1.37-	
	ADL disability						6.05)	
	5) chronic					0	7.39(3.86-	
	conditions						14.13)	
	Hypertension					Short walk (vs.4)		
	Heart disease					3		2.16(1.12- 4.14)
	Cancer					2		2.57(1.33-
	Diabetes					1		3.64(1.93-
	51050100					•		0.0 1(1.00

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Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Accuracy/Validation		
								6.85)
	Hip fracture					0		7.47(3.83- 14.55)
	stroke					Lower body ADL Disability	1.46(0.9-2.36)	148(0.93-
	Set two includes:					Chronic conditions		ł
	1) age (75+vs.65-74)					hypertension	1.09(0.79- 1.51)	1.11(0.8-1.53)
	2) gender (male)					Heart disease	1.59(1.07- 2.38)	1.6(1.08-2.39)
	3) summary performance					Cancer	3.18(2.02- 5.01)	3.37(2.14-5.3)
	Score (vs.4)					Diabetes	1.78(1.29- 2.51)	1.8(1.29-2.52)
	3					Hip fracture	1.35(0.73-2.5)	1.35(0.73- 2.48)
	2					Stroke	0.81(0.48- 1.36)	0.82(0.49- 1.38)
	2	-		—		* highlighted area i	ndicated significar	ice ≤0.05
	0							
	4) lower body ADL disability							
	5) chronic conditions							
	Hypertension							
	Heart disease							
	Cancer							
	Diabetes							
	Hip fracture							
	Stroke							
Gill, 2006 ³⁷² 6-year mortality	1) weight loss		Defined as frail if ≥3 components present;	Nonfrail	_	Cox proportional hazards models were us calculate the unadjusted hazard ratios an		re used to s and assess
	2) muscle weakness		Prefrail if 1 or 2 components present;	Prefrail		the predictive valid	ity for each compo	nent.
	3) exhaustion		Nonfrail if none present	Frail		Outcome measures/HR	6-year mortality	
	4) slow walking speed					Weight loss	2.03*	
	5) low physical					Exhaustion	1.97	
	activity					Low physical activity	2.83	
						Muscle weakness	1.93	
						Slow walking	2.28	

Appendix E Table 48. Index Development of Models That Predict Mortality in Older Persons Based on Geriatric Syndromes or Nonsyndromic Conditions (continued)

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	Accuracy/Validation		
						speed * highlighted area ir unadjusted HR	ndicated significa	nce <=0.05 and
Graham, 2009 ²⁰² 10-year mortality	1) weight loss	0 or 1	Each item was scored dichotomously (0 vs. 1);	Non-frail	0	Cox proportional ha	azards models we	ere used to
	2) grip strength	0 or 1	The total score was	Pre-frail	1-2	Predictive validity o	f frailty index	
	3) exhaustion	0 or 1	recorded as the sum of all five items (range: 0-5)	Frail	3-5	10-year outcomes/HR(CI)	Pre-frail	frail
	4) slow walking	0 or 1	_			Mortality	1.25(1.07-1.46) 1.81(1.41- 2.31)
	5) low physical activity	0 or 1	_			* highlighted area in	ndicated significa	nce ≤0.05
Purser, 2006 ³⁷³ 6-	Set A		Defined as frail if ≥3			Logistic regression:	s were used to as	ssess the
month mortality			components present			independent predic	tive validity for	
	1) mobility					Each composite or	single item meas	sures.
	2) grip strength					Frailty	6-month	
						index/OR(CI)	mortality	
	3) low					Measure set A	1.9(0.6-6)	
	endurance							
	4) physical					Measure set B	1.4(0.3-5.6)	
	activity limitation							
	5) nutrition					Gait speed	4(1.1-13.8)	
	Set B		People with impairments	_		Grip strength	2.7(0.7-10)	
	1) mobility		in any domain, Resulting			Chair-stand	1.5(0.4-5)	
	2) activities of		in scores of 1 or more,				, <i>i</i>	
	daily living		were considered frail					
	3) incontinence							
	4) cognitive							
	impairment							
	Gait speed		Walked <0.65m/s is considered frail	-				
	Grip strength		<25 kg is considered as	-				
	Chair stands		<7/ 30 seconds is considered frail	-				
Avila-Funes, 2009 ¹⁶⁹	1) weight loss					Logistic regressions regressions were u	s and multivariat sed to assess	e logistic
4-year mobility disability	2) exhaustion					the unadjusted and independent effect of frailty on each outcome		
4-year IADL disability	3) low physical activity					Outcome measures/OR(CI)	Pre-frail	frail with cognitive
4-year ADL	4) slowness							impairment
disability	5) weakness					Mortality	1 96(1 38-	3 46(2 05-
4-year						monunty	2.8)*	5.84)

Appendix E Table 48. Index Development of Models That Predict Mortality in Older Persons Based on Geriatric Syndromes or Nonsyndromic Conditions (continued)

Common Syndromes in Older Adults

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score	P	ccuracy/Validati	ion
hospitalization 4-year mortality	6) with or without cognitive					hospitalization	1.19(1.03- 1.39)**	1.9(1.09-3.31)
4-year dementia	impairment					Dementia	5.21(2.95- 9.23)##	4.98(2.17- 11.41)
						Mobility disability	1.34(1.13- 1.59)**	3.88(0.78- 19.41)
						IADL disability	2.83(1.91- 4.19)##	3.17(1.47- 6.83)
						ADL disability	3.28(1.61- 6.67)*	5.6(2.13-14.7)
						*crude OR;#frail;	**pre-frail without	cognitive
						Impairment; ##pr	e-frail with cogniti	ve impairment;
Mitnitski, 2002 ³⁷⁴	Same as		1) The proportion of the			Construct validity	was examined th	rough its
mortality	aforementioned		deficits in the individual			relationship to ch	ronological age; c	riterion validity
	predictors		as a state variable,			was examined in	its ability to predic	ct mortality.
			measuring an individual				Biological	Chronological
			degree of impairment				age	age
			and fraility; then analyzed			Beta coefficient	0.0081	0.0081
			averaged across all			deviation	0.0014	0.0038
			subjects at age t, for			t-value	5.7	2.15
			those with no cognitive			p-value	<0.00001	0.0313
Kiely 2000 ²⁶	1) For Fried's		 an individual's health an individual's health status f(i) may be defined as a ratio of that person's impairment Index to the mean index value, averaged across individuals without cognitive impairment, but of the same age f(i)=qi/m; f>1 if the individual Is frail; and the individual is fit if f<1. 	Pobuot	0	The mean value	for colocied verial	
Aleiy, 2009	1) FOR FRIed S			Robust Drofroil	1.2	_ The mean value	for selected varial	pies, including
2) overnight	index frailty			Freil	3-5	_ compared Odds	ratio hazard ratio	for each risk
hospitalization	status is defined			Pobuet	0	group were calculated for both CHS and SOF frailty		
3) emergency	as robust if 0			Robust	0	indexes.		
department visit	component;			Prefrail	1	HR/OR*	SOF	CHS
 instrumental activities of Daily 	prefrail if 1-2 components;			Frail	≥2	Recurrent falls		
living disability	and frail if 3-5					prefrail	1.62(1.14-2.32)	1.1(0.8-1.5)
(IADL)	components					frail	2.19(1.19-4.03)	1.9(1.17-3.1)

Appendix E Table 48. Index Development of Models That Predict Mortality in Older Persons Based on Geriatric Syndromes or Nonsyndromic Conditions (continued)

Common Syndromes in Older Adults

Prediction Outcome	Index Component	Weight	Weight Method	Risk Group	Score		Accuracy/Validat	ion
	for Ensrud's					Overnight		
	SOF frailty					hospitalization		
	index, frailty					Prefrail	2.64(1.74-4.01)	1.97(1.37-2.84)
	status was					Frail	.49(1.53-7.98)	4.45(2.42-8.18)
	defined as					Emergency		
	robust if 0					department		
	component;					visit		
	prefrail if 1					Prefrail	2.19(1.43-3.33)	1.34(0.95-1.89)
	component; frail					Frail	3.54(1.43-8.79)	3.1(1.64-5.86)
	if ≥2 components					IADL disability		
						Prefrail	2.88(1.81-4.58)	2.73(1.69-4.4)
						Frail	5.38(2.34-	7.68(4.01-14.74)
							12.35)	· · ·
						*adjusted; highlighted area indicating significance		
						≤0.05		

Appendix E Table 48. Index Development of Models That Predict Mortality in Older Persons Based on Geriatric Syndromes or Nonsyndromic Conditions (continued)

Appendix E Table 49. Simple Models That Predict Mortality in Older Persons

Reference, Outcome	Independent Variables	Results
Albertsson, 2007 ³⁶⁵ 2-year mortality	1 age 80 years or older; 2 weight less than 60 kg; 3 previous fragility fracture; 4 need to use arms to rise from a chair 5 times without using her arms;	1 63% of women with 0 to 1 risk factor had a 2-year mortality risk of 3.2%; 2 women with 2 to 4 risk factors had a 2-year mortality risk of 23.7% (odds ratio = 9.5; 95% CI 6.0-14.9)
Carey, 2004 ³⁶⁸ 2-year mortality	1 male gender; 2 age; 76-80 81-85; >85 3 dependence in bathing 4 dependence in shopping 5 difficulty walking several blocks 6 difficulty pulling/pushing heavy objects	 1 in the development cohort, 2-year mortality was 3% in the lowest risk group (0 to 2 points), 11% in the middle risk group (3 to 6 points), and 34% in the highest risk group (>7 points). 2 in the validation cohort, 2-year mortality was 5% in the lowest risk group, 12% in the middle risk group, and 36% in the highest risk group.
Levine, 2007 ³⁶⁹ 1-year mortality	1 age 70-74 75-79 80-84 85-89 >=90 2 Discharge to nursing home or skilled nursing facility 3 length of stay >=5 days 4 congestive heart failure 5 peripheral vascular disease 6 dementia 7 renal disease 8 hematologic and solid malignancy 9 metastatic cancer	 1 In the derivation cohort, 1-year mortality was 11% in the lowest-risk group (0 or 1 point) and 48% in the highest-risk group (4 or greater points). 2 in the validation cohort, 1-year mortality was 11% in the lowest risk group and 45% in the highest-risk group.
Walter, 2001 ¹²⁵ 1-year mortality	1 male sex 2 dependent in 1-4 ADLs 3 dependent in all ADLs 4 congestive heart failure 5 solitary cancer 6 metastatic cancer 7 creatinine level on admission > 3mg/dl 8 albumin level on admission is 3-3.4 g/dL albumin level on admission is <3 g/dL	 In the derivation cohort, 1-year mortality was 13% in the lowest-risk group (0-1 point), 20% in the group with 2 or 3 points, 37% in the group with 4 to 6 points, and 68% in the highest-risk group (>6 points). In the validation cohort, 1-year mortality was 4% in the lowest-risk group, 19% in the group with 2 or 3 points, 34% in the group with 4 to 6 points, and 64% in the highest-risk group.
Lee, 2006 ⁷¹ 4-year mortality	1 male sex 2 age 60-64 3 age 65-69 4 age 70-74	In validation cohort, 0 to 5 points predicting a less than 4% mortality risk, 6 to 9 points predicting a 15% risk, 10 to 13 points predicting a 42% risk, and 14 or more points predicting a 64% risk.

Reference, Outcome	Independent Variables	Results
Inouye, 2003 ¹²² 1-year mortality Schonberg, 2009 ³⁶⁶ 5-year mortality	Independent Variables 5 age 75-79 6 age 80-84 7 age >=85 8 diabetes mellitus 9 cancer 10 lung cancer 11 heart failure 12 body mass index<25	1 In the development cohort, where overall mortality was 154/525 (29%), 1-year mortality rates increased significantly across each risk group, from 8% to 24%, 51%, and 74%, in groups I to IV respectively 2 Corresponding rates in the validation cohort, where overall mortality was 488/1246 (39%), were 5%, 17%, 33%, and 61% in groups I to IV, respectively 1 In the development cohort,5-year mortality rates increased significantly across each quintile of risk from 6% to 10%, 14%, 27%, and 52% in quintile 1 through 5 2 In the validation cohort,5-year mortality rates increased significantly across each quintile of risk from 5% to 10%, 17%, 31%, and 50% in quintile 1 through 5
	Difficulty walking several blocks	

Appendix E Table 49. Simple Models That Predict Mortality in Older Persons (continued)

Appendix E Table 50. Complex Models That Predict Mortality in Older Persons

Reference, Outcome	Independent Variables	Model Description
Drame, 2008 ³⁷⁵ 2-year mortality	1 age: 85 years or older 2 dependent for the ADL: yes 3 delirium: yes 4 malnutrition risk: yes 5 comorbidity level: medium	A point value was assigned to each characteristic according to the hazard ratio in the final model. Point values for all mortality-related characteristics present for each patient were rounded to the nearest integer and summed. Three groups were determined: low-risk (<=2), medium risk (3-5) and high-risk (>=6)
Ravaglia, 2008 ³⁶² 4-year mortality; 4-year Fractures; 4-year Hospital admission; 4-year Worsening disability; 4-year Incident disability	1 age >=80; 2 male gender; 3 physical inactivity 4 use of >=3 drugs; 5 sensory deficits; 6 calf circumference <31cm; 7 instrumental activity of daily Living disability; 8 gait and balance test <=24; 9 pessimism about one's health	A risk scoring system was developed, assigning one point to each present predictor and summing the points assigned to each participant. Cox regression was used to assess the association between the score and mortality
Carey, 2008 ¹²⁹ 1-year mortality; 2-year mortality; 3-year mortality;	1 male; 2 age; 75-79 80-84; >=85 3 dependence in toileting 4 dressing Partially dependent; Fully dependent 5 malignant neoplasm 6 congestive heart failure 7 chronic obstructive Pulmonary disease 8 renal failure or insufficiency	A point scoring system was constructed in which points were assigned to each risk factor using the coefficients (parameter estimates) from the final Cox regression model The coefficient for each risk factor was divided by the lowest coefficient (partial dependence in the ADL dressing) and rounded to the nearest integer. A risk score was then calculated for each patient by adding the points for each risk factor present.
Pilotto, 2008 ³⁶³ 1-year mortality	1 Activities of daily living index 2 instrumental activities of daily living scale 3 Short Portable Mental Status questionnaire 4 comorbidity index 5 Mini Nutritional Assessment 6 Exton Smith Scale 7 number of medications 8 social support network	Each domain can be assigned one of three weights (0;0.5;1) depending on the specific scores for each domain. The sum of the calculated scores from the eight domains was divided by 8 to obtain a final multidimensional index score from 0 to 1.
Fried, 1998 ⁵⁴ 5-year mortality	1 age 2 male sex 3 income less than \$50 000 per year, 4 low weight 5 lack of moderate or vigorous exercise 6 smoke > 50 pack-years	A risk score was computed by multiplying, for each individual, the regression coefficient from each variable in the Cox model by the value of the corresponding variable for the individual. These products were summed to give a prognosis score for each individual. The risk group is based on quintile of risk

Reference, Outcome	Independent Variables	Model Description
	 7 high brachial systolic blood pressure (> 169mm Hg) 8 low tibial systolic blood pressure (<127mm Hg) 9 diuretic use by those without hypertension or congestive heart failure 10 elevated fasting glucose level >7.2 mmol/L [130 mg/dL] 11 low albumin level (<=37 g/L) 12 elevated creatinine level (>=106 µmol/L [1.2 mg/dL]) 13 low forced vital capacity (<=2.06 mL) 14 aortic stenosis (moderate or severe) and abnormal left ventricular ejection fraction (by echocardiography) 15 major electrocardiographic abnormality 16 stenosis of internal carotid artery (by ultrasound), 17 congestive heart failure 18 difficulty in any instrumental activity of daily living, 19 low cognitive function by Digit Symbol Substitution test score. 	
Melzer, 2003 ¹⁴ 4-year mortality	1 gait speed; 2 time to 5 chair stands; 3 peak expiratory flow	 In the logistic regression models all physiological measures were coded into ranks or categories The ß values for different coding of three measures used in the difficulty and inability equations were calculated Difficulty and inability models for calculating MOBLI scores were developed Coefficients (beta values) of different coding in three measures used in the logistic regression models and equations to produce the MOBLI score were calculated and entered into difficulty and inability models; MOBLI score represents the probability of risk to mobility limitations or impairments, the higher the score the higher the probability of mobility limitation and impairment.
Garcia-Gonzalez, 2009 ³⁶⁷ 2-year mortality	Health problems before age 10 Poor self-assessed health Medically diagnosed conditions Medical symptoms during past 2 years Difficulty with activities of daily living Difficulty with instrumental activities of Daily living	Frailty index was defined as a proportion of the total number of deficits an individual has with respect to the 34 deficits included.

Appendix E Table 50. Complex Models That Predict Mortality in Older Persons (continued)

Reference, Outcome	Independent Variables	Model Description
Pijpers, 2009 ³⁷⁰ 3-year mortality	1 age: per 10 years 2 male sex 3 living alone 4 cardiovascular disease 5 diabetes 6 medication number>=2 7 Body mass index<18.5 8 Elderly Mobility Scale score <20 9 motor deficit in ADL 10 process deficit in ADL	 Weight was obtained by multiplying the regression coefficient by 10 rounded up to the nearest integer; The weight will be multiplied by the patient's characteristics value and the assume of these values is the risk score for each patient
Mazzaglia, 2007 ¹⁸⁷ 15-month mortality 15-month hospitalization	1 age: 65-74 75-84 >=85 2 sex female Male 3 positive responses to the Screening test 0-1 2-3 4-6 4 having hospitalization in the Previous 6 months 5 having >=5 prescriptions	Each risk factor was assigned a score based on the ratio between the regression b-coefficient for that variable and the lowest significant b-coefficient in the corresponding logistic regression (which is being 750=-84 years old); The ratio is rounded up to the nearest integer; a summary point score was calculated for each participant by adding the points for each risk factor present.
Jones, 2005 ¹⁴⁴ 5-year mortality 5-year institutionalization	1 Impairment index includes cognition Emotion Communication mobility Balance Bladder Bowel Nutrition Activities of daily living Social 2 Comorbidity index	1 Each of 10 domains in impairment index was scored such that 0=no problem; 0.5=minor problem; 1=major problem; 2 The CIRS codes the severity of 14 co-existing diseased body system as 0,1, or 2 and standardized into a range of 0-4 (CIRS/6); 3 The current index is calculated as # of total deficits/total # deficits (impairment index + comorbidity index)/14
Mitnitski, 2002 ³⁷⁴ mortality	Vision loss; Hearing loss; Impaired mobility; Vascular problem;	1 The proportion of the deficits in the i-th Individual as a state variable, measuring an individual degree of impairment and frailty; then analyzed the proportion q(t) averaged across all subjects at age t, for those with no cognitive impairment;

Appendix E Table 50. Complex Models That Predict Mortality in Older Persons (continued)

Appendix E Table 50. Complex Models That Predict Mortality in Older Persons (continued)

Reference, Outcome	Independent Variables	Model Description
	Gait abnormality; Impaired vibration sense; Difficulty bathing; Difficulty going out; Difficulty cooking; Difficulty toileting; Difficulty grooming Skin problem; Resting tremor; Changes in sleep; Difficulty dressing; Urinary complaints; Gastro-intestinal problem; Diabetes; Hypertension; Limb tone abnormality	2 An individual's health status f(i) may be defined as a ratio of that person's impairment Index to the mean index value, averaged across Individuals without cognitive impairment, but of the same age f(i)=qi/m; f>1 if the individual Is frail; and the individual is fit if f<1.

Reference	Outcome	Independent Variables	Accuracy and Validation			
Ensrud, 2008 ⁵⁰	mortality	1 weight loss of 5% or more between the 3rd and 4th Examination;	mortality rate, 95% CI, odds ratio, hazard ratio for ea curve were calculated and compared between cohort (SOF) and cardiovascular health study (CHS)	ch risk group and a s from study of oste	rea under the oporotic fractures	
		2 subject's inability to rise from a chair 5 times without using ber arms:		SOF	CHS	
		3 reduced energy level	Receiver Operating Characteristic curve area (ROC)	0.72 (0.71-0.73)	0.72 (0.71-0.74)	
Ensrud, 2009 ⁵¹	mortality	1 weight loss of 5% or more between baseline and 2nd examination;	mortality rate, 95% CI, odds ratio, hazard ratio for ea curve were calculated and compared between cohort (SOF) and cardiovascular health study (CHS)	ch risk group and a s from study of oste	rea under the oporotic fractures	
		2 subject's inability to rise from a chair 5 times without using her arms;		SOF	CHS	
		3 poor energy	Receiver Operating Characteristic curve area (ROC)	0.71 (0.67-0.75)	0.72 (0.69-0.76)	
Fried, 2001 ⁵⁵	3-year mortality	1 weight loss (shrinking);	Cox proportional hazards models were used to asses	s the independent p	predictive validity	
	7 year montainty	3 exhaustion (poor	3-vear outcomes/HR(CI)	Intermediate	frail	
		endurance);	Mortality	1.49(1.11-1.99)	2.24(1.51-3.33)	
		4 walking time (slowness);	7-year outcomes/HR(CI)	Intermediate	frail	
		5 low physical activity	Mortality	1.32(1.13-1.55)	1.63(1.27-2.08)	
Gill, 2006 ³⁷²	6-year mortality	1 weight loss	Cox proportional hazards models were used to calcu	late the unadjusted l	hazard ratios and	
		2 muscle weakness	assess the predictive validity for each component	-		
		3 exhaustion	Outcome measures/HR	6-year		
				mortality		
		4 slow walking speed;	Weight loss	2.03		
		5 low physical activity	Exhaustion	1.97		
			Low physical activity	2.83		
			Muscle weakness	1.93		
			Slow walking speed	2.28		
Graham, 2009 ²⁰²	10-year mortality	1 weight loss 2 arip strenath	Cox proportional hazards models were used to asses of frailty index	s the independent p	predictive validity	
		3 exhaustion	10-year outcomes/HR(CI)	Pre-frail	frail	
		4 slow walking	Mortality	1.25(1.07-1.46)	1.81(1.41-2.31)	
		5 low physical activity			- (-)	
Purser, 2006 ³⁷³	6-month mortality	measure set A	Logistic regressions were used to assess the indepen	ndent predictive vali	dity for each	
		1 mobility	composite or single item measures.			
		2 grip strength	Frailty index/OR(CI)	6-month		
				mortality		
		3 low endurance	Measure set A	1.9(0.6-6)		
		4 physical activity limitation	Measure set B	1.4(0.3-5.6)		
		5 nutrition	Gait speed	4(1.1-13.8)		

Appendix E Table 51. Predictive Models Based on Frailty Phenotype Definition

Reference	Outcome	Independent Variables	Accuracy and Va	lidation	
	-	measure set B	Grip strength	2.7(0.7-10)	
		1 mobility	Chair-stand	1.5(0.4-5)	
		2 activities of daily living			
		3 incontinence			
		4 cognitive impairment			
		single item performance measure			
		1 Gait speed			
		2 Grip strength			
		3 Chair stands			
Avila-Funes,	4-year mortality	1 weight loss	Logistic regressions and multivariate logistic regre	ssions were used to a	ssess the
2009 ¹⁶⁹		2 exhaustion	unadjusted and independent effect of frailty on eac	h outcome	
		3 low physical activity	Outcome measures/OR(CI)	Pre-frail	frail with cognitive impairment
		4 slowness	Mortality	1.96(1.38-2.8)	3.46(2.05-5.84)
		5 weakness			. ,
		6 with or without cognitive impairment			

Appendix E Table 51. Predictive Models Based on Frailty Phenotype Definition (continued)

Reference	Outcome	Independent Variables	Accuracy/Valida	tion	
Drame, 2008 ³⁷⁵	2-year mortality	1 age: 85 years or older 2 dependent for the ADL ves	death rate, 95% CI for each risk group and area und compared	er the curve were ca	Iculated and
		3 delirium: yes	oomparou	Derivation Cohort	Validation Cohort
		4 malnutrition risk: yes 5 comorbidity level: medium	Receiver operating characteristics curve area	0.72 (0.68-0.75)	0.71 (0.66-0.76)
Ravaglia, 2008 ³⁶²	4-year mortality;	1 age >=80; 2 male gender;	not reported		
	4-year Hospital admission;	3 physical inactivity			
	4-year Worsening disability;	4 use of >=3 drugs;			
	4-year Incident disability	5 sensory deficits; 6 calf circumference <31cm; 7 instrumental activity of daily			
		8 gait and balance test <=24; 9 pessimism about one's health			
Carey, 2008 ¹²⁹	1-year mortality;	1 male;	No. at risk, mortality rate for each risk group and are compared between development and validation coho	a under the curve we orts.	ere calculated
	2-year mortality;	2 age;		Derivation cohort	Validation cohort
	3-year mortality	75-79 80-84; >=85 3 dependence in toileting 4 dressing Partially dependent; Fully dependent 5 malignant neoplasm 6 congestive heart failure 7 chronic obstructive Pulmonary disease 8 renal failure or insufficiency	Receiver operating characteristic curve (ROC)	0.66	0.69
Pilotto, 2008 ³⁶³	1-year mortality	 Activities of daily living index instrumental activities of daily living scale Short Portable Mental Status questionnaire comorbidity index Mini Nutritional Assessment 	Calibration of the model was assessed by comparing mortality in the development and validation cohorts; assessed by calculating the receiver operating chara and validation cohorts.	g the predicted morta discrimination of the acteristic curves for th Development	lity with the actual model was ne development Validation

Reference	Outcome	Independent Variables	s Accuracy/Validation				
		6 Exton Smith Scale	Receiver operating characteristic curve area	cohort 0.751 (0.71-0.81)	cohort Not reported		
		7 number of medications 8 social support network					
Fried, 1998 ⁵⁴	5-year mortality	1 age 2 male sex 3 income less than \$50 000 per year,	The 5-year overall mortality rate and mortality per per calculated and compared between cardiovascular he the African American cohort.	erson-year for each ris ealth study (CHS) orig	k group were inal cohort and		
		4 low weight		Original cohort	African American cohort		
		 5 lack of moderate or vigorous exercise 6 smoke > 50 pack-years 7 high brachial systolic blood pressure (> 169mm Hg) 8 low tibial systolic blood pressure (<127mm Hg) 9 diuretic use by those without hypertension or congestive heart failure 10 elevated fasting glucose level >7.2 mmol/L [130 mg/dL] 11 low albumin level (<=37 g/L) 12 elevated creatinine level (>=106 µmol/L [1.2 mg/dL]) 13 low forced vital capacity (<=2.06 mL) 14 aortic stenosis (moderate or severe) and abnormal left ventricular ejection fraction (by echocardiography) 15 major electrocardiographic abnormality 16 stenosis of internal carotid artery (by ultrasound), 17 congestive heart failure 18 difficulty in any instrumental activity of daily living, 19 low cognitive function by Digit 	Chi-square trend P-value	659.73 <.001	55.97 <.001		
Melzer 2003 ¹⁴	4-vear mortality	1 gait speed:	Crude and ace/sex-adjusted death rates bazard rati	n for self-reported and	d predicted		
MG12G1, 2003	-year monality	r yan speed,	inability to walk 1/2 mile based on mobility-related lin	nitation index scores	MOBLI).		
		2 time to 5 chair stands;	Unable to walk1/2 mile	MOBLI	Self-reported		
		3 peak expiratory flow	By death rate	31.7	32.4		
			By hazard ratio	2.71(2.28-3.21)	2.95(2.48-3.5)		

Reference	Outcome	Independent Variables	Accuracy/Validation				
Garcia-Gonzalez, 2009 ³⁶⁷	2-year mortality	Health problems before age 10 Poor self-assessed health Medically diagnosed conditions Medical symptoms during past 2 years Difficulty with activities of daily living Difficulty with instrumental activities of Daily living	Number and hazard ratios of mortality,95% CI according to different levels of the f index were calculated. No ROC reported				
Pijpers, 2009 ³⁷⁰	3-year mortality	1 age: per 10 years 2 male sex 3 living alone 4 cardiovascular disease 5 diabetes 6 medication number>=2 7 Body mass index<18.5 8 Elderly Mobility Scale score <20 9 motor deficit in ADL 10 process deficit in ADL	ROC curves were computed; mean predicted 3-year mortality were calculated and compared in each quin Receiver Operating Characteristics curve area	mortality risk and ob tile of risk score index model 0.78 (0.71-0.84)	served 3-year		
Mazzaglia, 2007 ¹⁸⁷	15-month mortality	1 age:	Number of death/who at risk, percentage, 95%Cl, securve were calculated and compared for both cohorts	nsitivity and specific according to risk so	ty, area under the cores		
	hospitalization	75-84	Receiver operating characteristic curve area	Development cohort	Validation cohort		
		>=85 2 sex female Male 3 positive responses to the Screening test 0-1 2-3 4-6 4 having hospitalization in the Previous 6 months 5 having >=5 prescriptions	Mortality	0.75 (0.72-0.78)	0.75(0.73-0.78)		
Jones, 2005 ¹⁴⁴	5-year mortality	1 Impairment index includes	Mean and standard deviation for socio-demographic services were calculated and compared by index level	, clinical variables a els.	nd uses of clinical		
	5-year institutionalizatio n	cognition Emotion Communication mobility Balance	Receiver Operating Characteristic curve area Mortality	FI-GCA 0.67	FI 0.7		

Reference	Outcome	Independent Variables	Accuracy/Validat	tion	
Mitaitali 2000 ^{3/4}		Bladder Bowel Nutrition Activities of daily living Social 2 Comorbidity index			-
Mithitski, 2002	monality	VISION IOSS;	validity was examined in its ability to predict mortality	nip to chronological	age; criterion
		Hearing loss;		Biological age	Chronological age
		Impaired mobility; Vascular problem; Gait abnormality; Impaired vibration sense; Difficulty bathing; Difficulty going out; Difficulty going out; Difficulty cooking; Difficulty toileting; Difficulty dieting; Difficulty grooming Skin problem; Resting tremor; Changes in sleep; Difficulty dressing; Urinary complaints; Gastro-intestinal problem; Diabetes; Hypertension; Limb tono abnormality	Beta coefficient Standard deviation t-value p-value	0.0081 0.0014 5.7 <0.000001	0.0081 0.0038 2.15 0.0313
Walter, 2001 ¹²⁵	1-year mortality	1 male sex 2 dependent in 1-4 ADLs 3 dependent in all ADLs	No. of death/ No. at risk, 95% CI for each risk group a calculated and compared between derivation and val Receiver Operating characteristic curve area	and area under the idation cohorts Derivation Cohort	curve were Validation Cohort
		4 congestive heart failure 5 solitary cancer 6 metastatic cancer 7 creatinine level on admission >3mg/dl 8 albumin level on admission is 3-3.4 g/dL albumin level on admission is <3 g/dL	By risk group By quartile of risk	0.75 0.75	0.79 0.8
Lee, 2006 ⁷¹	4-year mortality	1 male sex 2 age 60-64 3 age 65-69	No. of death/ No. at risk for each risk group and area compared between development and validation cohe Receiver Operating Characteristic curve area	under the curve we orts. Development Cohort	re calculated and Validation Cohort

Reference	Outcome	Independent Variables	Accuracy/Validat	tion	
		4 age 70-74 5 age 75-79 6 age 80-84 7 age >=85 8 diabetes mellitus 9 cancer 10 lung cancer 11 heart failure 12 body mass index<25 13 current smoker 14 bathing 15 managing finances	By risk group By quartile of risk	0.84 0.842	0.817 0.819
Inouye, 2003 ¹²²	1-year mortality	16 walking several blocks 17 pushing/pulling heavy objects 1 high risk diagnoses; 2 albumin <=3.5 mg/dL; 3 Creatinine >15 mg/dL;	No. of death/ No. at risk, hazard ratio, 95% CI for eac were calculated and compared between developmen	ch risk group and are it and validation coho Derivation Cohort	a under the curve ort. Validation Cohort
		4 dementia;	Receiver Operating characteristic curve Chi-square trend	0.83 (0.78-0.87) 179.33	0.77 (0.74-0.8) 274.01
Schonberg, 2009 ³⁶⁶	5-year mortality	1 age	mortality rate, person-year rate were calculated and o validation cohorts according to guintile of risk and point	compared between c int score.	levelopment and
		65-69 70-74	Receiver operating characteristic curve area (ROC)	index model 0.75	
		75-79 80-84 85+ 2 Male sex 3 Smoking status never Former Current 4 body mass index<25 kg/m ² 5 comorbid conditions COPD Diabetes mellitus Cancer 6 overnight hospitalization in past year None One			

Reference	Outcome	Independent Variables	Accuracy/Valida	tion	
Carey 2004 ³⁶⁸	2-vear mortality:	Two or more 7 perceived health Excellent/very good Good Fair/good 8 functional measures Dependent in at least 1 IADL Difficulty walking several blocks	No. at risk mortality rate for each risk group and are	a under the curve w	vere calculated and
00109, 2001	2 your monunty,	2 age;	compared between development and validation coho	orts.	
		76-80 81-85;		Derivation cohort	Validation cohort
		>85 3 dependence in bathing 4 dependence in shopping 5 difficulty walking several blocks 6 difficulty pulling/pushing heavy objects	Receiver Operating Characteristics curve area	0.76	0.74
Levine, 2007 ³⁶⁹	1-year mortality	1 age 70-74	No. of death/ No. at risk, 95% CI for each risk group calculated and compared between derivation and va	and area under the lidation cohorts	curve were
		75-79	Receiver operating characteristic curve area (ROC)	Derivation Cohort	Validation Cohort
		80-84	By risk group	0.67	0.65
		85-89 >=90 2 Discharge to nursing home or Skilled nursing facility 3 length of stay >=5 days 4 congestive heart failure 5 peripheral vascular disease 6 dementia 7 renal disease 8 hematologic and solid malignancy 9 metastatic cance	By quartile of risk	0.7	0.68

Reference	Outcome	Independent Variables	Accuracy and validation		
Purser, 2006 ³⁷³	6-month mortality	measure set A 1 mobility	Logistic regressions were used to assess the independ composite or single item measures.	dent predictive valid	ity for each
		2 grip strength	Frailty index/OR(CI)	6-month mortality	
		3 low endurance	Measure set A	1.9(0.6-6)	
		4 physical activity limitation	Measure set B	1.4(0.3-5.6)	
		5 nutrition	Gait speed	4(1.1-13.8)	
		measure set B	Grip strength	2.7(0.7-10)	
		1 mobility	Chair-stand	1.5(0.4-5)	
		2 activities of daily living			
		3 Incontinence			
		4 cognitive impairment			
		1 Gait speed			
		2 Grin strength			
		3 Chair stands			
Carey, 2008 ¹²⁹	1-year mortality;	1 male;	Mortality rate for each risk group and area under the c	urve were calculate	d and compared
•	•	2 age;	between derivation and validation cohorts.		
		75-79			
		80-84;		Derivation cohort	Validation cohort
		>=85	Receiver operating characteristic curve area (ROC)	0.66	0.69
		3 dependence in toileting			
		4 dressing			
		Partially dependent;			
		Fully dependent			
		5 malignant neoplasm			
		6 congestive heart failure			
		7 chronic obstructive			
		Pulmonary disease			
Dilatta 2008 ³⁶³	1 voor mortolity	8 renal failure or insufficiency	Calibratian of the model was appeared by comparing t	he predicted mertal	ity with the estual
Pilotto, 2008	r-year monality	2 instrumental activities of daily living	mortality in the development and validation cohorts: di	ne predicted monal	ny with the actual
		scale	assessed by calculating the receiver operating charac	eristic curves for th	e development
		3 Short Portable Mental Status	and validation cohorts.		e development
		questionnaire			
		4 comorbidity index			
		5 Mini Nutritional Assessment		Development	Validation
		6 Exton Smith Scale	Receiver operating characteristic curve area (ROC)	0 751 (0 71-0 81)	Not reported
		7 number of medications		5.701 (0.71-0.01)	

Appendix E Table 53. Short-Term Prediction of Death in Older Persons

Minnesota Evidence-based Practice Center

Appendix E Table 53. Short-Term Prediction of Death in Older Persons (continued)

Reference	Outcome	Independent Variables	Accuracy and validation		
	-	8 social support network	· · · · · · · · · · · · · · · · · · ·		-
Walter, 2001 ¹²⁵	1-year mortality	1 male sex 2 dependent in 1-4 ADLs 3 dependent in all ADLs	No. of death/ No. at risk, 95% CI for each risk group a calculated and compared between derivation and valid Receiver operating characteristic curve area (ROC)	nd area under the ation cohort Derivation Cohort	curve were Validation Cohort
		4 congestive heart failure 5 solitary cancer 6 metastatic cancer 7 creatinine level on admission > 3mg/dl 8 albumin level on admission is 3-3.4 g/dL albumin level on admission is <3 g/dL	By risk group By quartile of risk	0.75 0.75	0.79 0.8
Inouye, 2003 ¹²²	1-year mortality	1 high risk diagnoses; 2 albumin <=3.5 mg/dL;	No. of death/ No. at risk, hazard ratio, 95% CI for each were calculated and compared between derivation and	risk group and are validation cohort.	ea under the curve
		3 Creatinine >15 mg/dL;		Derivation	Validation
		4 dementia; 5 walking impairment	Receiver operating characteristic curve area (ROC) Chi-square trend P-value	0.83 (0.78-0.87) 179.33 0.001	0.77 (0.74-0.8) 274.01 0.001
Levine, 2007 ³⁶⁹	1-year mortality	1 age	No. of death/ No. at risk, 95% CI for each risk group ar	nd area under the o	curve were
		70-74 75-79	calculated and compared between derivation and valid Receiver operating characteristic curve area (ROC)	ation cohorts Derivation Cohort	Validation Cohort
		80-84 85-89 >=90 2 Discharge to nursing home or Skilled nursing facility 3 length of stay >=5 days 4 congestive heart failure 5 peripheral vascular disease 6 dementia 7 renal disease 8 hematologic and solid Malignancy	By risk group By quartile of risk	0.67 0.7	0.65 0.68

Reference	Outcome	Independent Variables	Accuracy and Validation		
Fried, 1998 ⁵⁴	5-year mortality	1 age 2 male sex 3 income less than \$50,000 per year	The 5-year overall mortality rate and mortality per pers calculated and compared between cardiovascular heal the African American cohort	on-year for each risk th study (CHS) origin	group were al cohort and
		4 low weight		Original cohort	African American
		 5 lack of moderate or vigorous exercise 6 smoke > 50 pack-years 7 high brachial systolic blood pressure (> 169mm Hg) 8 low tibial systolic blood pressure (<127mm Hg) 9 diuretic use by those without hypertension or congestive heart failure 10 elevated fasting glucose level >7.2 mmol/L [130 mg/dL] 11 low albumin level (<=37 g/L) 12 elevated creatinine level (>=106 µmol/L [1.2 mg/dL]) 13 low forced vital capacity (<=2.06 mL) 14 aortic stenosis (moderate or severe) and abnormal left ventricular ejection fraction (by echocardiography) 15 major electrocardiographic abnormality 16 stenosis of internal carotid artery (by ultrasound), 17 congestive heart failure 18 difficulty in any instrumental activity of daily living, 19 low cognitive function by Digit Symbol Substitution test score 	Chi-square trend P-value	659.73 <.001	55.97 <.001
Schonberg, 2009 ³⁶⁶	5-year mortality	1 age	Mortality rate, person-year rate were calculated and co validation cohorts according to quintile of risk and poin	mpared between dev t score.	elopment and
		65-69 70-74 75-79 80-84 85+ 2Male sex 3 Smoking status never	Receiver operating characteristic curve area (ROC)	index model 0.75	

Appendix E Table 54. Long-Term Prediction of Death in Older Persons

Reference	Outcome	Independent Variables	Accuracy and Validation		
		Former Current 4 body mass index<25 kg/m ² 5 comorbid conditions COPD Diabetes mellitus Cancer 6 overnight hospitalization in past year None One Two or more 7 perceived health Excellent/very good Good Fair/good 8 functional measures Dependent in at least 1 IADL Difficulty walking several blocks			
Jones, 2005 ¹⁴⁴	5-year mortality	1 Impairment index includes cognition Emotion Communication mobility Balance Bladder Bowel Nutrition Activities of daily living Social 2 Comorbidity index	Mean and standard deviation for socio-demographic , clir services were calculated and compared by index levels. Receiver operating characteristic curve area (ROC) Mortality	nical variables and FI-GCA 0.67	d uses of clinical FI 0.7

Appendix E Table 54. Long-Term Prediction of Death in Older Persons (continued)

Appendix E Table 55. Decisionmaking Models of Cost-Effectiveness of Screening

Reference	Aim	Perspective	Study Design	Subjects	Setting	Model Validity	Quality of Data
Frazier et al, 2000 ³⁷⁶	 Evaluate the use of rehydration for fecal occult blood testing Evaluate the impact of a followup colonoscopy after the detection of a small tubular adenoma by sigmoidoscopy Consider the impact of imperfect compliance with screening in average-risk individuals 	Societal	Cost effectiveness analysis	Hypothetical subjects, representative of the 50-year-old U.S. population, at average risk for colorectal cancer	Simulated clinical practice in U.S.	State-transition Markov model	 Age and sex specific prevalence of adenomatous polyps using a weighted logistic regression analysis of results from 6 autopsy studies Probability of transformation from low-risk to high-risk polyps was estimated from studies of small polyps left in situ and reexamined annually Prevalence of colorectal cancer and stage distribution at 50-year-old was obtained from SEER data Costs of colorectal cancer treatment by stage and time period were obtained from a cost study from a large HMO Compliance rates were obtained in the optimized setting of clinical trials of colorectal cancer screening
Vijan <u>et</u> al, 2001 ³⁷⁷	Determine how compliance affects cost effectiveness, the optimal timing and frequency of colonoscopy, and the effects of pricing on the relative cost effectiveness of different screening procedures.	Third-party payer perspective	Cost effectiveness analysis			Is tested by altering the compliance rate, sensitivity and specificity of fecal occult blood testing to fit the Minnesota fecal occult blood testing screening trial and was validated	
Ness et al, 2000 ³⁷⁸	Investigate the age- dependent cost- utilityof one-time colonoscopy screening	Societal perspective	Cost utility analysis			 Content validity was validated by literature search Construct validity was validated through the ability to match colorectal cancer 	

Reference	Aim	Perspective	Study Design	Subjects	Setting	Model Validity	Quality of Data
						incidence and adenoma prevalence data simultaneously 3) Criterion validity was validated by modeling the National Polyp Study and correctly predicting their colorectal cancer outcomes by simulation	
Loeve et al, 2000 ³⁷⁹	Possible costs and savings of endoscopic colorectal cancer screening are explored to investigate whether the induced savings may compensate for the costs of screening		Cost saving analysis	Simulated dynamic U.S. population of 1993		Validity of the 'expert' model is based on observational data and has not been tested on a large longitudinal dataset; sensitivity analysis was performed for important uncertain parameters	
Song et al, 2004 ³⁸⁰	Compare the potential clinical and economic consequences of fecal DNA testing with those of established screening strategies and determine the target attributes that would make fecal DNA testing comparable with screening colonoscopy		Cost Effectiveness Analysis				

Reference	Alternative Strategies	Measure of Cost/ Consequence	Differential Timing Adjustment	Incremental Analysis Performed	Uncertainty Allowance	Results
Frazier et al, 2000 ³⁷⁶	 No screen Sigmoidoscopy-1 at age 55 years Double-contrast barium enema; at age 55 years Sigmoidoscopy-1 every 10 years Sigmoidoscopy-2 every 10 years Colonoscopy at age 55 years Sigmoidoscopy-1 every 5 years Double-contrast barium enema; every 10 years Sigmoidoscopy-2 every 5 years Double-contrast barium enema; every 10 years Sigmoidoscopy-2 every 5 years Unrehydrated fecal occult blood testing Unrehydrated fecal occult blood testing+sigmoidoscopy-2 every 10 years Unrehydrated fecal occult blood testing+sigmoidoscopy-2 every 10 years Unrehydrated fecal occult blood testing+sigmoidoscopy-2 every 10 years Double-contrast barium enema; every 5 years Rehydrated fecal occult blood testing Unrehydrated fecal occult blood testing+sigmoidoscopy-1 every 5 years Rehydrated fecal occult blood testing+sigmoidoscopy-2 every 10 years Rehydrated fecal occult blood testing+sigmoidoscopy-2 every 5 years Rehydrated fecal occult blood testing+sigmoidoscopy-2 every 5 years 	1) Lifetime cost per person screened, measured by dollar 2) Life expectancy, measured by year 3) Reduction in colorectal cancer incidence and mortality		Yes	Yes	1) In 1 base-case analysis, compliance was assumed to be 60% with the initial screen and 80% with followup or surveillance colonoscopy; annual rehydrated fecal occult blood testing plus sigmoidoscopy every 5 years had an incremental CE ratio of \$489,900 per life-year gained compared with the same strategy every 10 years 2) The most effective strategy for white men was annual rehydrated fecal occult blood testing plus sigmoidoscopy (followed by colonoscopy if either a low- or high-risk polyp was found) every 5 years from age 50 to 85 years, which resulted in a 60% reduction in cancer incidence and an 80% reduction in colorectal cancer mortality compared with no screening, and an incremental CE ratio of \$92,900 per year of life gained compared with annual unrehydrated fecal occult blood testing plus sigmoidoscopy every 5 years 3) In a base-case analysis in which compliance with screening and followup is assumed to be 100%, screening more often than every 10 years was prohibitively expensive 4) Other strategies recommended by the expert panel were either less effective

Reference	Alternative Strategies	Measure of Cost/ Consequence	Differential Timing Adjustment	Incremental Analysis Performed	Uncertainty Allowance	Results
						or cost more per year of life gained than the alternatives 5) Colonoscopy every 10 years was less effective than the combination of annual fecal occult blood testing plus sigmoidoscopy every 5 years. However, a single colonoscopy at age 55 years achieves nearly half of the reduction in colorectal cancer mortality obtainable with colonoscopy every 10 years 6) Because of increased life expectancy among white women and increased cancer mortality among blacks, colorectal cancer screening was even more cost effective in these groups than in white men.
Vijan et al, 2001 ³⁷⁷	 No screening Sigmoidoscopy Colonoscopy at age 60 years Colonoscopy at age 55 years Fecal occult blood testing Colonoscopy at ages 55 years and 65 years Colonoscopy at ages 50 years and 60 years Sigmoidoscopy and fecal occult blood testing 	 Average gain in life expectancy, measured by days Average cost, measured by dollar Relative reduction in colorectal cancer 	Costs and life expectancy discounted at 3% per annum	Yes	Yes	 All strategies are cost effective versus no screening, at less than \$20,000 per life- year saved Direct comparison suggests that the most effective strategies are twice-lifetime colonoscopy and flexible sigmoidoscopy combined with fecal occult blood testing Assuming perfect compliance, flexible sigmoidoscopy combined with fecal occult blood testing is slightly more effective than twice-lifetime colonoscopy (at ages 50 and 60 years) but is substantially more expensive, with an incremental cost

Reference	Alternative Strategies	Measure of Cost/ Consequence	Differential Timing Adjustment	Incremental Analysis Performed	Uncertainty Allowance	Results
Noos et el	1) Never corooning	1) Contin	1) All cooto	Vaa	Vaa	effectiveness of \$390,000 per additional life-year saved 4) Colonoscopy at ages 50 and 60 years is the preferred test regardless of compliance with the primary screening test 5) If followup colonoscopy for polyps is less than 75%, then even once-lifetime colonoscopy is preferred over most combinations of flexible sigmoidoscopy and fecal occult blood testing.
Ness et al, 2000 ³⁷⁸	 Never screening Colonoscopy screening at age 60-64 years Colonoscopy screening at age 55-59 years Colonoscopy screening at age 50-54 years Colonoscopy screening at age 45-49 years 	1) Cost is measured by dollars 2) Effectiveness (utility) is measured by QALYs	1) All costs and QALYs are discounted at a 3% annual rate 2) In sensitivity analysis discount rate varied from 0% to 5%	Yes	Yes	 For both sexes, one-time colonoscopic screening between 50 and 54 years of age is associated with a marginal cost-utility of less than \$10,000 per additional quality-adjusted life-year compared to screening between 55 and 60 years of age Onetime colonoscopic screening between 45 and 49 years of age is either dominated (women) or associated with a marginal cost-utility of \$69,000/per quality-adjusted life-year (men) compared to screening between 50 and 54 years of age The marginal cost-utility of one-time colonoscopic screening is relatively insensitive to plausible changes in the cost of colonoscopy, the cost of colonoscopy, the cost of

Reference	Alternative Strategies	Measure of Cost/ Consequence	Differential Timing Adjustment	Incremental Analysis Performed	Uncertainty Allowance	Results
						the sensitivity of colonoscopy for colorectal neoplasia, the utility values representing the morbidity associated with the colorectal cancer-related health states, and the discount rate.
Loeve et al, 2000 ³⁷⁹	 Sigmoidoscopy at age 50 years Sigmoidoscopy at age 55 years Sigmoidoscopy at age 60 years Sigmoidoscopy at age 65 years Sigmoidoscopy at age 70 years Sigmoidoscopy at age 75 years 	All costs and savings are measured by dollars	3% discount rate is applied to costs and health effects	N/A	Yes	 Given the expert opinion- based assumptions, a program based on every 5-year sigmoidoscopy screenings could result in a net savings of direct health care costs due to prevention of cancer treatment costs that compensate for the costs of screening, diagnostic followup, and surveillance This result persists when costs and health effects are discounted at 3% The "break-even" point, the time required before savings exceed costs, is 35 years for a screening program that terminates after 30 years and 44 years for a screening program that continues on indefinitely
Song et al, 2004 ³⁸⁰	 1) Natural history (no screening) 2) Fecal DNA testing 3) Flexible sigmoidoscopy 4) Fecal occult blood testing 5) Colonoscopy 	 Costs are measured by dollars Effectiveness is measured by life-years gained 	Life years and costs were discounted at 3% annually	Yes	Yes	1) Compared with no screening, fecal-DNA at a screening interval of 5 years decreased colorectal cancer incidence by 35% and colorectal cancer mortality by 54% and gained 4,560 life- years per 100,000 persons at \$47,700/life-year gained in the base case 2) The average number of colonoscopies per person was

Reference	Alternative Strategies	Measure of Cost/ Consequence	Differential Timing Adjustment	Incremental Analysis Performed	Uncertainty Allowance	Results
						 3.8 with colonoscopy and 0.8 with fecal-DNA 3) in most 1-way sensitivity analyses and Monte Carlo simulation iterations, fecal-DNA remained reasonably cost-effective compared with no screening, but colonoscopy and fecal occult blood testing dominated fecal-DNA 4) Assuming fecal DNA testing sensitivities of 65% for colorectal cancer and 40% for large polyp, and 95% specificity, a screening interval of 2 years and a test cost of \$195 would be required to make fecal-DNA comparable with colonoscopy

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